



**dcstm**

Department:  
Community Safety and Transport Management  
North West Provincial Government  
REPUBLIC OF SOUTH AFRICA



# ANNUAL REPORT 2019/2020

**Together we move North West Province Forward**



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## PART A: GENERAL INFORMATION



## 1. DEPARTMENT GENERAL INFORMATION

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## 2. LIST OF ABBREVIATIONS/ACRONYMS

AFS	Annual Financial Statements
AGSA	Auditor General of South Africa
AO	Accounting Officer
APP	Annual Performance Plan
AR	Annual Report
BBBEE	Broad Based Black Economic Empowerment
BPDLP	Basic Police Development Learning Programme
CCTV	Closed-Circuit Television
CFO	Chief Financial Officer
CIDB	Construction Industry Development Board
COIDA	Compensation for Occupational Injuries and Diseases Act
CPF	Community Police Forum
CPTED	Crime Prevention Through Environmental Design
CSF	Community Safety Forum
DLTC	Driving Licensing Testing Centres
DMC	Departmental Management Committee
DoT	Department of Transport
DPCI	Directorate for Prioritised Crime Investigations
DPSA	Department of Public Service and Administration
DPME	Department of Performance Monitoring and Evaluation
DPW&R	Department of Public works & Roads
DVA	Domestic Violence Act
EDMC	Extended Departmental Management Committee
EEP	Employment Equity Plan
EA	Executive Authority
EAP	Employees Assistance Programme
EXTECH	Executive Technical Committee
EPWP	Expanded Public Works Programme
EXCO	Executive Council
FMPPi	Framework for Management of Programme Performance Information





HOD	Head of Department
HR	Human Resources
ICT	Information Communication Technology
IEHW	Integrated Employee Health and Wellness
IFS	Interim Financial Statement
IPID	Independent Police Investigative Directorate
IPPP	Inter Provincial Policy and Procedure
IPTN	Integrated Public Transport Network
IT	Information Technology
IYM	In Year Monitoring
IOD	Injury on Duty
MEC	Member of the Executive Council
MOU	Memorandum of Understanding
MPSA	Minister of Public Service Administration
MPL	Member of the Provincial Legislature
MMS	Middle Management Staff
MTEF	Medium Term Expenditure Framework
MTSF	Medium Term Strategic Framework
MUNIMEC	Municipality and Member of the Executive Council
NATIS	National Traffic Information System
NARSA	National Archives and Records of South Africa
NDOT	National Department of Transport
NDP	National Development Plan
NPI	Non-Profit Institutions
NLTA	National Land Transport Act
NMT	Non-Motorised Transport
NSG	National School of Government
NWTI	North West Transport Investment
OHS	Occupational Health and Safety
OLAS	Operating Licence Administration System
OoP	Office of the Premier
PAAP	Post Audit Action Plans
PFMA	Public Finance Management Act







PLTF	Provincial Land Transport Framework
PLTP	Provincial Learner Transport Policy
PMDS	Performance Development Management System
PRE	Provincial Regulatory Entity
RA	Registering Authority
RAF	Road Accident Fund
RTMC	Road Traffic Management Corporation
SACAA	South African Civil Aviation Authority
SAPS	South African Police Service
SALGA	South African Local Government Associated
SASREA	Safety at Sports and Recreational Events
SBD	Standard Bidding Document
SCM	Supply Chain Management
SDIP	Service Delivery Improvement Plan
SETA	Sector Education and Training Authority
SITA	State Information Technology Agency
SLA	Service Level Agreement
SMS	Senior Management Staff
SMME	Small Medium and Micro Enterprises
SONA	State of the Nation Address
SOPA	State of the Province Address
TKC	Trans Kalahari Corridor
TETA	Transport Education and Training Authority
TRA	Threats Risk Assessment
TR	Treasury Regulations
TOR	Terms of Reference
VTSD	Villages Townships & Small Dorpies
VTS	Vehicle Testing Station







### 3. FOREWORD BY THE MEC



#### PREAMBLE

Ordinarily writing the preface of any report should be about the challenges and successes as well as future plans to avert such problems repeating themselves. However, the spread of coronavirus disease first identified in December 2019 and shortened to Covid-19 changed life as we know it. The whole world has been thrown into disarray. The pandemic crippled the economy, strained the health sector and forcefully introduced a new way of living.

Budget long planned had to be readjusted and unplanned and unbudgeted expenditure accommodated. Despite the difficult conditions we found ourselves in, we had been successful in other plans we set out to achieve.

#### ACHIEVEMENTS

Among achievements is the saving of North West Transport Investment (NTI) from liquidation. The Mafikeng High Court ruled that the entity should not be liquidated as creditors demanded. As Department we were tasked among other to ensure that the entity is restored to its former glory and continue to contribute to the economy of the Province. This was not an easy task as the climb was and is still steep. This was no mean feat more so as many State Owned Enterprises are facing insurmountable challenges with some having applied or are applying for liquidations and business rescues.





## CHALLENGES

Covid-19 exacerbated some of the challenges we had and as indicated above we had to navigate uncharted waters. Among these are taxi operators who continue to operate outside their designated routes, cross border routes, illegal operators which often lead to conflicts. We are hoping for a long term solution as we are in the process of establishing a task team to deal specifically with transport sector challenges.

Other challenge that also seems to continue to blotch the Department's success is the learner transport. We have had numerous interactions with operator umbrella body SANSBOC and we are looking forward to hustle free transportation of learners.

Gender based violence has also reared its ugly head. This is becoming rife in rural areas where most cases are reportedly gruesome.

Population in the Province is increasing exponentially and annual reduction of the budget is not helping. It's becoming a difficult balancing act to ensure we continue to serve our people within the stringiest of fiscus challenges.

## MEDIUM TO LONG TERM GOALS

Of utmost importance is to ensure stability in the taxi industry. This can and will be achieved if all play their part. We are continuously engaging the industry and though the pace is slower than we would prefer, there is hope that the industry shall stabilise.

The other matter that needs urgent attention is the learner transport. We must improve fiscal protocols and ensure budget is contained. We must make sure that we do not enter the new fiscal with accruals of the previous one.

## ACKNOWLEDGEMENTS / APPRECIATION

I am grateful for the work done by the Administrator, Executive management. I implore on them to once again work together as we have. Yes, there will be differences but we can differ without being indifferent. We must always think of the people whose abiding faith in us is what is driving this Sixth Administration. As people at the apex of the Department, we are as good as ordinary men and women on the ground. Their continuous hard work is acknowledged.

## CONCLUSION

I am looking forward to continuing the journey of leading this Department with all of you. Together we can do much more.

**Honourable Mr. J.S. Lehari**

**MEC of the Department of Community Safety and Transport Management**

**31 JULY 2020**





#### **4. REPORT OF THE ACCOUNTING OFFICER**



**Mr. Mathabatha Mokonyama**  
**Accounting Officer**  
**Administrator in terms of Section 100 (1) (b) of the Constitution of South Africa**  
**Date: 31 July 2020**

- **OVERVIEW OF THE OPERATIONS OF THE DEPARTMENT:**

- Overview of the results and challenges for the, briefly commenting on significant events and projects for the year.

#### **PROVINCIAL SECRETARIAT FOR POLICE SERVICE**

The Provincial Secretariat performed satisfactorily during the year, with a slight decline during the year under review, compared to previous years performance. The areas of under performance did not have significant impact on services provided to communities. The conditions and environment within which programmes were carried out changed significantly, particularly during the last quarter of the year. The following new areas of implementation provided sufficient data to ensure that planning is improved towards greater achievement into the future:

- Court Watch brief project: valuable lessons were learned during the year owing to the integration and collaboration with the Justice Department. The project is new and has taught the Department that, joint planning, recognising the inherent system



requirements are key aspects as we move towards greater achievement. Despite the challenges in engagement in the beginning, greater effort by the participants was displayed at the end, accounting for the recorded achievement.

- CPF's Annual General Meetings: The Community Policing Forums recorded a bit of a struggle throughout the year. Intensive planning and review of CPF Policies, seeking to align and improve the conditions of operations of the sector was of great assistance.

Safety Promotion, Crime Prevention programmes were carried out with diligent and impacted on the lives of those vulnerable in our society. These included increased number of pupils and communities reached through awareness programmes about safety, the installation of safety gadgets to deserving households linked to Police Station, a measure to improve safety of individuals. Partnership continued and saw a team of CPF members who were studying through collaboration with a training institution finalised. These individuals can now establish cooperatives and community structures to mobilise communities towards safer communities.

Oversight over the SAPS improved during the year, recording an increase in the total recommendations implemented by the SAPS. These recommendations aim at improving services and relations between the SAPS and communities and protecting the SAPS officials by ensuring that they carry out their work in a professional and ethical conduct.

In all these achievements recorded, more effort will be put in coordinating stakeholders, particularly Municipalities to play a greater role in community safety, strengthen governance system to improve the Department and the SAPS relationship.

## TRANSPORT OPERATIONS

The department has managed to continue providing support to district and local municipalities in developing Integrated Transport Plans as an endeavour to create capacity to plan for transport infrastructure and services.

The provision of subsidised public transport to communities was a success; though with challenges in certain areas where there were protests by communities and taxis operators. The provision of learner transport services to learners mostly in farm and deep rural areas proceeded under the contract awarded by NTI whilst waiting for the finalisation of the application for review by the high court. The Department continues to monitor the provision of commuter transport services in all areas where such service were provided. All issues of non-compliance identified were dealt with as part of penalties to be imposed on defaulting operators.

The operations at the two provincial airports continued to decrease. There are no scheduled flights since the termination of contract with S.A Express. Both G.D Montshioa and Pīlanesburg airports continue to operate as category 5 and category 6 Aerodromes respectively servicing general aviation. However, the airports are struggling to meet all regulations laid down by the SACAA and this resulted in the downgrading of G.D Montshioa airport to category level 2 amid remaining category 5 for Fire and Emergency Services. The



department is however thriving to keep the airports afloat and to take GD Montshioa Airport back to category 5 with the intention to move to category six (6) licensed aerodrome in 2022/2023. We would also introduce the scheduled flights before end of financial year. Under fleet management, the department procured hundred and ninety nine (199) vehicles to augment the current provincial white fleet.

## TRANSPORT REGULATION

The Transport Regulation Program is mainly regulated by the National Road Traffic Act (Act 93 of 1996). This piece of legislation, primarily manages all modes of land transport on all the roads in the province. The program, through Law Enforcement (Traffic) has managed transport services across various districts. The National Land Transport Act, no 05 of 2009 regulates all types of services as enshrined in this piece of legislation through administration Operating Licenses in the deserving areas. The Department has managed to harmonise relationships within the transport sector.

The Department, through the program, has extended its registering authorities to areas such as Setlagole and Marikana. The intention is to continue bringing services to the communities of the North West Province. The Department has further cabled and deployed NaTIS equipment at some of the South African Post Office sites identified to render motor vehicle license renewals. The department has also played a significant role in promoting safety among road users in the province.





- **OVERVIEW OF THE FINANCIAL RESULTS OF THE DEPARTMENT:**

- **Departmental receipts**

Departmental receipts	2018/2019			2019/2020		
	Estimate	Actual Amount Collected	(Over)/Under Collection	Estimate	Actual Amount Collected	(Over)/Under Collection
	R'000	R'000	R'000	R'000	R'000	R'000
Tax Receipts						
Motor vehicle licences	475 503	475 503	(48 374)	501,612	557,419	(55,807)
Sale of goods and services other than capital assets	239 262	201 505	37 757	253,139	210,001	43,138
Fines, penalties and forfeits	19 905	19 300	605	21,060	20,594	466
Sale of capital assets					16,484	(16,484)
Interest, dividends and rent on land					11	(11)
Financial transactions in assets and liabilities		668	(668)		1,616	(1,616)
<b>Total</b>	<b>734 670</b>	<b>745 350</b>	<b>10 680</b>	<b>775,811</b>	<b>806,125</b>	<b>(30,314)</b>

- **Determination of tariffs:**

Tariffs for the registration and licensing of motor vehicles are revised annually. The consumer price index and inflation rate are used as basis in determining the increment. The proposed tariffs are submitted to the Provincial Treasury for approval and published in a Provincial Gazette.





○ **List of free services:**

No free services are rendered by the Department.

**Tax revenue**

The target for the year was over achieved by R55,807m. This over collection was realised due to payments made by Local Municipalities collecting on behalf of the Department. The Department continued to enforced payment of these revenues from municipalities.

**Sale of goods and services**

Total collection is 83% (R210, 001 m), which is an under collection of 17% (R43,137m). During the forth quarter of the financial year most Departments could not pay over the log sheets revenue collected, stating budget pressures as a reason.

**Fines, Penalties and Forfeits**

The total collection under the revenue item is R20,594m against an allocated budget of R21,060m. This is about 2% under collection.

**Sale of capital assets**

The Department managed to sell old vehicles through action an managed to collect R16,484m

○ Programme Expenditure

Programme Name	2018/2019			2019/2020		
	Final Appropriation	Actual Expenditure	(Over)/ Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/ Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
Administration	312 220	308 473	3 747	365,943	365,838	105
Prov Secr for Police Services	43 640	39 441	4 199	42,666	42,565	101
Transport Operatios	1 354 232	1 334 783	19 449	1,351,815	1,346,168	5,647
Transport Regulations	601 995	572 491	29 504	624,682	624,066	616
<b>Total</b>	<b>2 312 087</b>	<b>2 255 188</b>	<b>56 899</b>	<b>2,385,107</b>	<b>2,378,638</b>	<b>6,469</b>







The Department spent 99.73% of the allocated budget for the financial year 2019/20. There is an overall under spending of only 0,27%, amounting to R6,469,052.17

All programmes have performed well, spending over 99% as follows:

Administration, 99.97% Provincial Secretariat for Police, 99.76% Transport Operations 99.58% and Transport Regulations 99.90%

Departments must provide a summary of the actual expenditure in comparison to the adjusted appropriation for both the current year and previous year. The information must be provided at a programme level and must agree to the appropriation statement of the audited financial statements. Discuss the reasons for under/ (over) expenditure. In instances where there is overspending, what corrective action has the department put in place to prevent the recurrence in future years.

#### ADMINISTRATION

Total Programme spending as at 31 March 2020 is 99.97%. Only **households** and **Machinery and Equipment** of the programme spent below 100%. All other items spent all the allocated budget.

**Households** - There is a 10% under spending on the economic classification. This is budget for payments of leave gratuity and injury on duty.

**Machinery and Equipment** - The spending is at 78.58%. This allocation is for ICT equipment as well as furniture and equipment. The under spending is mainly on office furniture which could not be delivered and paid for at the last quarter of the financial year.

**Interest and Rent on land** - The department has incurred expenditure of R1,125 million as interest for the year 2019-20. The expenditure will be investigated internally by the Advisory Committee, in line with departmental policies and prescripts.

#### PROVINCIAL SECRETARIAT FOR POLICE SERVICE

Provincial Secretariat for Police Services has spent 99.76% of its allocated budget. All items spent above 99%, except for households which underspent by 29.27%.

#### TRANSPORT OPERATIONS

Total spending for the programme is 99.58%. The under expenditure is insignificant at 0.42%. There is slightly high under spending on households and buildings and other fixed





structures. All the other items have performed well.

The department will be applying for roll over on buildings and other fixed structures, which is for the Pilanesburg renovations.

#### TRANSPORT REGULATION

Overall programme spending is at 99.90 %. All items expenditure performed well, except for buildings and other fixed structures which overspent by 5%.

##### ○ **VIREMENTS/ROLL OVERS**

Roll overs

A total amount of R18,656,000 roll overs approved as follows:

- R11,650,000 for Payments for Scholars,
- R1,865,000 for Transport Equipment and
- R5,191,000 for buildings and other fixed structures

virements

Virements were done on saving items to offset over expenditure on other items

Reason for the virement

The department had savings on Compensation of Employees under Programme 02: Provincial Secretariat for Police services amounting to R1.172 million; Programme 03: Transport Operations amounting to R2,647 million and Programme 04: Transport Regulations amounting to R3,839 million. A request was made for a virement of R1.172 million from Provincial Secretariat for Police services in Programme 02 to Goods and services under Programme 01: Administration (Financial Management).

Savings under Administration under Compensation of Employees to the tune R 843,000.00, Households for R 94,000 and Departmental Agencies for R 258,000 was vired. A request was made for a virement of R 1,195,000.00 to Programme 03 Transport Operation under Infrastructure Operations (Public Corporation). There was a savings under Programme 02 Provincial Secretariat for Police amounting to R 2,224,000 under Compensation of Employees. A request for virement to Programme 03 Transport Operation under Infrastructure Operations (Public Corporation) for R 1,659,000.00 and R 565,000.00 under Goods and services in Transport Safety and Compliance and Infrastructure Operations is made.





Other savings were identified in Programme 04 Transport Regulations under Departmental Agency and accounts amounting to R 258,000.00. A request for a virement was made for R 258,000.00 to Infrastructure Operations under Goods and Service.

Savings identified under Provincial Secretariat for Police under Household for R 375,000.00 vired to Administration under Financial Management Goods and Services.

- No **unauthorised expenditure incurred for the year**
- **Fruitless and wasteful expenditure** amounts to R1,174,320.23, and is for interest on overdue accounts. All cases have been referred to the internal advisory committee to deal with in line with policies.
- **Future plans of the department**  
None
- **Public Private Partnerships**
  - None
- **Discontinued activities / activities to be discontinued**
  - None
- **New or proposed activities**
  - None
- **Supply chain management**
  - List all unsolicited bid proposals concluded for the year under review  
  
There is no unsolicited bid concluded for the year
  - SCM processes and systems are in place to prevent irregular expenditure  
The Department has introduced and implemented an SCM checklist for procurement of goods and services for verification of compliance prior to procurement of goods and services. There are also policies in place to guide procurement of goods and services and to provide guidance in avoiding this kind of expenditure.
  - Challenges experienced in SCM and how they were resolved.





Capacity challenges in Supply Chain Management. Deputy director posts (logistics and acquisitions) were vacant in the last quarter of the financial year. This has put a strain on the performance of the unit.

- **Gifts and Donations received in kind from non-related parties**
  - None
  
- **Exemptions and deviations received from the National Treasury**
  - **Exemption**
  - 2.(1) Subject to subparagraph (2), the relevant functionary or institution is exempted, for the 2019/2020 financial year, from complying with the deadlines in— (a) sections 8(1)(b), 8(5), 19(1)(b), 19(5), 40(1)(c), 40(1)(d), 55(1)(c), 55(1)(d) and 65(2) of the Act; (b) a regulation made or instruction issued in terms of section 76 of the Act which is associated with the provisions referred to in paragraph (a). (2) The relevant functionary or institution must comply with a provision referred to in subparagraph (1) within one month after the deadline in the applicable provision. (3) If the lock-down regarding the movement of persons and goods as a result of the national state of disaster is extended, the relevant functionary or institution must comply with a provision referred to in subparagraph (1) within two months after the deadline in the applicable provision.
  
- **Events after the reporting date**
  - The extension of the Lockdown due to the COVID-19, leading to extension period on submission of financial statements and the annual report
  - The North West Transport Investment (NTI), which is the entity reporting to the Department, was released from provisional liquidation in May 2020.
  - An estimate of the financial effect of the subsequent non-adjusting events or a statement that such an estimate cannot be made.
  - No financial implications.





- **Acknowledgement/s or Appreciation**

The Department would like to thank the MEC for the political will, efforts and unconditional guidance in driving the Department as the Executive Authority. The Department also appreciate the staff and management's contribution during the year under review. Their continued dedication, commitment and support is valued. Much appreciate and thanks go to all staff ensuring that audit action plan is implemented and progress managed through audit steering committee meetings. The commitment and hard work have not been unnoticed.

**Conclusion**

- In March just as we were concluding the year the Country was struck by Corona Virus which took the country by storm. The President announced the National Disaster and put the entire country on stage five lockdown which brought the economics of the country to a standstill, it was so unprecedented, and all budgets had to be reviewed to accommodate expenses to fight Corona virus/ COVID 19. All strategic plans had to stop. Five hundred billion was required for the country to be able to deal with issues of COVID 19.
- The country had to deal with working from home, most people had to stay home to be safe and avoid the spread of the virus. Each Province had to establish a Provincial Command Council headed by the Premier and these reported the National Command Council which constituted of ministers and scientists and headed by the President.

**Approval and sign off**

**Ms. Botlhale Mofokeng**  
**Head of Department**  
**Department of Community Safety and Transport Management**  
**Date: 31 July 2020**

**Mr. Mathabatha Mokonyama**  
**Accounting Officer**  
**Administrator in terms of Section 100 (1) (b) of the Constitution of South Africa**  
**Date: 31 July 2020**





## **5. STATEMENT OF RESPONSIBILITY AND CONFIRMATION OF ACCURACY FOR THE ANNUAL REPORT**

To the best of my knowledge and belief, I confirm the following:

All information and amounts disclosed throughout the annual report are consistent.

The annual report is complete, accurate and is free from any omissions.

The annual report has been prepared in accordance with the guidelines on the annual report as issued by National Treasury.

The Annual Financial Statements (Part E) have been prepared in accordance with the modified cash standard and the relevant frameworks and guidelines issued by the National Treasury.

The Accounting Officer is responsible for the preparation of the annual financial statements and for the judgements made in this information.

The Accounting Officer is responsible for establishing, and implementing a system of internal control that has been designed to provide reasonable assurance as to the integrity and reliability of the performance information, the human resources information and the annual financial statements.

The external auditors are engaged to express an independent opinion on the annual financial statements.

In my opinion, the annual report fairly reflects the operations, the performance information, the human resources information and the financial affairs of the department for the financial year ended 31 March 2020.

Yours faithfully

**Ms. Botlhale Mofokeng**  
**Head of Department**  
**Department of Community Safety and Transport Management**  
**Date: 31 July 2020**

**Mr. Mathabatha Mokonyama**  
**Accounting Officer**  
**Administrator in terms of Section 100 (1) (b) of the Constitution of South Africa**  
**Date: 31 July 2020**





## **6. STRATEGIC OVERVIEW**

### **6.1 Vision**

Safe Communities and effective transport services

### **6.2 Mission**

We are committed to promote community and road safety, exercise civilian oversight of police and coordinate transport services through an integrated approach.

### **6.3 Values**

Each employee is committed to an I IMPACCT culture that explains our behavior as follows:

- **I**ntegrity
- **I**nnovative
- **M**otivated
- **P**assion
- **A**ccountability
- **C**lient focused
- **C**ommitment
- **T**eam work







## 7. LEGISLATIVE AND OTHER MANDATES

Constitutional Mandate	Description
<p>The Constitution of South Africa, 1996. Act No. 108 of 1996</p>	<p>To ensure that the provincial governments are responsible for public transport and traffic management. Section 206 (3) of the constitution provides for the Provincial Government to do the following:</p> <ul style="list-style-type: none"> <li>• To monitor police conduct ;</li> <li>• To oversee the effectiveness and efficiency of the police service regarding visible policing;</li> <li>• To assess efficiency of visible policing;</li> <li>• To promote good relations between the police and community;</li> <li>• To liaise with national structures on crime and policing;</li> <li>• To promote democratic accountability and transparency in the SAPS;</li> </ul> <p>In terms of schedule 4 and 5 of the constitution legislative competency.</p>





### Legislative Mandates

Act	Brief Description
North West Province Road Traffic Act, 11 of 1997	<ul style="list-style-type: none"> <li>To consolidate and amend the Provisions relating to road traffic applicable in the North West Province and to provide for matters incidental thereto.</li> </ul>
Public Finance Management Act, 1999. Act No.1 of 1999	<ul style="list-style-type: none"> <li>To regulate financial management in the national and provincial governments and to ensure that government resources are managed efficiently and effectively.</li> </ul>
Public Service Act, 1994 as amended (Proclamation No 103 of 1994)	<ul style="list-style-type: none"> <li>To provide for the organisation and administration of public services, the regulation of the conditions of employment, terms of office, discipline, retirement and discharge of members of the public service and matters Connected therewith.</li> </ul>
The South African Police Service Act, 1995 (Act 68 of 1995)	<ul style="list-style-type: none"> <li>To provide for Provincial Government to perform the following duties:                             <ul style="list-style-type: none"> <li>✓ Provide advice;</li> <li>✓ Ensure civilian oversight of the South African Police Service;</li> <li>✓ Provide democratic accountability and transparency in SAPS;</li> <li>✓ Provide a legal advisory service;</li> <li>✓ Provide a communication and administrative support;</li> <li>✓ Monitor the implementation of policy of the SAPS;</li> <li>✓ Conduct research and evaluate the functioning of the SAPS and report thereon.</li> </ul> </li> </ul>
The National Land Transport Act 5 of 2009	<ul style="list-style-type: none"> <li>To provide for the transformation and restructuring of the national land transportation system and to provide for incidental matters thereto</li> </ul>
The National Road Traffic Act 93 of 1996	<ul style="list-style-type: none"> <li>To provide for road traffic management throughout the Republic and for matters connected therewith.</li> </ul>





<b>Act</b>	<b>Brief Description</b>
The National Road Traffic Act 29 of 1989	<ul style="list-style-type: none"> <li>To consolidate and amend the laws relating to the registration and licensing of motor vehicles and other vehicles and the drivers thereof, and the regulation of traffic on public roads and to provide for certain requirements of fitness, and for matters incidental thereto</li> </ul>
The Road Traffic Management Corporation Act 20 of 1999	<ul style="list-style-type: none"> <li>To provide for co-operative and co-ordinated strategic planning, regulation, facilitation and law enforcement in respect of road traffic matters by the national, provincial and local spheres of government</li> <li>To regulate the contracting out of road traffic services and to provide for the phasing in of private investment in road traffic.</li> <li>To provide for the establishment of the Road Traffic Management Corporation and to provide for matters connected therewith</li> </ul>
The Cross Border Transport Act 4 of 1998	<ul style="list-style-type: none"> <li>To provide for co-operative and co-ordinated provision of advice, regulation, facilitation and law enforcement in Respect of cross-border road transport by the public and private sectors.</li> </ul>
The Administrative of Adjudication of Road Traffic Offences Amendment Act 22 of 1999	<ul style="list-style-type: none"> <li>To regulate the execution of warrants, makes provision for the service of documents, regulates the apportionment of penalties, repeals section 6 of the Finance and Financial Adjustments Consolidation Act, 1977 and provide for incidental matters.</li> </ul>
The Administrative of Adjudication of Road Traffic Offences Act 46 of 1998	<ul style="list-style-type: none"> <li>To provide for the promotion of road traffic quality by providing for a scheme to discourage road traffic contraventions, facilitate the adjudication of road traffic infringements, support the prosecution of offences in terms of the national and provincial laws relating to road traffic and implement a demerit system.</li> </ul>





<b>Act</b>	<b>Brief Description</b>
	<ul style="list-style-type: none"> <li>• Provide for the establishment of an agency to administer the scheme, provide for the establishment of a board to represent the agency and to provide for matters connected therewith.</li> </ul>
National Road Safety Act 9 of 1972	<ul style="list-style-type: none"> <li>• To provide for the promotion of road safety, the repeal of the South African Road Safety Council Act, 1960, and to provide for matters connected therewith.</li> </ul>
Civilian Secretariat for Police Service Act(Act 2 of 2011)	<ul style="list-style-type: none"> <li>• To provide for the establishment of a Civilian Secretariat for the Police Service in the Republic,</li> <li>• Define the objects, functions and powers of the Civilian Secretariat and for this purpose to align the operations of the Civilian Secretariat in the national and provincial spheres of government and reorganise the Civilian Secretariat into an effective and efficient organ of state.</li> <li>• Provide for co-operation between the Civilian Secretariat and the Independent Police Investigative Directorate,</li> <li>• Provide for co-operation between the Civilian Secretariat and the South African Police Service,</li> <li>• Provides for intervention into the affairs of Provincial Secretariats by the Civilian Secretariat and to provide for matters connected therewith.</li> </ul>





### Policy Mandate

Policy	Description
National Development Plan	<ul style="list-style-type: none"> <li>• This national plan seeks to eliminate poverty and reduce inequality by 2030.</li> <li>• This plan has been adopted by the Department with specific reference to Chapter 4: Economic Infrastructure as it relates to Transport and Chapter 12: Building safer communities as it relates to Community Safety.</li> </ul>
National Crime Prevention Strategy	<ul style="list-style-type: none"> <li>• The objective of the National Crime Prevention Strategy is to support and obtain buy-in from different government Departments and requires participation and engagement of role players aiming to reduce crime through the Criminal Justice Pillar, environmental design, public education and information and programmes aimed at cross border controls.</li> </ul>
National Policy on the establishment of CSFs	<ul style="list-style-type: none"> <li>• This national policy promotes the development of a community where citizens live in a safe environment and have access to high quality services at local level, through integrated and coordinated multi-agency collaboration among organs of state and various communities.</li> <li>• CSFs are based on the premise that increased cooperation and interaction would improve the functioning of the criminal justice system and the delivery of crime prevention projects and provide a means for sharing information and coordinating an inter-disciplinary approach to crime prevention.</li> </ul>
The White Paper on Safety and Security, 1999	<ul style="list-style-type: none"> <li>• The White Paper provides for Provincial Governments to:               <ul style="list-style-type: none"> <li>✓ Initiate and co-coordinate social crime prevention programmes;</li> <li>✓ Mobilise resources for social crime prevention programmes;</li> <li>✓ Co-ordinate a range of provincial functions in order to achieve more effective crime prevention;</li> </ul> </li> </ul>





Policy	Description
	<ul style="list-style-type: none"> <li>✓ Evaluate and support the social crime prevention programmes at local Government level.</li> </ul>
(TR H 11): Abnormal load Escorting Framework	<ul style="list-style-type: none"> <li>• This policy provides for the escort and management</li> </ul>
National Land Transport Act 5 of 2009, Amendment Bill	<ul style="list-style-type: none"> <li>• To provide for the transformation and restructuring of the national land transportation system and to provide for incidental matters thereto</li> </ul>
Firearms Control Act	<ul style="list-style-type: none"> <li>• To establish a comprehensive and effective system of fire arms control and to provide for matters connected therewith.</li> </ul>
Animal Movement and Animal Products Bill	<ul style="list-style-type: none"> <li>• According to National Animal Pound of South Africa 2010;</li> <li>✓ The owner of the animal must exercise control over his or her animals so as to avoid damage to another person's property.</li> <li>✓ 2. The owner of the animal or person in charge of the animal is liable for the damage caused by that animal.</li> </ul>
NLTA amendment Bill	<ul style="list-style-type: none"> <li>• To provide for the transformation and restructuring of the national land transportation system and to provide for incidental matters thereto</li> </ul>
White Paper on National Transport Policy, 1996	<ul style="list-style-type: none"> <li>• The key transport policy document in South Africa and guides all transport legislation and planning.</li> </ul>
Transport Appeal Tribunal Act 39 Amendment	<ul style="list-style-type: none"> <li>• To hear appeals emanating from the decision made by the PRE and Cross National Public Transport regulator</li> </ul>





The following legislative and other policy mandates currently under review and have direct impact to the service delivery of the Department:

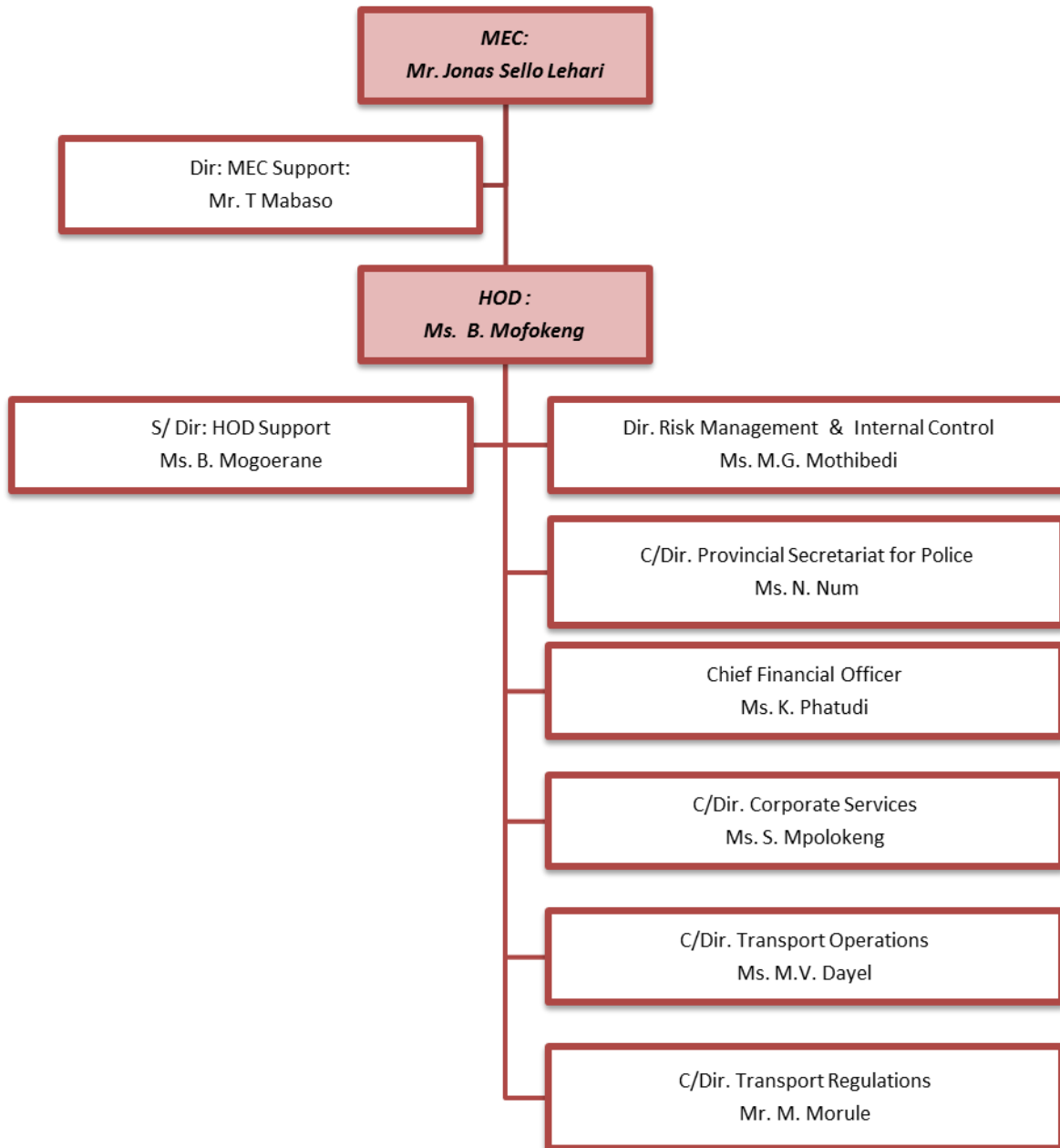
- Critical Infrastructure Protection Bill
- E-Policing Policy
- The Firearms Control Act
- The Independent Police Investigative Directorate (IPID) Act
- Animal Movement and Animal Products Bill
- All relevant HRM, ICT Special Programme, Communication Policies are under review to accommodate COVID 19 interventions and response of the Department.
- The South African Police Service Amendment Bill
- The CSF (Community Safety Forum) Policy
- The CPF (Community Police Forum) Policy
- NLTA amendment Bill
- White Paper on National Transport Policy, 1996
- Transport Appeal Tribunal Act 39 Amendment







## 8. ORGANISATIONAL STRUCTURE





## 9. ENTITIES REPORTING TO THE MEC

The North West Transport Investment (NTI) is under control of the Department of Community Safety and Transport Management as its public entity and for the year under review no financial transactions have been undertaken.

Name of Entity	Legislative Mandate	Financial Relationship	Nature of Operations
North West Transport Investment (Pty) Ltd	PFMA 1999, Companies Act, 2008	Schedule 3 D public entity in terms of the PFMA, 1999 (Act No. 1 of 1999).	<ul style="list-style-type: none"> <li>• To provide the public with affordable, reliable, and sustainable bus transport services, to grow the organizational capacity as well as to maintain and grow the shareholder's capital invested in the company</li> <li>• To carry out the function of Scholar transport and other related services.</li> <li>• To look after investments of the Provincial Department in it, and its subsidiaries and grow it, unless specifically authorized to reduce and/or dilute it.</li> <li>• To minimize financial exposure of the North West Provincial Government and ensure that its subsidiaries are self-sustainable</li> </ul>

The table below indicates the entities that report to the MEC.



## PART B: PERFORMANCE INFORMATION





## **1. AUDITOR GENERAL'S REPORT: PREDETERMINED OBJECTIVES**

The AGSA currently performs certain audit procedures on the performance information to provide reasonable assurance in the form of an audit conclusion. The audit conclusion on the performance against predetermined objectives is included in the report to management, with material findings being reported under the Predetermined Objectives heading in the Report on other legal and regulatory requirements section of the auditor's report.

Refer to page 226 of the Report of the Auditor General, published as Part E: Financial Information.

## **2. OVERVIEW OF DEPARTMENTAL PERFORMANCE**

### **2.1 Service Delivery Environment**

#### **ADMINISTRATION**

By the end of the financial year the Department staff complement is 2115, and of this permanent staff are 1429 employees and 686 contract employees the females. There is a total of 24 SMS members of these are men 14, and female 10 and, percentage representation if 56%, and 46% respectively.

The department has a total of 30 people with disability 15, females 15, males, this represents 2,09% of the staff compliment which is 1429.

The Departmental Organisational structure has been approved, and it included a district model which will provide its services at coal faces where it is required the most. This will also facilitate engagements with stakeholders quickly as required including enhancement of intergovernmental relations.

The Department still operates under administration for the second year, with the responsibilities of the accounting officer performed by the Administrator from the National Department of Transport. This situation has a number of positive spinoffs, including assisting, including playing a pivotal role with the saving of NTI from liquidation, and the condonement of long outstanding irregular expenditure that was from previous reconfiguration of the departments.

The department managed to recruit 100 unemployed young people from the four



districts including from flanked rural areas for a 12 months Road Traffic Management Diploma learnership at Mpumalanga Traffic Management College. Of these, 97 passed the training and the Department has appointed them at entry level of traffic officer, this changed the economic situation of these newly appointed traffic officers. This will have a good impact on the roads and increase visibility of Traffic officers in the roads.

Following the declaration of the State of disaster by the President, lockdown on the movement of people from 26 March midnight was implemented. The country had to deal with working from home, most people had to stay home to be safe and avoid the spread of the virus. Each province had to establish a Provincial Command Council headed by the Premier, reports to the National Command Council which constituted of ministers and scientists and headed by the President.

## **PROVINCIAL SECRETARIAT FOR POLICE SERVICE**

The Department continued to operate under Section 100 (1)(B) for the year under review. Some of the identified intervention areas have not been finalized and are still outstanding. Oversight over the SAPS improved during the year, recording an increase in the total recommendations implemented by the SAPS. These recommendations aim at improving services and relations between the SAPS and communities and protecting the SAPS officials by ensuring that they carry out their work in a professional and ethical conduct.

In all these achievements recorded, more effort will be put in coordinating stakeholders, particularly Municipalities to play a greater role in community safety, strengthen governance system to improve the Department and the SAPS relationship.

With regard to performance, most of the planned activities were performed with minimal challenges.

The Provincial Secretariat performed satisfactorily during the year, with a slight decline during the year under review, compared to previous years performance. The areas of underperformance did not have significant impact on services provided to communities. The conditions and environment within which programmes were carried out changed significantly, particularly during the last quarter of the year. The following new areas of implementation provided sufficient data to ensure that planning is improved towards greater achievement into the future:

- Court Watch brief project: valuable lessons were learned during the year owing to the integration and collaboration with the Justice Department. The project is new and has taught the Department that, joint planning, recognising the inherent system requirements are key aspects as we move towards greater achievement. Despite the challenges in engagement in the beginning, greater effort by the participants was



displayed at the end, accounting for the recorded achievement.

- CPF's Annual General Meetings: The Community Policing Forums recorded a bit of a struggle throughout the year. Intensive planning and review of CPF Policies, seeking to align and improve the conditions of operations of the sector was of great assistance.

Safety Promotion, Crime Prevention programmes were carried out with diligence and impacted on the lives of those vulnerable in our society. These included increased number of pupils and communities reached through awareness programmes about safety, the installation of safety gadgets to deserving households linked to Police Station, a measure to improve safety of individuals.

The targeted NPIs were not all funded due to insufficient budget in view of priority given to problematic areas in compliance with the community policing strategy to address crime. The establishment of CSFs remain a challenge due to lack of readiness by Municipalities and political instability that reigned throughout the year. Consultations held did not bear any fruit except for Ditsobotla Local Municipality which obtained a Council Resolution to establish the CSF. That was not achieved due to the emergence of Covid 19 pandemic.

## **TRANSPORT OPERATIONS**

Despite the support afforded by the department, municipalities are still lacking behind thus prolonging the improvement of transport services in both rural and urban areas. The finalisation of Local Integrated for Kagisano Molopo local municipality is still a challenge due to instability in the area. The implementation of the plans is also a challenge because projects are not budgeted for both at provincial and municipal level.

The department finalised verification all routes and kilometres travelled by learner transport service providers. All service providers were paid as per the verified kilometres. The review and setting aside of the learner transport five year contract by the high court gave the department an opportunity to come up with an affordable remuneration model which we implemented in January 2020.

The biggest impediment throughout the years and including the one under review has been the insufficient allocation of funds for this sub-program which year on year leads to inability to pay claims up to the last month of the financial year and creates unnecessary accruals.

## **TRANSPORT REGULATION**

The Transport Regulation Program has implemented its mandate as espoused in the relevant pieces of legislations, including the National Road Traffic Management Act and National Land Transport Act. Various awareness initiatives such as road safety debates, National Land Transport Act no 05 of 2009, primarily aiming at promoting safety of all the road users across the province. Operating Licenses have been issued to the deserving





public transport operators in order to offset high demand, particularly in the far flung areas.

Despite this resounding success, the program had encountered several challenges which have adverse effect on timeous service delivery. These ranges from illegal operators, lack of taxi subsidy, illegal community protests, fraudulent operating licences etc. The program through the Provincial Regulatory Entity has embarked on awareness initiatives to stakeholders about the regulations governing the sector.

## 2.2 Service Delivery Improvement Plan

The department has completed a service delivery improvement plan. The tables below highlight the service delivery plan and the achievements to date.

The department has completed a service delivery improvement plan. The tables below highlight the service delivery plan and the achievements to date.

NB: Should be noted that the Annual SDIP Report is not yet compiled, therefore actual achievement for last quarter is estimation.

### *Main services and standards*

Main services	Beneficiaries	Current/actual standard of service	Desired standard of service	Actual achievement
Issuing of Learners & Drivers License	Needy communities	3902 Drivers License & 6259 Learners Drivers License	5000 Drivers License & 5000 Learners Drivers License	5000 Drivers License & 5000 Learners Drivers License
Provision of Learner Transport to learners who resides in farms and deep rural areas travelling 5km to the nearest school.	Learners living in deep rural and farm areas(number of Learners provided with transport)	42 421 Learners provided with transport living in deep rural	57000	52 687







*Batho Pele arrangements with beneficiaries (Consultation access etc.)*

<b>Current/actual arrangements</b>	<b>Desired arrangements</b>	<b>Actual achievements</b>
Consulted with customers in our Provincial DLTCs and RAs (Mogwase, Madikwe, Ganyesa, Lehurutshe & Molopo) and Operator Licence and Permit Offices (Mafikeng, Vryburg, Potchefstroom, Rustenburg and Brits) from the 04 <sup>th</sup> -25 <sup>th</sup> March 2020. The target was to consult with 600 customers for 2019/20.	Aim at consulting with 750 customers for 2020/21	287
Conduct Change Management workshops monthly with the aim of encouraging employees on service delivery improvement and Batho Pele principles.	To continue with workshops on Change Management and Batho Pele.	Conducted 170 Employees and SDIP Forum members.

*Service delivery information tool*

<b>Current/actual information tools</b>	<b>Desired information tools</b>	<b>Actual achievements</b>
The Department is consulting with customers with unannounced visits and outreach programmes.	To ensure that service points charters are finalised and published at different service points with information to customers.	5 Service charters for Operator & License Permit, 5 for DLTCs and 6 for RAs have been finalised and was to be printed in the last quarter.





*Complaints mechanism*

<b>Current/actual complaints mechanism</b>	<b>Desired complaints mechanism</b>	<b>Actual achievements</b>
Customer satisfaction survey forms circulated to DLTC's, RAs and Operator Licence and Permit Offices. Complaints also received through the Departmental call centre.	To consolidate complaints from call centre, Helpdesk and from completed customer satisfaction survey forms quarterly.	287

### **2.3 Organisational Environment**

The Department still operates under administration for the second year, with the responsibilities of the accounting officer performed by the Administrator from the National Department of Transport. This situation has resulted in a number of positive spinoffs, including addressing, irregular expenditure from prior years. Saving NTI from liquidation, and assisting it to find its footing.

The Department Organisational structure has been approved including district model which provides services at coal faces where it is required most. This facilitates engagements with stakeholders including enhancement of intergovernmental relations.

The Department recruited 100 unemployed youth from the four districts including from rural areas for a 12 months period. Ninety seven learners (97) successfully completed training.

Department has subsequently appointed them at entry level of traffic officer. This has changed the economic situation of these newly appointed traffic officers. More importantly there will be increased visibility of Traffic officers on the roads.

The Department also appointed 428 unemployed youth and women as community patrollers for a period of 12months.

By the end of the financial year, the Departmental staff complement of employees the females are two thousand and forty one (2041) including temporary employees. There is a total of 26 SMS members of these 52% are men and 48%. The vacant SMS post will be filled with a female in an attempt to reach the 50% in line with the policy will be continually pursued.

During this financial year we did not manage to fill all vacant funded posts, and this has a negative impact to the effectiveness of the department in fulfilling its mandate effectively.





## 2.4 Key policy developments and legislative changes

None

### 3 STRATEGIC OUTCOME ORIENTED GOALS

Each department should state the strategic outcome oriented goals as per the Strategic Plan and the progress made towards the achievement of the 5 year targets. The department must highlight significant achievements with regard to the 12 outcomes announced by the Department of Performance Monitoring and Evaluation. This is only applicable to departments that directly contribute to the achievement of one or more of the 12 outcomes. A department that does not directly contribute to any of the 12 outcomes must highlight significant achievements with regard to its own outcome(s). The department must also highlight significant achievements with regard to the National Development Plan (NDP).

**Strategic Outcome Oriented Goal 1:** To provide an enabling environment that is conducive for optimum for service delivery.

#### **HIGH LEVEL / SIGNIFICANT ACHIEVEMENTS:**

The approval of the Departmental organisational structure for the first time after operating on interim structure for a number of years. The Structure has district models that will ensure that services are taken to the coalface where they are needed most.

The department managed to recruit 100 unemployed from the four districts including from flanked rural areas for a 12 months Road Traffic Management Diploma learnership at Mpumalanga Traffic Management College. Of these 97 passed the training and the Department has appointed them at entry level of traffic officer, this changed the economic situation of these newly appointed traffic officers. This will have a good impact on the roads and increase visibility of Traffic officers in the roads.

The Department appointed 428 unemployed youth and women as community patrollers for a period of 12 months.

**Strategic Outcome Oriented Goal 2:** Communities are and feel safe.

#### **HIGH LEVEL / SIGNIFICANT ACHIEVEMENTS:**

Section 100 progress in terms of intervention areas reported last year

-Process towards the establishment of the Provincial Secretariat for Police Service in line with the Act commenced and is on course.





- Finalised job grading for affected posts, what is outstanding is implementation of findings.
- Facilitated the implementation of the PJCPs model through the National Development Committee.
- Training and development of employees specific to sector requirements.
- Restoring the relationship between SAPS and the Provincial Secretariat in line with CSPA Act (2011).

In giving expression to the mandate of the National Development Plan, the Department strengthened its oversight role over the SAPS, coordinated social crime prevention programmes and mobilised communities in the fight against crime. This was achieved through strengthening and supporting community based structures in ensuring promotion of relations between police and communities.

The Department achieved its planned activities which included; Monitoring SAPS implementation to IPID and Departmental Recommendations through announced and unannounced visits to police stations, attending to service delivery related complaints from communities against the SAPS and conducting research projects which will assist in policing policy development.

About eighty two (82) CPFs were assessed on functionality. This period coincided with the end of term for office bearers and elections of new executive committees were conducted. The Department together with SAPS conducted induction workshops for all newly elected members to capacitate them to perform their mandate.

Challenges were experienced in the establishment and resuscitation of CSFs due to political instability and lack of readiness by most Municipalities. Although engagements were held they did not come to fruition except for Ditsobotla which obtained a Council Resolution to establish.

In enhancing police efforts to fight crime the Department appointed a total of 426 community safety patrollers in the 4 Districts under the Expanded Public Works Programme. The programme is bearing positive impact as force multipliers in problematic areas as well as improving visibility. There was a significant reduction of crime reported where patrollers were deployed.

Despite the insufficient budget allocated for crime prevention programmes in relation to funding of NPIs, the Department managed to fund only 32 out of 40. Priority was given to problematic areas however it was still insufficient as the demand was greater than the available funds.





**Strategic Outcome Oriented Goal 3:** To ensure a compliant, accessible, affordable, safe and integrated transport system

**HIGH LEVEL / SIGNIFICANT ACHIEVEMENTS:**

The department is devoted to contributing towards the realisation of the policy imperatives as outlined in the NDP through the development of Integrated Transport Plans for improving spatial planning for integrated development and increasing access to quality basic infrastructure and services in rural areas. The department continued to provide subsidised commuter transport in the three district municipalities and learner transport throughout the entire province. Finalisation of the verification of all learner transport routes was finally done and led to payment of operators using the verified kilometres.

The 2016 learner transport tender was set aside by the High Court in Mahikeng that allows the Department to appoint operators on a month on month basis whilst awaiting appointment on new tender by the 01 November 2020.

Treasury through its second adjustment managed to appropriate more funds for the payment of outstanding claims of learner transport operators which was availed on the 19<sup>th</sup> March 2020. The Department managed to pay until December 2019.

Subsidised commuter contracts are extended to ensure continued public transport to our rural communities. PTOG Contracts have been paid until March 2020 in line with the Grant requirements.

The department commenced with the upgrading of infrastructure at the two provincial airports as an effort to improving compliance with SACAA regulations and make them fully operational. These efforts will ultimately contribute towards sustainable modal integration.

The department also contributed in the implementation of the National Rural Transport Strategy by promoting the use of non-motorised transport. The department distributed two hundred and ninety four (294) bicycles and fifteen (15) animal drawn carts to needy communities particularly in rural areas.

**Strategic Outcome Oriented Goal 4:** To promote road safety through the provision of road traffic management services

**HIGH LEVEL / SIGNIFICANT ACHIEVEMENTS:**

Transport Regulation program has maximised awareness among the road users by embarking on qualitative road safety initiatives. This program has mobilised scholars from various areas in the province who competed by initiating various approaches that will reduce the scourge of road fatalities and accidents. The scholars continue to demonstrate enormous interest in promoting safety and new approaches.





The Provincial Regulatory Entity (PRE) has been appointed in accordance with the National Land Transport Act no 05 of 2009, for a period of 5 years. Public Transport Sector which includes buses and minibuses has been largely stabilised by managing and adjudicating more than 2000 operating licenses to the deserving operators in the province.

Law enforcement unit has played a significant role in combating lawlessness amongst road users by enforcing adherence to regulations and legislations in all districts. The most daunting task has been around Brits area, however, the Transport Regulation has adopted multi-sectoral approach in combating lawlessness in Bojanala, particularly Brits. This approach (multi-sectoral) consists of South African Police Service, Road Traffic Management Corporation (RTMC), Provincial Traffic Officers, Municipal Traffic Officers and Taxi Councils.





## 4 PERFORMANCE INFORMATION BY PROGRAMME

### 4.1 Programme 1: ADMINISTRATION

#### **Purpose of the Programme**

To provide the Department with the overall management and administrative, strategic, financial and corporate support services in order to ensure that it delivers on its mandate in an integrated, efficient, effective and sustainable manner.

#### **Strategic objective**

<b>Sub-Programmes</b>	<b>Strategic Objective of sub-programmes</b>
<b>1.1 Office of the MEC</b>	To improve good governance in the organisation
<b>1.2 Office of the HOD</b>	
<b>1.3 Financial Management</b>	
<b>1.4 Corporate Services</b>	
<b>1.5 Legal</b>	
<b>1.6 Security</b>	

***Strategic objectives, performance indicators, planned targets and actual achievements***





**Strategic objectives:**

<b>Programme Name: Administration</b>						
<b>Strategic objective</b>	<b>Strategic objective Indicator</b>	<b>Actual Achievement 2018/2019</b>	<b>Planned Target 2019/2020</b>	<b>Actual Achievement 2019/2020</b>	<b>Deviation from planned target to Actual Achievement for 2019/2020</b>	<b>Comment on deviations</b>
To improve good governance in the organisation	Attain an Unqualified audit by 2020	Qualified audit report	Unqualified Audit report	Qualified Audit Report	Unqualified Audit report	Evidence that support payments made for scholar transport and commuter bus services provided was not sufficient to provide assurance that services were indeed rendered prepayment made not correctly recognised

**Provide Reasons for deviation**







Performance Indicators

<b>Programme 1: Administration</b>							
<b>Performance Indicator</b>	<b>Actual Achievement 2016/2017</b>	<b>Actual Achievement 2017/2018</b>	<b>Actual Achievement 2018/2019</b>	<b>Planned Target 2019/2020</b>	<b>Actual Achievement 2019/2020</b>	<b>Deviation from planned target to Actual Achievement 2019/2020</b>	<b>Comment on deviations</b>
Number of Annual Reports (AR) compiled	1	N/A	N/A	1	1	0	N/A
Number of reports compiled on the implementation of the Training Interventions	N/A	N/A	N/A	4	3	-1	Performance Indicator introduced during APP re-tabling, July 2020. Subsequently reporting commenced in the second quarter
Number of Financial Statements submitted within the prescribed period	4	N/A	N/A	4	4	0	N/A
Percentage of	N/A	N/A	N/A	100%	79%	21%	Due to budget





.invoices paid within 30 days							constraints, the department could not settle invoices on time. This especially on the settlement of learner transport services claims.
Number of oversight reports compiled on performance of the NTI	N/A	1	4	<b>4</b>	<b>1</b>	<b>-3</b>	The NTI was under provisional liquidation. The Board's powers taken away. This resulted in instability in top leadership at the entity.

***Strategy to overcome areas of under performance***

- Continued compliance to annual reporting timeframes as per the new Public Audit Act.
- The Budget for 2020/21 has been reprioritised and increased. Revised formula will assist in reducing the cost of the service. Appointment of learner transport service providers will be done within the allocated budget.
- After the provisional liquidation order has been lifted, the operations of the NTI will return to normal, whereby prescribed reports will be submitted by the Board.





**Changes to planned targets**

Sub-programme: Corporate Services

Performance Indicator discontinued during APP re-tabling (July 2020) and relegated to the Departmental Operational Plan: *Number of progress reports on the implementation SDIP submitted*

Performance Indicator introduced during APP re-tabling (in July 2020) : *Number of reports compiled on the implementation of the training interventions*





**Linking performance with budgets**

**Sub-programme expenditure**

Sub- Programme: Financial Management	2018/19			2019/20		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
<b>Current payments</b>	167 188	166 230	958	205 958	206 819	(861)
<b>Transfers and subsidies</b>	264	263	1	803	699	104
<b>Payments for capital assets</b>	2 123	2 123	-	1 889	1 128	761
<b>Payment for financial assets</b>	-	-	-	-	-	-
<b>Total</b>	<b>169 575</b>	<b>168 616</b>	<b>959</b>	<b>208 650</b>	<b>208 646</b>	<b>4</b>

The sub-programme has spent 99.99% of the budget as compared to 99.4% in the previous financial year. There is an improvement of 0.59% in performance. The cost drivers under the sub-programme are the Operating leases, Auditing costs and the Administrative fees.





Sub- Programme: Corporate Services	2018/19			2019/20		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
<b>Current payments</b>	74 222	73 059	1 163	77 753	77 752	1
<b>Transfers and subsidies</b>	462	291	171	2 715	2 715	0
<b>Payments for capital assets</b>	645	152	493	1 671	1 669	2
<b>Payment for financial assets</b>						
<b>Total</b>	<b>75 329</b>	<b>73 502</b>	<b>1 827</b>	<b>82 139</b>	<b>82 136</b>	<b>3</b>

For 2019/20 the expenditure has recorded 99.99% as compared to 97.57 in the 2018/19 financial year. The programme managed to implement all its planned activities .





Sub- Programme : Legal Services	2018/19			2019/20		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
<b>Current payments</b>	11 003	10 747	256	<b>10 148</b>	<b>10 147</b>	<b>1</b>
<b>Total</b>	<b>11 003</b>	<b>10 747</b>	<b>256</b>	<b>10 148</b>	<b>10 147</b>	<b>1</b>

For the 2019/20 financial year, 100% of the budget has been spent, as compared to 97.7% of the budget spent in the previous year. Major cost driver is the budget set aside to settle the contingent liabilities of the department.

Sub- Programme : Security Services	2018/19			2019/20		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
<b>Current payments</b>	36 218	36 067	151	41 197	41 197	0
<b>Total</b>	<b>36 218</b>	<b>36 067</b>	<b>151</b>	<b>41 197</b>	<b>41 197</b>	<b>0</b>

Total expenditure for the sub-programme for 2019/20 is 100%, as compared to the previous year which was 99.6%.





## 4.2 Programme 2: PROVINCIAL SECRETARIAT FOR POLICE SERVICE

### **Purpose of the Programme**

- To exercise oversight function with regard to South African Police Service in the Province, coordinate crime prevention initiatives and promote community police relations.

### **SUB –PROGRAMMES**

Sub-programmes	Strategic objectives of sub-programmes
2.2 Policy and Research	To conduct research that informs decision making on policing
2.3 Monitoring and Evaluation	To ensure SAPS provision of service is in line with statutory requirements
2.4 Safety Promotion	To ensure community participation in the fight against crime
2.5 Community Police Relations	

### ***Strategic objectives, performance indicators, planned targets and actual***

The Programme has for the year under review performed its planned activities in relation to monitoring and oversight of the SAPS, conducting research, coordinating crime prevention programmes and promoting good community police relations.

The activities were aimed at contributing towards the reduction of property related and contact crimes, in ensuring safer communities. The appointment of community safety patrollers in identified areas of concern also enhanced the police efforts in dealing with crime.

For the year under review, the Department undertook two (2) Research Projects that is:

Evaluation on the Implementation of the Criminal Law (Forensic Procedure) Amendment Act No 37 of 2013: A Case Study for the North West Province. The aim of the study was to establish whether implementation of the DNA Act could lead to



increased crime Detection; whether the Act was implemented as planned, SAPS Members were knowledgeable of the Act, whether Police Stations have buccal sample kits and If there was a relationship between SAPS, FCS Units and Forensic Science Laboratories. The findings of the study revealed that the importance of collecting buccal samples include inter alia, to link the suspect with the crime/offence, to assist in identifying missing or deceased persons, to assist in eliminating the person from the crime, to determine and group serial offenders by police station to police station or inter- provincial etc. At all visited police stations, detectives were workshopped on collecting samples and all seemed conversant with the procedures. The main challenge the members experienced in implementing the Act was the shortage of buccal sampling kits.

- The second study was the effectiveness of SAPS' Basic Police Development Learning Programme on a Professional Police: A Case study of the North West Province 2019/2020. The aim of the study was assess effectiveness of SAPS Basic Police Development Learning Programme in light of the complex policing environment. The findings of this study indicated that the respondents appreciated the effectiveness of SAPS' Basic Police Development Learning Programme on a professional police. The dynamic nature of policing requires police officers that are able to act rationally and professionally when conducting their duties. In order to produce an official that would be able to apply professional discretion, police BPDLP must be prioritised. However, BPDLP in itself was not sufficient as it needs to be supplemented by proper recruitment processes, the appointment of knowledgeable and professional trainers at training colleges and supervisors at police stations, impartment of both hard and soft skills that would enable officers to use professional discretion when dealing with citizens. In addition, officials and trainers must be exposed to continuous in-service training to keep abreast with new policing developments.

### **Monitoring and Oversight**

The Department is responsible for monitoring the South African Police Service and ensuring that there is compliance to Legislative Prescripts and an improved service delivery by the SAPS. To achieve this, several activities are planned and undertaking at Police Stations throughout the financial year. Section of Police





Stations is based on crime trends, complaints received from community members and recommendations made to the SAPS by the Department as a result of previous findings.

For the Financial year under review, the following activities were carried out successfully;

- The Department followed up on the implementation of Departmental Recommendations by the SAPS at twenty one (21) Police Stations was monitored through Compliance visits, which were carried out either announced or unannounced. The Compliance visits followed up of recommendations identified when implementing the National Monitoring (NMT) and the Domestic Violence Act (DVA) Tools. Key findings included poor allocation of human and physical resources including infrastructure challenges. Insufficient training of members on areas such as Domestic Violence Act (DVA), Sexual Offences Act and Victim Empowerment which has a direct impact on service delivery. And general compliance to record-keeping and completion of DVA registers.
- There were five hundred and eighty seven (587) recommendations which needed to be followed up; two hundred and fifty five (255) were those identified during the implementation of the NMT and three hundred and thirty two (322) were based on the implementation of the DVA Tool. On NMT and from the two hundred and fifty five (255) recommendations only fifty (50) recommendations were complied with. Whilst, on the DVA and from the three hundred and thirty two (332) recommendations; only one hundred and eighty seven (187) were implemented and one hundred and forty five (145) not implemented. In the overall on both the NMT and the DVA only two hundred and thirty seven (237) were positively implemented whilst three hundred and fifty (350) were not implemented by the SAPS; that is only 40.37% of the recommendations were implemented by the SAPS.
- Department had for the financial year under review, received from community members service delivery related complaints against the SAPS. Complaints received included; of poor feedback to victims of crime,





poor response to scenes of crime by the SAPS and poor investigations. A total number of forty five (45) complaints were received and handled by the Department.

- In ensuring that service delivery was not compromised at night or during weekends, the Department conducted Unannounced Visits at twenty (20) identified Police Stations. The visits targeted front line service delivery, and part of the issues taken into consideration included; available resources (personnel and physical resources), Victim Friendly Rooms and infrastructural challenges. Most of the minor challenges identified and deemed to be administrative; like incomplete completion of registers were discussed with Station Management and immediately corrected. However, other Police Stations were found with serious challenges which included shortage of resources, poor infrastructure and lack of and non-functional generators at Police Stations affecting the effectiveness of service delivery.
- The Department has for the year under review piloted the Court Watching Briefs (CWB) Project. The Project is aimed at promoting professional policing and reducing the number of service delivery complaints at courts due to SAPS inefficiencies, more especially focusing on issues contributing to withdrawal of cases by court. Even though the project was aimed to be implemented at fifteen (15) courts in the Province, only nine (09) courts were visited. The Project identified different reasons contributing to withdrawal of cases and can be summarised as follows: Failure on the part of the Investigating Officers to comply with instructions of the Prosecutor; Investigating Officers not ensuring timely delivery of case dockets to the Prosecutor as per the Guidelines, Witnesses not being subpoenaed in time or not at all and witness statements not obtained; Matters removed from the roll due to incomplete investigations and therefore impacting on the accused's rights to a speedy trial and; Police Officers summoned to appear at State witness proceedings absent themselves from the court proceedings due to their off day or any other frivolous reason.





- The Department is mandated to monitor the implementation of the Independent Police Investigative Directorate (IPID) Recommendations by the SAPS. Such recommendations are outcome of investigations into misconduct incidents alleged against Members of the SAPS. Incidents of misconducts included but not limited to; Attempted Murder, Assault Common, and Death in Police Custody, Rape by Police Official, Assault GBH and Death as a result of the Police conduct. Once recommendations are made, it is the responsibility of the Department to monitor the implementation of such by the SAPS. For the year under review the complaints received were dealt with as follows:

Financial Year	Recommendations Received		Initiated	Finalised	Outstanding
	Positive	Negative			
2019/2020	113	74	63	41	33

- The Department had for the year under review, carried out a Community Satisfaction Survey around the Province. The objective of the survey was to determine the perception regarding community satisfaction towards SAPS service delivery, obtain community members point of view with regard to the SAPS, any constraints impacting on SAPS performance and to identify key elements of enhancing service delivery. On the down side it is clear that community members still has deeply rooted negative perceptions towards the SAPS. Generally, some respondents appreciated the initiative to conduct the survey which gave them an opportunity to give their views and inputs for purposes of improved SAPS service delivery.
- The Department has a Constitutional mandate to receive and investigate complaints from members of the community in relation to services rendered by the South African Police Service (SAPS). However, the department established that most community members were not aware of the services provided by the Department; that prompted the





department into conducting Awareness Campaigns. The Campaigns are conducted to re-establish the relationship with Stakeholders, Chapter 9 Institutions and Criminal Justice Cluster, more especially those dealing with complaints against the SAPS. It also educate members of the community on services provided by the department, on procedures to be followed when reporting complaints against the SAPS and encourage/promote good working relationships with the SAPS with a view to prevent and reduce crime. For the period under review, Department interacted with community members, obtained their complaints and compliments against the SAPS.

### **Safety Promotion**

The Sub Programme achieved all its set targets as outlined in the Annual Performance Plan. Communities and Stakeholders were mobilised to implement integrated programmes in realisation of Outcome 3. The following activities were implemented for the year under review:

- Crime Prevention through Environmental Design(CPTED)

In partnership with relevant stakeholders and communities, activities to eliminate hotspots that are prone to criminal activities were conducted in identified areas. The hotspots were identified through crime trends and patterns and in each area a maximum of 100 volunteers conducted Debushing. A total of 7 Municipalities were reached and a total of 632 community members participated. Areas targeted were Dithakong village, Mmabatho, Boitekong, Mooinooi, Mogwase, Ventersdorp, Jouberton and Makwassie.

- Campaign against Gangsterism

A total of four(4)Community Dialogues against Gangsterism were successfully hosted in Amalia, Kanana, Ikageng and Montshioa.

- School safety Programme





Eighty (80) schools were reached through the school safety programme across the Province with each district accounted for. The awareness campaigns addressed issues of Gangsterism, Substance Abuse, Theft and Bullying. The Department participated in Moral Regeneration Programmes led by the office of the Premier on School safety, targeting Gangsterism in schools. Activities related to Sports against crime were implemented in the four Districts with a total of 16 schools participating in sporting codes like Soccer, Netball, etc. This was aimed at raising awareness on issues affecting learners but to further identify their abilities, skills and talents.

- Rural Safety: Anti-Property theft and Anti Dangerous Weapons Programme,

Awareness campaigns conducted particularly on community service delivery complaints received and investigated by the Department against the SAPS, particular to stock theft cases. These were carried out alongside the Stock Theft dialogues. Engagements with farmers coordinated in the four Districts as part of the towards highlighting the plight of farmers regarding the high levels of stock theft in the Province. The engagements were aimed at addressing challenges experienced by farmers through an integrated approach, which was inclusive of other key Government Departments and Civil Society. Regular feedback on raised matters will be provided by all affected Departments to these farming communities. Targeted areas included : Makapanstad (201 participants), Delareyville(251)Pudimoe(364) and Ventersdorp(234)

- Voluntarism

In enhancing the police efforts to fight crime, a total of 428 community safety patrollers were appointed in the priority areas in the four Districts. The programme contributes to reducing criminal opportunities at the same time enhancing livelihoods of those involved.

- Prevention of violence against vulnerable groups including Women, Children, Youth, People living with Disabilities, Elderly and LGBTIQ





A total of five(5) community engagements were held in Jouberton, Groot Marico, Stella, Madikwe and Khuma. This was aimed at engaging communities on issues related to Gender Based Violence and how a coordinated approach can assist in addressing it. Two peaceful marches were conducted in Rustenburg and Taung to highlight the plight of vulnerable groups. Further two awareness campaigns in Makgobistad and Mooifontein were conducted in relation to safety of the elderly.

- Campaign against Substance Abuse

Awareness campaigns facilitated to address Anti-Substance Abuse challenge in identified priority schools. A total of 2710 learners were reached across the four Districts in the Province and the following schools were visited : Pearson Institute College, Prestige Secondary, Rasimone Primary, Kgale Primary, Pudimoe Primary, Geysdorp Secondary, Sekate Boijane Mahura Secondary and Moteu Makabanyane Primary Schools.

### **Project Implementation**

Maintenance of CCTV Cameras :The project was withdrawn for the year under review and deferred to the next financial year.

### **Community Police Relations**

- Assessed the functionality of CPFs at the Police Stations, to which 83 were a planned target and 82 achieved. The reason for non-achievement was the oversight during planning in terms of targeting. Only 82 police stations have established CPFs over the years due to Mokopong being a border post station and as a result does not have a CPF. The outcome of the assessment indicates that 59 are fully functional and 23 are partly or non-functional. Most of the structures are newly elected and support will be provided to capacitate the structures to function.
- CSFs were assessed as planned for the period under review: The MUNIMEC engagement with municipalities is part of an on-going effort to assist Municipalities with regard to CSFs. A total of 13 CSFs were assessed for the period under review. Only four namely Ngaka Modiri Molema District, Matlosana, Ramotshere Moiloa and JB Marks Local Municipalities were fully functional and nine needed to be





resuscitated. The process to ensure that structures are re-established will continue into the new financial year.

- Provincial CPF Board meetings were attended, resulting in improved relations and joint effort in support to Station CPFs

A total of 32 NPIs were funded during this financial year for implementation of social crime prevention programmes. The delay in funding NPI was influenced by the review of Policing strategy which was to impact on both the total NPI's to be funded and the amounts to be disbursed based on the crime patterns.

b) the target of 40 could not be reached as now, consideration was to impact crime based on funding available reaching more communities which reviewed the traditional planning of using baseline amount to fund each NPI, irrespective of their crime pattern trends and analysis.

- **Strategic objectives:**

<b>Programme: Provincial Secretariat for Police Service</b>						
<b>Strategic objectives</b>	<b>Strategic objective indicator</b>	<b>Actual Achievement 2018 /2019</b>	<b>Planned Target 2019/2020</b>	<b>Actual Achievement 2019/2020</b>	<b>Deviation from planned target to Actual Achievement for 2019/2020</b>	<b>Comment on deviations</b>
1. To conduct research that informs decision making on policing	Research projects on SAPS conducted	2	2	2	N/A	N/A
2. To ensure SAPS provision of service is in line with	Number of Police stations visited to assess the implementati	21	21	21	N/A	N/A





<b>Programme: Provincial Secretariat for Police Service</b>						
<b>Strategic objectives</b>	<b>Strategic objective indicator</b>	<b>Actual Achievement 2018/2019</b>	<b>Planned Target 2019/2020</b>	<b>Actual Achievement 2019/2020</b>	<b>Deviation from planned target to Actual Achievement for 2019/2020</b>	<b>Comment on deviations</b>
statutory requirement	on of 6 identified programmes					
3. To ensure community participation in the fight against crime	Number of municipalities implementing Integrated Crime Prevention programmes	18	18	18	N/A	N/A
	Established number of community safety structures in municipalities	1	6	0	-6	Instability and functionality challenges experienced by Municipalities led to non-establishment. Engagements were conducted with targeted Municipalities but there were no positive results







Provide reasons for all deviations

**Policy and Research**

- None

**Monitoring and Evaluation**

- None

**Safety Promotion**

- None

**Community Police Relations**

- Unrealistic target setting. There are only 82 Police Stations in the Prov. However, the Department targeted for 83 CPFs instead of 82.
- The delay in the funding of NPIs was as a result of reviewed approach to Community Policing Strategy, which necessitated the identified stations to work in line with the new mandates and priorities. That caused a The delay in funding NPI which was influenced by the review of Policing strategy which was to impact on both the total NPI's to be funded and the amounts to be disbursed based on the crime patterns. The target of 40 could not be reached as now, consideration was to impact crime based on funding available reaching more communities which reviewed the traditional planning of using baseline amount to fund each NPI, irrespective of their crime pattern trends and analysis.





**Performance indicators**

<b>Programme: Provincial Secretariat for Police Service</b>							
<b>Performance Indicator</b>	<b>Actual Achievement 2016/2017</b>	<b>Actual Achievement 2017/2018</b>	<b>Actual Achievement 2018/2019</b>	<b>Planned Target 2019/2020</b>	<b>Actual Achievement 2019/2020</b>	<b>Deviation from planned target to Actual Achievement 2019/2020</b>	<b>Comment on deviations</b>
<b>SUB –PROGRAMME 2.2 POLICY AND RESEARCH</b>							
Number of research reports on policing per year	2	2	2	2	2	0	N/A
<b>SUB- PROGRAMME 2.3: MONITORING AND EVALUATION</b>							
Number of reports compiled on the management of service delivery complaints received against SAPS per year	4	4	4	4	4	0	N/A
Number of monitoring reports compiled on implementation of IPID recommendations	4	4	4	4	4	0	N/A





<b>Programme: Provincial Secretariat for Police Service</b>							
<b>Performance Indicator</b>	<b>Actual Achievement 2016/2017</b>	<b>Actual Achievement 2017/2018</b>	<b>Actual Achievement 2018/2019</b>	<b>Planned Target 2019/2020</b>	<b>Actual Achievement 2019/2020</b>	<b>Deviation from planned target to Actual Achievement 2019/2020</b>	<b>Comment on deviations</b>
by SAPS per year							
Number of reports compiled on police stations monitored based on the NMT per year	20	20	21	20	20	0	N/A
Number of Domestic Violence Act (DVA) Compliance Reports compiled per year	20	20	21	23	23	0	N/A
Number of customer satisfaction survey reports per year	N/A	1	N/A	1	1	0	N/A
<b>SUB-PROGRAMME 2.4: SAFETY PROMOTION</b>							
Number of social crime prevention programmes implemented per year	10	6	7	7	7	0	N/A





<b>Programme: Provincial Secretariat for Police Service</b>							
<b>Performance Indicator</b>	<b>Actual Achievement 2016/2017</b>	<b>Actual Achievement 2017/2018</b>	<b>Actual Achievement 2018/2019</b>	<b>Planned Target 2019/2020</b>	<b>Actual Achievement 2019/2020</b>	<b>Deviation from planned target to Actual Achievement 2019/2020</b>	<b>Comment on deviations</b>
<b>SUB-PROGRAMME 2.5: COMMUNITY POLICE RELATIONS</b>							
Number of Community Safety Forums (CSFs) assessed on functionality per year	11	12	11	13	13	0	N/A
Number of Community Police Forums (CPF) assessed on functionality per year	82	20	82	83	82	-1	Unrealistic target setting. There are only 82 Police





<b>Programme: Provincial Secretariat for Police Service</b>							
<b>Performance Indicator</b>	<b>Actual Achievement 2016/2017</b>	<b>Actual Achievement 2017/2018</b>	<b>Actual Achievement 2018/2019</b>	<b>Planned Target 2019/2020</b>	<b>Actual Achievement 2019/2020</b>	<b>Deviation from planned target to Actual Achievement 2019/2020</b>	<b>Comment on deviations</b>
							Stations in the Prov. However, the Department targeted for 83 CPFs instead of 82
Number of crime fighting non- profit institutions (NPI) funded	60	27	39	40	32	-8	The delay in the funding of NPIs was as a result of reviewed approach to Community Policing





<b>Programme: Provincial Secretariat for Police Service</b>							
<b>Performance Indicator</b>	<b>Actual Achievement 2016/2017</b>	<b>Actual Achievement 2017/2018</b>	<b>Actual Achievement 2018/2019</b>	<b>Planned Target 2019/2020</b>	<b>Actual Achievement 2019/2020</b>	<b>Deviation from planned target to Actual Achievement 2019/2020</b>	<b>Comment on deviations</b>
							Strategy, which necessitated the identified stations to work in line with the new mandates and priorities. That caused a delay in the stations finalising and submitting plans for approval in line with the crime trends and





<b>Programme: Provincial Secretariat for Police Service</b>							
<b>Performance Indicator</b>	<b>Actual Achievement 2016/2017</b>	<b>Actual Achievement 2017/2018</b>	<b>Actual Achievement 2018/2019</b>	<b>Planned Target 2019/2020</b>	<b>Actual Achievement 2019/2020</b>	<b>Deviation from planned target to Actual Achievement 2019/2020</b>	<b>Comment on deviations</b>
							patterns. Due to insufficient budget, the target was not reached because the demand was greater in terms of programmes by NPIs , and consideration was given to the impact in terms of crime prevention campaigns





<b>Programme: Provincial Secretariat for Police Service</b>							
<b>Performance Indicator</b>	<b>Actual Achievement 2016/2017</b>	<b>Actual Achievement 2017/2018</b>	<b>Actual Achievement 2018/2019</b>	<b>Planned Target 2019/2020</b>	<b>Actual Achievement 2019/2020</b>	<b>Deviation from planned target to Actual Achievement 2019/2020</b>	<b>Comment on deviations</b>
							and value for money when funds were disbursed







### **Strategy to overcome areas of under performance**

- Continuous engagement with municipalities to ensure availability, and submission of scheme B approval was done on time to improve performance.
- Ensure that requisite resources are available during planning stage to inform the setting of targets, to ensure attainment of objectives during implementation.
- Closely work with SAPS in reviewing the funding approach and identifying the critical priority areas that require funding for crime prevention initiatives, and funds will be disbursed as per the need rather than numbers.
- Expedite the disbursement of /transfer of funds to NPIs whose business plans are approved timeously.

### **Changes to planned targets**

Annual Targets reduced during APP re-tabling, in July 2020 for the following performance indicators:

#### *Sub-programme: Monitoring and Evaluation*

- *Number of reports compiled on police stations monitored based on the NMT per year (40 reduced to 20).*
- *Number of Domestic Violence Act (DVA) Compliance Reports compiled per year (43 reduced to 23).*

#### *Sub-programme: Community Police Relations*

- *Number of Community Safety Forums (CSFs) assessed on functionality per year (16 reduced to 13).*





### Linking performance with budgets

#### Sub-programme expenditure

Sub- Programme name: Programme Support	2018/19			2019/20		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
<b>Current Payments</b>	2 373	2 139	234	<b>2 299</b>	<b>2 299</b>	0
<b>Transfers &amp; Subsidies</b>	0	0	0	<b>0</b>	<b>0</b>	0
<b>Total</b>	<b>2 373</b>	<b>2 139</b>	<b>234</b>	<b>2 299</b>	<b>2 299</b>	<b>0</b>

90.1% of the budget was spent during the financial year 2018/19. The programme improved in the 2019/20 and spent 100% of its allocated budget.

Sub- Programme name: Policy & Research	2018/19			2019/20		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
<b>Current payments</b>	1 943	1 529	414	1 403	1 374	29
<b>Total</b>	<b>1 943</b>	<b>1 529</b>	<b>414</b>	1 403	1 374	29

97.93% of the total budget allocated for 2019/20 has been spent. This is an improvement as compared to the previous year, where spending was 78.69%.





Sub- Programme name: Monitoring and Evaluation	2018/19			2019/20		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
Current Payments	16 069	15 316	753	15 848	15 834	14
Transfers & Subsidies	0	0	0	0	0	0
<b>Total</b>	<b>16 069</b>	<b>15 316</b>	<b>753</b>	<b>15 848</b>	<b>15 834</b>	<b>14</b>

99.91 % in expenditure performance has been recorded for the year under review which is an improvement from the previous financial year which had recorded 95.3%. All planned targets were achieved with the allocated budget.

Sub- Programme name: Safety Promotions	2018/19			2019/20		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
Current Payments	14 892	12 422	2 470	17 214	17 213	1
Transfers & Subsidies	0	0	0	1 096	1 080	16
Payments for capital assets	0	0	0	0	0	0
<b>Total</b>	<b>19 611</b>	<b>16 814</b>	<b>2 797</b>	<b>18 310</b>	<b>18 293</b>	<b>17</b>

99.91% of the budget has been spent in the 2019/20 financial year. This is an improvement from 85.7% which was spent in 2018-19.





Sub- Programme Name: Community Police Relations	2018/19			2019/20		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
<b>Current Payments</b>	3 644	3 642	2	4 627	4 627	0
<b>Total</b>	<b>3 644</b>	<b>3 642</b>	<b>2</b>	<b>4 627</b>	<b>4 627</b>	<b>0</b>

100% of the allocated budget has been spent in comparison to the previous year which was at 99.9%. All planned targets have been met.





### 4.3 Programme 3: TRANSPORT OPERATIONS

#### **Purpose of the Programme**

To plan, regulate and facilitate the provision of integrated transport services through co-ordination and co-operation with national planning authorities, and the private sector in order to enhance implementation of safety initiatives and mobility of all communities particularly those currently without or with limited access.

#### **SUB –PROGRAMMES**

<b>Sub-programme</b>	<b>Strategic objectives of sub-programme</b>
3.2 Public Transport Services	To improve access to integrated public transport systems
3.3 Transport Safety and Compliance	
3.4 Infrastructure Planning	
3.5 Infrastructure Operations	





## **Strategic objectives, performance indicators, planned targets and actual achievements**

### **Public Transport Services**

- Replacement of scholar transport operator who abandoned operation at Seabo High School.
- Extension of scope to an operator in Setlagole after another withdrew from transportation of learners.
- Finalisation of the verification of all learner transport routes done, and led to payment of operators using the verified kilometres.
- 15 animal drawn carts distributed at Austrye and Khudungwane villages in Kagisano Molopo Local municipality.
- 294 bicycles distributed at Moretele Local Municipality.
- Treasury through its second adjustment managed to appropriate more funds for the payment of outstanding claims of learner transport operators which was availed on the 19<sup>th</sup> March 2020. The Department managed to pay until December 2019.
- Subsidised commuter contracts are extended to ensure continued public transport to our rural communities. PTOG Contracts have been paid until March 2020 in line with the Grant requirements.

### **Transport Safety & Compliance**

Seventy seven (77) Road Safety Rangers appointed to administer stray animals and are fully operational at the following hotspots:

- N18( Makhubung, Lekoko, Morwaatshetlha and Madibana)
- R49(Lekubung and Mokgola)
- R30 Ventersdorp and Lethabong
- N12 ( Potchefstroom and klerksdorp)
- Moratele, Dikebu, Moema, Tladistad,, Makapanstad, Kontant Swartdam, Norokie and Matlhaela
- Vrede, Sehibitswe, Tlokweg
- N18 covering, Dryharts, Pudumoeng, Mogopela and Matolong

Road Safety Rangers are appointed to remove/ manage movement of stray animals on the road with the intention of reducing road crashes involving stray animals.

The Department in partnership with Road Traffic Management Corporation identified and engaged with the existing/ active Youth structures, Non-Profit Organisation, Faith Based Organisation which are involved in road safety matters. The purpose of this exercise was to





ensure the involvement of the youth in addressing road safety challenges within their communities.

One hundred and sixty eight (168) Road safety awareness activities were conducted with specific focus on Pedestrian safety, Passenger and Driver Safety, Stray animals and cyclists safety. The activities were implemented in partnership with various stakeholders such as Local Municipalities, Road Accident Fund, Transnet, South African Police Services and the business sector.

Back to school awareness campaigns were conducted at targeted schools across the Province. These activities were conducted to raise awareness on safe crossing for learners who are vulnerable to road crashes as and when they walk to and from school.

A total of five hundred and thirty two (532) schools were reached through all Road Education Programmes, which are Presentations to schools and Early Childhood Development Centres, Participatory Education Technique, Road Safety Schools Debates, Back to school awareness activities and scholar Patrol.

### **Infrastructure Planning**

- Integrated Transport Plan for Dr. Kenneth Kaunda District Municipality has been completed and approved by the MEC.
- Integrated Public Transport Network for Dr. Kenneth Kaunda District Municipality has been completed and adopted by the District Municipality.
- Integrated Transport Plan for Bojanala Platinum District Municipality is still in process as per Project Programme and will be completed in the 2020/2021 Financial Year.
- Development of Integrated Public Transport Networks Plans for Ngaka Modiri Molema and Dr. Ruth Segomotsi Mompati District Municipalities are still in process as per Projects Plans and will be completed in the 2020/21 Financial Year.
- Consultation with internal stakeholders on the development of Provincial Transport Policy has been conducted and will be incorporated into the development of the Policy during the 2020/21 Financial Year.

### **Infrastructure Operations**

- Airport Safety and Local Organising Security Committee meeting involving all necessary airport stakeholders held.





- Pilanesberg International Airport is maintained at category 6.
- Appointment of the Service Provider to develop the Aerodrome Manuals for approval by SACAA.
- Submitted airport manuals to the South African Civil Aviation Authority. Approval was granted for the two manuals for Pilanesberg International Airport, one was approved for GD Montshioa Airport. The Aerodrome Manual will be re-submitted after correction during the second quarter of 2020/2021 financial year.
- Facilitated the appointment of a service provider by the Department of Public Works and Roads to commence with the installation of Parameter fence at Pilanesberg International Airport.
- A service provider was appointed to conduct friction test at both airports and the reports were submitted to SACAA.

### **Project Implementation**

- Development of Ablution Facility at GD Montshioa Airport  
The project was delayed due to failure to appointment the Civil Structural Engineer by Department of Public Works and Roads as an implementing urgent.
- Construction of Perimeter Fence and Parkhomes  
Perimeter fence has commenced but could not be completed due to Covid-19. The Provincial Treasury decided to take all the funds allocated for this project due to budget pressure elsewhere.







### Government Motor Fleet

- 199 vehicles procured to increase the provincial white fleet.
- Continuous maintenance and servicing of pool vehicles.
- An amount of R 120 546 639.01 was spent on maintenance, repairs and refuelling of pool vehicles.
- 199 vehicles procured to increase the provincial white fleet.
- Continuous maintenance and servicing of pool vehicles.
- An amount of R 120 546 639.01 was spent on maintenance, repairs and refuelling of pool vehicles.

### STRATEGIC OBJECTIVES

Programme Name: Transport Operations						
Strategic objectives	Strategic objective indicator	Actual Achievement 2018/2019	Planned Target 2019/2020	Actual Achievement 2019/2020	Deviation from planned target to Actual Achievement for 2019/2020	Comment on deviations
To improve access to integrated public transport systems	Number of Villages subsidized with public transport	0	147	0	-147	Budget deficit hampered our ability to increase availability of subsidised commuter subsidies. An increase in budget to alleviate the deficit will ensure





						an increase in the commuter public transport provision.
	Number of Townships subsidized public transport	0	54	0	-54	Budget deficit hampered our ability to increase availability of subsidised commuter subsidies. An increase in budget to alleviate the deficit will ensure an increase in the commuter public transport provision.
	Increased number of subsidised flights at the two provincial airports	0	600	0	0	SA Express contract was terminate in June 2018 and scheduled flights did not resume thereafter.

Reasons for all deviations

- About 324 (three hundred and twenty four) villages, townships and towns are benefiting from subsidised commuter service. The Department could not increase the coverage of villages, townships and towns receiving subsidised commuter service due to insufficient budget allocation.

The termination of the contract between the Department and SA Express led to the discontinuation of scheduled flights and there is no airline appointed yet.





**Performance indicators**

<b>Programme 3: Transport Operations</b>							
<b>Performance Indicator</b>	<b>Actual Achievement 2016/2017</b>	<b>Actual Achievement 2017/2018</b>	<b>Actual Achievement 2018/2019</b>	<b>Planned Target 2019/2020</b>	<b>Actual Achievement 2019/2020</b>	<b>Deviation from planned target to Actual Achievement 2019/2020</b>	<b>Comment on deviations</b>
<b>SUB-PROGRAMME 3.2: PUBLIC TRANSPORT SERVICES</b>							
Number of routes subsidized	841	784	781	784	784	0	N/A
Number of kilometres subsidized	28 852 734.4	27 871 977	25 979 535.30	26 000 000	27 193 075.3	1 193 075.3	Routes with longer kilometres are prioritised as they come from deep rural areas, where no other modes of transport is available
Number of trips subsidized	598 617	581 186	529 554	582 211	546 584	-35 627	Service disruption for Thari Bus on 15 and 16 May 2019 in Brits, Bapong and Tornado.





<b>Programme 3: Transport Operations</b>							
<b>Performance Indicator</b>	<b>Actual Achievement 2016/2017</b>	<b>Actual Achievement 2017/2018</b>	<b>Actual Achievement 2018/2019</b>	<b>Planned Target 2019/2020</b>	<b>Actual Achievement 2019/2020</b>	<b>Deviation from planned target to Actual Achievement 2019/2020</b>	<b>Comment on deviations</b>
							Blockages of Thari Bus operation by taxi drivers in April and May 2019
Number of learner transport operators contracted	115	207	202	203	200	-3	Lerato and Faith Business Enterprise withdrawal from operating for six months at Rakolo and Makgope schools is construed as a permanent withdrawal because after six months the operator never came back, failed to answer





<b>Programme 3: Transport Operations</b>							
<b>Performance Indicator</b>	<b>Actual Achievement 2016/2017</b>	<b>Actual Achievement 2017/2018</b>	<b>Actual Achievement 2018/2019</b>	<b>Planned Target 2019/2020</b>	<b>Actual Achievement 2019/2020</b>	<b>Deviation from planned target to Actual Achievement 2019/2020</b>	<b>Comment on deviations</b>
							Department's calls. T.N Molefe Fleet at Langa La Sembo and other schools Regorogile Transport at Onkgopotse Tiro and other schools
Number of contracted learner transport kilometres operated	6 367 684.4	3 848 934	7 393 008.7	8 300 000	3 450 124	-4 849 875.9	1. Most of the operators have not been paid from October to December 2019, due to budget depletion and implementation of verified kilometres. Some operators have not submitted the





<b>Programme 3: Transport Operations</b>							
<b>Performance Indicator</b>	<b>Actual Achievement 2016/2017</b>	<b>Actual Achievement 2017/2018</b>	<b>Actual Achievement 2018/2019</b>	<b>Planned Target 2019/2020</b>	<b>Actual Achievement 2019/2020</b>	<b>Deviation from planned target to Actual Achievement 2019/2020</b>	<b>Comment on deviations</b>
							claims pending re-verification for the period under review  2. Operators were requested by their Association (SANSBOC) not to submit their claims from Jan, Feb & March 2020 to Department pending rate negotiations
Number of learner transport vehicles contracted	420	753	748	747	747	0	N/A
<b>SUB-PROGRAMME 3.3: TRANSPORT SAFETY AND COMPLIANCE</b>							
Number of schools involved in road	544	489	493	548	499	-49	Delays in returning and collection of





<b>Programme 3: Transport Operations</b>							
<b>Performance Indicator</b>	<b>Actual Achievement 2016/2017</b>	<b>Actual Achievement 2017/2018</b>	<b>Actual Achievement 2018/2019</b>	<b>Planned Target 2019/2020</b>	<b>Actual Achievement 2019/2020</b>	<b>Deviation from planned target to Actual Achievement 2019/2020</b>	<b>Comment on deviations</b>
safety education programme							scholar patrol registration forms due to early closure of schools as announced by the President ( COVID 19 crisis)
Number of road safety awareness programmes conducted	7	4	4	4	4	0	N/A
<b>SUB-PROGRAMME 3.4 INFRASTRUCTURE PLANNING</b>							
Number of District municipalities supported to perform land transport functions	4	4	4	4	4	0	N/A
<b>SUB-PROGRAMME 3.5: INFRASTRUCTURE OPERATIONS</b>							
Level of compliance maintained at GD Montshioa airport	Mafikeng Airport at category 5	Mafikeng Airport at Category 5	Mafikeng Airport upgraded to category 5	GD Montshioa Airport	GD Montshioa is downgraded to Category 2	Three Category levels lower	GD Montshioa Airport did not meet all the





<b>Programme 3: Transport Operations</b>							
<b>Performance Indicator</b>	<b>Actual Achievement 2016/2017</b>	<b>Actual Achievement 2017/2018</b>	<b>Actual Achievement 2018/2019</b>	<b>Planned Target 2019/2020</b>	<b>Actual Achievement 2019/2020</b>	<b>Deviation from planned target to Actual Achievement 2019/2020</b>	<b>Comment on deviations</b>
				maintained at category 5			requirements for category 5 aerodrome license due to lack of training of key personnel and incomplete (not SACAA approved) airport manuals. Training of personnel was done & only completed at end of March 2020.
Level of compliance maintained at Pilanesberg airport	Pilanesberg airport maintained at category 6	Pilanesberg airport maintained at category 6	Pilanesberg airport maintained at category 6	Pilanesberg airport maintained at category 5	Pilanesberg airport attained at category 6	One Category level higher	The Department was able to meet the SACAA requirements to certify higher category level.







### **Strategy to overcome areas of under performance**

- The Department to intensify conflict management to resolve disputes (matters) between Thari Bus and Letlhabile Taxi Associations  
The office of MEC is leading the team dealing with taxi conflict to reduce disruptions in the provision of service.
- encourage learner transport operators to submit claims timeously  
The department is continuously communicating with operators and also strengthened monitoring of compliance in this regards.
- Amend appointment letters in order to capture verified kilometres, in line with the verification report issued by Provincial Internal Audit
- Coordinate the swift replacement of operators, in cases of withdrawals  
The department follows the prescribed supply chain process where is a need to replace withdrawals but only appoints operators already contracted.
- Conduct road safety presentations to schools which had previously withdrawn from the road safety schools debate programme.
- Submit proof of friction test, training of personnel to certify compliance requirements. Re-submit corrected Airport Manuals to SACAA for approval.

### **Changes to planned targets**

Annual Targets reduced during APP re-tabling, in July 2020 for the following performance indicators:

#### *Sub-programme: Public Transport Services*

- Number of routes subsidized (reduced 794 from to 784).

Due to budget shortfall which could not cover all operations, the approved 10 additional routes were not implemented.

- Number of learner transport operators contracted (reduced from 207 to 203).

Other operators withdrew from operation therefor resulted in the reduction of operators contracted to render the service.





- Number of learner transport vehicles contracted (increased from 703 to 747)

There was an understating of the target as more operators had intervention routes and their buses were not planned for.

*Sub-programme: Transport Safety & Compliance*

- Number of schools involved in road safety education programme (increased from 547 to 548)





**Linking performance with budgets**

**Sub-programme expenditure**

Sub- Programme Name:	2018/19			2019/20		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
<b>Public Transport Services</b>						
<b>Current Payments</b>	603 440	574 016	29 424	573 174	569 526	3 648
<b>Transfers and Subsidies</b>	665 152	676 760	(11 608)	615 003	615 003	0
<b>Payment for capital assets</b>	0	0	0			
<b>Payment of financial assets</b>	0	0	0			
<b>Total</b>	<b>1 268 592</b>	<b>1 250 776</b>	<b>17 816</b>	<b>1 188 177</b>	<b>1 184 529</b>	<b>3 648</b>

A 98.% of expenditure was incurred on the overall budget allocated in the 2018/19 financial year. An improvement was registered in the 2019/20 financial year, with expenditure of 99.7%.





Sub- Programme Name :	2018/19			2019/20		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
<b>Transport Safety and Compliance</b>						
<b>Current Payments</b>	27 575	27 276	299	29 225	29 224	1
<b>Transfers and Subsidies</b>	1 035	715	320	113	113	-
<b>Total</b>	<b>28 610</b>	<b>27 991</b>	<b>619</b>	<b>29 338</b>	<b>29 337</b>	<b>1</b>

The sub-programme spent all its budget in the year under review (100%) as compared to the 97.8% spent in the previous year. Despite 100% expenditure on the allocated budget, the Directorate was unable to achieve all its targets due to the changes in the school calendar. Funds were also utilised for RTMC Road Safety Officers' training wherein the Province was required to incur costs for both accommodation and Travel and Subsistence.

Sub- Programme Name:	2018/19			2019/20		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
<b>Transport System (Infrastructure Planning)</b>						
<b>Current Payments</b>	14 512	14 129	383	15 940	15 938	2
<b>Transfers and Subsidies</b>	665	664	1	59	-	59
<b>Total</b>	<b>15 177</b>	<b>14 793</b>	<b>384</b>	<b>15 999</b>	<b>15 938</b>	<b>61</b>





The sub-programme has spent 99.6 % of the budget as compared to 97.5% incurred in 2018-19. There has been an improvement in spending. The allocated budget was utilised in achieving the objectives of the sub-programme.

Sub- Programme Name: Infrastructure Operations	2018/19			2019/20		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
<b>Current Payments</b>	33 913	33 833	80	38 396	38 345	51
<b>Transfers and Subsidies</b>	41	18	23	70 635	70 627	8
<b>Payment for capital assets</b>	5 647	5 347	300	7 200	5 380	1 820
<b>Total</b>	<b>39 601</b>	<b>39 198</b>	<b>403</b>	<b>116 231</b>	<b>114 352</b>	<b>1 879</b>

The sub-programme spent 98.4% of the allocated budget. This is a slight decrease from the 99.0% spent in the previous year. There is more unspent funds under capital assets.





#### 4.4 Programme 4: TRANSPORT REGULATION

##### **Purpose of the Programme**

To ensure the provision of a safe transport environment through the regulation of public transport operations, road traffic law enforcement and the registration and licensing of vehicles and drivers.

##### **SUB –PROGRAMMES**

Sub programme	Strategic objectives of sub-programme
4.2 Transport Administration and Licensing 4.2.1 Transport Administration and Licensing 4.2.2 Inspectorate of DLTC & VTS	To provide road traffic policing services
4.3 Operator License and Permits	
4.4 Law Enforcement	

##### **Strategic objectives, performance indicators, planned targets and actual achievements**

The National Land Transport Act no 05 of 2009 is the main legislation that guides the operations of Operator License and Permits sub-program. The Sub-program operator license and permits, through the Provincial Regulatory Entity has managed to adjudicate 45 hearings instead of 48





hearings. The impediment was caused by conflict that erupted at Dr Ruth Segomotsi Mompati District Municipality. Despite the shortfall, the PRE has managed to register a significant amount of the applications.

The key legislation that regulates transport is National Road Traffic Act (Act 93 of 1996). 5429 drivers were arrested for non-compliance with traffic regulations throughout the Province. 860 were found to be driving under the influence of alcohol. 4307 drivers were arrested for failure to pay their outstanding fines. 86398 summonses were issued for moving violations which included amongst others barrier line and cell phone contraventions. 24222 vehicle defects were detected and drivers thereof charged. 23390 summonses were issued for over-speeding. However, still needs to be done to ensure maximum compliance, particularly in the rural areas.

The Department continued to engage and provide support to all its appointed licensing agencies to strengthen its relations to ensure effective and efficient service to the motorists in the province. Following the lengthy journey in the effort to taking services to the people, the Department therefore seen a serious need to establish new registering authorities and driving licence testing centre that will serve the communities of Marikana under the control of the Rustenburg Local Municipality and Setlagole in the Ratlou Local Municipality including surrounding villages. The cabling and deployment of NaTIS equipment at the eleven (11) new South African Post Office sites identified to render motor vehicle license renewals only, as part of the service delivery enhancement.

The Department further reopened the Phokeng registering authority after the long closure due to the renovation of the Phokeng Mall. The Compliance inspections had been performed at 46 Registering Authorities. This includes areas at both provincial and local level, in which services are performed by the South African Post Office. The purpose is to ensure there is maximum compliance by the National Department and meets the requirements of the National Road Traffic Act (Act 93 of 1996). The registering authorities performed their delegated functions in accordance to the set standards for the registering authorities. It can be reported that the performances rendered by the various offices do comply with the set requirements.





## PROJECT IMPLEMENTATION

- Renovation of Mogwase Registering Authority.

As part of creation of a conducive working environment, the Department undertook to renovate the infrastructure of the Registering Authority in order to improve the capacity and security of the building from where services for the registration and licensing of motor vehicles are rendered. The renovations will be concluded during the second quarter of the 2020/21 during the lowered lockdown alert level. The project registered 95% progress as at the end of the reporting period.

- Renovation of Mogwase DLTC

The Mogwase DLTC project was not completed during the financial year. The tender was cancelled and re-advertised during the fourth quarter and closed on the 23<sup>rd</sup> of March 2020.

## Inspectorate of DLTC & VTS

Testing stations were inspected at 27 Driving License Testing Centres and 26 Vehicle Testing Stations  
One management representative of a DLTC was reprimanded for maladministration  
(conducting learner's license class while not authorised to do so).

- One Grade L Examiner at Brits DLTC was dismissed for fraudulent issue of three (3) learners licenses.

As at 31 March 2020, **2** DLTC's and **12** VTS's were compliant and **22** DLTC's and **17** VTS's were non-compliant. The centres not complying had minor defects which do not contribute to the closure of the DLTC or VTS.







## **Operator License and Permits**

- Tribunal held a workshop with the intention to forge common understanding and to provide awareness in terms of understanding the mandate of both institutions.
- The Provincial Regulatory Entity adjudicated 45 hearings across the North West Province.
- The Department met with three Regional Taxi Councils, namely Dr Ruth Segomotsi Mompati, Dr Kenneth Kaunda and Ngaka Modiri Regional Taxi Councils. The Purpose of such meetings was to forge common understanding in relation of the National Land Transport Act No. 05 of 2009 and related policies which govern the public transport sector. Series of meetings were held with the Bojanala Regional Taxi Council and Rustenburg Long Taxi Holdings, in preparation for launch of this noble project.
- The Launch of the Rustenburg Long Distance Transport Holdings. The launch entailed progressive initiatives and milestones such as cashless economy system introduced in the taxi industry. The aim is to reduce cash in the taxis. This system is further assisting owners to track the taxis are on their designated routes as prescribed in their Operator License and Permits. Furthermore, there is a notable and remarkable progress in forming the Mobility Corporative Bank for the long distance taxi operators in Rustenburg area.



## Law Enforcement

- **5429** drivers were arrested for non-compliance with traffic regulations throughout the Province. From the total arrests made, **860** were found to be driving under the influence of alcohol. A total of **4307** drivers were arrested for failure to pay their outstanding fines.
- **86398** summonses were issued for moving violations which included amongst others barrier line and cell phone contraventions. **24222** vehicle defects were detected and drivers thereof charged.
- Speed operations were conducted, and **23390** summonses were issued for over-speeding.

## PROJECT IMPLEMENTATION

- Weighbridge Project in Lichtenburg

Lichtenburg Weighbridge was completed during the year under review and started to operate in May 2019.



**Strategic objectives:**

<b>Programme Name: Transport Regulation</b>						
<b>Strategic objectives</b>	<b>Strategic objective indicator</b>	<b>Actual Achievement 2018/2019</b>	<b>Planned Target 2019/2020</b>	<b>Actual Achievement 2019/2020</b>	<b>Deviation from planned target to Actual Achievement for 2019/2020</b>	<b>Comment on deviations</b>
To provide road traffic policing services	2% decrease in the actual number of road crashes and fatalities per annum (815)	-4.8% (770)	-2% (841)	702 -16 %	139 lessor fatal crashes	Due to more visibility of traffic officers and therefore compliance by road users lessor fatal crashes occurred
	Amount of road traffic policing services revenue collected	744 053 639.80	522 672 000	601 458 080.75	78 786 080.75	implementation of an intensified debt collection strategies from Municipalities

Reasons for deviation

N/A





**Performance indicators**

<b>Programme 4: Transport Regulation</b>							
<b>Performance Indicator</b>	<b>Actual Achievement 2016/2017</b>	<b>Actual Achievement 2017/2018</b>	<b>Actual Achievement 2018/2019</b>	<b>Planned Target 2019/2020</b>	<b>Actual Achievement 2019/2020</b>	<b>Deviation from planned target to Actual Achievement 2019/2020</b>	<b>Comment on deviations</b>
<b>SUB-PROGRAMME 4.2 : TRANSPORT ADMINISTRATION AND LICENSING</b>							
<b>4.2.1 : TRANSPORT ADMINISTRATION AND LICENSING</b>							
Number of Registering Authorities complying to the National Road Traffic Act	N/A	44	46	46	46	0	N/A
<b>4.2.2 INSPECTORATE OF DLTC AND VTS</b>							
Number of compliance inspections conducted	49	49	50	53	53	0	N/A
<b>SUB-PROGRAMME 4.3: OPERATOR LICENSE AND PERMITS</b>							
Number of Provincial	87	37	43	48			





<b>Programme 4: Transport Regulation</b>							
<b>Performance Indicator</b>	<b>Actual Achievement 2016/2017</b>	<b>Actual Achievement 2017/2018</b>	<b>Actual Achievement 2018/2019</b>	<b>Planned Target 2019/2020</b>	<b>Actual Achievement 2019/2020</b>	<b>Deviation from planned target to Actual Achievement 2019/2020</b>	<b>Comment on deviations</b>
Regulating Entity hearings conducted					45	-3	PRE Hearings did not take place in Dr. Ruth Segomotsi Mompoti district municipality due to taxi violence
<b>SUB-PROGRAMME 4.4: LAW ENFORCEMENT</b>							
Number of vehicles stopped and checked	1089262	950 930	1 082 426	1 198 186	1 186 709	-11 477	The targets set for indicator on vehicle stopped and checked are assumptions based on the previous year baseline (AR 2018/19). The SMART principle is difficult to adhere to as vehicle population





<b>Programme 4: Transport Regulation</b>							
<b>Performance Indicator</b>	<b>Actual Achievement 2016/2017</b>	<b>Actual Achievement 2017/2018</b>	<b>Actual Achievement 2018/2019</b>	<b>Planned Target 2019/2020</b>	<b>Actual Achievement 2019/2020</b>	<b>Deviation from planned target to Actual Achievement 2019/2020</b>	<b>Comment on deviations</b>
							cannot be controlled and achievement thereof depend on vehicle population during the period under review.
Number of vehicles weighed	177826	177 086	237 234	251800	291 264	39 464	The targets set for indicator on vehicle stopped and checked are assumptions based on the previous year baseline (AR 2018/19). The SMART principle is difficult to adhere to as vehicle





<b>Programme 4: Transport Regulation</b>							
<b>Performance Indicator</b>	<b>Actual Achievement 2016/2017</b>	<b>Actual Achievement 2017/2018</b>	<b>Actual Achievement 2018/2019</b>	<b>Planned Target 2019/2020</b>	<b>Actual Achievement 2019/2020</b>	<b>Deviation from planned target to Actual Achievement 2019/2020</b>	<b>Comment on deviations</b>
							population cannot be controlled and achievement thereof depend on vehicle population during the period under review.
Number of special operations conducted	1359	1072	690	684	682	-2	A deviation was recorded due to unplanned COVID - 19 law enforcement operations which were the main focus after the Presidential pronouncement on the introduction of Lockdown.





<b>Programme 4: Transport Regulation</b>							
<b>Performance Indicator</b>	<b>Actual Achievement 2016/2017</b>	<b>Actual Achievement 2017/2018</b>	<b>Actual Achievement 2018/2019</b>	<b>Planned Target 2019/2020</b>	<b>Actual Achievement 2019/2020</b>	<b>Deviation from planned target to Actual Achievement 2019/2020</b>	<b>Comment on deviations</b>
Number of speed operations conducted	10870	7982	11 246	11840	10 203	-1637	Speed machine not yet calibrated due to the expiry of the service level agreement with the service provider
Number of drunken driving operations conducted	625	639	907	889	873	-16	A deviation was recorded due to unplanned COVID- 19 law enforcement operations which were the main focus after the Presidential pronouncement on the introduction of Lockdown.







**Strategy to overcome areas of under performance**

- Conduct conflict management initiatives in Dr. Ruth Segomotsi Mompati district municipality.
- The directorate will set realistic targets for the 2020/21 financial year, with the limited resources available.
- All of the performance indicators under this sub-programme are customised sector indicators and are demand –drive, thus not within the control of the Department. The outputs reported are also influenced by the vehicle population passing through the Provincial roads. Intensify law enforcement to ensure safety on the roads.
- Realistic targets will be set during planning stage, to ensure that the nature of performance indicators is taken into consideration. No absolute figures will be stated as targets, instead a range (e.g. greater than and equal to ) will be considered, in order to improve consistent and sound reporting on performance.
- Expedite the awarding of the tender towards the calibration of speed machines as per the advert. Consider processing a deviation towards the use of the manufacturer towards the timely calibration of speed machines.

**Changes to planned targets**

None





### Linking performance with budgets

#### Sub-programme expenditure

Sub- Programme Name: Transport Administration and Licensing	2018/19			2019/20		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
<b>Current Payments</b>	33 270	33 167	103	35 808	34 980	828
<b>Transfers and subsidies</b>	591	355	236	146	99	47
<b>Payments for Capital assets</b>	3 000	181	2 819	1 100	1 506	(406)
<b>Total</b>	<b>36 861</b>	<b>33 703</b>	<b>3 158</b>	<b>37 054</b>	<b>36 585</b>	<b>469</b>

The sub-programme spent 98.7% of the allocated budget in the 2019/20 financial year, as compared to 91.4% spent in the previous year. There is an improvement of 7.3%.

Sub- Programme Name: Operator License & Permits	2018/19			2019/20		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
<b>Current Payments</b>	183 696	171 377	12 319	195 948	195 948	-
<b>Transfers and subsidies</b>	4 424	3 729	695	3 582	3 575	7
<b>Payments for Capital assets</b>	12 141	10 178	1 963	11 435	11 435	-
<b>Total</b>	<b>200 261</b>	<b>185 284</b>	<b>14 977</b>	<b>210 965</b>	<b>210 958</b>	<b>7</b>

For the previous year, the sub-programme spent in overall 92.5% of its total budget. For the year under review, total expenditure is 99.99%. All planned targets were achieved.





Sub- Programme Name: Law Enforcement	2018/19			2019/20		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
<b>Current Payments</b>	338 412	335 201	3 211	359 628	359 508	120
<b>Transfers and Subsidies</b>	2 088	1 748	340	979	982	(3)
<b>Payments for Capital assets</b>	21 580	13 826	7 754	13 464	13 464	-
<b>Total</b>	<b>362 080</b>	<b>350 775</b>	<b>11 305</b>	<b>374 071</b>	<b>373 954</b>	<b>117</b>

The sub-programme has spent in overall 99.97% of its total budget allocation for the year under review. This is an improvement from the previous year, where spending was 96,88%.





## 5 TRANSFER PAYMENTS

### 5.1. Transfer payments to public entities

Public entities receive sizeable transfer payments from government and are often the front-line providers of services on behalf of government. It is therefore important to understand the impact of these services on the community. Departments are requested to provide information on the services provided by these public entities, transfer payments to the public entities, the actual amount spent from the transfer received by the public entities, strategic achievements of the public entity. Departments must also comment on monthly monitoring systems or the lack thereof to monitor spending on such transfer payments. If such monitoring did take place, departments must provide details of difficulties experienced and what steps (if any) were taken to rectify such difficulties.

Name of Public Entity	Services rendered by the public entity	Amount transferred to the public entity	Amount spent by the public entity	Achievements of the public entity
N/A	N/A	N/A	N/A	N/A

### 5.2. Transfer payments to all organisations other than public entities

The table below reflects the transfer payments made for the period 1 April 2019 to 31 March 2020

Name of transferee	Type of organisation	Purpose for which the funds were used	Did the dept. comply with s 38 (1) (j) of the PFMA	Amount transferred	Amount spent by the entity	Reasons for the funds unspent by the entity
<b>1.GANYESA</b>	Community Police Forum	<ul style="list-style-type: none"> <li>Campaign: Itlotlotle ng addressing house breaking, assault and rape</li> <li>Are Boelaneng addressing Community</li> </ul>	Yes	R30 000	R30 000	The remaining balance reflecting as <b>R17 880.18</b> as at





Name of transferee	Type of organisation	Purpose for which the funds were used	Did the dept. comply with s 38 (1) (j) of the PFMA	Amount transferred	Amount spent by the entity	Reasons for the funds unspent by the entity
		Protests				<p><b>02 February 2020 was as a result of unpaid cheque of the service provider who cashed the cheque late and it did not go through hence the amount is reflecting as the balance is R 17 880.18.</b></p> <p>The balance remains as an unpaid due to the high cost of bank charge of the CPF bank account. The structure was advised to make payment arrangements with the service Provider.</p>





Name of transferee	Type of organisation	Purpose for which the funds were used	Did the dept. comply with s 38 (1) (j) of the PFMA	Amount transferred	Amount spent by the entity	Reasons for the funds unspent by the entity
<b>2.VRYBURG</b>	Community Police Forum	<ul style="list-style-type: none"> <li>Campaigns: Rural Safety: Anti- Stock theft &amp; Letsema And Community Protests</li> </ul>	Yes	R52 650	R52 650	N/A
<b>3. LICHTENBURG</b>	Community Police Forum	<ul style="list-style-type: none"> <li>Engagements on Community Service protests</li> <li>Project Gontse to reduce Stock Theft</li> </ul>	Yes	R35 000	R32 659	The remaining balance utilized to cover bank charges
<b>4. ITSOSENG</b>	Community Police Forum	<ul style="list-style-type: none"> <li>Ntwa ga e age</li> <li>Bogodu bo kotsi campaign</li> </ul>	Yes	R40 000	R39 108	The remaining balance utilized to cover bank charges
<b>5.MMABATHO</b>	Community Police Forum	<ul style="list-style-type: none"> <li>House Breaking and Theft campaign</li> </ul>	Yes	R40 000	R24 109	The 2 <sup>nd</sup> Project was delayed due to rescheduling of dates until it was affected by the Lockdown. Project will be implemented in the next financial year
<b>6.MAHIKENG</b>	Community Police Forum	<ul style="list-style-type: none"> <li>Sports against Crime</li> <li>16 days of activism campaign</li> </ul>	Yes	R45 000	R43 613	The remaining balance utilized to cover bank charges





Name of transferee	Type of organisation	Purpose for which the funds were used	Did the dept. comply with s 38 (1) (j) of the PFMA	Amount transferred	Amount spent by the entity	Reasons for the funds unspent by the entity
<b>7.RESPECT SPORTS DEVELOPMENT NPO</b>	Non-Profit Organisation ( NPO)	<ul style="list-style-type: none"> <li>Sports against Crime</li> </ul>	Yes	R40 000	R40 000	N/A
<b>8.OTTOSDAL</b>	Community Police Forum	<ul style="list-style-type: none"> <li>Sport against Crime</li> <li>Engagements on Community Service protests</li> </ul>	Yes	R35 000	R33 800	The remaining balance utilized to cover bank charges
<b>9. WOLMARANSTAD</b>	Community Police Forum	<ul style="list-style-type: none"> <li>I need your help my neighbour awareness campaign</li> <li>Sechaba buang Domestic Violence campaign</li> </ul>	Yes	R40 300	R40 300	N/A
<b>10.VENTERSDORP</b>	Community Police Forum	<ul style="list-style-type: none"> <li>Enough is Enough-16 DAYS awareness campaign</li> <li>Substance Abuse campaign</li> <li>Anti-Stock theft campaign</li> </ul>	Yes	R24 900	R0	Funds were not spent due to CPF structure being dysfunctional and intervention processes were resumed
<b>11.JOUBERTON</b>	Community Police Forum	<ul style="list-style-type: none"> <li>Anti rape awareness campaign</li> <li>Engagement on community service protests</li> </ul>	Yes	R31 400	R19 659.82	The CPF requested to change next project date and was subsequently affected by the lockdown.





Name of transferee	Type of organisation	Purpose for which the funds were used	Did the dept. comply with s 38 (1) (j) of the PFMA	Amount transferred	Amount spent by the entity	Reasons for the funds unspent by the entity
<b>12.IKAGENG</b>	Community Police Forum	<ul style="list-style-type: none"> <li>Drug and Substance Campaign</li> </ul>	Yes	R31 400	R17 280	CPF requester to reschedule the date for the 2 <sup>nd</sup> project. New submission made and the project will be implemented in the next financial year
<b>13.KHUMA</b>	<b>CPF</b>	<ul style="list-style-type: none"> <li>Bua Mocha (Sports against Crime)</li> <li>Know your neighbour</li> </ul>	Yes	R40 000	R36 729.08	The remaining balance utilized to cover bank charges To be utilized for bank charges
<b>14.GAY UMBRELLA</b>	NPO	<ul style="list-style-type: none"> <li>Community education on LGBTIQ+ with focus on transgender (ntseye jaaka ke ntse)</li> </ul>	Yes	R40 000	R40 000	N/A
<b>15.KLERKSDO RP</b>	Community Police Forum	<ul style="list-style-type: none"> <li>Crime Prevention awareness campaign – O kae molao</li> <li>Kwanele – enough stand up against drugs and substance abuse</li> </ul>	Yes	R 31 100	R28 883.66	The remaining balance utilized to cover bank charges







Name of transferee	Type of organisation	Purpose for which the funds were used	Did the dept. comply with s 38 (1) (j) of the PFMA	Amount transferred	Amount spent by the entity	Reasons for the funds unspent by the entity
<b>16.MOINOOI</b>	Community Police Forum	<ul style="list-style-type: none"> <li>• Anti Gangsterism Learners Campaign</li> <li>• Sports against crime</li> </ul>	Yes	R45 000	R42 827.17	The remaining balance utilized to cover bank charges
<b>17.LETLHABILE</b>	Community Police Forum	<ul style="list-style-type: none"> <li>• Lets talk Æ re Bue Community Awareness Campaign</li> </ul>	Yes	R31 700	R30 712.58	The remaining balance utilized to cover bank charges
<b>18.MOGWASE</b>	Community Police Forum	<ul style="list-style-type: none"> <li>• Gender Based Violence Campaign</li> </ul>	Yes	R27 100	R20 920.69	The remaining amount will be used for promotional material and after lockdown
<b>19.MMAKAU</b>	Community Police Forum	<ul style="list-style-type: none"> <li>• Re tla kgona Anti Abuse campaign</li> </ul>	Yes	R17 500	R16 955.19	The remaining balance utilized to cover bank charges
<b>20. BOITEKONG</b>	Community Police Forum	<ul style="list-style-type: none"> <li>• Stop nonsense (Rape , theft, House Breaking awareness campaign &amp; Have Mercy (Rape and Child abuse)</li> </ul>	Yes	R26 600	R24 700	The remaining balance utilized to cover bank charges





Name of transferee	Type of organisation	Purpose for which the funds were used	Did the dept. comply with s 38 (1) (j) of the PFMA	Amount transferred	Amount spent by the entity	Reasons for the funds unspent by the entity
		<ul style="list-style-type: none"> <li>Zithande (Anti substance abuse awareness campaign)</li> </ul>				
<b>21.MAKAPANS TAD RURAL DEVELOPMENT</b>	NPO	<ul style="list-style-type: none"> <li>Engagement on Community Service Delivery protest</li> </ul>	Yes	R23 000	R13 448.10	The procurement of promotional material was delayed and will be purchased post lockdown.
<b>22.BOJANALA DISTRICT (BODISAC)</b>	NPO	<ul style="list-style-type: none"> <li>Door to Door awareness campaign</li> <li>16 days of activism campaign</li> <li>Promotion of healthy life style through sports</li> </ul>	Yes	R25 000	R24 415	The remaining balance utilized to cover bank charges
<b>23.RUSTENBURG</b>	Community Police Forum	<ul style="list-style-type: none"> <li>Campaign on prevention of violence against vulnerable groups</li> <li>Safer schools dialogue</li> </ul>	Yes	R21 810	R1000.00	The Projects were delayed due to rescheduling of dates until it was affected by the Lockdown. Projects will be implemented in the





Name of transferee	Type of organisation	Purpose for which the funds were used	Did the dept. comply with s 38 (1) (j) of the PFMA	Amount transferred	Amount spent by the entity	Reasons for the funds unspent by the entity
						next financial year. The expenditure reflected is bank charges
<b>24.TLHABANE</b>	Community Police Forum	<ul style="list-style-type: none"> <li>• Anti Rape awareness campaign</li> </ul>	Yes	R21 810	R2 634.79	The Project was delayed due to rescheduling of dates until it was affected by the Lockdown. Project will be implemented in the next financial year. The expenditure reflected is bank charges
<b>25.BRITS</b>	Community Police Forum	<ul style="list-style-type: none"> <li>• 16 days of activism campaign</li> <li>• Stop Farm Attacks campaign</li> <li>• Moral Regeneration</li> </ul>	Yes	R21 810	R1 935,93	The Project was delayed due to rescheduling of dates





Name of transferee	Type of organisation	Purpose for which the funds were used	Did the dept. comply with s 38 (1) (j) of the PFMA	Amount transferred	Amount spent by the entity	Reasons for the funds unspent by the entity
						until it was affected by the Lockdown. Project will be implemented in the next financial year. The expenditure reflected is bank charges
<b>26.BLOEMHOF</b>	Community Police Forum	<ul style="list-style-type: none"> <li>▪ Sports Against Crime Tournament addressing Drug and Substance Abuse</li> </ul>	Yes	R42 000	R37 202.83	Project not yet implemented due to community unrests. Only promotional material was purchased and the project will be implemented in the next financial year
<b>27.PUDIMOE</b>	Community Police Forum	<ul style="list-style-type: none"> <li>▪ Awareness Campaign on Stock Theft</li> </ul>	Yes	R27 200	R20 779.54	Part of the funds were not utilised due to rescheduling of dates





Name of transferee	Type of organisation	Purpose for which the funds were used	Did the dept. comply with s 38 (1) (j) of the PFMA	Amount transferred	Amount spent by the entity	Reasons for the funds unspent by the entity
						until it was affected by the Lockdown. Project will be implemented in the next financial year.
<b>28.TAUNG YOUTH BATALLION</b>	Community Police Forum	•Sports Against Crime Tournament addressing Drug and Substance Abuse	Yes	R20 000	R20 000	N/A
<b>29. LEHURUTSHE</b>	Community Police Forum	<ul style="list-style-type: none"> <li>• Campaigns: Illegal Community Protests</li> <li>• Anti-Stock theft Awareness Campaign</li> </ul>	Yes	R40 000	R32 200	The 2 <sup>nd</sup> Project was delayed due to rescheduling of dates until it was affected by the Lockdown. Project will be implemented in the next financial year
<b>30. ZEERUST</b>	Community Police	<ul style="list-style-type: none"> <li>• Campaign on House breaking &amp; Assault</li> </ul>	Yes	R30 000	R3 500	Part of the funds were not utilised





Name of transferee	Type of organisation	Purpose for which the funds were used	Did the dept. comply with s 38 (1) (j) of the PFMA	Amount transferred	Amount spent by the entity	Reasons for the funds unspent by the entity
	Forum	<ul style="list-style-type: none"> <li>Community Protest Awareness Campaign</li> </ul>				due to rescheduling of dates until it was affected by the Lockdown. Project will be implemented in the next financial year. The amount spent was used for catering during CPF Induction Workshop
<b>31. SETLAGOLE</b>	Community Police Forum	<ul style="list-style-type: none"> <li>Stock theft Awareness Campaign</li> <li>16 Days of Activism campaign</li> <li>Burglary awareness campaign</li> </ul>		R28 950	R20 457	The remaining amount of R8493.00 will be utilised for the 2 <sup>nd</sup> project in the next financial year
<b>32. NW COMMUNITY POLICE BOARD</b>	Community Police Forum	<ul style="list-style-type: none"> <li>Campaign on Gender Based Violence (Boitekong)</li> <li>Community protests and Moral Regeneration</li> </ul>	Yes	R73 730	R115.44	Balance remaining R74 116.00 The Project was delayed due to rescheduling





Name of transferee	Type of organisation	Purpose for which the funds were used	Did the dept. comply with s 38 (1) (j) of the PFMA	Amount transferred	Amount spent by the entity	Reasons for the funds unspent by the entity
		programme(Ganyesa) <ul style="list-style-type: none"> <li>Anti-stock theft programme(Ventersdorp)</li> </ul>				ng of dates until it was affected by the Lockdown. Project will be implemented in the next financial year. The expenditure reflected is for catering

The table below reflects the transfer payments which were budgeted for in the period 1 April 2019 to 31 March 2020, but no transfer payments were made.

Name of transferee	Purpose for which the funds were to be used	Amount budgeted for	Amount transferred	Reasons why funds were not transferred
<i>N/A</i>	<i>N/A</i>	<i>N/A</i>	<i>N/A</i>	<i>N/A</i>





## 6 CONDITIONAL GRANTS

### 6.1. Conditional grants and earmarked funds paid

The table below describes each of the conditional grants and earmarked funds paid by the department.

Conditional Grant 1:

Department/ Municipality to whom the grant has been transferred	N/A
Purpose of the grant	N/A
Expected outputs of the grant	N/A
Actual outputs achieved	N/A
Amount per amended DORA	N/A
Amount transferred	N/A
Reasons if amount as per DORA not transferred	N/A
Amount spent by the department/ municipality	N/A
Reasons for the funds unspent by the entity	N/A
Monitoring mechanism by the transferring department	N/A







Conditional Grant 2:

Department/ Municipality to whom the grant has been transferred	N/A
Purpose of the grant	N/A
Expected outputs of the grant	N/A
Actual outputs achieved	N/A
Amount per amended DORA	N/A
Amount transferred	N/A
Reasons if amount as per DORA not transferred	N/A
Amount spent by the department/ municipality	N/A
Reasons for the funds unspent by the entity	N/A
Monitoring mechanism by the transferring department	N/A

**6.2. Conditional grants and earmarked funds received**

The Department received a grant of R2 080 000 from the Department of Public Works for implementation of the Community Safety Patrollers Programme. The target was to appoint 100 patrollers, and the other 300 were to be appointed with the Equitable Share. All the funds were spent on stipend and other resources like protective clothing. The total number of patrollers appointed as at end of the financial year were 428.

The Department complied with provisions of the DoRA , in terms of reporting to the funding Body on a quarterly basis.

The table/s below details the conditional grants and ear marked funds received during for the period 1 April 2019 to 31 March 2020.





Conditional Grant 1: Public Transport Operations Grant(PTOG)

Department/ Municipality to whom the grant has been transferred	Department of Transport
Purpose of the grant	To provide supplementary funding towards Public Transport Services provided by Provincial Departments of Transport.
Expected outputs of the grant	<ul style="list-style-type: none"> <li>• Number of vehicles subsidised</li> <li>• Number of cumulative annual vehicles subsidised</li> <li>• Number of scheduled trips</li> <li>• Number of trips operated</li> <li>• Number of passengers</li> <li>• Number of kilometres</li> <li>• Number of employees</li> </ul>
Actual outputs achieved	<ul style="list-style-type: none"> <li>• Number of vehicles subsidised: 84</li> <li>• Number of cumulative annual vehicles subsidised: 1156</li> <li>• Number of scheduled trips: 113 084</li> <li>• Number of trips operated: 99 141</li> <li>• Number of passengers: 2 536 348</li> <li>• Number of kilometres: 5 643 246</li> </ul>
Amount per amended DORA	R116 603
Amount transferred (R'000)	R116 603
Reasons if amount as per DORA not transferred	None
Amount spent by the department/ municipality (R'000)	R116 603





Reasons for the funds unspent by the entity	None
Monitoring mechanism by the transferring department	Monthly progress reports

Conditional Grant 2: Incentive Grant: EPWP

Department who transferred the grant	Department of Public Works
Purpose of the grant	To provide funding for implementation of community safety patrollers programme in the EPW Programme.
Expected outputs of the grant	Improved number of people employed and receiving income through EPWP(102 PARTICIPANTS).
Actual outputs achieved	A total of 426 participants were appointed on a contractual basis in the four Districts covering a target of twenty wards. 60% of the participants constituted youth and training was provided to 60 people as part of the exit strategy.
Amount per amended DORA (R'000)	R 2 080
Amount received (R'000)	R 2 080
Reasons if amount as per DORA was not received	None
Amount spent by the department (R'000)	R 2 080
Reasons for the funds unspent by the entity	None
Reasons for deviations on performance	None
Measures taken to improve performance	None
Monitoring mechanism by the receiving department	Weekly & Monthly monitoring was conducted and attendance registers consolidated, prior payment of stipends to ensure value for money.





## 7. DONOR FUNDS

### 7.1. Donor Funds Received

Donor assistance includes both cash and in-kind contributions.

Name of donor	N/A
Full amount of the funding	N/A
Period of the commitment	N/A
Purpose of the funding	N/A
Expected outputs	N/A
Actual outputs achieved	N/A
Amount received in current period	N/A
Amount spent by the department	N/A
Reasons for the funds unspent	N/A
Monitoring mechanism by the donor	N/A

## 8. CAPITAL INVESTMENT

During the 2018/19 financial year, the Department managed to complete two projects, namely Madikwe VTS and Repair of Pilanesberg Airport runway. There are also two projects that are in progress, namely Lichtenburg Weighbridge Project that is envisaged to be completed in August 2019 and Kgomoitso Registering Authority Project which is envisaged to be completed in June 2019.

For the year under review, four projects were implemented, of which two were new projects, namely fencing at Pilanesburg Airport and Refurbishment of Mogwase Registering Authority. The other two projects were the finalisation of projects started in the prior years, which is the Lichtenburg Weighbridge and the Kgomoitso Registering Authority.





Infrastructure projects	2018/2019			2019/2020		
	Final Appropriation R'000	Actual Expenditure R'000	(Over)/Under Expenditure R'000	Final Appropriation R'000	Actual Expenditure R'000	(Over)/Under Expenditure R'000
New and replacement assets	14 077	7 824	6 253			
Existing infrastructure assets						
- Upgrades and additions	3 570	2 451	1 119	<b>15 406</b>	<b>13 992</b>	<b>2 170</b>
- Rehabilitation, renovations and refurbishments	5 580	4 379	201			
- Maintenance and repairs						
Infrastructure transfer						
- Current						
- Capital						
<b>Total</b>	<b>25 227</b>	<b>14 654</b>	<b>10 573</b>	<b>15 406</b>	<b>13 992</b>	<b>2 170</b>



## PART C: GOVERNANCE





## **1. INTRODUCTION**

Commitment by the department to maintain the highest standards of governance is fundamental to the management of public finances and resources. Users want assurance that the department has good governance structures in place to effectively, efficiently and economically utilize the state resources, which is funded by the tax payer.

The Department is committed to maintain the highest standard of good governance to ensure proper management and accountability of public funds and resources. This is done through submission and presentation of reports to governance or oversight structures such as the Public Accounts Committee, Portfolio Committee, Audit and Risk Committees, etc. Recommendations and advice received from these structures were implemented to ensure improved control environment.

The Department has also appointed skilled and competent staff in all key positions to ensure that public funds are used for the intended purposes and accounted for, policies and procedures are in place to ensure alignment with the mandate of the Department and other legislative requirements and are Implemented and monitored for compliance and where gaps were identified, corrective measures were taken.

The Department further aligned itself with the National and Provincial Anti-Corruption strategies to ensure that all allegations of fraud and corruption are investigated and reported. The Department commissioned investigations into allegations of irregularities on contracts issued and corrective actions will be taken against anyone who will be found at fault. This was to ensure that the reputation of the Department is maintained and resources safe-guarded.





## 2. RISK MANAGEMENT

The Department had during the year under review, reviewed its risk management policies and strategies to ensure alignment to its mandate and the changing environment.

The annual risk assessment was conducted to determine whether there are new emerging risks and to identify strategies to mitigate such risks, and further to ensure achievement of Departmental goals and objectives. Emerging risks were identified and the register was updated accordingly. Monitoring of treatment plans were done throughout the year on quarterly basis.

The Department had a Risk Management Committee that advised the Accounting Officer on matters of risk management in fulfilling his/her mandate as required by section 38(1)(a)(i) of the PFMA and in line with the Public Sector Risk Management Framework developed by National Treasury.

The Risk Management Committee was chaired by an independent Chairperson, with vast amount of experience in both public and private sector; serving in the Committee also is two independent/ external members and two internal members nominated/appointed from the management team. The Risk Management Committee has adopted formal approved terms of reference as contained in the Risk Management Committee Charter, and regulates its affairs and discharges all its responsibilities in compliance with the Charter. According to the provision of the approved Charter, the Risk Management Committee is supposed to hold four (quarterly) meetings but due to the outbreak of the Covid-19 viruses only 3 scheduled and 1 special meetings were held with an average of 85% attendance.

The Chairperson of the Risk Management Committee reports quarterly to the Audit Committee in fulfilling the Risk Management Committee's oversight role. It is on the basis of the reports and the opinion of the Internal Auditors review of risk management process conducted that the Audit Committee provides their advice and recommendations to the Department.

The Chairperson of the Risk Management Committee maintains constant communication with the Accounting Officer either through a formal report after every meeting or one-on-one meetings when there is a need.

The Provincial Risk Management also provides oversight role the effective and adequate implementation of risk management within the Department. These is done through quarterly monitoring reports submitted to the Provincial Risk Management by the Department and feedback reports from the Provincial Risk Management on their assessment of the implementation of risk management process within the Department to the Accounting Officer. The reports are written form; highlights areas of weakness and recommendations for improvement.







The Committee's responsibility is amongst other things; is annual review of the Risk Management policy and strategy and recommend for approval by the Accounting Officer. The approved policy and strategy for risk management for 2019/20 are in place and guided the implementation of the risk management process during the financial year. It also monitor the progress made on the implementation of risk treatment plans put in place to reduce the level of risks which threatens the achievement of the mandate of the Department.

During the year under review; the Committee amongst others recommended that even though the BCP have not been finalised, there is a need that the Department perform an evacuation test on the Head Office building (Tirelo building) to test if there will be a safe evacuation during the time of disaster. There were lessons learned from that process and it's on the basis of that that a way forward was mapped.

### **3. FRAUD AND CORRUPTION**

The Department view acts of fraud, corruption and any unethical behaviour as a critical risk with a potential to deplete Department's resources, service delivery efficiency and reputation. It therefore adopts a culture of zero tolerance to fraud and corruption. There are measures put in place aimed at prevention, detection of occurrence of incidents on fraud and corruption as outlined in an approved anti-corruption, ethics and integrity policy and strategy and the approved whistle blowing policy to guide the process of reporting identified incidents of fraud and corruption.

The Department in its approved whistle blowing policy committed towards promoting a culture of openness and transparency and that a strict confidentiality measures will be exercised and maintained regarding the identity of the whistle-blower.

Awareness campaigns which are policy based have been conducted during the year under review for officials in Head Office and two District Offices. The programme was disrupted by restrictions on Covid-19. The outstanding districts will be conducted in the next financial year or after relaxation of the regulations.

Three (3) cases of fraud & corruption have been received of which Two (2) cases were finalised and One (1) still at hearing stage.





#### **4. MINIMISING CONFLICT OF INTEREST**

The Department has developed a Remunerative Work Outside the Public Service (RWOPS) Policy in line with the Public Service Regulations 2016 as a further measure to create awareness amongst employees to minimise conflict of interest. There are also workshops that are held throughout to all levels of the staff to create awareness not to do business with an organ of state.

#### **5. CODE OF CONDUCT**

Brief description and nature of code of conduct /ethics and the effect it has on the department and if the department is adhering to the Public Service Code of Conduct. Discuss the process followed for the breach of code of conduct.

All newly appointed employees are work shopped during induction program. It has a positive effect on the department as employees are informed of the types of misconduct that are transgressed on a regular basis. The process followed for the breach of code of conduct is that an investigation into the alleged misconduct are done and should it be found that the employee(s) is / are in breach of the code of conduct, consequence management are implemented in terms of the disciplinary code.

#### **6. HEALTH SAFETY AND ENVIRONMENTAL ISSUES**

Section 8 of the Occupational Health and Safety Act deems the Employer is liable for provision of a healthy and safe working environment, clients and customers. The Integrated Employee Health and Wellness has conducted 26 Occupational Health Inspections at Ventersdorp Weighbridge, Christiana, Wolmarandstad, Mmabatho, Jaws, Delareyville, Mmabatho Garage, Jaws Office, Vryburg, Scwheizereneke, Pilanesberg Airport and Tirelo Building.

5 Occupational Awareness Education conducted, 1 Provincial Risk Assessment through cleaning campaign, 3 Risk Assessments were conducted. An Emergency Evacuation Drill at Tirelo Building was also conducted to test the readiness in case fire and other unforeseen circumstances can occur.





## 7. PORTFOLIO COMMITTEES

<b>Date of meeting</b>	<b>Matters raised by Portfolio Committee</b>	<b>How has the Department addressed these matters?</b>
12 July 2019	The role of the Administrator in terms of Section 100 in the Department The name change of the Airport and the SA Express matter The building of Ganyesa Trauma Centre	The Portfolio Committee was informed that the Department of Community Safety and Transport Management was placed under section 100 (b) of the Constitution of the Republic of South Africa, which clearly meant that executive powers of the EA and the powers of the HOD as the Accounting Officer will now be placed on the hands of the National Government and be exercised by the Minister concern and the National Department, in consultation with the National Treasury, will appoint the Administrator, who will resume the role of the Accounting Officer as per the stipulations of the PMFA.
24 October 2019	The Department appeared before the Portfolio Committee to brief the committee about the 2018/19 Annual report. Members of the Portfolio Committee raised issued related to challenges facing scholar transport, as well as Mafikeng Airport	The Department acknowledged challenges in the scholar transport with regard to the payments. The Department committed to submit a comprehensive report to the portfolio committee on matters relating to scholar transport the Mafikeng Airport. Reports has since been submitted on the stipulated time.
05 November 2019	The NTI Turnaround strategy	The Department indicated that the Board of Directors were appointed to, among





		<p>other things, develop a turn-around-Strategy for NTI. Unfortunately, the entity was placed under provisional liquidation which curtailed the powers of the Board. The Administrator further indicated that, as resolved by the shareholders, the Department, in consultation with the Provincial Treasury will develop a business case to highlight areas of interventions. The Department, as shareholder representative, was further tasked with the responsibility of reviewing the contract of the CEO of NTI.</p>
	<p>The committee further needed clarification on the establishment of the Civilian Secretariat in terms of the Act.</p>	<p>The department indicated that currently Civilian Secretariat is still a chief directorate within the department. The Portfolio Committee then resolved to have a special session to deal with matters related to Civilian Secretariat specifically. Such meeting didn't take place till the end of the financial year.</p>





## 8. SCOPA RESOLUTIONS

RESOLUTION NUMBER	SUBJECT	DETAILS	RESPONSE BY THE DEPARTMENT	RESOLVED YES / NO
<p><b>Question 1</b> <b>Par 3</b></p>	<p><b>Goods and Services</b></p>	<p><b>What monitoring controls have been implemented to ensure that sufficient audit evidence is available for all transactions before submission for audit?</b></p>	<p>The auditors were unable to obtain sufficient appropriate audit evidence for payments to scholar transport service providers that have been accounted for as transport provided as part of departmental activities, which was included in goods and services. The department has done the following to address the finding: -</p> <ul style="list-style-type: none"> <li>✓ Amended the checklist for scholar transport and ensure that it is accurately completed prior to processing payment; The checklist has been developed and implemented, processes are in place to monitor implementation.</li> <li>✓ Compiled a list of operators and ensured that correct contracts with correct kilometres are</li> </ul>	<p><b>Yes</b></p>





RESOLUTION NUMBER	SUBJECT	DETAILS	RESPONSE BY THE DEPARTMENT	RESOLVED YES / NO
			<p>attached to vouchers and properly filed as per the list of operators;</p> <ul style="list-style-type: none"> <li>✓ Verification of routes in all the Districts (Awaiting Approval for Bojanala and NMMD);</li> <li>✓ Amendment/addendum on intervention contracts to be done after verification of routes;</li> <li>✓ NTI has been instructed not to issue and new contracts;</li> <li>✓ Amend the original contracts to include routes descriptions.</li> </ul>	
<p><b>Question 2</b> <b>Par 4</b></p>	<p><b>Commitments</b></p>	<p><b>What systems have subsequently been implemented to ensure that commitments are correctly recorded and disclosed?</b></p>	<p>The audit finding was that the Department did not have adequate systems to ensure that commitments were correctly recorded and disclosed as required by the MCS. Consequently commitments disclosed are overstated.</p> <p><b>In order to address the audit finding, the Department has done the following:</b></p> <ul style="list-style-type: none"> <li>• Reviewed the commitment register to ensure that all</li> </ul>	<p><b>Yes</b></p>





RESOLUTION NUMBER	SUBJECT	DETAILS	RESPONSE BY THE DEPARTMENT	RESOLVED YES / NO
			<p>contracts are recorded, with all the information as follows:</p> <ul style="list-style-type: none"> <li>○ Name of the operator contracted</li> <li>○ Routes descriptions and distance in kilometres</li> <li>○ Schools that are serviced</li> <li>○ No of school days per month for the entire contract period</li> <li>○ Amounts to be paid</li> </ul> <p>This information was used to calculate the committed amount for the remaining period of the contract. The commitment register is then monitored on a monthly and quarterly basis, to determine the payment made as at the reporting date, as well as the remaining amount for the remaining contract period.</p> <ul style="list-style-type: none"> <li>• All contract awarded will be created as projects on</li> </ul>	





RESOLUTION NUMBER	SUBJECT	DETAILS	RESPONSE BY THE DEPARTMENT	RESOLVED YES / NO
			BAS and related budget will be committed to the project;	
<b>Question 3</b> <b>Par 5</b>	<b>Movable tangible capital assets</b>	<b>What control measures have been implemented to ensure that assets that have been disposed off are removed from the asset register?</b>	<p>The department has assessed the current reporting mechanism, and has resolved that the Vehicle Monitoring System (VMS) be used as the reporting system as opposed to the current system to ensure that differences and discrepancies are identified immediately and resolved.</p> <ul style="list-style-type: none"> <li>✓ Reconciliations between WALKER and the Vehicle Monitoring System have been done as at the end of September 2018, and will continue every month for the entire financial year. All differences or discrepancies are being investigated and resolved. Reconciliations and other reports will be prepared by one official and reviewed by another in the fleet management unit. The same reports will be reviewed also by</li> </ul>	<b>YES</b>







RESOLUTION NUMBER	SUBJECT	DETAILS	RESPONSE BY THE DEPARTMENT	RESOLVED YES / NO
			<p>the Chief Financial Officer before being captured onto the financial statements</p> <ul style="list-style-type: none"> <li>✓ The Department has also developed a reporting template. This will be used to report auctioned, written off, registered, procured and stolen vehicles to SCM through office of the Chief Financial Officer, and the asset register will be updated accordingly.</li> </ul>	
<p><b>Question 4</b> <b>Par 6</b></p>	<p><b>Irregular expenditure</b></p>	<p><b>4.1 Has the irregular expenditure of R105 057 894 made in contravention of the supply chain management requirements which were not included in irregular expenditure disclosed as well as other irregular expenditure been dealt with in terms of section 38 and chapter 10 of the PFMA that requires the</b></p>	<p>4.1.1 Not all irregular expenditure cases have been finalised, and dealt with in terms of section 38 and chapter 10 of the PFMA. Only the commuter bus services case has been finalised by the advisory committee and the department has applied for condonement during 2018/19 financial year. The following are findings on the investigation:-</p> <ul style="list-style-type: none"> <li>✓ Irregular expenditure could not have been avoided by the department of Community Safety</li> </ul>	<p><b>NO</b></p>





RESOLUTION NUMBER	SUBJECT	DETAILS	RESPONSE BY THE DEPARTMENT	RESOLVED YES / NO
		<p><b>accounting officer to investigate unauthorised, irregular and fruitless and wasteful expenditure that occurred and take effective and appropriate disciplinary steps against officials who make or permit such expenditure? Please submit all the steps taken in this regard.</b></p>	<p>and Transport Management, as these contracts were inherited from the erstwhile Department of Public Works, Roads and Transport</p> <ul style="list-style-type: none"> <li>✓ The Province has received a MINMEC extension which allowed the department to extend the existing contracts to allow for the finalization of the Integrated Transport Plans.</li> <li>✓ To date the department is finalizing its Provincial Land Transport Framework (PLTF) and currently assisting all district / local municipalities with developing/updating their respective Integrated Transport Plans.</li> </ul> <p><b>LOSSES SUFFERED BY THE STATE</b></p> <p>The department was obliged to continue with the current bus companies as the MINMEC recommended. Therefore, the department could not include or go on open tender, but services were rendered to our communities in the Three Districts, except for Dr KK district. There were no financial losses suffered by the state.</p> <p>4.1.2 Furthermore, the department has reconstituted the</p>	





RESOLUTION NUMBER	SUBJECT	DETAILS	RESPONSE BY THE DEPARTMENT	RESOLVED YES / NO
			Advisory committee that is responsible for the investigation on all matters that has been identified as irregular, Fruitless and Wasteful expenditure.	
		<b>4.2 What was the root cause of the Irregular expenditure and how is it being addressed?</b>	<p><b>Root causes of irregular expenditure:</b></p> <p><b>Non-compliance to supply chain management prescripts and legislation</b></p> <ul style="list-style-type: none"> <li>- SCM tender processes not followed (i.e SA Express, NWDC Security Tender);</li> <li>• Contracts exceeding the 15% threshold without Treasury approval;</li> <li>• Some of the Standard Bidding Documents were not attached on the payment voucher, specifically the SBD 6.2 for local contents (Uniform).</li> </ul> <p><b>The Department is doing the following to prevent irregular expenditure:</b></p> <ul style="list-style-type: none"> <li>• Reviewing and terminating irregular contracts( the</li> </ul>	





RESOLUTION NUMBER	SUBJECT	DETAILS	RESPONSE BY THE DEPARTMENT	RESOLVED YES / NO
			<p>department is in the process of advertising for new contracts in line with SCM Prescripts);</p> <ul style="list-style-type: none"> <li>• Strict Implementation of policies and procedures</li> <li>• Conduct workshops to Supply Chain Management Practitioners, Internal Control officials and Chief user clerks on SCM processes annually and as and when the need arise;</li> <li>• Close supervision on application of SCM processes through verification of payment of vouchers and signing off the checklist;</li> <li>• Verify all Construction related Service Providers on the CIDB system to ensure that both the department and the service provider comply with CIDB requirements - review of compliance checklist to include CIBD verification;</li> <li>• Monitoring of contract register on monthly basis</li> <li>• All bids will be subject to compliance review by internal Control function prior to award.</li> </ul>	





RESOLUTION NUMBER	SUBJECT	DETAILS	RESPONSE BY THE DEPARTMENT	RESOLVED YES / NO
			<ul style="list-style-type: none"> <li>All bid committees are being reconstituted.</li> <li>The new Accounting Officer is looking at instituting a forensic investigation to determine if any current or former official should be held accountable for any irregular expenditure.</li> </ul>	
<p><b>Question 5</b> <b>Par 11-12</b></p>	<p><b>Unauthorised and fruitless and wasteful expenditure</b></p>	<p><b>5.1 Has the unauthorised expenditure of R13 662 000 in respect of prior year subsequently been resolved?</b></p>	<p>For the financial year 2014/15, the total unauthorised expenditure incurred was R13, 662m and it was over expenditure on programme 3: Transport Regulation, Compensation of Employees (Overtime Payments) and Travelling and Subsistence expenditure under goods and services which are the main cost drivers within the programme.</p> <p>An application for condonement was done and submitted to treasury based on the fact that:</p> <ul style="list-style-type: none"> <li>The amount was incurred to render service in the normal course of business</li> <li>The services rendered were audited by</li> </ul>	<p><b>NO</b></p>





RESOLUTION NUMBER	SUBJECT	DETAILS	RESPONSE BY THE DEPARTMENT	RESOLVED YES / NO
			Auditor General during the audit <ul style="list-style-type: none"> <li>• The findings, as per the Auditor General were that the expenditure is of non-compliance and not fraudulent nature.</li> <li>• The recorded unauthorised expenditure should be viewed as services rendered with no intension of causing malicious loss to the state.</li> </ul>	
		<b>5.2 Has the fruitless and wasteful expenditure of R163 000 that was incurred in the current year and fruitless and wasteful expenditure of R3 469 000 from prior years been dealt with in terms of section 38 and</b>	Cases of fruitless and wasteful expenditure have not yet been dealt with and finalised. These will be investigated by the advisory committee and are expected to be finalised in the third and fourth quarters.	<b>NO</b>





<b>RESOLUTION NUMBER</b>	<b>SUBJECT</b>	<b>DETAILS</b>	<b>RESPONSE BY THE DEPARTMENT</b>	<b>RESOLVED YES / NO</b>
		<b>chapter 10 of the PFMA? Please submit all the steps taken in this regard.</b>		





RESOLUTION NUMBER	SUBJECT	DETAILS	RESPONSE BY THE DEPARTMENT	RESOLVED YES / NO
<p><b>Question 6</b>  <b>Par 13</b></p>	<p><b>Material underspending of the budget</b></p>	<p><b>6.1 What was the reason for the underspending of the budget on Programme 3: Transport Operations by R22 040 000?</b></p>	<p>Major under spending of the programme was on Buildings and Other Fixed Structures. Two projects could not be implemented during the year as planned. These are the aviation academy project, as well as the demarcation of building at the airport for the creation of offices. This unspent budget amounts to R9,4m. The other balance of R9,087m was on transfers, which was a balance on the amount which was to be transferred for airports management companies, and R3,5m was for planned posts that could not be filled during the financial year.</p>	
		<p><b>6.2 As underspending of Programme 3 was also reported in the prior year, to what extent was service delivery affected?</b></p>	<p>All targets as set out in the Annual Performance Plan were not affected by the under expenditure</p>	







RESOLUTION NUMBER	SUBJECT	DETAILS	RESPONSE BY THE DEPARTMENT	RESOLVED YES / NO
<p><b><u>Question 7</u></b>  <b>Par 14</b></p>	<p><b>Material uncertainty relating to contingencies</b></p>	<p><b>Please submit details of the lawsuits in which the department is a defendant as well as the amounts involved.</b></p>	<p>The Department has 47 litigation cases at a total value of R 133 087 551,68. Out of the 47 cases, 11 cases are dormant whilst 36 are active. The age analysis of all cases have been conducted and the dormant cases range between the years 2009 - 2015 with their total value of R35 635 840,18. The active litigation claims on the other hand range between the years 2016-2018 with the total value of R74 843 366,33</p> <p>The litigation cases are based on various causes of actions with various amounts and are categorised as follows;</p> <p>The Department makes use of the Provincial Monitoring and Evaluation reporting system to improve record keeping of evidence. Policy on Management of Performance Information, as approved by the Head of</p>	
<p><b><u>Question 8</u></b></p>	<p><b>Annual</b></p>	<p><b>8.1 What control</b></p>	<p>Department, states that Programme Managers will</p>	<p><b>YES</b></p>





RESOLUTION NUMBER	SUBJECT	DETAILS	RESPONSE BY THE DEPARTMENT	RESOLVED YES / NO
Par 21-30	Performance Report	<p><b>measures have been implemented to improve the record keeping of evidence to support the reported achievements and variances?</b></p>	<p>capture the output and upload the Portfolio of Evidence (PoE) for reported outputs and reasons for variance (for all cases of under or over-achievement) on the Provincial Monitoring and Evaluation Reporting System.</p> <p>The Departmental Policy on Management of Performance Information will be amended to strengthen controls for the management and storage of Portfolio of Evidence. This will provide clarity on responsibilities of relevant stakeholders with regard to management of evidence, submission and registration of evidence, storage and safe-keeping of evidence.</p>	
		<p><b>8.2 Has an adequate performance management system been implemented to</b></p>	<p>The Provincial Monitoring and Evaluation reporting system capacity is limited to can upload voluminous Portfolio of Evidence.</p> <p>To further improve this process, the Department will</p>	<p><b>YES</b></p>





RESOLUTION NUMBER	SUBJECT	DETAILS	RESPONSE BY THE DEPARTMENT	RESOLVED YES / NO
		<p><b>maintain records to enable reliable reporting on achievement of targets, as similar matters were reported in the prior year?</b></p>	<p>create a Standard Operating Procedure (SOP), within is internal Policy on PME, that will detail central storage of evidence. The SOP will also detail management and storage of both physical and electronic data.</p> <p>Measures have already been put in place to allow managers to upload indices detailing:</p> <ul style="list-style-type: none"> <li>• how and where Portfolio of evidence is kept,</li> <li>• specifying the station,</li> <li>• room number and</li> <li>• the file number together with both weekly and monthly entries from the first month to the last month of the financial year under review.</li> </ul> <p>As per the current Departmental Policy on PME, the responsibility of the safe keeping of the PoE lies with the line managers.</p>	





RESOLUTION NUMBER	SUBJECT	DETAILS	RESPONSE BY THE DEPARTMENT	RESOLVED YES / NO
			<p>However, in light of the repeated audit findings, the proposed SOP will promote centralisation of PoE especially for Chief Directorates with voluminous amounts of PoE. The Planning Monitoring and Evaluation unit will continue to verify and validate evidence on a sample basis.</p>	





RESOLUTION NUMBER	SUBJECT	DETAILS	RESPONSE BY THE DEPARTMENT	RESOLVED YES / NO
<p><b>Question 9</b>  <b>Par 32-40</b></p>	<p><b>Compliance with legislation</b></p>	<p><b>9.1</b>  <b>What control measures have been implemented to ensure that financial statements are prepared in accordance with the prescribed financial reporting framework and supported by full and proper records as required by section 40(1)(a) and (b) of the PFMA before submission for audit and what monitoring controls are in place to detect and correct material</b></p>	<ul style="list-style-type: none"> <li>• Training on the Modified Cash Standards will be provided to preparers of inputs into the financial statements in the fourth quarter of the financial year, in preparation for the drafting of the Annual Financial Statements.</li> <li>• Reviewing of prepared financial statements as well as that of the audit file will also be strengthened in line with the approved financial statements preparation and review plan. The Provincial Internal Audit (PIA) will also continue to review the financial statements to provide assurance</li> <li>• A financial statement review plan was developed and approved by the HoD, and is being implemented. This plan documents what is to be</li> </ul>	<p><b>YES</b></p>





RESOLUTION NUMBER	SUBJECT	DETAILS	RESPONSE BY THE DEPARTMENT	RESOLVED YES / NO
		<p><b>misstatements before finalising the financial statements?</b></p>	<p>done, with responsible officials and timeframes. Also, a checklist has also been developed in accordance to the audit file index to ensure compliance, correctness and accuracy of information to be captured on the financial statements, with responsibilities attached for each note into the financial statements, as well as how and what the supporting documents for each note must be.</p>	





RESOLUTION NUMBER	SUBJECT	DETAILS	RESPONSE BY THE DEPARTMENT	RESOLVED YES / NO
		<p><b>9.2</b></p> <p><b>What control measures have subsequently been implemented to improve revenue management?</b></p>	<p>The Department has three major revenue collection items, namely Traffic Fines, Motor Vehicle License Tax as well as Sale of Goods and Services. Currently, there are major receivables (monies owed to the department) under traffic fines and motor vehicle licence tax. There is a plan to collect these debts for both traffic fines and motor vehicle licenses. The following is being done:-</p> <p><b>9.2.1 Traffic Fines, penalties and abnormal permits</b></p> <ul style="list-style-type: none"> <li>• The Department has established dedicated Warrants of Arrest teams across the province in addition to the stations weekly operations.</li> <li>• Three (3) Warrant of Arrest busses will be deployed throughout the province. These busses have a database of all Warrants of Arrest issued in the province as captured in Trafman system. All</li> </ul>	<p><b>YES</b></p>





RESOLUTION NUMBER	SUBJECT	DETAILS	RESPONSE BY THE DEPARTMENT	RESOLVED YES / NO
			<p>screened offenders will be allowed an opportunity to pay their fines inclusive of Contempt of Court in the busses or being arrested.</p> <ul style="list-style-type: none"> <li>• Established strengthening reporting mechanisms for reporting on Warrants of Arrest – (receivables);</li> <li>• Conduct constant follow-up on revenue owed i.e traffic fines, overloading fines; etc</li> <li>• Re-adjustment of abnormal loads permits tariffs.</li> </ul> <p><b>9.2.2 Motor Vehicle License Tax</b></p> <ul style="list-style-type: none"> <li>• Major debtors are the municipalities that collect revenue on behalf of the department, and then fail to deposit the revenue into the departments account. This is in breach of the agent-principal agreement between these municipalities and the department.</li> <li>• As part of the enhanced internal control measure,</li> </ul>	







RESOLUTION NUMBER	SUBJECT	DETAILS	RESPONSE BY THE DEPARTMENT	RESOLVED YES / NO
			<p>the Department is withholding the provision of Face Value Documents to non paying registering authorities and this process has seen all affected municipalities making payments of outstanding debts including commitment of settling the debts in an agreed term period.</p> <ul style="list-style-type: none"> <li>• Constant engagements with municipalities on revenue due are continuous to enforce compliance and adherence to the service level agreement obligations.</li> </ul>	





RESOLUTION NUMBER	SUBJECT	DETAILS	RESPONSE BY THE DEPARTMENT	RESOLVED YES / NO
		<p><b>9.3</b></p> <p><b>What control measures have been implemented to improve procurement and contract management?</b></p>	<p>The department has developed contract register to record and monitor all active contracts;</p> <ul style="list-style-type: none"> <li>• The Department has procurement and demand plans in place, and these are monitored on a daily and monthly basis. This is done to ensure that spending is against approved plans;</li> <li>• There is a supply chain management checklist, which is implemented and is attached to all procurement documents to ensure compliance to prescripts;</li> <li>• Contracts are monitored on a monthly basis to ensure that all expiring contracts are either advertised if there is still a need;</li> <li>• Payments are verified against the service level agreement prior to effecting payment.</li> </ul>	<p><b>YES</b></p>



## 9. PRIOR MODIFICATIONS TO AUDIT REPORTS

The Department has developed the Post Audit Action Plan (PAAP) to address issues raised during the 2018/2019 financial year audit process the Audit Steering Committee (ASC) is established to monitor implementation of the PAAP.

### QUALIFICATION MATTERS

<b>MATERIAL IRREGULARITIES IDENTIFIED DURING THE AUDIT</b>		
Nature of qualification, disclaimer, adverse opinion and matters of non-compliance	Financial year in which it first arose	Progress made in clearing / resolving the matter
<p><b>IRREGULAR EXPENDITURE</b></p> <p>Section 40(3)(i) of the PFMA requires the disclosure of irregular expenditure incurred. The department made payments of R12 062 369(2018: R22 543 274) in contravention with the supply chain management requirements which were not included in irregular expenditure disclosed. As the department did not quantify the full extent of the irregular expenditure, it was impracticable to determine the resultant understatement of irregular expenditure as per note 31 to the financial statements</p>	2017/2018	<ol style="list-style-type: none"> <li>1. Some of the payment vouchers of prior year has been checked to ensure completeness of the irregular register</li> <li>2. Payment vouchers were verified on daily basis to ensure compliance to SCM processes</li> <li>3. Contract register has been monitored to ensure that contract does not exceed the contract value, were the contract exceeded the 15% threshold approval was obtain from Provincial Treasury</li> <li>4. All irregular expenditures identified by auditors and confirmed by the department has been recorded in the register to ensure completeness</li> </ol>
<p><b>COMMITMENT REGISTER</b></p> <p>The department did not have adequate systems to ensure that commitments were correctly recorded and disclosed as required by the MCS. Consequently, commitments as disclosed in note 26 to the financial statements was overstated by R240 055 789 (2018:R29 776 699).</p>	2017/2018	<ol style="list-style-type: none"> <li>1. New appointment letters with verified Kilometers and revised rate has been issued to Scholar transport operators.</li> <li>2. Currently 119 operators accepted the offer with new verified kilometres.</li> <li>3. Legal has written letters to operators who have not accepted the offer.</li> </ol>



<p><b>PREPAYMENT/ADVANCES</b></p> <p>The department did not correctly recognise all payments made for goods or services not yet received as prepayments and advances in accordance with the MCS. As the department did not maintain adequate records of prepayments and advances made for goods or services not yet received at year-end, I was unable to determine the full extent of the understatement of prepayments and advances that should have been presented in the statement of financial position as it was impracticable to do so.</p>	<p>2018/2019</p>	<p>1.1 There is ongoing investigation on SA Express and the investigation is still in progress</p> <p>1. 2 Caswell Mthombeni has been disclosed as contingent assets on the Annual &amp; Interim financial statements</p>
<p><b>GOODS AND SERVICE</b></p> <p>I was unable to obtain sufficient appropriate audit evidence for payments to scholar transport service providers that has been accounted for as transport provided as part of departmental activities included in goods and services as information submitted by management was not sufficient to substantiate that payments made were for services actually rendered by the department. I was unable to confirm these payments by alternative means. Consequently, I was unable to determine whether any adjustment to the expense classified as transport provided as part of departmental activities of R554 867 000 (2018: R362 793 000) included in goods and services as disclosed in note 6 to the financial statements was necessary. In addition, goods and services was overstated by R5 019 034 due to overpayments to services providers which was not recovered.</p>	<p>2017/2018</p>	<p>All contract payments are verified prior to processing of payment</p> <p>Contract assessment checklist has been developed</p>





<p><b>Payments made for flight subsidies and route marketing services that were not received</b></p> <p>A contract for R407 221 142 was awarded in March 2015 for an airline service between the Pilanesburg and OR Tambo and Cape Town International airports and the Mafikeng and OR Tambo airport. The department made payments of R202 635 051 to date in relation to this contract, for which effective internal controls for the approval and processing of these payments, as required by Treasury Regulation 8.1.1 were not in place. The ineffective controls resulted in possible payments for services which were not rendered which is likely to result in a material financial loss. The accounting officer was notified of the material irregularity and invited to make a written submission on the actions that will be taken to address the matter. The accounting officer failed to make the written submission within the stipulated period. I am in the process of referring the material irregularity to an appropriate body for investigation as provided for in section 5(1A) of the of the PAA.</p>	2018/2019	
<p><b>Prepayments made to a supplier for services that were not received</b></p> <p>The department, by opting to participate in a contract awarded by another organ of state, awarded a R35 000 000 contract for the learner driver training and capacitation program in October 2015. However, the department did not comply with the requirements of Treasury Regulation 16A6.6 in the awarding of the contract. A prepayment of R21 318 570 million was made in November 2015 to the supplier in relation to this contract for which services have not been received to date. The prepayment is likely to result in a financial loss if not recovered from the supplier. A criminal case was opened with the Directorate for Priority Crimes Inspectorate (Hawks) on the matter in 2017. The accounting officer plans to institute disciplinary action against the officials found responsible and a civil claim was instituted against the supplier to recover the loss. I will follow up on this matter and the implementation of the planned actions during my next audit.</p>	2018/2019	
<p><b>Procurement process not followed to appoint scholar transport service providers</b></p> <p>The department paid scholar transport service providers directly without following a procurement process as prescribed by Treasury Regulation 16A6.1. The department paid R554 867 000 in the current financial year to the respective service providers. The payments made to these service providers is likely to result in a material financial loss as the price paid for scholar transport is significantly higher than the market price. The accounting officer instituted a forensic investigation by Gobodo Forensic and Investigative Accounting (Pty) Ltd into the matter which</p>	2018/2019	





<p>commenced in March 2019. Upon completion of the forensic investigation the accounting officer plans to institute disciplinary action against the officials found responsible and recover all monies lost. I will follow up on the investigation and the implementation of the planned actions during my next audit.</p>		
<p><b>Payments made for scholar transport services that were not received</b></p> <p>Payments of R554 867 000 were made to scholar transport service providers in the current financial year. Effective internal controls were not in place for the approval and processing of these payments as required by Treasury Regulation 8.1.1, resulting in service providers being paid in excess of the actual service provided. This non-compliance is likely to result in a material financial loss. The accounting officer instituted a forensic investigation by Gobodo Forensic and Investigative Accounting (Pty) Ltd into the matter which commenced in March 2019. Upon completion of the forensic investigation the accounting officer plans to institute disciplinary action against the officials found responsible and recover all monies lost. I will follow up on the investigation and the implementation of the planned actions during my next audit.</p>	<p>2018/2019</p>	





## **10. INTERNAL CONTROL UNIT**

The Departmental internal control unit is responsible for review of payment vouchers to ensure compliance with relevant laws, policies and procedures (SCM processes) and recommended improvements where gaps were identified. All payment vouchers which were found to be non-compliant were returned to users for corrections and other processed for order generation and payments.

The unit also coordinated the audit processes for both AGSA and PIA, facilitated the development of audit action plans and monitoring thereof. Monitoring of the AGSA audit action plan was done through the Audit Steering Committee which sits on a weekly basis.

The unit serves as a communication channel between management and auditors in ensuring that requests for information and management responses are communicated timely to the auditors to ensure the smooth running of the audit processes.

## **11. INTERNAL AUDIT AND AUDIT COMMITTEES**

### Key activities:

Internal auditing is an independent, objective assurance and consulting activity designed to add value and improve an organization's operations. It helps an organization accomplish its objectives by bringing a systematic, disciplined approach to evaluate and improve the effectiveness of risk management, control, and governance processes.

### Key objectives:

Provide a value add risk based internal audit service to the department.

- **Summary of audit work done**

For the financial year under review 17 assurance and advisory reports were issued to the Department. Work performed was based on a risk based internal audit plan and included audits of: risk management; supply chain management;





financial statements; report on pre-determined objectives; IT governance; and audit action plans (both internal and external). The audit work performed indicated that various significant deficiencies in internal control, risk management and governance processes exist and that this will require improvement on by the Department through timely and adequate implementation of agreed upon audit action plans.

The table below discloses relevant information on the audit committee members:

Name	Qualifications	Internal or external	Role	Date appointed	Date resigned	No meetings attended
<b>Central Audit Committee</b>						
Mr L.Z Fihlani	CA(SA)	External	Chairperson	1 August 2018		3
Ms P. Mzizi	CA(SA)	External	Member	1 August 2018		2
Mr M. Sass	CA(SA)	External	Member	1 August 2018	9 May 2020	1
Mr P. Tjie	B.Com, PMD	External	Member	1 August 2018		3
Ms. J. Masite*	B.Com, CIA	External	Member	1 August 2018		1
Mr A.F Sinthumule*	B.Com, MBA	External	Member	1 August 2018		1
<b>Cluster Audit Committee</b>						
Ms. P. Mzizi	CA(SA)	External	Chairperson	1 August 2018		4
Mr. F. Sinthumule	B.Com, MBA	External	Member	1 August 2018		4
Mr. M. Terheyden	CA(SA)	External	Member	1 August 2018		4
Ms. J. Brown	B.Com	External	Member	1 August 2018		3

\*Co-opted for specific meetings.







## **12. AUDIT COMMITTEE REPORT**

### **12.1. Legislative requirements**

The Audit Committee herewith presents its report for the financial year ended 31 March 2020, as required by treasury regulation 3.1.13 read with section 77 of the Public Finance Management Act, 1999 (Act No. 1 of 1999, as amended by Act No. 29 of 1999).

### **12.2. Audit Committee Members and Attendance**

The Audit Committee should meet at least four times per annum as the Central Audit Committee and four times per annum as the Cluster Audit Committee as per its approved terms of reference. During the current year six (6) meetings (3 normal meetings and 3 special meetings) were held by the Central Audit Committee and six (6) meetings (4 normal meetings and 2 special meetings) were held by the Cluster Audit Committee.

### **12.3. Audit Committee Responsibility**

The Audit Committee reports that it has complied with its responsibilities arising from section 38(1) (a) (ii) of the Public Finance Management Act, 1999 and Treasury Regulation 3.1.

The Audit Committee also reports that it has adopted appropriate formal terms of reference as its Audit Committee Charter, has regulated its affairs in compliance with this charter and has discharged all its responsibilities as contained therein.

### **12.4. Under Administration**

This Department is under s100(1)(b) of the Constitution which dictates that when a province cannot or does not fulfil an executive obligation in terms of the Constitution or legislation, the national executive may intervene by taking any appropriate steps to ensure fulfilment of that obligation, including assuming responsibility for the relevant obligation in that province. The Audit Committee remains concerned that the gains of the administration are at risk due to lack of buy in.





### **12.5. The effectiveness of internal control**

In line with the Public Finance Management Act, 1999, internal audit provides the Audit Committee and management with assurance that the internal controls are appropriate and effective. This is achieved by evaluating internal controls to determine their adequacy and efficiency, and by developing recommendations for enhancement or improvement. The Accounting Officer retains responsibility for implementing such recommendations as per Treasury Regulation 3.1.12.

From the various reports of Internal Audit and the audit report of the Auditor-General South Africa (AGSA), it was noted that matters were reported indicating areas of improvement in the system of internal controls in areas pertaining to financial reporting, reporting on pre-determined objectives and compliance with laws and regulations. Through our analysis of audit reports and engagement with the Department it is concluded that the system on internal control for the period under review was not entirely adequate and effective.

The Audit Committee remains concerned with the slow progress in the finalization of unauthorized, irregular, and fruitless and wasteful expenditure. The status of the implementation of the guideline(s) as issued by National Treasury to resolve irregular and fruitless and wasteful expenditure is inadequate.

### **12.6. Risk management**

The Risk Management Committee has been appointed and is chaired by an independent Chairperson and advises the Accounting Officer. Based on the quarterly reviews performed, the Departmental fraud and risk management system is not entirely adequate and effective. The Audit Committee is concerned with inadequate risk management which will impact service delivery negatively if the situation is not addressed.

### **12.7. In-Year Management and Quarterly Reporting**

The Provincial Treasury has confirmed that the Department has reported to the Treasury as is required by the Public Finance Management Act, 1999. The quality of the in-year financial





and performance reporting including interim financial statements are however a concern to the Audit Committee.

The Audit Committee has engaged with management to remedy shortcomings, especially relating to interim financial statements and reports on performance against predetermined objectives.

The Audit Committee has recommended that the Department prepare interim financial statements that comply with the financial reporting framework (Modified Cash Standard (MCS). This will assist in performing reconciliations timeously and in eliminating year-end adjustments.

### **12.8. Evaluation of Financial Statements**

The Audit Committee has reviewed the draft annual financial statements prepared by the Department and has advised the Accounting Officer to ensure that all the review notes and comments of Provincial Accountant General, Internal Audit and Audit Committee are fully addressed prior to submission of the annual financial statements to the Auditor General South Africa.

Subsequently the material misstatements corrected were also reviewed when the management report of the AGSA was discussed with the Audit Committee.

The Committee is concerned with the financial position of the Department and the impact thereof on service delivery of accruals and commitments on the budget and service delivery for the 2020/21 financial year.

### **12.9. Evaluation of Performance information**

The Audit Committee has reviewed the information on predetermined objectives to be included in the annual report prepared by the Department and has advised the Accounting Officer to ensure that all the review notes and comments of the Internal Audit and Audit Committee are fully addressed prior to submission of the annual report to the Auditor General South Africa.





The Audit Committee has discussed the external audit outcomes on the reporting on pre-determined objectives to be included in the annual report with the Auditor General South Africa and the Accounting Officer.

#### **12.10. Compliance with laws and regulations**

The Audit Committee is concerned with the status of compliance with laws and regulations. If the Department does not implement an adequate and effective compliance framework and system, noncompliance resulting in irregular and fruitless and wasteful expenditure will continue to occur.

#### **12.11. Internal Audit**

The Accounting Officer is obliged, in terms of the Public Finance Management Act, 1999, to ensure that the entity has a system of internal audit under the control and direction of the Audit Committee. The Audit Committee is satisfied that the internal audit function has properly discharged its functions and responsibilities during the year under review.

The Audit Committee also notes that the deficiencies in the risk management system mentioned above impact on the implementation of the risk-based audit approach.

The Audit Committee is satisfied that the Internal Audit function maintains an effective internal quality assurance programme that covers all aspects of the Internal Audit activity and that as determined during the external quality assessment review, that a generally conformance rating can be applied to the internal audit work and the term "Conforms with the International Standards for the Professional Practice of Internal Auditing" may be used by the function.

#### **12.12. Auditor General South Africa**

We have reviewed the Department's implementation plan for audit issues raised in the previous year and based on the interaction with the Department, Internal Audit reports and the AGSA audit report, all matters have not been adequately addressed. To effectively address and prevent repeat audit outcomes must be a high priority for the Department in the 2020/21 financial year





The Audit Committee is aware of unresolved issues with respect to the current audit mainly resulting from the implementation of the PAA Act which will be monitored in the current financial year.

The Audit Committee concurs and accepts the conclusions of the Auditor-General on the Annual Financial Statements and is of the opinion that the Audited Annual Financial Statements should be accepted and read together with the report of the Auditor-General.

### **12.13. COVID 19**

As a result of the President declaring a national state of disaster on the 15<sup>th</sup> March 2020, the due date for reporting was postponed from the 31<sup>st</sup> of May 2020 to 31<sup>st</sup> of July 2020.

### **12.14. General**

The Audit Committee is concerned with the status of the NTI which requires the urgent intervention of the Executive Authority.

The Audit Committee strongly recommends that the Department must ensure adequate and effective implementation and frequent monitoring of the audit action plans for both internal and external audits to obtain an unqualified audit opinion.

Signed on behalf of the Cluster Audit Committee by:

*Pumla Mzizi CA(SA)*

**Chairperson of the  
Cluster Audit Committee**

.....  
**P. Mzizi CA (SA)**

**Date: 12 October 2020**





### 13. B-BBEE COMPLIANCE PERFORMANCE INFORMATION

The following table has been completed in accordance with the compliance to the BBEE requirements of the BBEE Act of 2013 and as determined by the Department of Trade and Industry.

<b>Has the Department / Public Entity applied any relevant Code of Good Practice (B-BBEE Certificate Levels 1 – 8) with regards to the following:</b>		
<b>Criteria</b>	<b>Response Yes / No</b>	<b>Discussion <i>(include a discussion on your response and indicate what measures have been taken to comply)</i></b>
Determining qualification criteria for the issuing of licences, concessions or other authorisations in respect of economic activity in terms of any law?	No	The Department has appointed a service provider and is currently busy with the process
Developing and implementing a preferential procurement policy?	No	The Department has appointed a service provider and is currently busy with the process
Determining qualification criteria for the sale of state-owned enterprises?	No	The Department has appointed a service provider and is currently busy with the process
Developing criteria for entering into partnerships with the private sector?	No	The Department has appointed a service provider and is currently busy with the process
Determining criteria for the awarding of incentives, grants and investment schemes in support of Broad Based Black Economic Empowerment?	No	The Department has appointed a service provider and is currently busy with the process



## PART D: HUMAN RESOURCE MANAGEMENT





## 1. INTRODUCTION

The information contained in this part of the annual report has been prescribed by the Minister for the Public Service and Administration for all departments in the public service.

## 2. OVERVIEW OF HUMAN RESOURCES

- The status of human resources in the department.

The Department has a total number of **766(53.60%)** females and **663(46.39%)** male employees at the overall. However the Department is not doing well in relation to reaching the **50%** target females at SMS level. Currently there are a total of **24** SMS members of which **10** are females and **14** males, which represents **41.46%** females and **58.33%** males. Disability status is now at **2.09%** out of a total staff compliment of **1429** as at the reporting period.

The Department has increased the staff members within the underrepresented categories by recruiting 97 youth through the Road Traffic Management Learnership Programme in the past 2019/20 Financial Year.

- Human resource priorities for the year under review and the impact of these.

HR PLAN PRIORITIES	PROGRESS	CHALLENGES
Finalisation of proposed Organisational Structure	The loading of the Organisational Structure is in process, matching and placing will take place afterward.	N/A
Change Management Programmes	SDIP quarterly report for January-March 2020 was not compiled for submission due to the lockdown.	Unavailability of officials due to lockdown.
Adherence to Employment Equity plan	The department is at 2.09% of people with disability as at 31 March 2020.	50% of women at SMS level not yet reached.
Effective Performance Management	By the 31 May 1224 officials on salary level 2-12 submitted their performance agreements by the 31 May 2019 and 24 SMS Members	N/A







HR PLAN PRIORITIES	PROGRESS	CHALLENGES
	submitted their performance agreements by the due date of 31 May 2019.	
Recruitment and Selection	The Department had eighty four (84) replacement positions which were advertised during 2019/20, forty three (43) of those positions have been filled, whilst forty one (41) are still outstanding to be filled during 2020/21. In addition in the last quarter of the year March 2020 forty four (44) positions were advertised and the process of filling those positions should unfold.	Delay in approving shortlisting reports, submission of nominations of selection panel by program managers as well as approval of proposed selection panel contributes to delay in filling of positions within prescribed timeframes.

- Workforce planning and key strategies to attract and recruit a skilled and capable workforce.

The Department has an approved MTEF HR Plan 2018/2021 which is aligned to the Strategic Plan of the Department. The HR Plan is monitored through the Annual Human Resource Implementation Report which is submitted to the DPSA through Office of the Premier to report on the progress that has been made. The Annual HR Plan Report focuses on the Departmental HR Priorities that have been identified in the approved HR Plan.

- Employee performance management.  
 By the 31 May 1224 officials on salary level 2-12 submitted their performance agreements by the 31 May 2019 and 24 SMS Members submitted their performance agreements by the due date of 31 May 2019.

- Employee wellness programmes.





INTEGRATED EMPLOYEE HEALTH AND WELLNESS

AREA	OCCUPATIONAL EXPOSURE	IMPLEMENTATION OF INTERVENTION ON OHS INSPECTIONS AND OCCUPATIONAL EXPOSURE	EFFECT ON THE DEPARTMENT
Rustenburg	Unsafe and unhygienic working environment <ul style="list-style-type: none"> <li>• Ablution facilities</li> <li>• Office space for registration Authority personnel</li> </ul>	Appointment of EPWP workers for office cleaning services  Registering authority personnel housed temporarily at Phokeng Mall	Health hazard which might affect staff presence.  The Department to address office challenge regarding office space.
Taung Traffic Station	Continuous leaking of septic tank from Department of Justice (Taung Magistrate court). Waste material in the parking lot	Intervention has been sought with DPW&R for emptying the septic tank periodically.  Permanent relocation of the septic tank from the front yard to the back yard  Disposal of waste material	Health Hazard which might affect staff and the client's presence.  Spillage of faeces cause environmental health hazards to the employees and customers.
Pilanesburg Airport	Leaking and falling of roof  Septic tank	Approval has been granted to appoint service provider who will maintain the premises	Health hazards for staff members occupying the building on daily basis.





COIDA CASES

AREA	OCCUPATIONAL EXPOSURE	STATUS
Bojanala District	Motor Vehicle Accident (MVA): <ul style="list-style-type: none"> <li>• 8 employees sustained Right side of the body, Right leg head, Back and arm</li> </ul> Sports Related injuries: <ul style="list-style-type: none"> <li>• 1 employee sustained injury left finger and right hand</li> </ul>	Total of 9 claims reported 6 has not yet authorised for compensation and 3 has been authorized by Compensation Commissioner in terms of section 73 of COIDA, (No. 13 of 1993).
DR KK	Sports Related injuries: <ul style="list-style-type: none"> <li>• 1 employee sustained injury left finger and right hand</li> </ul>	Claim not yet authorised.
DR RSM	Motor Vehicle Accident (MVA): <ul style="list-style-type: none"> <li>• Left arm &amp; left leg</li> </ul>	Authorized for compensation.
HEAD OFFICE	Motor Vehicle Accident (MVA): <ul style="list-style-type: none"> <li>• 4 employees sustained injury left hand, right shoulder, chest, elbow, right knee &amp; neck</li> </ul> Sports Related injuries: <ul style="list-style-type: none"> <li>• 5 employees employee sustained injuries on the following: left finger and right hand, calf, right &amp; left leg</li> </ul> Slippery & falling <ul style="list-style-type: none"> <li>• employee sustained injury, hand sore, right hand, knee, left hand &amp; hip</li> </ul>	2 Claims have been authorised and 9 are not yet authorised for compensation





### EAP/CHAPLIAN INTERVENTIONS

<b>PROGRAMME</b>	<b>DIAGNOSIS</b>	<b>STATUS</b>
<u>Administration</u> (Human Resource Management 01) (Communication) (Road Safety) (Motor Fleet)	Grief	Grief Debriefing sessions were conducted by the Chaplains and Counsellors following the death of employees between April 2019 and March 2020.
<u>Transport Regulation</u> (Bojanala)	Traumatic incident whereby officials were involved in a car accident whilst on duty.	Trauma debriefing was conducted whereby employees are given time to narrate what happened and the feelings and emotions experienced
<u>Transport Regulation</u> Ngaka Modiri Molema	Employees were exposed to trauma following the office break in by armed robbers at Montshiwa	Trauma debriefing was conducted to help normalise the emotional well-being of employees
<u>Transport Regulation</u> (Bojanala) (Dr Kenneth Kaunda) (Dr R.S Mompoti) (Ngaka Modiri (Molema))	Grief	Grief Debriefing sessions were conducted by the Chaplains and Counsellors following the death of employees between April 2019 and March 2020.
<u>Transport Regulation</u> (Bojanala) (Dr Kenneth Kaunda) (Dr R.S Mompoti) (Ngaka Modiri (Molema))	Working relationship problems, Stress and depression, Ill health, Suicide attempts, Bereavement, Addiction, Employee's dependants	Confidential counselling sessions and Spirituals support are conducted through home visits, office visits, and telephonic
Administration (Bojanala) (Dr Kenneth Kaunda) (Dr R.S Mompoti) (Ngaka Modiri (Molema))	Working relationship problems, Stress and depression, Ill health, Suicide attempts, Bereavement, Addiction	Confidential counselling sessions and Spirituals support are conducted through home visits, office visits, and telephonic





- Highlight achievements and challenges faced by the department, as well as future human resource plans /goals.

The Department plans to decentralise all services to the Districts in line with the approved structure. The Department will continue to engage various SETAs to assist with funding on training of personnel.

### 3. HUMAN RESOURCES OVERSIGHT STATISTICS

#### 3.1. Personnel related expenditure

The following tables summarises the final audited personnel related expenditure by programme and by salary bands. In particular, it provides an indication of the following:

- amount spent on personnel
- amount spent on salaries, overtime, homeowner's allowances and medical aid.

*Table 3.1.1 Personnel expenditure by programme for the period 1 April 2019 and 31 March 2020*

Programme	Total expenditure	Personnel expenditure	Training expenditure	Professional and special services expenditure	Personnel expenditure as a % of total expenditure	Average personnel cost per employee
<b>5400000 ADMINISTRATION</b>	R132 757 743.52	R 133 977 475.28	R160 000	R3 361 243.71	21	R 408 468
<b>54100000 PROV SECRETARIAT FOR POLICE SERVICES</b>	R28 474 766.76	R 33 908 093.06	R932 500	R2 298 883.83	5	R 71 385
<b>54400000 TRANSPORT OPERATIONS</b>	R66 717 34.75	R 68 452 970.83	R816 000	R2 341 539.77	11	R 298 921
<b>54200000 TRANSPORT REGULATION</b>	R412 328 673.79	R 414 146 416.13	R11 600.00	R13 205 501.07	64	R 382 407.00
<b>Total</b>	<b>R640 278318.32</b>	<b>R 650 484 955.30</b>	<b>R1 208 500</b>	<b>R21 207 168.38</b>	<b>100</b>	<b>R 307 558</b>





*Table 3.1.2 Personnel costs by salary band for the period 1 April 2019 and 31 March 2020*

<b>Salary band</b>	<b>Personnel expenditure</b>	<b>% of total personnel cost</b>	<b>No. of employees</b>	<b>Average personnel cost per employee</b>
Lower skilled (Levels 1-2)	R12 368 293.77	2	72	R 171 782
Skilled (level 3-5)	R70 891 388.82	11	268	R 264 520
Highly skilled production (levels 6-8)	R353 682 727.43	54	829	R 426 638
Highly skilled supervision (levels 9-12)	R154 170 587.69	24	214	R 720 423
Senior and Top management (levels 13-16)	R26 540 351.34	4	22	R 1 206 380
Contract (Levels 1-2)	R5 235 054.41	1	22	R 237 957
Contract (Levels 3-5)	R1 216 150.19	0	8	R 152 019
Contract (Levels 6-8)	R5 952 098.24	1	107	R 55 627
Contract (Levels 9-12)	R8 535 765.59	1	12	R 711 314
Contract (Levels 13-16)	R3 779 400.30	1	3	R 1 259 800
Periodical Remuneration	R7 657 876.90	1	556	R 13 773
Abnormal Appointment	R455 260.62	0	2	R 227 630
<b>Total</b>	<b>R 650 484 955.30</b>	<b>100</b>	<b>2115</b>	<b>R 307 558</b>





*Table 3.1.3 Salaries, Overtime, Home Owners Allowance and Medical Aid by programme for the period 1 April 2019 and 31 March 2020*

Programme	Salaries		Overtime		Home Owners Allowance		Medical Aid	
	Amount	Salaries as a % of personnel costs	Amount	Overtime as a % of personnel costs	Amount	HOA as a % of personnel costs	Amount	Medical aid as a % of personnel costs
<b>54000000 ADMINISTRATION</b>	R 90 158 708.14	67	R 4 231 280.56	3	R 3 201 801.01	2	R 5 403 167.75	4
<b>54100000 PROV SECRETARIAT FOR POLICE SERVICES</b>	R 19 830 824.88	59	R 338 074.24	1	R 570 588.49	2	R 1 148 777.25	3
<b>54400000 TRANSPORT OPERATIONS</b>	R 44 972 762.82	66	R 3 048 157.03	5	R 1 937 798.09	3	R 3 411 884.00	5
<b>54200000 TRANSPORT REGULATION</b>	R 262 097 437.33	63	R 41 098 593.70	10	R 10 168 578.74	3	R 26 920 052.08	7
<b>Total</b>	<b>R 417 059 733.17</b>	<b>64</b>	<b>R 48 716 105.53</b>	<b>8</b>	<b>R 15 878 766.33</b>	<b>2</b>	<b>R 36 883 881.08</b>	<b>6</b>





*Table 3.1.4 Salaries, Overtime, Home Owners Allowance and Medical Aid by salary band for the period 1 April 2019 and 31 March 2020*

Salary band	Salaries		Overtime		Home Owners Allowance		Medical Aid	
	Amount	Salaries as a % of personnel costs	Amount	Overtime as a % of personnel costs	Amount	HOA as a % of personnel costs	Amount	Medical aid as a % of personnel costs
Skilled (level 1-2)	R 7 707 454.88	62	R 158 123.12	1	R 921 636.90	8	R 1 593 799	13
Skilled (level 3-5)	R 45 982 050.43	65	R 2 891 661.14	4	R 3 309 052.56	5	R 6 119 773.25	9
Highly skilled production (levels 6-8)	R 222 338 259.17	63	R 39 785 843.83	11	R 8 949 115.61	3	R 23 764 379.75	7
Highly skilled supervision (levels 9-12)	R 105 280 250.41	68	R 5 570 926.56	4	R 2 204 864.48	1	R 5 165 793.08	3
Senior management (level 13-16)	R 17 191 335.55	65	0	0	R 253 788	1	R 213 448	1
Contract (Levels 1-2)	R 5 149 767.56	98	0	0	0	0	0	0
Contract (Levels 3-5)	R 871 178.99	72	R 22 735.44	2	0	0	0	0







Contract (Levels 6-8)	R 4 193 689.23	71	R 129 243.25	2	0	0	0	0
Contract (Levels 9-12)	R 5 992 514.33	70	R 157 572.19	2	R 54 308.78	1	0	0
Contract (Levels 13-16)	R 2 353 232.62	62	0	0	R 186 000	5	R 26 688	1
Periodical Remuneration	0	0	0	0	0	0	0	0
Abnormal Appointment	0	0	0	0	0	0	0	0
<b>Total</b>	<b>R 417 059 733.17</b>	<b>64</b>	<b>R 48 716 105.53</b>	<b>8</b>	<b>8</b>	<b>2</b>	<b>R 36 883 881.08</b>	<b>6</b>

### 3.2. Employment and Vacancies

The tables in this section summarise the position with regard to employment and vacancies.

The following tables summarise the number of posts on the establishment, the number of employees, the vacancy rate, and whether there are any staff that are additional to the establishment.

This information is presented in terms of three key variables:

- programme
- salary band
- critical occupations (see definition in notes below).

Departments have identified critical occupations that need to be monitored. In terms of current regulations, it is possible to create a post on the establishment that can be occupied by more than one employee. Therefore, the vacancy rate reflects the percentage of posts that are not filled.





*Table 3.2.1 Employment and vacancies by programme as on 31 March 2020*

<b>Programme</b>	<b>Number of posts on approved establishment</b>	<b>Number of posts filled</b>	<b>Vacancy Rate</b>	<b>Number of employees additional to the establishment</b>
<b>54000000 ADMINISTRATION</b>	294	255	13	26
<b>54100000 PROV SECRETARIAT FOR POLICE SERVICES</b>	55	46	16	0
<b>54400000 TRANSPORT OPERATIONS</b>	173	140	19	5
<b>54200000 TRANSPORT REGULATION</b>	1139	988	13	96
<b>Total</b>	1661	1429	14	127

*Table 3.2.2 Employment and vacancies by salary band as on 31 March 2020*

<b>Salary band</b>	<b>Number of posts on approved establishment</b>	<b>Number of posts filled</b>	<b>Vacancy Rate</b>	<b>Number of employees additional to the establishment</b>
Lower skilled (1-2)	83	74	11	0
Skilled(3-5)	305	269	12	24
Highly skilled production (6-8)	969	835	14	103
Highly skilled supervision (9-12)	278	227	18	0
Senior management (13-16)	26	24	8	0
<b>Total</b>	1661	1429	14	127





*Table 3.2.3 Employment and vacancies by critical occupations as on 31 March 2020*

<b>Critical occupation</b>	<b>Number of posts on approved establishment</b>	<b>Number of posts filled</b>	<b>Vacancy Rate</b>	<b>Number of employees additional to the establishment</b>
ADMINISTRATIVE RELATED	211	175	17	0
ALL ARTISANS IN THE BUILDING METAL MACHINERY ETC.	14	10	29	0
ARTISAN PROJECT AND RELATED SUPERINTENDENTS	1	1	0	0
BUILDING AND OTHER PROPERTY CARETAKERS	17	14	18	0
BUS AND HEAVY VEHICLE DRIVERS	15	14	7	0
CHAPLAIN AND RELATED PROFESSIONALS	3	3	0	0
CLEANERS IN OFFICES WORKSHOPS HOSPITALS ETC.	90	78	13	0
COMMUNICATION AND INFORMATION RELATED	4	3	25	0
EMERGENCY SERVICES RELATED	2		100	0
FINANCE AND ECONOMICS RELATED	7	5	29	0
FINANCIAL AND RELATED PROFESSIONALS	31	24	23	1
FINANCIAL CLERKS AND CREDIT CONTROLLERS	61	55	10	0
FIRE FIGHTING AND RELATED WORKERS	48	44	8	0





<b>Critical occupation</b>	<b>Number of posts on approved establishment</b>	<b>Number of posts filled</b>	<b>Vacancy Rate</b>	<b>Number of employees additional to the establishment</b>
GENERAL LEGAL ADMINISTRATION & REL. PROFESSIONALS	4	4	0	0
HEAD OF DEPARTMENT/CHIEF EXECUTIVE OFFICER	1	1	0	0
HUMAN RESOURCES & ORGANISAT DEVELOPM & RELATE PROF	26	22	15	0
HUMAN RESOURCES CLERKS	16	14	13	0
HUMAN RESOURCES RELATED	23	19	17	0
LANGUAGE PRACTITIONERS INTERPRETERS & OTHER COMMUN	6	4	33	0
LIBRARY MAIL AND RELATED CLERKS	10	9	10	0
LIGHT VEHICLE DRIVERS	1		100	0
LOGISTICAL SUPPORT PERSONNEL	8	7	13	0
MATERIAL-RECORDING AND TRANSPORT CLERKS	26	23	12	1
MESSENGERS PORTERS AND DELIVERERS	31	26	16	1
MOTOR VEHICLE DRIVERS	2	2	0	0





Critical occupation	Number of posts on approved establishment	Number of posts filled	Vacancy Rate	Number of employees additional to the establishment
OTHER ADMINISTRAT & RELATED CLERKS AND ORGANISERS	188	170	10	23
OTHER ADMINISTRATIVE POLICY AND RELATED OFFICERS	126	98	22	5
OTHER INFORMATION TECHNOLOGY PERSONNEL.	7	5	29	0
OTHER OCCUPATIONS	1	1	0	0
REGULATORY INSPECTORS	620	546	12	96
SECRETARIES & OTHER KEYBOARD OPERATING CLERKS	23	19	17	0
SECURITY OFFICERS	4	4	0	0
SENIOR MANAGERS	24	22	8	0
TRADE LABOURERS	10	7	30	0
<b>Total</b>	<b>1661</b>	<b>1429</b>	<b>14</b>	<b>127</b>

### Notes

- The CORE classification, as prescribed by the DPSA, should be used for completion of this table.
- Critical occupations are defined as occupations or sub-categories within an occupation –
  - (a) in which there is a scarcity of qualified and experienced persons currently or anticipated in the future, either because such skilled persons are not available or they are available but do not meet the applicable employment criteria;
  - (b) for which persons require advanced knowledge in a specified subject area or science or learning field and such knowledge is acquired by a prolonged course or study and/or specialised instruction;





- (c) where the inherent nature of the occupation requires consistent exercise of discretion and is predominantly intellectual in nature; and
- (d) in respect of which a department experiences a high degree of difficulty to recruit or retain the services of employees.

### 3.3. Filling of SMS Posts

The tables in this section provide information on employment and vacancies as it relates to members of the Senior Management Service by salary level. It also provides information on advertising and filling of SMS posts, reasons for not complying with prescribed timeframes and disciplinary steps taken.





*Table 3.3.1 SMS post information as on 31 March 2020*

SMS Level	Total number of funded SMS posts	Total number of SMS posts filled	% of SMS posts filled	Total number of SMS posts vacant	% of SMS posts vacant
Director-General/ Head of Department	0	0	0	0	0
Salary Level 16	1	1	100	0	0
Salary Level 15	1	1	100	0	0
Salary Level 14	5	5	100	0	0
Salary Level 13	19	17	90	2	10.5
<b>Total</b>	<b>26</b>	<b>24</b>	<b>92.3</b>	<b>2</b>	<b>7.7</b>

*Table 3.3.2 SMS post information as on 30 September 2020*

SMS Level	Total number of funded SMS posts	Total number of SMS posts filled	% of SMS posts filled	Total number of SMS posts vacant	% of SMS posts vacant
Director-General/ Head of Department	0	0	0	0	0
Salary Level 16	1	1	100	0	0
Salary Level 15	1	1	100	0	0
Salary Level 14	5	5	100	0	0
Salary Level 13	19	17	90	2	10.5
<b>Total</b>	<b>26</b>	<b>24</b>	<b>92.3</b>	<b>2</b>	<b>7.7</b>





*Table 3.3.3 Advertising and filling of SMS posts for the period 1 April 2019 and 31 March 2020*

SMS Level	Advertising	Filling of Posts	
	Number of vacancies per level advertised in 6 months of becoming vacant	Number of vacancies per level filled in 6 months of becoming vacant	Number of vacancies per level not filled in 6 months but filled in 12 months
Director-General/ Head of Department	0	0	0
Salary Level 16	0	0	0
Salary Level 15	0	0	0
Salary Level 14	0	0	0
Salary Level 13	1	0	0
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0</b>

*Table 3.3.4 Reasons for not having complied with the filling of funded vacant SMS - Advertised within 6 months and filled within 12 months after becoming vacant for the period 1 April 2019 and 31 March 2020*

Reasons for vacancies not advertised within six months
None - The vacancy was advertised within six months i.e. vacated on the 31/08/2019 and advertised in September 2019

Reasons for vacancies not filled within twelve months
Possibilities are that the post might be filled before expiry of twelve months since shortlisting has already taken place during Mar'20, if not delayed by any challenges during COVID 19 period.

**Notes**







- In terms of the Public Service Regulations Chapter 1, Part VII C.1A.3, departments must indicate good cause or reason for not having complied with the filling of SMS posts within the prescribed timeframes.

*Table 3.3.5 Disciplinary steps taken for not complying with the prescribed timeframes for filling SMS posts within 12 months for the period 1 April 2019 and 31 March 2020*

Reasons for vacancies not advertised within six months
Not applicable

Reasons for vacancies not filled within six months
Not applicable

### Notes

- In terms of the Public Service Regulations Chapter 1, Part VII C.1A.2, departments must indicate good cause or reason for not having complied with the filling of SMS posts within the prescribed timeframes. In the event of non-compliance with this regulation, the relevant executive authority or head of department must take appropriate disciplinary steps in terms of section 16A(1) or (2) of the Public Service Act.

### 3.4. Job Evaluation

Within a nationally determined framework, executing authorities may evaluate or re-evaluate any job in his or her organisation. In terms of the Regulations all vacancies on salary levels 9 and higher must be evaluated before they are filled. The following table summarises the number of jobs that were evaluated during the year under review. The table also provides statistics on the number of posts that were upgraded or downgraded.





*Table 3.4.1 Job Evaluation by Salary band for the period 1 April 2019 and 31 March 2020*

Salary band	Number of posts on approved establishment	Number of Jobs Evaluated	% of posts evaluated by salary bands	Posts Upgraded		Posts downgraded	
				Number	% of posts evaluated	Number	% of posts evaluated
Lower Skilled (Levels 1-2)	83	0	0	0	0	0	0
Skilled (Levels 3-5)	305	0	0	0	0	0	0
Highly skilled production (Levels 6-8)	969	0	0	0	0	0	0
Highly skilled supervision (Levels 9-12)	278	0	0	0	0	0	0
Senior Management Service Band A	19	0	0	0	0	0	0
Senior Management Service Band B	5	0	0	0	0	0	0
Senior Management Service Band C	1	0	0	0	0	0	0
Senior Management	1	0	0	0	0	0	0





Salary band	Number of posts on approved establishment	Number of Jobs Evaluated	% of posts evaluated by salary bands	Posts Upgraded		Posts downgraded	
				Number	% of posts evaluated	Number	% of posts evaluated
ent Service Band D							
<b>Total</b>	1661						

The following table provides a summary of the number of employees whose positions were upgraded due to their post being upgraded. The number of employees might differ from the number of posts upgraded since not all employees are automatically absorbed into the new posts and some of the posts upgraded could also be vacant.

*Table 3.4.2 Profile of employees whose positions were upgraded due to their posts being upgraded for the period 1 April 2019 and 31 March 2020*

Gender	African	Asian	Coloured	White	Total
Female	0	0	0	0	0
Male	0	0	0	0	0
Total	0	0	0	0	0

Employees with a disability	0
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The following table summarises the number of cases where remuneration bands exceeded the grade determined by job evaluation. Reasons for the deviation are provided in each case.

*Table 3.4.3 Employees with salary levels higher than those determined by job evaluation by occupation for the period 1 April 2019 and 31 March 2020*

Occupation	Number of employees	Job evaluation level	Remuneration level	Reason for deviation
Not applicable	0	0	0	0
Not applicable	0	0	0	0
Not applicable	0	0	0	0
Not applicable	0	0	0	0
Total number of employees whose salaries exceeded the level determined by job evaluation				0
Percentage of total employed				0





The following table summarises the beneficiaries of the above in terms of race, gender, and disability.

*Table 3.4.4 Profile of employees who have salary levels higher than those determined by job evaluation for the period 1 April 2019 and 31 March 2020*

Gender	African	Asian	Coloured	White	Total
Female	0	0	0	0	0
Male	0	0	0	0	0
Total	0	0	0	0	0

Employees with a disability	0	0	0	0	0
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#### Notes

- If there were no cases where the salary levels were higher than those determined by job evaluation, keep the heading and replace the table with the following:

Total number of Employees whose salaries exceeded the grades determine by job evaluation	0
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#### 3.5. Employment Changes

This section provides information on changes in employment over the financial year. Turnover rates provide an indication of trends in the employment profile of the department. The following tables provide a summary of turnover rates by salary band and critical occupations (see definition in notes below).





*Table 3.5.1 Annual turnover rates by salary band for the period 1 April 2019 and 31 March 2020*

<b>Salary band</b>	<b>Number of employees at beginning of period-1 April 2019</b>	<b>Appointments and transfers into the department</b>	<b>Terminations and transfers out of the department</b>	<b>Turnover rate</b>
Lower skilled ( Levels 1-2)	70	7	4	6
Skilled (Levels3-5)	267	20	17	6
Highly skilled production (Levels 6-8)	850	15	32	4
Highly skilled supervision (Levels 9-12)	218	3	13	6
Senior Management Service Bands A (Level 13)	17	0	1	6
Senior Management Service Bands B (Level 14)	4	0	0	0
Senior Management Service Bands C (Level 15)	1	0	0	0
Senior Management Service Bands D (Level 16)	1	1	1	100
Contracts	89	133	125	140
Periodical Remuneration	3	577	477	15900
Abnormal Appointment	23	20	41	178
<b>Total</b>	<b>1543</b>	<b>776</b>	<b>711</b>	<b>46</b>





*Table 3.5.2 Annual turnover rates by critical occupation for the period 1 April 2019 and 31 March 2020*

<b>Critical occupation</b>	<b>Number of employees at beginning of period-April 2019</b>	<b>Appointments and transfers into the department</b>	<b>Terminations and transfers out of the department</b>	<b>Turnover rate</b>
ADMINISTRATIVE RELATED	171	12	14	9
ALL ARTISANS IN THE BUILDING METAL MACHINERY ETC.	9	3	2	22
BUILDING AND OTHER PROPERTY CARETAKERS	17	1	2	18
BUS AND HEAVY VEHICLE DRIVERS	11	0	0	0
CHAPLAIN AND RELATED PROFESSIONALS	3	0	0	0
CLEANERS IN OFFICES WORKSHOPS HOSPITALS ETC.	101	72	71	70
COMMUNICATION AND INFORMATION RELATED	3	1	0	0
EMERGENCY SERVICES RELATED	2	0	2	100
ENGINEERS AND RELATED PROFESSIONALS	1	0	0	0
FINANCE AND ECONOMICS RELATED	4	0	1	25
FINANCIAL AND RELATED PROFESSIONALS	28	0	3	11
FINANCIAL CLERKS AND CREDIT CONTROLLERS	56	5	1	2
FIRE FIGHTING AND RELATED WORKERS	45	0	1	2
GENERAL LEGAL ADMINISTRATION & REL. PROFESSIONALS	4	1	0	0
HEAD OF DEPARTMENT/CHIEF	1	0	0	0





<b>Critical occupation</b>	<b>Number of employees at beginning of period-April 2019</b>	<b>Appointments and transfers into the department</b>	<b>Terminations and transfers out of the department</b>	<b>Turnover rate</b>
EXECUTIVE OFFICER				
HUMAN RESOURCES & ORGANISAT DEVELOPM & RELATE PROF	22	1	0	0
HUMAN RESOURCES CLERKS	13	2	1	8
HUMAN RESOURCES RELATED	19	1	2	11
LANGUAGE PRACTITIONERS INTERPRETERS & OTHER COMMUN	3	1	1	33
LIBRARY MAIL AND RELATED CLERKS	9	5	4	56
LIGHT VEHICLE DRIVERS	0	1	0	0
LOGISTICAL SUPPORT PERSONNEL	6	0	0	0
MATERIAL-RECORDING AND TRANSPORT CLERKS	24	1	0	0
MESSENGERS PORTERS AND DELIVERERS	27	5	2	7
MOTOR VEHICLE DRIVERS	3	0	1	33
OTHER ADMINISTRAT & RELATED CLERKS AND ORGANISERS	197	547	476	242
OTHER ADMINISTRATIVE POLICY AND RELATED OFFICERS	104	6	7	9
OTHER INFORMATION TECHNOLOGY PERSONNEL.	7	0	2	43
OTHER OCCUPATIONS	1	1	1	100
REGULATORY INSPECTORS	601	106	115	19
SECRETARIES & OTHER KEYBOARD OPERATING CLERKS	18	3	1	6





<b>Critical occupation</b>	<b>Number of employees at beginning of period-April 2019</b>	<b>Appointments and transfers into the department</b>	<b>Terminations and transfers out of the department</b>	<b>Turnover rate</b>
SECURITY OFFICERS	4	0	0	0
SENIOR MANAGERS	22	1	3	14
TRADE LABOURERS	7	0	0	0
<b>TOTAL</b>	<b>1543</b>	<b>782</b>	<b>721</b>	<b>47</b>

### Notes

- The CORE classification, as prescribed by the DPSA, should be used for completion of this table.
- Critical occupations are defined as occupations or sub-categories within an occupation –
  - (a) in which there is a scarcity of qualified and experienced persons currently or anticipated in the future, either because such skilled persons are not available or they are available but do not meet the applicable employment criteria;
  - (b) for which persons require advanced knowledge in a specified subject area or science or learning field and such knowledge is acquired by a prolonged course or study and/or specialised instruction;
  - (c) where the inherent nature of the occupation requires consistent exercise of discretion and is predominantly intellectual in nature; and
  - (d) in respect of which a department experiences a high degree of difficulty to recruit or retain the services of employees.







The table below identifies the major reasons why staff left the department.

*Table 3.5.3 Reasons why staff left the department for the period 1 April 2019 and 31 March 2020*

<b>Termination Type</b>	<b>Number</b>	<b>% of Total Resignations</b>
Death	9	0.6
Resignation	29	2.0
Expiry of contract	616	43.1
Dismissal – operational changes	1	0.06
Dismissal – misconduct	1	0.06
Dismissal – inefficiency	1	0.06
Discharged due to ill-health	1	0.06
Retirement	23	1.6
Cancellation of appointment	18	1.3
Desertion	4	0.3
Transfer to other Public Service Departments	4	0.3
Other – Transfer within North West Provincial Government Public Service	4	0.3
<b>Total</b>	711	49.7
<b>Total number of employees who left as a % of total employment</b>	711	49.7





*Table 3.5.4 Promotions by critical occupation for the period 1 April 2019 and 31 March 2020*

<b>Occupation</b>	<b>Employee s 1 April 2019</b>	<b>Promotion s to another salary level</b>	<b>Salary level promotion s as a % of employees by occupatio n</b>	<b>Progression s to another notch within a salary level</b>	<b>Notch progressio n as a % of employees by occupatio n</b>
ADMINISTRATIVE RELATED	171	8	5	135	79
ALL ARTISANS IN THE BUILDING METAL MACHINERY ETC.	9	0	0	7	78
BUILDING AND OTHER PROPERTY CARETAKERS	17	0	0	10	59
BUS AND HEAVY VEHICLE DRIVERS	11	0	0	3	27
CHAPLAIN AND RELATED PROFESSIONALS	3	0	0	2	67
CLEANERS IN OFFICES WORKSHOPS HOSPITALS ETC.	101	0	0	56	55
COMMUNICATION AND INFORMATION RELATED	3	0	0	0	0
EMERGENCY SERVICES RELATED	2	0	0	0	0
ENGINEERS AND RELATED PROFESSIONALS	1	0	0	1	100
FINANCE AND ECONOMICS RELATED	4	0	0	1	25
FINANCIAL AND RELATED	28	1	4	17	61





<b>Occupation</b>	<b>Employee s 1 April 2019</b>	<b>Promotion s to another salary level</b>	<b>Salary level promotion s as a % of employees by occupatio n</b>	<b>Progression s to another notch within a salary level</b>	<b>Notch progressio n as a % of employees by occupatio n</b>
PROFESSIONALS					
FINANCIAL CLERKS AND CREDIT CONTROLLERS	56	0	0	48	86
FIRE FIGHTING AND RELATED WORKERS	45	0	0	41	91
GENERAL LEGAL ADMINISTRATION & REL. PROFESSIONALS	4	0	0	4	100
HEAD OF DEPARTMENT/CHIE F EXECUTIVE OFFICER	1	0	0	0	0
HUMAN RESOURCES & ORGANISAT DEVELOPM & RELATE PROF	22	1	5	16	73
HUMAN RESOURCES CLERKS	13	0	0	7	54
HUMAN RESOURCES RELATED	19	3	16	16	84
LANGUAGE PRACTITIONERS INTERPRETERS & OTHER COMMUN	3	1	33	2	67
LIBRARY MAIL AND RELATED CLERKS	9	0	0	5	56
LOGISTICAL SUPPORT	6	1	17	3	50





<b>Occupation</b>	<b>Employee s 1 April 2019</b>	<b>Promotion s to another salary level</b>	<b>Salary level promotion s as a % of employees by occupatio n</b>	<b>Progression s to another notch within a salary level</b>	<b>Notch progressio n as a % of employees by occupatio n</b>
PERSONNEL					
MATERIAL- RECORDING AND TRANSPORT CLERKS	24	0	0	23	96
MESSENGERS PORTERS AND DELIVERERS	27	2	7	17	63
MOTOR VEHICLE DRIVERS	3	0	0	2	67
OTHER ADMINISTRAT & RELATED CLERKS AND ORGANISERS	197	0	0	140	71
OTHER ADMINISTRATIVE POLICY AND RELATED OFFICERS	104	0	0	67	64
OTHER INFORMATION TECHNOLOGY PERSONNEL.	7	0	0	5	71
OTHER OCCUPATIONS	1	0	0	1	100
REGULATORY INSPECTORS	601	23	4	401	67
SECRETARIES & OTHER KEYBOARD OPERATING CLERKS	18	1	6	14	78
SECURITY OFFICERS	4	0	0	2	50





<b>Occupation</b>	<b>Employee s 1 April 2019</b>	<b>Promotion s to another salary level</b>	<b>Salary level promotion s as a % of employees by occupatio n</b>	<b>Progression s to another notch within a salary level</b>	<b>Notch progressio n as a % of employees by occupatio n</b>
SENIOR MANAGERS	22	1	5	0	0
TRADE LABOURERS	7	0	0	3	43
<b>TOTAL</b>	<b>1543</b>	<b>42</b>	<b>3</b>	<b>1049</b>	<b>68</b>





*Table 3.5.5 Promotions by salary band for the period 1 April 2019 and 31 March 2020*

<b>Salary Band</b>	<b>Employees 1 April 2019</b>	<b>Promotions to another salary level</b>	<b>Salary bands promotions as a % of employees by salary level</b>	<b>Progressions to another notch within a salary level</b>	<b>Notch progression as a % of employees by salary bands</b>
Lower skilled ( Levels 1-2)	70	1	1	48	69
Skilled (Levels3-5)	267	1	0	213	80
Highly skilled production (Levels 6-8)	850	28	3	617	73
Highly skilled supervision (Levels 9-12)	219	7	3	171	78
Senior Management (Level 13-16)	22	1	5	0	0
Contracts	89	4	5	0	0
Periodical Remuneration	3	0	0	0	0
Abnormal Appointment	23	0	0	0	0
<b>Total</b>	<b>1543</b>	<b>42</b>	<b>3</b>	<b>1049</b>	<b>68</b>





### 3.6. Employment Equity

*Table 3.6.1 Total number of employees (including employees with disabilities) in each of the following occupational categories as on 31 March 2020*

Occupational category	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Legislators, senior officials and managers	13	0	0	1	9	0	0	0	23
Professionals	30	0	0	2	59	0	0	0	91
Technicians and associate professionals	434	22	1	19	437	8	1	3	925
Clerks	80	1	0		226	4	1	6	318
Service and sales workers	29	1	0	0	17	1	0	0	48
Skilled agriculture and fishery workers	0	0	0	0	0	0	0	0	0
Non Permanent	291	16	0		246	5	0	0	558
Craft and related trades workers	8	0	0	2	0	0	0	0	10
Plant and machine operators and assemblers	15	0	0	0	1	0	0	0	16
Elementary occupations	61	0	0	0	65	0	0	0	126
<b>Total</b>	961	40	1	24	1060	18	2	9	2115





<b>Employees with disabilities</b>	14	0	0	1	13	0	1	1	30
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*Table 3.6.2 Total number of employees (including employees with disabilities) in each of the following occupational bands as on 31 March 2020*

Occupational band	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
EXCEPTION - POLITICAL OFFICE BEARERS	1	0	0	0	0	0	0	0	1
Top Management	0	0	0	0	1	0	0	0	1
Senior Management	13	0	0	1	9	0	0	0	23
Professionally qualified and experienced specialists and mid-management	65	4	0	9	44	0	1	0	123
Skilled technical and academically qualified workers, junior management, supervisors, foreman and superintendents	448	18	1	14	539	9	1	9	1039
Semi-skilled and discretionary decision making	112	2	0	0	158	4	0	0	276







Occupational band	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Unskilled and defined decision making	31	0	0	0	63	0	0	0	94
NON-PERMANENT WORKER	291	16	0	0	246	5	0	0	558
<b>Total</b>	<b>961</b>	<b>40</b>	<b>1</b>	<b>24</b>	<b>1060</b>	<b>18</b>	<b>2</b>	<b>9</b>	<b>2115</b>
<b>Employees with disabilities</b>	14	0	0	1	13	0	1	1	30

*Table 3.6.3 Recruitment for the period 1 April 2019 to 31 March 2020*

Occupational band	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
EXCEPTION - POLITICAL OFFICE BEARERS	1	0	0	0	0	0	0	0	1
NON-PERMANENT WORKER	310	16	0	0	266	5	0	0	597
Top Management	0	0	0	0	0	0	0	0	0
Senior Management	0	0	0	0	0	0	0	0	0
Professionally qualified and experienced specialists and mid-management	9	0	0	0	3	0	0	0	12





Occupational band	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Skilled technical and academically qualified workers, junior management, supervisors, foreman and superintendents	46	4	0	0	68	1	0	0	119
Semi-skilled and discretionary decision making	11	0	0	0	17	0	0	0	28
Unskilled and defined decision making	4	0	0	0	8	0	0	0	12
<b>Total</b>	<b>381</b>	<b>20</b>	<b>0</b>	<b>0</b>	<b>362</b>	<b>6</b>	<b>0</b>	<b>0</b>	<b>769</b>
TRANSFERS TO THE DEPARTMENT	4	0	0	0	3	0	0	0	7
TOTAL INCLUDING TRANSFERS TO DEPARTMENT	<b>385</b>	<b>20</b>	<b>0</b>	<b>0</b>	<b>365</b>	<b>6</b>	<b>0</b>	<b>0</b>	<b>776</b>
<b>Employees with disabilities</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>





*Table 3.6.4 Promotions for the period 1 April 2019 to 31 March 2020*

Occupational band	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
<i>Top Management</i>	0	0	0	0	0	0	0	0	0
Senior Management	2	0	0	0	0	0	0	0	2
Professionally qualified and experienced specialists and mid-management	2	1	0	0	2	0	0	0	5
Skilled technical and academically qualified workers, junior management, supervisors, foreman and superintendents	12	1	0	0	20	0	0	0	33
Semi-skilled and discretionary decision making	1	0	0	0	0	0	0	0	1
Unskilled and defined decision making	1	0	0	0	0	0	0	0	1
<b>Total</b>	18	2	0	0	22	0	0	0	42
<b>Employees with disabilities</b>									0





*Table 3.6.5 Terminations for the period 1 April 2019 to 31 March 2020*

Occupational band	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
EXCEPTION - POLITICAL OFFICE BEARERS	1	0	0	0	0	0	0	0	1
NON-PERMANENT WORKER	286	8	0	0	222	2	0	0	518
Top Management	0	0	0	0	0	0	0	0	0
Senior Management	2	0	0	0	1	0	0	0	3
Professionally qualified and experienced specialists and mid-management	7	0	0	0	4	0	0	0	11
Skilled technical and academically qualified workers, junior management, supervisors, foreman and superintendents	22	2	0	1	13	1	0	0	39
Semi-skilled and discretionary decision making	5	0	0	0	16	1	0	0	22
Unskilled and defined decision making	46	2	0	0	60	1	0	0	109
<b>Total</b>	369	12	0	1	316	5	0	0	703





Occupational band	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
<b>TRANSFER OF A PERSON TO ANOTHER PERSAL BUREAU</b>	1	0	0	0	2	1	0	0	4
<b>INTER DEPARTMENTAL TRANSFER (WITHIN NWPG)</b>	1	0	0	0	3	0	0	0	4
<b>TOTAL INCLUDING TRANSFERS OUT OF PERSAL</b>	371	12	0	1	321	6	0	0	711
<b>Employees with Disabilities</b>	1	1	0	0	0	0	0	0	2





*Table 3.6.6 Disciplinary action for the period 1 April 2019 to 31 March 2020*

Disciplinary action	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
	24	0	0	0	6	0	0	0	30

*Table 3.6.7 Skills development for the period 1 April 2019 to 31 March 2020*

Occupational category	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Legislators, senior officials and managers	09	0	0	0	16	0	0	0	25
Professionals	09	0	0	0	07	1	0	0	17
Technicians and associate professionals	27	4	1	2	21	4	1	2	60
Clerks	44	6	2	0	63	5	1	1	122
Service and sales workers	49	0	0	0	20	0	0	0	69
Skilled agriculture and fishery workers	0	0	0	0	0	0	0	0	0
Craft and related trades workers	0	0	0	0	0	0	0	0	0
Plant and machine operators and assemblers	0	0	0	0	0	0	0	0	0
Elementary occupations	4	0	0	0	6	0	0	0	0
<b>Total</b>	144	10	03	02	133	10	02	03	307
<b>Employees with disabilities</b>	2	0	0	0	03	0	0	0	05





### 3.7. Signing of Performance Agreements by SMS Members

All members of the SMS must conclude and sign performance agreements within specific timeframes. Information regarding the signing of performance agreements by SMS members, the reasons for not complying within the prescribed timeframes and disciplinary steps taken is presented here.

*Table 3.7.1 Signing of Performance Agreements by SMS members as on 31 May 2020*

SMS Level	Total number of funded SMS posts	Total number of SMS members	Total number of signed performance agreements	Signed performance agreements as % of total number of SMS members
Director-General/ Head of Department	0	0	0	0
Salary Level 16	0	0	0	0
Salary Level 15	1	01	01	100%
Salary Level 14	5	05	05	100%
Salary Level 13	19	17	17	100%
<b>Total</b>	25	23	23	100%

#### Notes

- In the event of a National or Provincial election occurring within the first three months of a financial year all members of the SMS must conclude and sign their performance agreements for that financial year within three months following the month in which the elections took place. For example if elections took place in April, the reporting date in the heading of the table above should change to 31 July 2020.

*Table 3.7.2 Reasons for not having concluded Performance agreements for all SMS members as on 31 March 2020*

Reasons
Not applicable





## Notes

- The reporting date in the heading of this table should be aligned with that of Table 3.7.1.

*Table 3.7.3 Disciplinary steps taken against SMS members for not having concluded Performance agreements as on 31 March 2020*

Reasons
Not applicable

## Notes

- The reporting date in the heading of this table should be aligned with that of Table 3.7.1.

### 3.8. Performance Rewards

To encourage good performance, the department has granted the following performance rewards during the year under review. The information is presented in terms of race, gender, disability, salary bands and critical occupations (see definition in notes below).

*Table 3.8.1 Performance Rewards by race, gender and disability for the period 1 April 2019 to 31 March 2020*

Race and Gender	Beneficiary Profile			Cost	
	Number of beneficiaries	Number of employees	% of total within group	Cost	Average cost per employee
<b>African</b>					
Male	108	670	16	R 2 868 177.03	R 26 557.20
Female	188	814	23	R 4 368 978.21	R 23 239.20
<b>Asian</b>					
Male	0	1	0	0	R 0.00
Female	0	2	0	0	R 0.00
<b>Coloured</b>					
Male	3	24	13	R 48 875.94	R 16 292







Race and Gender	Beneficiary Profile			Cost	
	Number of beneficiaries	Number of employees	% of total within group	Cost	Average cost per employee
Female	8	13	62	R 145 780.35	R 18 222.50
<b>White</b>					
Male	8	24	33	R 397 583.55	R 49 697.90
Female	3	9	33	R 90 250.44	R 30 083.50
<b>Total</b>	<b>318</b>	<b>1557</b>	<b>20</b>	<b>R 7 919 645.52</b>	<b>R 24 904.50</b>
<b>EMPLOYEES WITH DISABILITY</b>	11	30	37	R 210 717.33	R 19 156.10

*Table 3.8.2 Performance Rewards by salary band for personnel below Senior Management Service for the period 1 April 2019 to 31 March 2020*

Salary band	Beneficiary Profile			Cost		Total cost as a % of the total personnel expenditure
	Number of beneficiaries	Number of employees	% of total within salary bands	Total Cost	Average cost per employee	
Lower Skilled (Levels 1-2)	14	72	19	R 147 633.03	R 10 545.20	0
Skilled (level 3-5)	72	268	27	R 1 089 585.33	R 15 133.10	0
Highly skilled production (level 6-8)	157	829	19	R 3 401 216.22	R 21 663.80	1
Highly skilled supervision (level 9-12)	74	214	35	R 3 246 830.64	R 43 876.10	0





Salary band	Beneficiary Profile			Cost		Total cost as a % of the total personnel expenditure
	Number of beneficiaries	Number of employees	% of total within salary bands	Total Cost	Average cost per employee	
Contract (Levels 1-12)	0	149	0	0	R 0.00	0
<b>Total</b>	<b>317</b>	<b>1532</b>	<b>21</b>	<b>R 7 885 265.22</b>	<b>R 24 874.70</b>	<b>1</b>

*Table 3.8.3 Performance Rewards by critical occupation for the period 1 April 2019 to 31 March 2020*

Critical occupation	Beneficiary Profile			Cost	
	Number of beneficiaries	Number of employees	% of total within occupation	Total Cost	Average cost per employee
ADMINISTRATIVE RELATED	46	177	26	R 1 982 532.81	R 43 098.50
ALL ARTISANS IN THE BUILDING METAL MACHINERY ETC.	4	10	40	R 89 804.79	R 22 451.20
BUILDING AND OTHER PROPERTY CARETAKERS	1	14	7	R 5 048.25	R 5 048.30
BUS AND HEAVY VEHICLE DRIVERS	0	14	0	0	R 0.00
CHAPLAIN AND RELATED PROFESSIONALS	2	3	67	R 58 981.86	R 29 490.90
CLEANERS IN OFFICES WORKSHOPS HOSPITALS ETC.	23	78	30	R 254 714.79	R 11 074.60
COMMUNICATION AND INFORMATION	0	3	0	0	R 0.00





Critical occupation	Beneficiary Profile			Cost	
	Number of beneficiaries	Number of employees	% of total within occupation	Total Cost	Average cost per employee
RELATED					
ENGINEERS AND RELATED PROFESSIONALS	1	1	100	R 97 070.04	R 97 070
FINANCE AND ECONOMICS RELATED	2	3	67	R 53 007.75	R 26 503.90
FINANCIAL AND RELATED PROFESSIONALS	15	26	58	R 443 275.68	R 29 551.70
FINANCIAL CLERKS AND CREDIT CONTROLLERS	33	58	57	R 668 113.08	R 20 245.90
FIRE FIGHTING AND RELATED WORKERS	1	44	2	R 29 977.56	R 29 977.60
GENERAL LEGAL ADMINISTRATION & REL. PROFESSIONALS	2	5	40	R 39 004.80	R 19 502.40
HEAD OF DEPARTMENT/CHIEF EXECUTIVE OFFICER	0	1	0	0	R 0.00
HUMAN RESOURCES & ORGANISAT DEVELOPM & RELATE PROF	15	20	75	R 393 138.15	R 26 209.20
HUMAN RESOURCES CLERKS	6	14	43	R 139 318.68	R 23 219.80
HUMAN RESOURCES RELATED	17	21	81	R 784 290.60	R 46 134.70
LANGUAGE PRACTITIONERS INTERPRETERS & OTHER COMMUN	0	4	0	0	R 0.00
LIBRARY MAIL AND RELATED CLERKS	4	9	44	R 64 502.07	R 16 125.50





Critical occupation	Beneficiary Profile			Cost	
	Number of beneficiaries	Number of employees	% of total within occupation	Total Cost	Average cost per employee
LOGISTICAL SUPPORT PERSONNEL	4	6	67	R 108 928.14	R 27 232
MATERIAL-RECORDING AND TRANSPORT CLERKS	10	25	40	R 142 463.64	R 14 246.40
MESSENGERS PORTERS AND DELIVERERS	12	27	44	R 179 689.86	R 14 974.20
MOTOR VEHICLE DRIVERS	1	2	50	R 25 014.96	R 25 015
OTHER ADMINISTRAT & RELATED CLERKS AND ORGANISERS	40	193	21	R 672 896.04	R 16 822.40
OTHER ADMINISTRATIVE POLICY AND RELATED OFFICERS	34	102	33	R 870 237.63	R 25 595.20
OTHER INFORMATION TECHNOLOGY PERSONNEL.	0	5	0	0	R 0.00
OTHER OCCUPATIONS	0	1	0	0	R 0.00
REGULATORY INSPECTORS	39	640	6	R 677 728.71	R 17 377.70
SECRETARIES & OTHER KEYBOARD OPERATING CLERKS	6	19	32	R 139 905.63	R 23 317.60
SECURITY OFFICERS	0	4	0	0	R 0.00
SENIOR MANAGERS	0	21	0	0	R 0.00
TRADE LABOURERS	0	7	0	0	R 0.00
<b>Total</b>	318	1557	20	R 7 919 645.52	R 24 904.50





## Notes

- The CORE classification, as prescribed by the DPSA, should be used for completion of this table.
- Critical occupations are defined as occupations or sub-categories within an occupation –
  - (a) in which there is a scarcity of qualified and experienced persons currently or anticipated in the future, either because such skilled persons are not available or they are available but do not meet the applicable employment criteria;
  - (b) for which persons require advanced knowledge in a specified subject area or science or learning field and such knowledge is acquired by a prolonged course or study and/or specialised instruction;
  - (c) where the inherent nature of the occupation requires consistent exercise of discretion and is predominantly intellectual in nature; and
  - (d) in respect of which a department experiences a high degree of difficulty to recruit or retain the services of employees;

*Table 3.8.4 Performance related rewards (cash bonus), by salary band for Senior Management Service for the period 1 April 2019 to 31 March 2020*

Salary band	Beneficiary Profile			Cost		Total cost as a % of the total personnel expenditure
	Number of beneficiaries	Number of employees	% of total within salary bands	Total Cost	Average cost per employee	
Band A	1	17	6	R 34 380.30	R 34 380.30	0
Band B	0	4	0	0	R 0.00	0
Band C	0	0	0	0	R 0.00	0
Band D	0	1	0	0	R 0.00	0
<b>Total</b>	<b>1</b>	<b>25</b>	<b>4</b>	<b>R 34 380.30</b>	<b>R 34 380.30</b>	<b>0</b>

### 3.9. Foreign Workers

The tables below summarise the employment of foreign nationals in the department in terms of salary band and major occupation.





*Table 3.9.1 Foreign workers by salary band for the period 1 April 2019 and 31 March 2020*

Salary band	01 April 2019		31 March 2020		Change	
	Number	% of total	Number	% of total	Number	% Change
Lower skilled	0	0	0	0	0	0
Highly skilled production (Lev. 6-8)	0	0	0	0	0	0
Highly skilled supervision (Lev. 9-12)	0	0	0	0	0	0
Contract (level 9-12)	1	100	1	100	0	0
Contract (level 13-16)	0	0	0	0	0	0
Contract (Levels 1-2)	0	0	0	0	0	0
Contract (Levels 3-5)	0	0	0	0	0	0
Contract (Levels 6-8)	0	0	0	0	0	0
Contract (Levels 9-12)	0	0	0	0	0	0
Periodical Remuneration	0	0	0	0	0	0
Abnormal Appointment	0	0	0	0	0	0
<b>Total</b>	<b>1</b>	<b>100</b>	<b>1</b>	<b>100</b>	<b>0</b>	<b>0</b>





*Table 3.9.2 Foreign workers by major occupation for the period 1 April 2019 and 31 March 2020*

Major occupation	01 April 2019		31 March 2020		Change	
	Number	% of total	Number	% of total	Number	% Change
ENGINEERS AND RELATED PROFESSIONALS	1	100	1	100	0	0
<b>Grand Total</b>	<b>1</b>	<b>100</b>	<b>1</b>	<b>100</b>	<b>0</b>	<b>0</b>

### 3.10. Leave utilisation

The Public Service Commission identified the need for careful monitoring of sick leave within the public service. The following tables provide an indication of the use of sick leave and disability leave. In both cases, the estimated cost of the leave is also provided.

*Table 3.10.1 Sick leave for the period 1 January 2019 to 31 December 2020*

Salary band	Total days	% Days with Medical certification	Number of Employees using sick leave	% of total employees using sick leave	Average days per employee	Estimated Cost
Lower Skills (Level 1-2)	636.5	86	65	5	10	R 354 924.67
Skilled (levels 3-5)	2328	80	227	19	10	R 2 086 611.77
Highly skilled production (levels 6-8)	7360	85	736	61	10	R 9 832 054.17
Highly skilled supervision (levels 9 -12)	1513	87	165	14	9	R 3 907 324.78
Top and Senior management (levels 13-16)	170	92	14	1	12	R 763 590.68
<b>Total</b>	<b>12007.5</b>	<b>84</b>	<b>1207</b>	<b>100</b>	<b>10</b>	<b>R 16 944 506.07</b>





*Table 3.10.2 Disability leave (temporary and permanent) for the period 1 January 2019 to 31 December 2020*

<b>Salary band</b>	<b>Total days</b>	<b>% Days with Medical certification</b>	<b>Number of Employees using disability leave</b>	<b>% of total employees using disability leave</b>	<b>Average days per employee</b>	<b>Estimated Cost</b>
Lower skilled (Levels 1-2)	1	100	1	4	1	R 555.11
Skilled (Levels 3-5)	182	100	7	28	26	R 158 669.66
Highly skilled production (Levels 6-8)	558	100	13	52	43	R 805 744.63
Highly skilled supervision (Levels 9-12)	95	100	3	12	32	R 306 845.65
Senior management (Levels 13-16)	38	100	1	4	38	R 187 878.84
<b>Total</b>	<b>874</b>	<b>100</b>	<b>25</b>	<b>100</b>	<b>35</b>	<b>R 1 459 693.89</b>







The table below summarises the utilisation of annual leave. The wage agreement concluded with trade unions in the PSCBC in 2000 requires management of annual leave to prevent high levels of accrued leave being paid at the time of termination of service.

*Table 3.10.3 Annual Leave for the period 1 January 2019 to 31 December 2020*

Salary band	Total days taken	Number of Employees using annual leave	Average per employee
Lower skilled (Levels 1-2)	1674.25	100	17
Skilled Levels 3-5)	6180.57	283	22
Highly skilled production (Levels 6-8)	20231.07	869	23
Highly skilled supervision(Levels 9-12)	5867	232	25
Senior management (Levels 13-16)	582	23	25
<b>Total</b>	<b>34534.89</b>	<b>1507</b>	<b>23</b>

*Table 3.10.4 Capped leave for the period 1 January 2019 to 31 December 2020*

Salary band	Total days of capped leave taken	Number of Employees using capped leave	Average number of days taken per employee	Average capped leave per employee as on 31 March 2020
Lower skilled (Levels 1-2)	0	0	0	0
Skilled Levels 3-5)	21	3	7	11
Highly skilled production (Levels 6-8)	99	13	8	20
Highly skilled supervision(Levels 9-12)	102	6	17	35
Senior management (Levels 13-16)	0	0	0	19
<b>Total</b>	<b>222</b>	<b>22</b>	<b>10</b>	<b>18</b>





The following table summarise payments made to employees as a result of leave that was not taken.

*Table 3.10.5 Leave payouts for the period 1 April 2019 and 31 March 2020*

Reason	Total amount	Number of employees	Average per employee
Leave payout for 2019/20 due to non-utilisation of leave for the previous cycle	R 0.00	0	R 0.00
Capped leave payouts on termination of service for 2019/20	R 0.00	0	R 0.00
Current leave payout on termination of service for 2019/20	R 3 350 553.77	53	R 63 218
<b>Total</b>	<b>R 3 350 553.77</b>	<b>53</b>	<b>R 63 218</b>

### 3.11. HIV/AIDS & Health Promotion Programmes

*Table 3.11.1 Steps taken to reduce the risk of occupational exposure*

Units/categories of employees identified to be at high risk of contracting HIV & related diseases (if any)	Key steps taken to reduce the risk
<ul style="list-style-type: none"> <li>Traffic Officials especially at Weighbridge</li> <li>Fire fighters officials</li> <li>Interns</li> </ul>	Preventative programmes Information sharing Awareness Campaigns Wellness screenings

*Table 3.11.2 Details of Health Promotion and HIV/AIDS Programmes (tick the applicable boxes and provide the required information)*

Question	Yes	No	Details, if yes
1. Has the department designated a member of the SMS to implement the provisions contained in Part VI E of Chapter 1 of the Public Service Regulations, 2001? If so, provide her/his name and position.	Yes		Ms VT Leteane Acting Director Human Resource Management
2. Does the department have a dedicated unit or has it designated specific staff members to promote the health and well-being of your employees? If so, indicate the number of employees who are involved in this task and the annual budget that is available for this purpose.	Yes		13 Employees including Commercial Cleaners/groundsmen and EPWP Workers  EHW 0.05 % Budget
3. Has the department introduced an Employee Assistance or Health Promotion	Yes		EAP Counselling and Spiritual Counselling session





Question	Yes	No	Details, if yes
Programme for your employees? If so, indicate the key elements/services of this Programme.			
4. Has the department established (a) committee(s) as contemplated in Part VI E.5 (e) of Chapter 1 of the Public Service Regulations, 2001? If so, please provide the names of the members of the committee and the stakeholder(s) that they represent.			<p>Sports &amp; Recreation Committee</p> <ul style="list-style-type: none"> <li>• Mr K Mmutle-Chairperson</li> <li>• Ms EM Mamogale-Bojanala</li> <li>• Ms TM Buthelezi-secretary</li> <li>• Ms NG Zwane-Deputy Secretary</li> <li>• Mr MD Mothapo-Code convenor-non contact</li> <li>• Mr MJ Monare-code convenor sports</li> <li>• Ms IFK Selomane-DD IEHW</li> <li>• Mr SL Lovuno-HPM Manager</li> <li>• Mr BJ Menyatswe-admin clerk</li> <li>• Mr OR Phetlhu-HPM</li> </ul> <p>OHS Committee</p> <ul style="list-style-type: none"> <li>• Mabula KP</li> <li>• Williams YY</li> <li>• Khunou MH</li> <li>• Maila J</li> <li>• Kuduntwane PB</li> <li>• Montshonyane KE</li> <li>• Modisane SS</li> <li>• Letsholo PS</li> <li>• Tsatsinyane OK</li> <li>• Mpunzi JK</li> <li>• Senoge MR</li> <li>• Phetlhu OR</li> <li>• Boikanyo MR</li> <li>• Dipale J</li> <li>• Mothupi MD</li> <li>• Mabe LSM</li> <li>• Mmutla I</li> <li>• Rutiri FK</li> <li>• Fikela LG</li> </ul>
5. Has the department reviewed its employment policies and practices to ensure	Yes		HIV and AIDS, TB, STI's and TB





Question	Yes	No	Details, if yes
that these do not unfairly discriminate against employees on the basis of their HIV status? If so, list the employment policies/practices so reviewed.			Management Policy Wellness Management Policy SHERQ Management Policy Health and Productivity Management Policy
6. Has the department introduced measures to protect HIV-positive employees or those perceived to be HIV-positive from discrimination? If so, list the key elements of these measures.		No	Employees do not disclose their status
7. Does the department encourage its employees to undergo Voluntary Counselling and Testing? If so, list the results that you have you achieved.	Yes		<ul style="list-style-type: none"> <li>During employee wellness awareness campaigns and during individual counselling sessions, employees are encouraged to test, however, they fail to disclose</li> </ul>
8. Has the department developed measures/indicators to monitor & evaluate the impact of its health promotion programme? If so, list these measures/indicators.	Yes		Quarterly reports for office of the Premier and DPSA

### 3.12. Labour Relations

*Table 3.12.1 Collective agreements for the period 1 April 2019 and 31 March 2020*

Subject matter	Date
<b>Total number of Collective agreements</b>	<b>None</b>

#### Notes

- If there were no agreements, keep the heading and replace the table with the following:

<b>Total number of Collective agreements</b>	<b>None</b>
--	-------------

The following table summarises the outcome of disciplinary hearings conducted within the department for the year under review.

*Table 3.12.2 Misconduct and disciplinary hearings finalised for the period 1 April 2019 and 31 March 2020*





Outcomes of disciplinary hearings	Number	% of total
Correctional counselling	1	4
Verbal warning	1	4
Written warning	0	0
Final written warning	9	36
Suspended without pay	5	20
Fine	0	0
Demotion	0	0
Dismissal	2	8
Not guilty	0	0
Case withdrawn	4	16
<b>Total</b>	<b>3</b>	<b>12</b>

#### Notes

- If there were no agreements, keep the heading and replace the table with the following:

<b>Total number of Disciplinary hearings finalised</b>	None
--	------

*Table 3.12.3 Types of misconduct addressed at disciplinary hearings for the period 1 April 2019 and 31 March 2020*

Type of misconduct	Number	% of total
Insubordination	1	4.5
Unbecoming Conduct	5	23
Fraud	2	9
Negligence	4	18
Doing business with government	2	9
Dishonesty	1	4.5
Failure to disclose financial interest	5	23
Misuse of state vehicle	1	4.5
Contravening Transport Act	1	4.5
<b>Total</b>	<b>22</b>	<b>100</b>

*Table 3.12.4 Grievances logged for the period 1 April 2019 and 31 March 2020*

Grievances	Number	% of Total
Number of grievances resolved	<b>15</b>	<b>93</b>
Number of grievances not resolved	<b>1</b>	<b>7</b>
<b>Total number of grievances lodged</b>	<b>16</b>	<b>100</b>





*Table 3.12.5 Disputes logged with Councils for the period 1 April 2019 and 31 March 2020*

<b>Disputes</b>	<b>Number</b>	<b>% of Total</b>
Number of disputes upheld	<b>15</b>	<b>93</b>
Number of disputes dismissed	<b>1</b>	<b>7</b>
<b>Total number of disputes lodged</b>	<b>16</b>	<b>100</b>

*Table 3.12.6 Strike actions for the period 1 April 2019 and 31 March 2020*

<b>Total number of persons working days lost</b>	0
<b>Total costs working days lost</b>	0
<b>Amount recovered as a result of no work no pay (R'000)</b>	0

*Table 3.12.7 Precautionary suspensions for the period 1 April 2019 and 31 March 2020*

<b>Number of people suspended</b>	0
<b>Number of people who's suspension exceeded 30 days</b>	0
<b>Average number of days suspended</b>	0
<b>Cost of suspension(R'000)</b>	0

### 3.13. Skills development

This section highlights the efforts of the department with regard to skills development.

*Table 3.13.1 Training needs identified for the period 1 April 2019 and 31 March 2020*

<b>Occupational category</b>	<b>Gender</b>	<b>Number of employees as at 1 April 2019</b>	<b>Training needs identified at start of the reporting period</b>			
			<b>Learnerships</b>	<b>Skills Programmes &amp; other short courses</b>	<b>Other forms of training</b>	<b>Total</b>
Legislators, senior officials and managers	Female	9	0	Theory of Change	0	06
	Male	14	0	0	0	14
Professionals	Female	59	0	CIP	0	80
	Male	32	0	CIP	0	59
Technicians	Female	449	57	RTM		57





Occupational category	Gender	Number of employees as at 1 April 2019	Training needs identified at start of the reporting period			
			Learnerships	Skills Programmes & other short courses	Other forms of training	Total
and associate professionals	Male	476	43	RTM	0	43
Clerks	Female	237	06	Customer Care	0	06
	Male	81	04	Customer Care	0	04
Service and sales workers	Female	18	0	OHS Fire Fighting	0	12 07
	Male	30	0	OHS Fire Fighting	0	08 07
Skilled agriculture and fishery workers	Female	0	0	Aircraft Construction	0	0
	Male	0	0	Aircraft Construction	0	10
Craft and related trades workers	Female	0	0	0	0	0
	Male	10	0	0	0	0
Plant and machine operators and assemblers	Female	1	0	0	0	0
	Male	15	0	0	0	0
Elementary occupations	Female	65	16	0	Internship	16
	Male	61	08	0	Internship	08
Non-Permanent Employees	Female	307	0	0	0	0
	Male	251	0	0	0	0
Sub Total	Female	1089	18	0	WIL	18
	Male	1026	17	0	WIL	17
<b>Total</b>		2115	175	0	0	376





*Table 3.13.2 Training provided for the period 1 April 2019 and 31 March 2020*

Occupational category	Gender	Number of employees as at 1 April 2019	Training provided within the reporting period			
			Learnerships	Skills Programmes & other short courses	Other forms of training	Total
Legislators, senior officials and managers	Female	9	0	Avoid Irregular Fruitless and wasteful Expenditure	0	15
	Male	14	0	Reorientation(SMS)	0	10
Professionals	Female	59	0	Project Management	0	17
	Male	32	0	0	0	09
Technicians and associate professionals	Female	449	0	Dangerous Goods. Civilian Oversight for Police	0	40
	Male	476	0	Dangerous Goods. Civilian Oversight for Police	0	40
Clerks	Female	237	0	First Aid Level 1-3	0	15
	Male	81	0	Report Writing	0	10
Service and sales workers	Female	18	0	Fire Fighting	0	16
	Male	30	0	OHS	0	14
Skilled agriculture and fishery workers	Female	0	0	-	0	-
	Male	0	0	-	0	-
Craft and related trades workers	Female	0	0	0	0	0
	Male	10	0	0	0	0
Plant and machine operators and assemblers	Female	1	0	0	0	0
	Male	15				
Elementary occupations	Female	65	0	0	0	57
	Male	61	0	0	0	43
	Male	251	0	0	0	0
Sub Total	Female	1089	0	0	0	0
	Male	1026	0	0	0	07
<b>Total</b>		2115	0	0	0	335







### 3.14. Injury on duty

The following tables provide basic information on injury on duty.

*Table 3.14.1 Injury on duty for the period 1 April 2019 and 31 March 2020*

Nature of injury on duty	Number	% of total
Required basic medical attention only	22	88%
Temporary Total Disablement	3	12%
Permanent Disablement	-	0%
Fatal	-	0%
Total	25	100%

### 3.15. Utilisation of Consultants

The following table relates information on the utilisation of consultants in the department. In terms of the Public Service Regulations "consultant" means a natural or juristic person or a partnership who or which provides in terms of a specific contract on an ad hoc basis any of the following professional services to a department against remuneration received from any source:

- (a) The rendering of expert advice;
- (b) The drafting of proposals for the execution of specific tasks; and
- (c) The execution of a specific task which is of a technical or intellectual nature, but excludes an employee of a department.

*Table 3.15.1 Report on consultant appointments using appropriated funds for the period 1 April 2019 and 31 March 2020*

Project title	Total number of consultants that worked on project	Duration (work days)	Contract value in Rand
Not applicable	0	0	0
Not applicable	0	0	0

Total number of projects	Total individual consultants	Total duration Work days	Total contract value in Rand
Not applicable	0	0	0
Not applicable	0	0	0





*Table 3.15.2 Analysis of consultant appointments using appropriated funds, in terms of Historically Disadvantaged Individuals (HDIs) for the period 1 April 2019 and 31 March 2020*

<b>Project title</b>	<b>Percentage ownership by HDI groups</b>	<b>Percentage management by HDI groups</b>	<b>Number of consultants from HDI groups that work on the project</b>
Not applicable	0	0	0
Not applicable	0	0	0

*Table 3.15.3 Report on consultant appointments using Donor funds for the period 1 April 2019 and 31 March 2020*

<b>Project title</b>	<b>Total Number of consultants that worked on project</b>	<b>Duration (Work days)</b>	<b>Donor and contract value in Rand</b>
Not applicable	0	0	0
Not applicable	0	0	0

<b>Total number of projects</b>	<b>Total individual consultants</b>	<b>Total duration Work days</b>	<b>Total contract value in Rand</b>
Not applicable	0	0	0
Not applicable	0	0	0

*Table 3.15.4 Analysis of consultant appointments using Donor funds, in terms of Historically Disadvantaged Individuals (HDIs) for the period 1 April 2019 and 31 March 2020*

<b>Project title</b>	<b>Percentage ownership by HDI groups</b>	<b>Percentage management by HDI groups</b>	<b>Number of consultants from HDI groups that work on the project</b>
Not applicable	0	0	0
Not applicable	0	0	0





3.16. Severance Packages

*Table 3.16.1 Granting of employee initiated severance packages for the period 1 April 2019 and 31 March 2020*

<b>Salary band</b>	<b>Number of applications received</b>	<b>Number of applications referred to the MPSA</b>	<b>Number of applications supported by MPSA</b>	<b>Number of packages approved by department</b>
Lower skilled (Levels 1-2)	0	0	0	0
Skilled Levels 3-5)	0	0	0	0
Highly skilled production (Levels 6-8)	0	0	0	0
Highly skilled supervision (Levels 9-12)	0	0	0	0
Senior management (Levels 13-16)	0	0	0	0
<b>Total</b>	0	0	0	0



## PART E: FINANCIAL INFORMATION





## **Report of the auditor-general to the North West provincial legislature on vote no. 5: Department of Community Safety and Transport Management**

### **Report on the audit of the financial statements**

#### **Qualified opinion**

1. I have audited the financial statements of the Department of Community Safety and Transport Management set out on pages 236 to 277, which comprise the appropriation statement, the statement of financial position as at 31 March 2020, and the statement of financial performance, and cash flow statement for the year then ended, as well as the notes to the financial statements, including a summary of significant accounting policies.
2. In my opinion, except for the effects of the matters described in the basis for qualified opinion section of this auditor's report, the financial statements present fairly, in all material respects, the financial position of the Department of Community Safety and Transport Management as at 31 March 2019, and its financial performance and cash flows for the year then ended in accordance with the Modified Cash Standard (MCS) prescribed by National Treasury and the requirements of the Public Finance Management Act of South Africa, 1999 (Act No. 1 of 1999) (PFMA) and the Division of Revenue Act of South Africa, 2018 (Act No. 1 of 2018) (DoRA).

#### **Basis for qualified opinion**

##### **Goods and services**

3. I was unable to obtain sufficient appropriate audit evidence for goods and services due to the status of the accounting records. The department did not have adequate systems of internal control to ensure payments made were for goods and services actually received. I was unable to confirm these payments by alternative means. Consequently, I was unable to determine whether any adjustment relating to goods and services of R1 007 451 000 (2019: R952 980 000) as disclosed in note 4 to the financial statements was necessary.

##### **Transfer and subsidies**

4. I was unable to obtain sufficient appropriate audit evidence that transfers and subsidies paid to public corporations and private enterprises had been properly accounted for, due to lack of adequate evidence to substantiate payments made were for services actually rendered by commuter bus companies. I was unable to confirm these transfers and subsidies by alternative means. Consequently, I was unable to determine whether any adjustment to the expense classified as public corporations and private enterprises included in transfer and subsidies of R685 379 000 as disclosed in note 6 to the financial statements was necessary.

##### **Prepayments and advances**

5. The department did not correctly recognise all payments made for goods or services not yet received as prepayments and advances in accordance with the MCS in the current year and

prior year. As the department did not maintain adequate records of prepayments and advances made for goods or services not yet received at year-end, I was unable to determine the full extent of the understatement of prepayments and advances that should have been presented in the statement of financial position or the overstatement of advances paid (expensed) of R67 856 000 (2019: R67 856 000) disclosed in note 10.1 to the financial statements as it was impracticable to do so.

### **Context for the opinion**

6. I conducted my audit in accordance with the International Standards on Auditing (ISAs). My responsibilities under those standards are further described in the auditor-general's responsibilities for the audit of the financial statements section of this auditor's report.
7. I am independent of the department in accordance with sections 290 and 291 of the *Code of ethics for professional accountants* and parts 1 and 3 of the *International Code of Ethics for Professional Accountants (including International Independence Standards)* of the International Ethics Standards Board for Accountants (IESBA codes) as well as the ethical requirements that are relevant to my audit in South Africa. I have fulfilled my other ethical responsibilities in accordance with these requirements and the IESBA codes.
8. I believe that the audit evidence I have obtained is sufficient and appropriate to provide a basis for my qualified opinion.

### **Emphasis of matters**

9. I draw attention to the matters below. My opinion is not modified in respect of these matters.

#### **Unauthorised, irregular and fruitless and wasteful expenditure**

10. As disclosed in note 8 to the financial statements, the unauthorised expenditure of R13 662 000 in respect of prior years had not yet been resolved.
11. As disclosed in note 23 to the financial statements, irregular expenditure of R1 265 518 000 was incurred in the current year and irregular expenditure of R5 291 487 000 from prior years was still under investigation.
12. As disclosed in note 24 to the financial statements, fruitless and wasteful expenditure of R1 176 000 was incurred in the current year and fruitless and wasteful expenditure of R3 786 000 from prior years was still under investigation

#### **Material uncertainty relating to contingencies**

13. With reference to note 17 to the financial statements, the department is the defendant in a number of lawsuits. The department is opposing these claims. The ultimate outcome of these matters could not be determined and no provision for any liability that may result was made in the financial statements.

#### **Restatement of corresponding figures**

14. As disclosed in note 32 to the financial statements, the corresponding figures for 31 March 2019 were restated as a result of errors in the financial statements of the department at, and for the year ended, 31 March 2020.

### **Other matter**

15. I draw attention to the matter below. My opinion is not modified in respect of this matter.



## Unaudited supplementary schedules

16. The supplementary information set out on pages 278 to 284 does not form part of the financial statements and is presented as additional information. I have not audited these schedules and, accordingly I do not express an opinion on them

## Responsibilities of the accounting officer for the financial statements

17. The accounting officer is responsible for the preparation and fair presentation of the financial statements in accordance with the MCS prescribed by National Treasury and the requirements of the PFMA and the DoRA and for such internal control as the accounting officer determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.
18. In preparing the financial statements, the accounting officer is responsible for assessing the Department of Community Safety and Transport's ability to continue as a going concern, disclosing, as applicable, matters relating to going concern and using the going concern basis of accounting unless the appropriate governance structure either intends to liquidate the department or to cease operations, or there is no realistic alternative but to do so.

## Auditor-general's responsibilities for the audit of the financial statements

19. My objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes my opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with ISAs will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements
20. A further description of my responsibilities for the audit of the financial statements is included in the annexure to this auditor's report.

## Report on the audit of the annual performance report

### Introduction and scope

21. In accordance with the Public Audit Act of South Africa, 2004 (Act No. 25 of 2004) (PAA) and the general notice issued in terms thereof, I have a responsibility to report material findings on the reported performance information against predetermined objectives for selected programmes presented in the annual performance report. I performed procedures to identify findings but not to gather evidence to express assurance.
22. My procedures address the reported performance information, which must be based on the approved performance planning documents of the department. I have not evaluated the completeness and appropriateness of the performance indicators included in the planning documents. My procedures also did not extend to any disclosures or assertions relating to planned performance strategies and information in respect of future periods that may be included as part of the reported performance information. Accordingly, my findings do not extend to these matters.
23. I evaluated the usefulness and reliability of the reported performance information in accordance with the criteria developed from the performance management and reporting framework, as defined in the general notice, for the following selected programme presented in the annual performance report of the department for the year ended 31 March 2020:

Programmes	Pages in the annual performance report
Programme 3 - Transport operations	72 – 88

24. I performed procedures to determine whether the reported performance information was properly presented and whether performance was consistent with the approved performance planning documents. I performed further procedures to determine whether the indicators and related targets were measurable and relevant, and assessed the reliability of the reported performance information to determine whether it was valid, accurate and complete.
25. The material findings in respect of the usefulness and reliability of the selected programme are as follows:

### Programme 3 – Transport operations

#### Indicator: Number of schools involved in road safety education programme

26. The achievement of 499 schools involved in road safety education programme was reported against the target of 548 schools involved in road safety education programme in the annual performance report. However, some supporting evidence provided materially differed from the reported achieved, while in other instances I was unable to obtain sufficient appropriate audit evidence. This was due to the lack of accurate and complete records. I was unable to further confirm the reported achievement by alternative means. Consequently, I was unable to determine whether any adjustment was required to the reported achievement

#### Various indicators: Reported achievement not supported by sufficient appropriate evidence

27. I was unable to obtain sufficient appropriate audit evidence to support the achievements reported against the targets of the indicators listed below, due to the lack of accurate and complete records. I was unable to confirm the reported achievements by alternative means. Consequently, I was unable to determine whether any adjustments were required to the reported achievements.

Indicators	Targets	Reported achievements
Number of kilometres subsidized	26 000 000	27 193 075.3
Number of routes subsidized	784	784
Number of trips subsidized	582 211	546 584
Number of contracted learners Transport kilometres operated	8 300 000	3 450 124

### Other matters

28. I draw attention to the matters below.

#### Achievement of planned targets

29. Refer to the annual performance report on pages 72 to 88 for information on the achievement of planned targets for the year and explanations provided for the under and over achievement of a number of targets. This information should be considered in the context of the material findings on the usefulness and reliability of the reported performance information in paragraphs 25 to 28 of this report



## Adjustment of material misstatements

30. I identified material misstatements in the annual performance report submitted for auditing. These material misstatements were on the reported performance information of Programme 3 – Transport operations.

## Report on audit of compliance with legislation

### Introduction and scope

31. In accordance with the PAA and the general notice issued in terms thereof, I have a responsibility to report material findings on the compliance of the department with specific matters in key legislation. I performed procedures to identify findings but not to gather evidence to express assurance.
32. The material findings on compliance with specific matters in key legislation are as follows:

### Strategic planning and performance management

32. Specific information systems were not established to enable the monitoring of progress made towards achieving targets, core objectives and service delivery as required by Public Service Regulation 25(1)(e)(i) and (iii).

### Financial statements

33. The financial statements submitted for auditing were not prepared in accordance with the prescribed financial reporting framework and supported by full and proper records, as required by section 40(1)(a) and (b) of the PFMA. Material misstatements of disclosure items identified by the auditors in the submitted financial statements were corrected and the supporting records were provided subsequently, but the uncorrected material misstatements and supporting records that could not be provided resulted in the financial statements receiving a qualified opinion.

### Expenditure management

34. Effective and appropriate steps were not taken to prevent irregular expenditure of R1 265 518 000, as disclosed in note 31 to the financial statements as required by section 38(1)(c)(ii) of the PFMA and Treasury Regulation 9.1.1. The majority of the irregular expenditure disclosed in the financial statements was caused by non-compliance with Treasury Regulation 16A6.1.
35. Effective steps were not taken to prevent fruitless and wasteful expenditure of R1 176 000 as disclosed in note 32 to the financial statements, as required by section 38(1)(c)(ii) of the PFMA and Treasury Regulation 9.1.1. The majority of the fruitless and wasteful expenditure was caused by interest paid on overdue accounts.
36. Effective internal controls were not in place for approval and processing of payments, as required by Treasury Regulation 8.1.1.
37. Payments were not made within 30 days or an agreed period after receipt of an invoice, as required by Treasury Regulation 8.2.3.

### Consequence management

38. I was unable to obtain sufficient appropriate audit evidence that disciplinary steps were taken against officials who had incurred irregular and fruitless and wasteful expenditure as required by section 38(1)(h)(iii) of the PFMA. This was due to proper and complete records that were not maintained as evidence to support the investigations into irregular expenditure.

## Procurement and contract management

39. Some of the goods and services of a transaction value above R500 000 were procured without inviting competitive bids as required by Treasury Regulations 16A6.1 and 16A6.4 and deviations were approved by the accounting officer but it was practical to invite competitive bids.
40. The bid documentation for procurement of commodities designated for local content and production, did not stipulated the minimum threshold for local production and content as required by regulation 8(2) of the 2017 Preferential Procurement Regulations.

## Other information

41. The accounting officer is responsible for the other information. The other information comprises the information included in the annual report which includes the audit committee's report. The other information does not include the financial statements, the auditor's report thereon and those selected programmes presented in the annual performance report that have been specifically reported on in this auditor's report.
42. My opinion on the financial statements and findings on the reported performance information and compliance with legislation do not cover the other information and I do not express an audit opinion or any form of assurance conclusion thereon.
43. In connection with my audit, my responsibility is to read the other information and, in doing so, consider whether the other information is materially inconsistent with the financial statements and the selected programmes presented in the annual performance report, or my knowledge obtained in the audit, or otherwise appears to be materially misstated.
44. I did not receive the other information prior to the date of this auditor's report. After I receive and read this information, and if I conclude that there is a material misstatement, I am required to communicate the matter to those charged with governance and request that the other information be corrected. If the other information is not corrected, I may have to retract this auditor's report and re-issue an amended report as appropriate. However, if it is corrected this will not be necessary.

## Internal control deficiencies

45. I considered internal control relevant to my audit of the financial statements, reported performance information and compliance with applicable legislation; however, my objective was not to express any form of assurance thereon. The matters reported below are limited to the significant internal control deficiencies that resulted in the basis for qualified opinion, the findings on the performance report and the findings on compliance with legislation included in this report.
  - Leadership did not adequately exercise oversight responsibility regarding financial and performance reporting and compliance with legislation. The department did not have sufficient monitoring and reviewing controls to ensure that financial and performance reports submitted for audit were accurate and complete and that action plans developed were adequately and timeously implemented.
  - Management did not adequately monitor the outputs of the risk management activities, to ensure the prior year qualification areas and control deficiencies were addressed. Non-compliance with laws and regulations could have been prevented had compliance been properly reviewed and monitored and control measures implemented. Management could not provide adequate evidence in support of the information presented in the financial statements.



- Although internal audit fulfilled their functions they had limited impact due to the lack of adequate implementation of their recommendations by the department.

## Material irregularities

46. In accordance with the PAA and the Material Irregularities Regulations, I have a responsibility to report on material irregularities identified during the audit. I also report on the status of the material irregularities reported in the previous year's auditor's report.

### Material irregularities identified during the audit

47. The material irregularities identified are as follows:

#### Payments made for flight subsidies and route marketing services that were not received

48. A contract for R407 221 142 was awarded in March 2015 for the introduction of scheduled flights to the Mahikeng and Pilanesberg airports. The department made payments of R202 635 051 in relation to this contract, which includes payment for flight subsidies, route marketing services and other costs relating to the operations of these airports. Effective internal controls were not in place for the approval and processing of the payments in relation to these contracts as required by Treasury Regulation 8.1.1, resulting in some suppliers being paid for services not delivered.. The non-compliance is likely to result in a material financial loss if not recovered from the suppliers.
49. The accounting officer was notified of this material irregularity on 13 February 2020 and invited to make a written submission on the actions taken and that will be taken to address the matter. The following actions have been taken :
- The contract was terminated on 18 June 2018 and no further payments were made.
  - Two criminal cases were opened, one with the South African Police Service in 2017 and one with the Directorate for Priority Crime Investigation in May 2020. These cases are still in progress.
  - The department also approached National Treasury in September 2019 to investigate the matter. As a result, National Treasury commissioned an external forensic investigation in October 2019. This forensic investigation is also still in progress
50. Upon completion of the investigations the accounting officer plans to institute disciplinary action against the officials found responsible for the loss and recover all monies lost. I will follow up on the investigations and the implementation of the planned actions during my next audit.

### Status of previously reported material irregularities

#### Participation in a contract for learner driver training services of another department – procurement beyond scope of contract

51. The department, by opting to participate in a contract awarded by the Free State Department of Police, Roads and Transport, awarded a R35 000 000 contract for the learner driver training and capacitation program in October 2015. However, the department did not comply with the requirements of Treasury Regulation 16A6.6 since the department procured beyond the scope of the original contract. A payment of R21 318 570 was made in November 2015 to the supplier in relation to this contract for which services have not been received , by participating in the contract the department did not evaluate the ability of the supplier to deliver the required services. The payment made is likely to result in a financial loss if not recovered from the supplier.

52. The accounting officer was notified of the material irregularity on 15 July 2019, the following actions have been taken to resolve the material irregularity :
- The accounting officer performed a detailed review of the relevant documentation to identify all the responsible officials
  - A criminal case was opened with the Directorate for Priority Crimes Investigation (Hawks) on the matter in 2017 and the investigation is still ongoing.
  - A civil claim was also instituted against the supplier on 8 September 2017 to recover the loss. The department is still awaiting a response from the State Attorney on the status of this claim.
53. Upon completion of the investigations the accounting officer plans to institute disciplinary action against the officials found responsible for the loss . I will follow up on the investigations and the implementation of the planned actions during my next audit.

#### **Procurement process not followed to appoint scholar transport suppliers**

54. The department paid scholar transport suppliers directly without following a procurement process as prescribed by Treasury Regulation 16A6.1. The department paid R554 867 000 in the previous financial year to the respective suppliers and further R558 403 000 in the current year. The payments made to these suppliers are likely to result in a material financial loss as the price paid for scholar transport is significantly higher than the market price.
55. The accounting officer was notified of the material irregularity on 15 July 2019, the following actions have been taken to resolve the material irregularity :
- An application was made and judgement was issued by the High Court on 17 December 2019 to set aside the contract, that any service level agreement with the suppliers be declared void and that the department is to publish a new invitation to bid by 30 November 2020.
  - The Special Investigation Unit (SIU) has extended the scope of an investigation on a previous scholar transport tender to the current scholar transport matter in terms of proclamation number R.31 of 2019 (Government gazette number 42562). This investigation is still in progress.
  - The National Treasury instituted forensic investigation by an independent firm based on the request made by the accounting officer on November 2018, the investigation commenced in March 2019 and was finalised on 20 February 2020 and the accounting officer received the report from National Treasury in September 2020.
56. The accounting officer is currently busy studying the outcome of the forensic investigation together with the Provincial Treasury and the independent firm to trace the money flow and determine the financial loss suffered and start the process to recover the loss through the SIU. Upon completion of the investigations the accounting officer plans to institute disciplinary action against the officials found responsible for the loss and recover all monies lost. I will follow up on the investigations and the implementation of the planned actions during my next audit.

#### **Payments made for scholar transport services that were not received**

57. Payments of R554 867 000 were made to scholar transport suppliers in the previous financial year and further R558 403 000 in the current year. Effective internal controls were not in place for the approval and processing of these payments as required by Treasury Regulation 8.1.1, resulting in some suppliers being paid for services not delivered. This non-compliance is likely to result in a material financial loss if not recovered from the suppliers.
58. The accounting officer was notified of the material irregularity on 15 July 2019, the following actions have been taken to resolve the material irregularity :

- The department has through the provincial internal audit commissioned route verifications. As a result of these verifications, payments made to suppliers from 15 October 2019 were based on actual verified kilometres and the rate per kilometre adjusted to the correct bus capacities.
  - The Special Investigation Unit (SIU) has extended the scope of an investigation on a previous scholar transport tender to the current scholar transport matter in terms of proclamation number R.31 of 2019 (Government gazette number 42562). This investigation is still in progress.
  - The National Treasury instituted forensic investigation by an independent firm based on the request made by the accounting officer on November 2018, the investigation commenced in March 2019 and was finalised on 20 February 2020 and the accounting officer received the report from National Treasury in September 2020.
59. The accounting officer is currently busy studying the outcome of the forensic investigation together with the Provincial Treasury and the independent firm to trace the money flow and determine the financial loss suffered and start the process to recover the loss through SIU. Upon completion of the investigations the accounting officer plans to institute disciplinary action against the officials found responsible for the loss and recover all monies lost. I will follow up on the investigations and the implementation of the planned actions during my next audit.

*Auditor - General*

9 October 2020

Potchefstroom



AUDITOR - GENERAL  
SOUTH AFRICA

*Auditing to build public confidence*

## Annexure – Auditor-general’s responsibility for the audit

1. As part of an audit in accordance with the ISAs, I exercise professional judgement and maintain professional scepticism throughout my audit of the financial statements, and the procedures performed on reported performance information for selected programmes and on the department’s compliance with respect to the selected subject matters.

### Financial statements

2. In addition to my responsibility for the audit of the financial statements as described in the auditor’s report, I also:
  - identify and assess the risks of material misstatement of the financial statements whether due to fraud or error, design and perform audit procedures responsive to those risks, and obtain audit evidence that is sufficient and appropriate to provide a basis for my opinion. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control.
  - obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the department’s internal control.
  - evaluate the appropriateness of accounting policies used and the reasonableness of accounting estimates and related disclosures made by the accounting officer.
  - conclude on the appropriateness of the accounting officer’s use of the going concern basis of accounting in the preparation of the financial statements. I also conclude, based on the audit evidence obtained, whether a material uncertainty exists related to events or conditions that may cast significant doubt on the Department of Community Safety and Transport Management’s ability to continue as a going concern. If I conclude that a material uncertainty exists, I am required to draw attention in my auditor’s report to the related disclosures in the financial statements about the material uncertainty or, if such disclosures are inadequate, to modify the opinion on the financial statements. My conclusions are based on the information available to me at the date of the auditor’s report. However, future events or conditions may cause a department to cease to continue as a going concern.
  - evaluate the overall presentation, structure and content of the financial statements, including the disclosures, and whether the financial statements represent the underlying transactions and events in a manner that achieves fair presentation.

### Communication with those charged with governance

3. I communicate with the accounting officer regarding, among other matters, the planned scope and timing of the audit and significant audit findings, including any significant deficiencies in internal control that I identify during my audit.
4. I also confirm to the accounting officer that I have complied with relevant ethical requirements regarding independence, and communicate all relationships and other matters that may reasonably be thought to have a bearing on my independence and where applicable, related safeguards.

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Vote 5: Department of Community Safety and Transport Management  
North West Province



Appropriation per programme									
	2019/20							2018/19	
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>Voted funds and Direct charges</b>									
<b>Programme</b>									
1. <a href="#">ADMINISTRATION</a>	365 592	-	352	365 944	365 839	105	100,0%	326 830	308 474
##### <a href="#">PROVINCIAL SECRETARIAT FOR POLICE SERVICE</a>	46 437	-	(3 771)	42 666	42 565	101	99,8%	46 081	39 440
##### <a href="#">TRANSPORT OPERATIONS</a>	1 348 138	-	3 677	1 351 815	1 346 168	5 647	99,6%	1 326 575	1 334 783
##### <a href="#">TRANSPORT REGULATIONS</a>	624 940	-	(258)	624 682	624 066	616	99,9%	612 601	572 491
<b>Programme sub total</b>	<b>2 385 107</b>	<b>-</b>	<b>-</b>	<b>2 385 107</b>	<b>2 378 638</b>	<b>6 469</b>	<b>99,7%</b>	<b>2 312 087</b>	<b>2 255 188</b>
<b>Statutory Appropriation</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>TOTAL</b>	<b>2 385 107</b>	<b>-</b>	<b>-</b>	<b>2 385 107</b>	<b>2 378 638</b>	<b>6 469</b>	<b>99,7%</b>	<b>2 312 087</b>	<b>2 255 188</b>
<b>Reconciliation with Statement of Financial Performance</b>									
<b>Add:</b>									
Departmental receipts				30 314				10 680	
NRF Receipts				-				-	
Aid assistance				-				-	
<b>Actual amounts per Statement of Financial Performance (Total Revenue)</b>				<b>2 415 421</b>				<b>2 322 767</b>	
<b>Add:</b>									
Aid assistance									-
Prior year unauthorised expenditure approved without funding									
<b>Actual amounts per Statement of Financial Performance Expenditure</b>					<b>2 378 638</b>				<b>2 255 188</b>







Appropriation per economic classification									
	2019/20							2018/19	
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>Current payments</b>	<b>1 661 401</b>	<b>(6 712)</b>	<b>(1 869)</b>	<b>1 652 820</b>	<b>1 648 903</b>	<b>3 917</b>	<b>99,8%</b>	<b>1 471 217</b>	<b>1 534 406</b>
Compensation of employees	649 863	(5 126)	(4 239)	640 498	640 278	220	100,0%	613 228	585 260
Salaries and wages	567 628	(13 923)	(3 428)	550 277	550 176	101	100,0%	540 192	502 027
Social contributions	82 235	8 797	(811)	90 221	90 102	119	99,9%	73 036	83 233
Goods and services	1 011 538	(1 586)	2 370	1 012 322	1 007 451	4 871	99,5%	857 989	948 953
Administrative fees	75 571	(4 273)	375	71 673	71 297	376	99,5%	74 633	66 207
Advertising	8 598	(1 607)	-	6 991	6 991	-	100,0%	7 239	6 153
Minor assets	1 103	(216)	-	887	887	-	100,0%	1 894	1 138
Audit costs: External	17 786	(178)	-	17 608	17 607	1	100,0%	9 145	8 142
Bursaries: Employees	355	91	-	446	446	-	100,0%	390	389
Catering: Departmental activities	2 942	(635)	-	2 307	2 305	2	99,9%	2 170	2 504
Communication (G&S)	9 129	829	-	9 958	10 074	(116)	101,2%	8 570	7 516
Computer services	435	4 266	-	4 701	4 701	-	100,0%	411	331
Consultants: Business and advisory services	17 794	2 487	258	20 539	20 539	-	100,0%	23 211	25 291
Legal services	12 872	(188)	-	12 684	12 684	-	100,0%	1 184	10 251
Contractors	9 262	(814)	-	8 448	8 448	-	100,0%	6 404	6 792
Agency and support / outsourced services	6 425	304	-	6 729	6 729	-	100,0%	-	13
Entertainment	-	-	-	-	-	-	-	-	-
Fleet services (including government motor transport)	136 564	(5 100)	-	131 464	131 459	5	100,0%	136 092	123 312
Inventory: Clothing material and accessories	2	(2)	-	-	-	-	-	-	-
Inventory: Food and food supplies	-	-	-	-	-	-	-	-	2
Inventory: Fuel, oil and gas	17	48	-	65	65	-	100,0%	40	8





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North West Province



Inventory: Materials and supplies	47	(46)	-	1	1	-	100,0%	21	28
Inventory: Other supplies	-	-	-	-	-	-	-	3	-
Consumable supplies	7 780	(1 584)	-	6 196	6 188	8	99,9%	7 796	3 401
Consumable: Stationery, printing and office supplies	7 448	(813)	-	6 635	6 632	3	100,0%	6 201	4 764
Operating leases	54 739	3 924	1 172	59 835	59 831	4	100,0%	35 954	47 829
Property payments	42 414	365	-	42 779	41 967	812	98,1%	31 935	34 439
Transport provided: Departmental activity	562 142	(92)	-	562 050	558 404	3 646	99,4%	440 540	554 867
Travel and subsistence	30 968	2 652	565	34 185	34 055	130	99,6%	38 023	32 937
Training and development	3 012	111	-	3 123	3 123	-	100,0%	16 437	8 293
Operating payments	1 805	(694)	-	1 111	1 110	1	99,9%	8 743	1 721
Venues and facilities	1 505	(156)	-	1 349	1 350	(1)	100,1%	636	1 408
Rental and hiring	823	(265)	-	558	558	-	100,0%	317	1 217
Interest and rent on land	-	-	-	-	1 174	(1 174)	-	-	193
Interest (Incl. interest on unitary payments (PPP))	-	-	-	-	-	-	-	-	193
Rent on land	-	-	-	-	1 174	(1 174)	-	-	-
<b>Transfers and subsidies</b>	<b>691 012</b>	<b>2 647</b>	<b>1 869</b>	<b>695 528</b>	<b>695 153</b>	<b>375</b>	<b>99,9%</b>	<b>760 522</b>	<b>688 975</b>
Departmental agencies and accounts	5 285	-	(516)	4 769	4 769	-	100,0%	3 073	2 957
Departmental agencies	5 285	-	(516)	4 769	4 769	-	100,0%	3 073	2 957
Public corporations and private enterprises	679 878	2 647	2 854	685 379	685 379	-	100,0%	749 440	676 744
Public corporations	679 878	2 647	2 854	685 379	685 379	-	100,0%	749 440	676 744
Subsidies on products and production (pc)	679 878	2 647	2 854	685 379	685 379	-	100,0%	749 440	676 744
Non-profit institutions	1 080	-	-	1 080	1 080	-	100,0%	1 030	1 030
Households	4 769	-	(469)	4 300	3 925	375	91,3%	6 979	8 244
Social benefits	4 622	43	(469)	4 196	3 925	271	93,5%	1 090	3 758
Other transfers to households	147	(43)	-	104	-	104	-	5 889	4 486
<b>Payments for capital assets</b>	<b>32 694</b>	<b>4 065</b>	<b>-</b>	<b>36 759</b>	<b>34 582</b>	<b>2 177</b>	<b>94,1%</b>	<b>80 348</b>	<b>31 807</b>
Buildings and other fixed structures	15 406	-	-	15 406	13 992	1 414	90,8%	40 967	14 654
Buildings	1 100	-	-	1 100	1 506	(406)	136,9%	27 520	8 084
Other fixed structures	14 306	-	-	14 306	12 486	1 820	87,3%	13 447	6 570
Machinery and equipment	17 288	4 065	-	21 353	20 590	763	96,4%	39 381	17 153
Transport equipment	13 954	3 839	-	17 793	17 793	-	100,0%	18 686	14 013



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Other machinery and equipment	3 334	226	-	3 560	2 797	763	78,6%	20 695	3 140
Payment for financial assets	-	-	-	-	-	-	-	-	-
	<b>2 385 107</b>	-	-	<b>2 385 107</b>	<b>2 378 638</b>	<b>6 469</b>	<b>99,7%</b>	<b>2 312 087</b>	<b>2 255 188</b>

Programme 1: ADMINISTRATION	1	2	3	4	5	6	7	8	9
	2019/20							2018/19	
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>Sub programme</b>									
1. <a href="#">OFFICE OF THE MEC</a>	19 407	197	-	19 604	19 507	97	99,5%	17 116	15 466
2. <a href="#">OFFICE OF THE HOD</a>	4 032	174	-	4 206	4 206	-	100,0%	5 254	4 077
3. <a href="#">FINANCIAL MANAGEMENT</a>	208 843	(1 129)	936	208 650	208 646	4	100,0%	178 069	168 615
4. <a href="#">CORPORATE SUPPORT</a>	80 730	1 993	(584)	82 139	82 136	3	100,0%	85 345	73 502
5. <a href="#">LEGAL SERVICES</a>	10 933	(785)	-	10 148	10 147	1	100,0%	6 043	10 747
6. <a href="#">SECURITY</a>	41 647	(450)	-	41 197	41 197	-	100,0%	35 003	36 067
	<b>365 592</b>	-	<b>352</b>	<b>365 944</b>	<b>365 839</b>	<b>105</b>	<b>100,0%</b>	<b>326 830</b>	<b>308 474</b>
<b>Economic classification</b>									
Current payments	<b>358 188</b>	<b>(226)</b>	<b>704</b>	<b>358 666</b>	<b>359 524</b>	<b>(858)</b>	<b>100,2%</b>	<b>322 376</b>	<b>305 622</b>
Compensation of employees	132 244	1 361	(843)	132 762	132 759	3	100,0%	135 633	119 228
Salaries and wages	112 459	4 253	(326)	116 386	116 385	1	100,0%	116 087	104 030



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Social contributions	19 785	(2 892)	(517)	16 376	16 374	2	100,0%	19 546	15 198
Goods and services	225 944	(1 587)	1 547	225 904	225 640	264	99,9%	186 743	186 394
Administrative fees	70 691	607	375	71 673	71 297	376	99,5%	74 633	62 047
Advertising	1 795	(346)	-	1 449	1 449	-	100,0%	1 860	1 614
Minor assets	1 103	(216)	-	887	887	-	100,0%	1 326	1 138
Audit costs: External	17 786	(791)	-	16 995	16 994	1	100,0%	9 145	8 142
Bursaries: Employees	355	91	-	446	446	-	100,0%	390	389
Catering: Departmental activities	989	(239)	-	750	750	-	100,0%	591	813
Communication (G&S)	9 129	195	-	9 324	9 442	(118)	101,3%	8 570	7 516
Computer services	435	4	-	439	439	-	100,0%	411	331
Consultants: Business and advisory services	476	(140)	-	336	337	(1)	100,3%	600	305
Legal services	12 572	(238)	-	12 334	12 334	-	100,0%	1 184	6 803
Contractors	261	(164)	-	97	97	-	100,0%	107	155
Agency and support / outsourced services	-	-	-	-	-	-	-	-	13
Fleet services (including government motor transport)	-	-	-	-	-	-	-	-	17
Inventory: Clothing material and accessories	2	(2)	-	-	-	-	-	-	-
Inventory: Fuel, oil and gas	1	1	-	2	2	-	100,0%	-	5
Inventory: Materials and supplies	25	(24)	-	1	1	-	100,0%	-	28
Inventory: Other supplies	-	-	-	-	-	-	-	3	-
Consumable supplies	1 086	(105)	-	981	981	-	100,0%	1 513	877
Consumable: Stationery, printing and office supplies	5 302	(123)	-	5 179	5 180	(1)	100,0%	5 044	3 530
Operating leases	54 739	21	1 172	55 932	55 928	4	100,0%	35 954	46 548
Property payments	38 586	(16)	-	38 570	38 570	-	100,0%	31 935	33 597
Transport provided: Departmental activity	200	65	-	265	265	-	100,0%	279	130
Travel and subsistence	7 027	(178)	-	6 849	6 846	3	100,0%	7 555	6 296
Training and development	2 192	116	-	2 308	2 308	-	100,0%	4 437	5 238
Operating payments	243	66	-	309	308	1	99,7%	670	162
Venues and facilities	845	(88)	-	757	758	(1)	100,1%	426	672



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Rental and hiring	104	(83)	-	21	21	-	100,0%	110	28
Interest and rent on land	-	-	-	-	1 125	(1 125)	-	-	-
Rent on land	-	-	-	-	1 125	(1 125)	-	-	-
<b>Transfers and subsidies</b>	<b>4 070</b>	<b>-</b>	<b>(352)</b>	<b>3 718</b>	<b>3 518</b>	<b>200</b>	<b>94,6%</b>	<b>751</b>	<b>577</b>
Departmental agencies and accounts	2 034	-	(258)	1 776	1 776	-	100,0%	-	-
Departmental agencies	2 034	-	(258)	1 776	1 776	-	100,0%	-	-
Households	2 036	-	(94)	1 942	1 742	200	89,7%	751	577
Social benefits	2 036	-	(94)	1 942	1 742	200	89,7%	351	577
Other transfers to households	-	-	-	-	-	-	-	400	-
<b>Payments for capital assets</b>	<b>3 334</b>	<b>226</b>	<b>-</b>	<b>3 560</b>	<b>2 797</b>	<b>763</b>	<b>78,6%</b>	<b>3 703</b>	<b>2 275</b>
Machinery and equipment	3 334	226	-	3 560	2 797	763	78,6%	3 703	2 275
Transport equipment	-	-	-	-	-	-	-	1 645	152
Other machinery and equipment	3 334	226	-	3 560	2 797	763	78,6%	2 058	2 123
<b>Payment for financial assets</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
	<b>365 592</b>	<b>-</b>	<b>352</b>	<b>365 944</b>	<b>365 839</b>	<b>105</b>	<b>100,0%</b>	<b>326 830</b>	<b>308 474</b>





Programme 2: PROVINCIAL SECRETARIAT FOR POLICE SERVICE									
	1	2	3	4	5	6	7	8	9
	2019/20							2018/19	
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>Sub programme</b>									
1. <a href="#">PROGRAMME SUPPORT</a>	2 828	24	(374)	2 478	2 437	41	98,3%	2 373	2 139
2. <a href="#">POLICY AND RESEARCH</a>	2 007	(24)	(580)	1 403	1 374	29	97,9%	2 138	1 529
3. <a href="#">MONITORING AND EVALUATION</a>	14 191	1 803	(146)	15 848	15 834	14	99,9%	15 721	15 316
4. <a href="#">SAFETY PROMOTION</a>	21 746	(1 618)	(1 818)	18 310	18 293	17	99,9%	22 308	16 814
5. <a href="#">COMMUNITY POLICE RELATION</a>	5 665	(185)	(853)	4 627	4 627	-	100,0%	3 541	3 642
	<b>46 437</b>	<b>-</b>	<b>(3 771)</b>	<b>42 666</b>	<b>42 565</b>	<b>101</b>	<b>99,8%</b>	<b>46 081</b>	<b>39 440</b>
<b>Economic classification</b>									
<b>Current payments</b>	<b>44 787</b>	<b>-</b>	<b>(3 396)</b>	<b>41 391</b>	<b>41 347</b>	<b>44</b>	<b>99,9%</b>	<b>40 562</b>	<b>35 048</b>
Compensation of employees	31 872	-	(3 396)	28 476	28 475	1	100,0%	29 184	26 682
Salaries and wages	27 451	393	(3 102)	24 742	24 742	-	100,0%	26 089	23 184
Social contributions	4 421	(393)	(294)	3 734	3 733	1	100,0%	3 095	3 498
Goods and services	12 915	-	-	12 915	12 872	43	99,7%	11 378	8 366
Advertising	524	(385)	-	139	139	-	100,0%	641	697
Audit costs: External	-	613	-	613	613	-	100,0%	-	-
Catering: Departmental activities	1 132	(333)	-	799	799	-	100,0%	857	922
Communication (G&S)	-	155	-	155	154	1	99,4%	-	-
Consultants: Business and advisory services	-	-	-	-	-	-	-	2 811	70
Contractors	-	-	-	-	-	-	-	685	969



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Agency and support / outsourced services	5 000	422	-	5 422	5 422	-	100,0%	-	-
Consumable supplies	1 094	(15)	-	1 079	1 079	-	100,0%	13	659
Consumable: Stationery, printing and office supplies	-	-	-	-	-	-	-	254	20
Transport provided: Departmental activity	160	(121)	-	39	39	-	100,0%	59	116
Travel and subsistence	4 289	(262)	-	4 027	3 985	42	99,0%	5 501	4 079
Operating payments	6	-	-	6	6	-	100,0%	197	91
Venues and facilities	160	18	-	178	178	-	100,0%	210	285
Rental and hiring	550	(92)	-	458	458	-	100,0%	150	458
<b>Transfers and subsidies</b>	<b>1 650</b>	<b>-</b>	<b>(375)</b>	<b>1 275</b>	<b>1 218</b>	<b>57</b>	<b>95,5%</b>	<b>5 519</b>	<b>4 392</b>
Non-profit institutions	1 080	-	-	1 080	1 080	-	100,0%	1 030	1 030
Households	570	-	(375)	195	138	57	70,8%	4 489	3 362
Social benefits	466	-	(375)	91	138	(47)	151,6%	-	18
Other transfers to households	104	-	-	104	-	104	-	4 489	3 344
<b>Payments for capital assets</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Payment for financial assets</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
	<b>46 437</b>	<b>-</b>	<b>(3 771)</b>	<b>42 666</b>	<b>42 565</b>	<b>101</b>	<b>99,8%</b>	<b>46 081</b>	<b>39 440</b>





Programme 3: TRANSPORT OPERATIONS									
	1	2	3	4	5	6	7	8	9
	2019/20							2018/19	
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>Sub programme</b>									
1. <a href="#">PROGRAMME SUPPORT: OPERATIONS</a>	2 715	(645)	-	2 070	2 012	58	97,2%	3 452	2 025
2. <a href="#">PUBLIC TRANSPORT SERVICES</a>	1 189 077	(900)	-	1 188 177	1 184 529	3 648	99,7%	1 132 112	1 250 776
3. <a href="#">TRANSPORT SAFETY AND COMPLIANCE</a>	30 944	(2 171)	565	29 338	29 337	1	100,0%	32 883	27 991
4. <a href="#">TRANSPORT SYSTEMS</a>	17 233	(1 234)	-	15 999	15 938	61	99,6%	17 707	14 793
5. <a href="#">INFRASTRUCTURE OPERATIONS</a>	108 169	4 950	3 112	116 231	114 352	1 879	98,4%	140 421	39 198
	<b>1 348 138</b>	<b>-</b>	<b>3 677</b>	<b>1 351 815</b>	<b>1 346 168</b>	<b>5 647</b>	<b>99,6%</b>	<b>1 326 575</b>	<b>1 334 783</b>
<b>Economic classification</b>									
<b>Current payments</b>	<b>660 629</b>	<b>(2 647)</b>	<b>823</b>	<b>658 805</b>	<b>655 045</b>	<b>3 760</b>	<b>99,4%</b>	<b>544 498</b>	<b>651 262</b>
Compensation of employees	69 417	(2 647)	-	66 770	66 716	54	99,9%	74 168	63 847
Salaries and wages	57 072	606	-	57 678	57 626	52	99,9%	61 864	55 015
Social contributions	12 345	(3 253)	-	9 092	9 090	2	100,0%	12 304	8 832
Goods and services	591 212	-	823	592 035	588 329	3 706	99,4%	470 330	587 415
Administrative fees	790	(790)	-	-	-	-	-	-	-



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Advertising	3 579	(204)	-	3 375	3 375	-	100,0%	2 238	2 202
Minor assets	-	-	-	-	-	-	-	250	-
Catering: Departmental activities	426	(41)	-	385	385	-	100,0%	407	364
Communication (G&S)	-	179	-	179	178	1	99,4%	-	-
Computer services	-	72	-	72	72	-	100,0%	-	-
Consultants: Business and advisory services	17 318	1 596	258	19 172	19 171	1	100,0%	18 247	24 916
Contractors	-	5	-	5	5	-	100,0%	2 651	1
Agency and support / outsourced services	1 425	(118)	-	1 307	1 307	-	100,0%	-	-
Inventory: Fuel, oil and gas	16	(16)	-	-	-	-	-	-	-
Inventory: Materials and supplies	22	(22)	-	-	-	-	-	21	-
Consumable supplies	1 124	(752)	-	372	371	1	99,7%	760	202
	80	(80)	-	-	-	-	-	-	-
Operating leases	-	545	-	545	545	-	100,0%	-	-
Property payments	430	(358)	-	72	72	-	100,0%	-	402
Transport provided: Departmental activity	561 743	(1)	-	561 742	558 096	3 646	99,4%	440 202	554 621
Travel and subsistence	3 050	20	565	3 635	3 578	57	98,4%	4 262	4 271
Training and development	820	(5)	-	815	815	-	100,0%	-	-
Operating payments	-	11	-	11	11	-	100,0%	1 235	156
Venues and facilities	350	(57)	-	293	293	-	100,0%	-	227
Rental and hiring	39	16	-	55	55	-	100,0%	57	53
<b>Transfers and subsidies</b>	<b>680 309</b>	<b>2 647</b>	<b>2 854</b>	<b>685 810</b>	<b>685 743</b>	<b>67</b>	<b>100,0%</b>	<b>750 629</b>	<b>678 174</b>
Public corporations and private enterprises	679 878	2 647	2 854	685 379	685 379	-	100,0%	749 440	676 744
Public corporations	679 878	2 647	2 854	685 379	685 379	-	100,0%	749 440	676 744
Subsidies on products and production (pc)	679 878	2 647	2 854	685 379	685 379	-	100,0%	749 440	676 744
Households	431	-	-	431	364	67	84,5%	1 189	1 430
Social benefits	388	43	-	431	364	67	84,5%	189	382
Other transfers to households	43	(43)	-	-	-	-	-	1 000	1 048







<b>Payments for capital assets</b>	<b>7 200</b>	-	-	<b>7 200</b>	<b>5 380</b>	<b>1 820</b>	<b>74,7%</b>	<b>31 448</b>	<b>5 347</b>
Buildings and other fixed structures	7 200	-	-	7 200	5 380	1 820	74,7%	15 447	5 347
Buildings	-	-	-	-	-	-	-	15 000	3 524
Other fixed structures	7 200	-	-	7 200	5 380	1 820	74,7%	447	1 823
Machinery and equipment	-	-	-	-	-	-	-	16 001	-
Other machinery and equipment	-	-	-	-	-	-	-	16 001	-
<b>Payment for financial assets</b>	-	-	-	-	-	-	-	-	-
	<b>1 348 138</b>	-	<b>3 677</b>	<b>1 351 815</b>	<b>1 346 168</b>	<b>5 647</b>	<b>99,6%</b>	<b>1 326 575</b>	<b>1 334 783</b>

Programme 4: TRANSPORT REGULATIONS	1	2	3	4	5	6	7	8	9
	2019/20							2018/19	
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual Expenditure
R'000	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>Sub programme</b>									
1. <a href="#">PROGRAMME SUPPORT (TRAFF)</a>	2 377	215	-	2 592	2 569	23	99,1%	2 256	2 729
2. <a href="#">TRANSPORT ADMIN &amp; LICENSING</a>	50 572	(13 518)	-	37 054	36 585	469	98,7%	44 853	33 703
3. <a href="#">OPERATOR LICENCES AND PERMITS</a>	205 488	5 735	(258)	210 965	210 958	7	100,0%	195 476	185 284
4. <a href="#">LAW ENFORCEMENT</a>	366 503	7 568	-	374 071	373 954	117	100,0%	370 016	350 775
	<b>624 940</b>	<b>-</b>	<b>(258)</b>	<b>624 682</b>	<b>624 066</b>	<b>616</b>	<b>99,9%</b>	<b>612 601</b>	<b>572 491</b>
<b>Economic classification</b>									
Current payments	597 797	(3 839)	-	593 958	592 987	971	99,8%	563 781	542 474
Compensation of employees	416 330	(3 840)	-	412 490	412 328	162	100,0%	374 243	375 503



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Salaries and wages	370 646	(19 175)	-	351 471	351 423	48	100,0%	336 152	319 798
Social contributions	45 684	15 335	-	61 019	60 905	114	99,8%	38 091	55 705
Goods and services	181 467	1	-	181 468	180 610	858	99,5%	189 538	166 778
Administrative fees	4 090	(4 090)	-	-	-	-	-	-	4 160
Advertising	2 700	(672)	-	2 028	2 028	-	100,0%	2 500	1 640
Minor assets	-	-	-	-	-	-	-	318	-
Catering: Departmental activities	395	(22)	-	373	371	2	99,5%	315	405
Communication (G&S)	-	300	-	300	300	-	100,0%	-	-
Computer services	-	4 190	-	4 190	4 190	-	100,0%	-	-
Consultants: Business and advisory services	-	1 031	-	1 031	1 031	-	100,0%	1 553	-
Legal services	300	50	-	350	350	-	100,0%	-	3 448
Contractors	9 001	(655)	-	8 346	8 346	-	100,0%	2 961	5 667
Fleet services (including government motor transport)	136 564	(5 100)	-	131 464	131 459	5	100,0%	136 092	123 295
Inventory: Food and food supplies	-	-	-	-	-	-	-	-	2
Inventory: Fuel, oil and gas	-	63	-	63	63	-	100,0%	40	3
Consumable supplies	4 476	(712)	-	3 764	3 757	7	99,8%	5 510	1 663
Consumable: Stationery, printing and office supplies	2 066	(610)	-	1 456	1 452	4	99,7%	903	1 214
Operating leases	-	3 358	-	3 358	3 358	-	100,0%	-	1 281
Property payments	3 398	739	-	4 137	3 325	812	80,4%	-	440
Transport provided: Departmental activity	39	(35)	-	4	4	-	100,0%	-	-
Travel and subsistence	16 602	3 072	-	19 674	19 646	28	99,9%	20 705	18 291
Training and development	-	-	-	-	-	-	-	12 000	3 055
Operating payments	1 556	(771)	-	785	785	-	100,0%	6 641	1 312
Venues and facilities	150	(29)	-	121	121	-	100,0%	-	224
Rental and hiring	130	(106)	-	24	24	-	100,0%	-	678
Interest and rent on land	-	-	-	-	49	(49)	-	-	193
Interest (Incl. interest on unitary payments (PPP))	-	-	-	-	-	-	-	-	193
Rent on land	-	-	-	-	49	(49)	-	-	-





<b>Transfers and subsidies</b>	<b>4 983</b>	-	<b>(258)</b>	<b>4 725</b>	<b>4 674</b>	<b>51</b>	<b>98,9%</b>	<b>3 623</b>	<b>5 832</b>
Departmental agencies and accounts	3 251	-	(258)	2 993	2 993	-	100,0%	3 073	2 957
Departmental agencies	3 251	-	(258)	2 993	2 993	-	100,0%	3 073	2 957
Households	1 732	-	-	1 732	1 681	51	97,1%	550	2 875
Social benefits	1 732	-	-	1 732	1 681	51	97,1%	550	2 781
Other transfers to households	-	-	-	-	-	-	-	-	94
<b>Payments for capital assets</b>	<b>22 160</b>	<b>3 839</b>	-	<b>25 999</b>	<b>26 405</b>	<b>(406)</b>	<b>101,6%</b>	<b>45 197</b>	<b>24 185</b>
Buildings and other fixed structures	8 206	-	-	8 206	8 612	(406)	104,9%	25 520	9 307
Buildings	1 100	-	-	1 100	1 506	(406)	136,9%	12 520	4 560
Other fixed structures	7 106	-	-	7 106	7 106	-	100,0%	13 000	4 747
Machinery and equipment	13 954	3 839	-	17 793	17 793	-	100,0%	19 677	14 878
Transport equipment	13 954	3 839	-	17 793	17 793	-	100,0%	17 041	13 861
Other machinery and equipment	-	-	-	-	-	-	-	2 636	1 017
	<b>624 940</b>	-	<b>(258)</b>	<b>624 682</b>	<b>624 066</b>	<b>616</b>	<b>99,9%</b>	<b>612 601</b>	<b>572 491</b>





Statement of Financial Performance

	Note	2019/20 R'000	2018/19 R'000
<b>REVENUE</b>			
Annual appropriation	<a href="#">1</a>	2 385 107	2 312 087
Statutory appropriation		-	-
Departmental revenue	<a href="#">2</a>	30 314	10 680
NRF Receipts		-	-
Aid assistance		-	-
<b>TOTAL REVENUE</b>		<b>2 415 421</b>	<b>2 322 767</b>
<b>EXPENDITURE</b>			
<b>Current expenditure</b>			
Compensation of employees	<a href="#">3</a>	640 278	585 260
Goods and services	<a href="#">4</a>	1 007 451	952 980
Interest and rent on land	<a href="#">5</a>	1 174	193
Aid assistance		-	-
<b>Total current expenditure</b>		<b>1 648 903</b>	<b>1 538 433</b>
<b>Transfers and subsidies</b>			
Transfers and subsidies	<a href="#">6</a>	695 153	684 948
Aid assistance		-	-
<b>Total transfers and subsidies</b>		<b>695 153</b>	<b>684 948</b>
<b>Expenditure for capital assets</b>			
Tangible assets	<a href="#">7</a>	34 582	31 807
Intangible assets	<a href="#">7</a>	-	-
<b>Total expenditure for capital assets</b>		<b>34 582</b>	<b>31 807</b>
<b>Payments for financial assets</b>		-	-
<b>TOTAL EXPENDITURE</b>		<b>2 378 638</b>	<b>2 255 188</b>
<b>SURPLUS/(DEFICIT) FOR THE YEAR</b>		<b>36 783</b>	<b>67 579</b>
<b>Reconciliation of Net Surplus/(Deficit) for the year</b>			
Voted Funds		6 469	56 899
Annual appropriation		6 456	56 488
Conditional grants		13	411
Departmental revenue and NRF Receipts	<a href="#">13</a>	30 314	10 680
Aid assistance		-	-
<b>SURPLUS/(DEFICIT) FOR THE YEAR</b>		<b>36 783</b>	<b>67 579</b>





Statement of Financial Position

	Note	2019/20 R'000	2018/19 R'000
<b>ASSETS</b>			
<b>Current Assets</b>			
		<b>33 847</b>	<b>64 642</b>
Unauthorised expenditure	<a href="#">8</a>	13 662	13 662
Cash an cash equivalents	<a href="#">9</a>	19 549	50 537
Other financial assets		-	-
Prepayments and advances	<a href="#">10</a>	-	-
Receivables	<a href="#">11</a>	636	443
Loans		-	-
Aid assistance prepayments		-	-
Aid assistance receivable		-	-
<b>Non-Current Assets</b>			
Investments		-	-
Receivables		-	-
Loans		-	-
Other financial assets		-	-
<b>TOTAL ASSETS</b>		<b>33 847</b>	<b>64 642</b>
<b>LIABILITIES</b>			
<b>Current Liabilities</b>			
Voted funds to be surrendered to the Revenue Fund	<a href="#">12</a>	6 469	56 899
Departmental revenue and NRF Receipts to be surrendered to the Revenue Fund	<a href="#">13</a>	6 754	2 261
Bank overdraft		-	-
Payables	<a href="#">14</a>	20 624	5 482
Aid assistance repayable		-	-
Aid assistance unutilised		-	-
<b>Non-Current Liabilities</b>			
Payables		-	-
<b>TOTAL LIABILITIES</b>		<b>33 847</b>	<b>64 642</b>
<b>NET ASSETS</b>		<b>-</b>	<b>-</b>
<b>TOTAL</b>		<b>-</b>	<b>-</b>
		<b>Well done, Pos balances</b>	<b>Well done, Pos balances</b>





Cash Flow Statement

	Note	2019/20 R'000	2018/19 R'000
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>			
Receipts		<b>3 174 748</b>	<b>3 057 437</b>
Annual appropriated funds received	<a href="#">1,1</a>	2 385 107	2 312 087
Statutory appropriated funds received		-	-
Departmental revenue received	<a href="#">2</a>	789 630	745 350
Interest received	<a href="#">2,3</a>	11	-
NRF Receipts		-	-
Aid assistance received		-	-
Net (increase)/ decrease in working capital		14 949	-831
Surrendered to Revenue Fund		-858 531	-784 854
Surrendered to RDP Fund/Donor		-	-
Current payments		-1 647 729	-1 538 240
Interest paid		-1 174	-193
Payments for financial assets		-	-
Transfers and subsidies paid		-695 153	-684 948
<b>Net cash flow available from operating activities</b>	<a href="#">15</a>	<b>-12 890</b>	<b>48 371</b>
<b>CASH FLOWS FROM INVESTING ACTIVITIES</b>			
Distribution/dividend received		-	-
Payments for capital assets	<a href="#">7</a>	-34 582	-31 807
Proceeds from sale of capital assets	<a href="#">2,4</a>	16 484	-
(Increase)/ decrease in loans		-	-
(Increase)/ decrease in investments		-	-
(Increase)/ decrease in other financial assets		-	-
(Increase)/decrease in non-current receivables		-	-
<b>Net cash flows from investing activities</b>		<b>-18 098</b>	<b>-31 807</b>
<b>CASH FLOWS FROM FINANCING ACTIVITIES</b>			
Increase/ (decrease) in net assets		-	-
Increase/ (decrease) in non-current payables		-	-
<b>Net cash flows from financing activities</b>		<b>-</b>	<b>-</b>
Net increase/ (decrease) in cash and cash equivalents		-30 988	16 564
Cash and cash equivalents at beginning of period		50 537	33 973
Unrealised gains and losses within cash and cash equivalents		-	-
<b>Cash and cash equivalents at end of period</b>	<a href="#">16</a>	<b>19 549</b>	<b>50 537</b>





Notes to the Annual Financial Statements

1

1,1 Annual Appropriation	2019/20			2018/19	
	Final Appropriation R'000	Actual Funds Received R'000	Funds not requested/ not received R'000	Final Appropriation R'000	Appropriation Received R'000
<b>Programmes</b>					
ADMINISTRATION	365 944	365 944	-	312 220	312 220
PROVINCIAL SECRETARIAT FOR POLICE SERVICE	42 666	42 666	-	43 640	43 640
TRANSPORT OPERATIONS	1 351 815	1 351 815	-	1 354 232	1 354 232
TRANSPORT REGULATIONS	624 682	624 682	-	601 995	601 995
<b>Total</b>	<b>2 385 107</b>	<b>2 385 107</b>	<b>-</b>	<b>2 312 087</b>	<b>2 312 087</b>

All funds requested in the financial year have been received





**1,2 Conditional grants\*\***

Total grants received	33	118 683	194 859
Provincial grants included in Total Grants received		-	-

All the funds related to Conditional Grats have been received

	Note	2019/20 R'000	2018/19 R'000
<b>Departmental Revenue</b>			
Tax revenue		557 419	523 877
Sales of goods and services other than capital assets	<a href="#">2.1</a>	210 001	201 505
Fines, penalties and forfeits	<a href="#">2.2</a>	20 594	19 300
Interest, dividends and rent on land	<a href="#">2.3</a>	11	-
Sales of capital assets	<a href="#">2.4</a>	16 484	-
Transactions in financial assets and liabilities	<a href="#">2.5</a>	1 616	668
<b>Total revenue collected</b>		<b>806 125</b>	<b>745 350</b>
Less: Own revenue included in appropriation	<a href="#">13</a>	775 811	734 670
<b>Departmental revenue collected</b>		<b>30 314</b>	<b>10 680</b>

	Note	2019/20 R'000	2018/19 R'000
<b>2,1 Sales of goods and services other than capital assets</b>			
Sales of goods and services produced by the department	<a href="#">2</a>	210 001	201 505
Sales by market establishment		-	-
Administrative fees		23 495	23 387
Other sales		186 506	178 118
Sales of scrap, waste and other used current goods		-	-
<b>Total</b>		<b>210 001</b>	<b>201 505</b>

	Note	2019/20 R'000	2018/19 R'000
<b>2,2 Fines, penalties and forfeits</b>			
Fines		17 969	17 261
Penalties		2 625	2 039
Forfeits		-	-
<b>Total</b>		<b>20 594</b>	<b>19 300</b>

	Note	2019/20 R'000	2018/19 R'000
<b>2,3 Interest, dividends and rent on land</b>			
Interest	<a href="#">2</a>	11	-
<b>Total</b>		<b>11</b>	<b>-</b>







	Note	2019/20 R'000	2018/19 R'000
<b>2,4 Sales of capital assets</b>	<b>2</b>		
<b>Tangible assets</b>		<b>16 484</b>	<b>-</b>
Buildings and other fixed structures	31	-	-
Machinery and equipment	29	16 484	-
<b>Intangible assets</b>		<b>-</b>	<b>-</b>
<b>Total</b>		<b>16 484</b>	<b>-</b>

	Note	2019/20 R'000	2018/19 R'000
<b>2,5 Transactions in financial assets and liabilities</b>	<b>2</b>		
Other Receipts including Recoverable Revenue		1 616	668
<b>Total</b>		<b>1 616</b>	<b>668</b>

	Note	2019/20 R'000	2018/19 R'000
<b>Compensation of Employees</b>			
<b>3,1 Salaries and wages</b>			
Basic salary		417 442	384 846
Performance award		7 894	8 100
Service Based		1 148	811
Compensative/circumstantial		55 369	45 000
Other non-pensionable allowances		68 322	63 270
<b>Total</b>		<b>550 175</b>	<b>502 027</b>

	Note	2019/20 R'000	2018/19 R'000
<b>3,2 Social Contributions</b>			
<b>Employer contributions</b>			
Pension		53 073	49 620
Medical		36 876	33 485
Bargaining council		154	128
<b>Total</b>		<b>90 103</b>	<b>83 233</b>
<b>Total compensation of employees</b>		<b>640 278</b>	<b>585 260</b>
Average number of employees		1 940	1 767





	Note	2019/20 R'000	2018/19 R'000
<b>4 Goods and services</b>			
Administrative fees		71 298	66 207
Advertising		6 990	6 154
Minor assets	<a href="#">4,1</a>	888	1 139
Bursaries (employees)		446	389
Catering		2 305	2 504
Communication		10 073	7 517
Computer services	<a href="#">4,2</a>	4 701	331
Consultants: Business and advisory services		20 539	25 291
Legal services		12 684	10 251
Contractors		8 448	6 792
Agency and support / outsourced services		6 729	4 040
Audit cost – external	<a href="#">4,3</a>	17 608	8 142
Fleet services		131 458	123 312
Inventory	<a href="#">4,4</a>	66	38
Consumables	<a href="#">4,5</a>	12 820	8 166
Operating leases		59 831	47 829
Property payments	<a href="#">4,6</a>	41 968	34 436
Rental and hiring		558	1 217
Transport provided as part of the departmental activities		558 403	554 867
Travel and subsistence	<a href="#">4,7</a>	34 055	32 936
Venues and facilities		1 350	1 408
Training and development		3 123	8 293
Other operating expenditure	<a href="#">4,8</a>	1 110	1 721
<b>Total</b>		<b>1 007 451</b>	<b>952 980</b>

	Note	2019/20 R'000	2018/19 R'000
<b>4,1 Minor assets</b>	<a href="#">46</a>		
<b>Tangible assets</b>		<b>888</b>	<b>1 139</b>
Machinery and equipment		888	1 139
<b>Intangible assets</b>		<b>-</b>	<b>-</b>
<b>Total</b>		<b>888</b>	<b>1 139</b>

	Note	2019/20 R'000	2018/19 R'000
<b>4,2 Computer services</b>	<a href="#">46</a>		
SITA computer services		439	331
External computer service providers		4 262	-
<b>Total</b>		<b>4 701</b>	<b>331</b>





	<i>Note</i>	2019/20 R'000	2018/19 R'000
<b>4,3 Audit cost – external</b>	<u>4</u>		
Regularity audits		9 094	8 142
Investigations		8 514	-
<b>Total</b>		<b>17 608</b>	<b>8 142</b>

	<i>Note</i>	2019/20 R'000	2018/19 R'000
<b>4,4 Inventory</b>	<u>4</u>		
Food and food supplies		-	2
Fuel, oil and gas		65	8
Materials and supplies		1	28
<b>Total</b>		<b>66</b>	<b>38</b>

	<i>Note</i>	2019/20 R'000	2018/19 R'000
<b>4,5 Consumables</b>	<u>4</u>		
Consumable supplies		6 189	3 403
Uniform and clothing		4 277	2 498
Household supplies		3	-
IT consumables		26	11
Other consumables		1 883	894
Stationery, printing and office supplies		6 631	4 763
<b>Total</b>		<b>12 820</b>	<b>8 166</b>

	<i>Note</i>	2019/20 R'000	2018/19 R'000
<b>4,6 Property payments</b>	<u>4</u>		
Other		41 968	34 436
<b>Total</b>		<b>41 968</b>	<b>34 436</b>

	<i>Note</i>	2019/20 R'000	2018/19 R'000
<b>4,7 Travel and subsistence</b>	<u>4</u>		
Local		33 902	32 936
Foreign		153	-
<b>Total</b>		<b>34 055</b>	<b>32 936</b>

	<i>Note</i>	2019/20 R'000	2018/19 R'000
<b>4,8 Other operating expenditure</b>	<u>4</u>		
Professional bodies, membership and subscription fees		5	-
Resettlement costs		389	210
Other		716	1 511
<b>Total</b>		<b>1 110</b>	<b>1 721</b>





	Note	2019/20 R'000	2018/19 R'000
<b>Interest and Rent on Land</b>			
Interest paid		1 174	193
<b>Total</b>		<b>1 174</b>	<b>193</b>

	Note	2019/20 R'000	2018/19 R'000
<b>6 Transfers and Subsidies</b>			
Departmental agencies and accounts	<a href="#">ANNEXURE 1A</a>	4 769	2 957
Public corporations and private enterprises	<a href="#">ANNEXURE 1B</a>	685 379	676 744
Non-profit institutions	<a href="#">ANNEXURE 1C</a>	1 080	1 030
Households	<a href="#">ANNEXURE 1D</a>	3 925	4 217
<b>Total</b>		<b>695 153</b>	<b>684 948</b>

	Note	2019/20 R'000	2018/19 R'000
<b>7 Expenditure for capital assets</b>			
<b>Tangible assets</b>			
Buildings and other fixed structures	<a href="#">31</a>	13 992	14 655
Machinery and equipment	<a href="#">29</a>	20 590	17 152
<b>Total</b>		<b>34 582</b>	<b>31 807</b>
<b>Intangible assets</b>			
	<a href="#">30</a>	-	-
<b>Total</b>		<b>34 582</b>	<b>31 807</b>

7,1 Analysis of funds utilised to acquire capital assets - 2019/20

	Voted Funds R'000	Aid assistance R'000	TOTAL R'000
<b>Tangible assets</b>			
Buildings and other fixed structures	13 992	-	13 992
Machinery and equipment	20 590	-	20 590
<b>Total</b>	<b>34 582</b>	<b>-</b>	<b>34 582</b>
<b>Intangible assets</b>			
	-	-	-
<b>Total</b>	<b>34 582</b>	<b>-</b>	<b>34 582</b>





7,2 Analysis of funds utilised to acquire capital assets - 2018/19

	Voted Funds R'000	Aid assistance R'000	TOTAL R'000
<b>Tangible assets</b>	<b>31 807</b>	<b>-</b>	<b>31 807</b>
Buildings and other fixed structures	14 655	-	14 655
Machinery and equipment	17 152	-	17 152
<b>Intangible assets</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Total</b>	<b>31 807</b>	<b>-</b>	<b>31 807</b>

7,3 Finance lease expenditure included in Expenditure for capital assets

	2019/20 R'000	2018/19 R'000
<b>Tangible assets</b>		
Machinery and equipment	110	-
<b>Total</b>	<b>110</b>	<b>-</b>

8 Unauthorised Expenditure

8,1 Reconciliation of unauthorised expenditure

	Note	2019/20 R'000	2018/19 R'000
Opening balance		13 662	13 662
Prior period error	8,5		
As restated		13 662	13 662
<b>Closing balance</b>		<b>13 662</b>	<b>13 662</b>
<b>Analysis of closing balance</b>		<b>R'000</b>	<b>R'000</b>
Unauthorised expenditure awaiting authorisation		13 662	13 662
Unauthorised expenditure approved without funding and not derecognised			-
<b>Total</b>		<b>13 662</b>	<b>13 662</b>

8,2 Analysis of unauthorised expenditure awaiting authorisation per economic classification

	2019/20 R'000	2018/19 R'000
Current	13 662	13 662
<b>Total</b>	<b>13 662</b>	<b>13 662</b>





	2019/20 R'000	2018/19 R'000
<b>8,3 Analysis of unauthorised expenditure awaiting authorisation per type</b>		
Unauthorised expenditure relating to overspending of the vote or a main division within the vote	13 662	13 662
<b>Total</b>	<b>13 662</b>	<b>13 662</b>

	Note	2019/20 R'000	2018/19 R'000
<b>9 Cash and Cash Equivalents</b>			
Consolidated Paymaster General Account		19 722	50 427
Cash receipts		-	65
Disbursements		-173	45
<b>Total</b>		<b>19 549</b>	<b>50 537</b>

	Note	2019/20 R'000	2018/19 R'000
<b>10 Prepayments and Advances</b>			
Staff advances		-	-
Travel and subsistence		-	-
Prepayments (Not expensed)		-	-
Advances paid (Not expensed)		-	-
SOCPEN advances		-	-
<b>Total</b>		<b>-</b>	<b>-</b>

**10,1 Advances paid (Expensed)**

	Balance as at 1 April 2019 R'000	Less: Received in the current year R'000	Add/Less: Other R'000	Add: Current Year advances R'000	Amount as at 31 March 2020 R'000
Public entities	67 856	-	-	-	67 856
Other institutions					-
<b>Total</b>	<b>67 856</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>67 856</b>

*Advances paid to Airports Management Companies as per contract with the SA Express Airline*

	Balance as at 1 April 2018 R'000	Less: Received in the current year R'000	Add/Less: Other R'000	Add: Current Year advances R'000	Amount as at 31 March 2019 R'000
<b>Advances paid (Expensed)</b>					
Public entities	72 856	-	-5 000	-	67 856
<b>Total</b>	<b>72 856</b>	<b>-</b>	<b>-5 000</b>	<b>-</b>	<b>67 856</b>





	Note	2019/20			2018/19		
		Current R'000	Non- current R'000	Total R'000	Current R'000	Non- current R'000	Total R'000
<b>11 Receivables</b>							
Recoverable expenditure	<a href="#">11,1</a>	185		185	141	-	141
Staff debt	<a href="#">11,2</a>	373		821	-4 998	-	-4 998
Other receivables	<a href="#">11,3</a>	78		5 378	5 300	-	5 300
<b>Total</b>		<b>636</b>	<b>-</b>	<b>6 384</b>	<b>443</b>	<b>-</b>	<b>443</b>

	Note	2019/20 R'000	2018/19 R'000
<b>11,1 Recoverable expenditure (disallowance accounts)</b>	<a href="#">11</a>		
(Group major categories, but list material items)			
Diss All: Damages and Losses		83	41
Diss All: Dishonoured Cheques		102	102
			-2
<b>Total</b>		<b>185</b>	<b>141</b>

	Note	2019/20 R'000	2018/19 R'000
<b>11,2 Staff debt</b>	<a href="#">11</a>		
(Group major categories, but list material items)			
Debt account		821	703
Debt receivables			-5 697
Debt Receivable Interest			-5
Tax Debt			1
<b>Total</b>		<b>821</b>	<b>-4 998</b>

	Note	2019/20 R'000	2018/19 R'000
<b>11,3 Other receivables</b>	<a href="#">11</a>		
(Group major categories, but list material items)			
Denel		5 000	5 000
Mamco		300	300
Naledi ya Masa		78	-
<b>Total</b>		<b>5 378</b>	<b>5 300</b>





	Note	2019/20 R'000	2018/19 R'000
<b>12 Voted Funds to be Surrendered to the Revenue Fund</b>			
Opening balance		56 899	37 100
Prior period error	<a href="#">12.2</a>		
As restated		56 899	37 100
Transfer from statement of financial performance (as restated)		6 469	56 899
Add: Unauthorised expenditure for current year	<a href="#">11</a>		-
Voted funds not requested/not received	<a href="#">1.1</a>	-	-
Transferred to retained revenue to defray excess expenditure (Parliament/Legislatures ONLY)	<a href="#">12.1</a>		-
Paid during the year		-56 899	-37 100
<b>Closing balance</b>		<b>6 469</b>	<b>56 899</b>

	Note	2019/20 R'000	2018/19 R'000
<b>13 Departmental revenue and NRF Receipts to be surrendered to the Revenue Fund</b>			
Opening balance		2 261	4 665
Prior period error			
As restated	<a href="#">13.1</a>	2 261	4 665
Transfer from Statement of Financial Performance (as restated)		30 314	10 680
Own revenue included in appropriation		775 811	734 670
Transfer from aid assistance			-
Transfer to voted funds to defray expenditure (Parliament/Legislatures ONLY)	<a href="#">12.1</a>		-
Paid during the year		-801 632	-747 754
<b>Closing balance</b>		<b>6 754</b>	<b>2 261</b>

	Note	2019/20 R'000	2018/19 R'000
<b>14 Payables - current</b>			
Clearing accounts	<a href="#">14.1</a>	584	139
Other payables	<a href="#">14.2</a>	20 040	5 343
<b>Total</b>		<b>20 624</b>	<b>5 482</b>

	Note	2019/20 R'000	2018/19 R'000
<b>14.1 Clearing accounts</b>	<a href="#">14</a>		
(Identify major categories, but list material amounts)			
Sal: Bargaining Council		12	137
Sal: Income Tax		564	-
Sal: Pension Fund		8	2
<b>Total</b>		<b>584</b>	<b>139</b>







	Note	2019/20 R'000	2018/19 R'000
<b>14,2 Other payables</b>	<a href="#">14</a>		
(Identify major categories, but list material amounts)			
Payable: Adv: P/Debt: NW Adv Acc: CL		20 040	5 343
<b>Total</b>		<b>20 040</b>	<b>5 343</b>

	Note	2019/20 R'000	2018/19 R'000
<b>15 Net cash flow available from operating activities</b>			
Net surplus/(deficit) as per Statement of Financial Performance		36 783	67 579
Add back non cash/cash movements not deemed operating activities		-49 673	-19 208
(Increase)/decrease in receivables		-193	35
(Increase)/decrease in prepayments and advances		-	-
(Increase)/decrease in other current assets		-	-
Increase/(decrease) in payables – current		15 142	-866
Proceeds from sale of capital assets		-16 484	-
Proceeds from sale of investments		-	-
(Increase)/decrease in other financial assets		-	-
Expenditure on capital assets		34 582	31 807
Surrenders to Revenue Fund		-858 531	-784 854
Surrenders to RDP Fund/Donor		-	-
Voted funds not requested/not received		-	-
Own revenue included in appropriation		775 811	734 670
Other non-cash items		-	-
<b>Net cash flow generated by operating activities</b>		<b>-12 890</b>	<b>48 371</b>

	Note	2019/20 R'000	2018/19 R'000
<b>16 Reconciliation of cash and cash equivalents for cash flow purposes</b>			
Consolidated Paymaster General account		19 722	50 427
Fund requisition account		-	-
Cash receipts		-	65
Disbursements		-173	45
Cash on hand		-	-
Cash with commercial banks (Local)		-	-
Cash with commercial banks (Foreign)		-	-
<b>Total</b>		<b>19 549</b>	<b>50 537</b>

	Note	2019/20 R'000	2018/19 R'000
<b>17 Contingent liabilities and contingent assets</b>			
<b>17,1 Contingent liabilities</b>			
<b>Liable to</b>	<b>Nature</b>		
Motor vehicle guarantees	Employees	-	-
Housing loan guarantees	Employees	12	12
Other guarantees		-	-



Claims against the department	<a href="#">Annex 3A</a>	90 863	98 780
<b>Total</b>		<b>90 875</b>	<b>98 792</b>

Amounts disclosed are the estimated settlement values of claims instituted against the department as at year end. A claim will only be settled when either the court decides that the department is liable or the department accepts the liability.

A total number of eight cases amounting to R31,235,250 have been on the register for five or more years and have been dormant. These make 34% of the total register. It is unlikely that these cases will materialise

Contingent assets		2019/20	2018/19
Nature of contingent asset	Note	R'000	R'000
Constituted a claim against Caswell Mthombeni		21 319	21 319
<b>Total</b>		<b>21 319</b>	<b>21 319</b>

A constituted claim against Caswell Mthombeni for implementation of Road Safety programmes. The services were never rendered. The Department has opened a case with the law enforcement agencies and recovery is underway.

18 Capital commitments		2019/20	2018/19
Specify class of asset		R'000	R'000
Buildings and Other Fixed Structures		3 266	5 725
<b>Total</b>		<b>3 266</b>	<b>5 725</b>

Only two projects are committed beyond the 2019/20 financial year. The two projects are Lichtenburg Weighbridge (Phase 02) and Pilanesberg Airport (Fencing)

Prior year figure (comparative figure) of only capital commitment has been disclosed. This is in line with the changes in the MCS.

19 Accruals and payables not recognised		2019/20		2018/19
19,1 Accruals		R'000		R'000
Listed by economic classification	30 days	30+ days	Total	Total
Goods and services	108 435	1 674	110 109	272 558
Interest and rent on land	-	-	-	-
Transfers and subsidies	49 516	-	49 516	88 421
Capital assets	636	-	636	1 041
Other	-	-	-	-
<b>Total</b>	<b>158 587</b>	<b>1 674</b>	<b>160 261</b>	<b>362 020</b>

Listed by programme level	Note	2019/20 R'000	2018/19 R'000
ADMINISTRATION		10 048	10 151
PROVINCIAL SECRETARIET FOR POLICE SERVICE		89	31
TRANSPORT OPERATIONS		114 521	329 832
TRANSPORT REGULATIONS		35 603	22 006
<b>Total</b>		<b>160 261</b>	<b>362 020</b>

Material accruals under Transport Operations is for Scholar and Commuter bus services, and under Transport Regulations its Motor Vehicles which were procured during the year but were not delivered before closure .

## 19,2 Payables not recognised

Listed by economic classification	30 days	30+ days	Total	Total
Goods and services	3 061	451	3 512	27 678
Interest and rent on land	-	-	-	-
Transfers and subsidies	69 883	14 835	84 718	8 853
Capital assets	16 894	1 440	18 334	-
Other	-	-	-	-
<b>Total</b>	<b>89 838</b>	<b>16 726</b>	<b>106 564</b>	<b>36 531</b>

Listed by programme level	Note	2019/20 R'000	2018/19 R'000
ADMINISTRATION		1 974	7 521
PROVINCIAL SECRETARIET FOR POLICE SERVICE		-	-
TRANSPORT OPERATIONS		87 185	27 315
TRANSPORT REGULATIONS		17 405	1 695
<b>Total</b>		<b>106 564</b>	<b>36 531</b>

There is a significant increase in accruals this year as compared to last year, due to budget constraints or shortages

### Included in the above totals are the following:

	Note	2019/20 R'000	2018/19 R'000
Confirmed balances with departments	<a href="#">Annex 5</a>	992	4 417
Confirmed balances with other government entities	<a href="#">Annex 5</a>	1 564	11 012
<b>Total</b>		<b>2 556</b>	<b>15 429</b>

## 20 Employee benefits

	Note	2019/20 R'000	2018/19 R'000
Leave entitlement		32 451	29 755

Service bonus	18 281	17 016
Performance awards	5 239	10 153
Capped leave	39 707	40 426
Other	1 380	1 155
<b>Total</b>	<b>97 058</b>	<b>98 505</b>

At this stage the department is not able to reliably measure the long term portion of the long service awards. Performance awards estimated at 0.75% of the CoE budget for the financial year

The negative leave credits amounting to -R348 and negative capped leave credits amounting to -R91 were excluded from leave entitlement

## 21 Lease commitments

### 21,1 Operating leases

2019/20	Specialised military assets	Land	Buildings and other fixed structures	Machinery and equipment	Total
	R'000	R'000	R'000	R'000	R'000
Not later than 1 year			43 088	3 183	46 271
Later than 1 year and not later than 5 years			2 520	1 923	4 443
Later than five years					-
<b>Total lease commitments</b>	<b>-</b>	<b>-</b>	<b>45 608</b>	<b>5 106</b>	<b>50 714</b>

2018/19	Specialised military assets	Land	Buildings and other fixed structures	Machinery and equipment	Total
	R'000	R'000	R'000	R'000	R'000
Not later than 1 year	-	-	40 164	4 017	44 181
Later than 1 year and not later than 5 years	-	-	3 403	2 250	5 653
Later than five years	-	-	-	-	-
<b>Total lease commitments</b>	<b>-</b>	<b>-</b>	<b>43 567</b>	<b>6 267</b>	<b>49 834</b>

Operating leases are for Office Accommodation and Labour Saving Devices.

Office Accommodation includes month to month leases calculated for 12 months. The office space is for accommodating departmental officials at head office, districts and across the province. Labour saving devices are in all departmental offices

### 21,2 Finance leases \*\*

2019/20	Specialised military assets	Land	Buildings and other fixed structures	Machinery and equipment	Total
	R'000	R'000	R'000	R'000	R'000
Not later than 1 year				55	55
Later than 1 year and not later than 5 years				7	7
<b>Total lease commitments</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>62</b>	<b>62</b>



2018/19	Specialised military assets	Land	Buildings and other fixed structures	Machinery and equipment	Total
	R'000	R'000	R'000	R'000	R'000
Not later than 1 year	-	-	-	293	293
Later than 1 year and not later than 5 years	-	-	-	16	16
<b>Total lease commitments</b>	-	-	-	<b>309</b>	<b>309</b>

**22 Accrued departmental revenue**

	Note	2019/20 R'000	2018/19 R'000
Tax revenue		136 850	167 202
Sales of goods and services other than capital assets		82 218	63 740
Fines, penalties and forfeits		458 770	368 520
<b>Total</b>		<b>677 838</b>	<b>599 462</b>

**22,1 Analysis of accrued departmental revenue**

	Note	2019/20 R'000	2018/19 R'000
Opening balance		599 462	513 564
Less: Amounts received		681 299	633 480
Add: Amounts recognised		795 966	758 009
Less: Amounts written-off/reversed as irrecoverable		36 291	38 631
Less: Amounts transferred to receivables for recovery		-	-
<b>Closing balance</b>		<b>677 838</b>	<b>599 462</b>

**22,2 Accrued department revenue written off**

**Nature of losses**

(Group major categories, but list material items)

	Note	2019/20 R'000	2018/19 R'000
Motor Vehicle Licence		28 824	34 372
Government Motor Fleet		1 360	-
Traffic Fines		6 107	4 210
<b>Total</b>		<b>36 291</b>	<b>38 582</b>

**22,3 Impairment of accrued departmental revenue**

	Note	2019/20 R'000	2018/19 R'000
Estimate of impairment of accrued departmental revenue		367 017	294 816
<b>Total</b>		<b>367 017</b>	<b>294 816</b>

Impairment is calculated at 80% based on the traffic fine collected v/s issued





		Note	2019/20 R'000	2018/19 R'000
<b>23</b>	<b>Irregular expenditure</b>			
<b>23,1</b>	<b>Reconciliation of irregular expenditure</b>			
	Opening balance		5 291 487	3 961 150
	Prior period error			18 038
	As restated		5 291 487	3 979 188
	Add: Irregular expenditure - relating to prior year	<a href="#">23,2</a>		-
	Add: Irregular expenditure - relating to current year	<a href="#">23,2</a>	1 265 518	1 312 299
	<b>Closing balance</b>		<b>6 557 005</b>	<b>5 291 487</b>
	<b>Analysis of closing balance</b>			
	Current year		1 265 518	1 312 299
	Prior years		5 291 487	3 979 188
	<b>Total</b>		<b>6 557 005</b>	<b>5 291 487</b>
			<b>2019/20</b>	
<b>23,2</b>	<b>Details of current and prior year irregular expenditure – added current year (under determination and investigation)</b>		<b>R'000</b>	
	<b>Incident</b>	<b>Disciplinary steps taken/criminal proceedings</b>		
	Vodacom (Data cards and cell phones)	Still under investigation	871	
	Operating Leases	Still under investigation	43 893	
	No tender documents	Still under investigation	40 822	
	Scholar Transport	Still under investigation	556 931	
	Commuter Bus Services	Still under investigation	615 880	
	Month to month contract extension	Still under investigation	7 121	
	<b>Total</b>		<b>1 265 518</b>	
<b>23.3</b>	<b>Prior period error</b>	<b>Note</b>		<b>2018/19 R'000</b>
	Nature of prior period error			
	Relating to 20WW/XX (affecting the opening balance)			<b>18 038</b>
				18 038
	Relating to 2018/19			<b>11 211</b>
				11 211
	<b>Total</b>			<b>29 249</b>
<b>24</b>	<b>Fruitless and wasteful expenditure</b>			
<b>24,1</b>	<b>Reconciliation of fruitless and wasteful expenditure</b>			
	Opening balance		3 786	3 632
	Prior period error			-
	As restated		3 786	3 632
	Fruitless and wasteful expenditure – relating to prior year	<a href="#">24,2</a>		-
	Fruitless and wasteful expenditure – relating to current year	<a href="#">24,2</a>	1 176	154
	<b>Closing balance</b>		<b>4 962</b>	<b>3 786</b>





24,2	Details of current and prior year fruitless and wasteful expenditure – added current year (under determination and investigation)		2019/20
	Incident	Disciplinary steps taken/criminal proceedings	R'000
	Eskom		16
	Standard Bank		49
	GEPF		143
	SARS		967
	Municipalities		1
	<b>Total</b>		<b>1 176</b>

24,5	Prior period error	Note	2018/19 R'000
	Nature of prior period error		-
	Relating to 2018/19		21
	Fruitless and Wasteful Expenditure		21
	<b>Total</b>		<b>21</b>

The amount disclosed is the excess km payments for scholar transport. These excess kms were discovered during the verification process conducted by the Department. The estimated figure has been calculated from the beginning of the contract (from 2017- march 2020).

	Note	2019/20 R'000	2018/19 R'000
<b>25 Related party transactions</b>			
<b>Revenue received</b>			
Tax revenue		-	-
<b>Total</b>		<b>-</b>	<b>-</b>
<b>Payments made</b>			
Goods and services		-	-
Transfers and subsidies		70 455	-
<b>Total</b>		<b>70 455</b>	<b>-</b>

List related party relationships and the nature thereof

The Cabinet resolved to invoke section 100(1)(b) of the Constitution on 23 May 2018 at the department, together with interventions at other provincial departments. In this regard an Inter-Ministerial Task Team (IMTT) was established constituted of several ministers to address the challenges facing the province. Each relevant minister was also mandated to





conduct performance assessments of their corresponding portfolio department in the province. To give effect to section 100(1)(b), Mr M. Mokonyama was appointed as administrator of the department to date.  
As a result to following entities in the national sphere of government are related parties to the department for the during of the national intervention:  
1.The National Department of Transport through the appointed administrator, Mr. M. Mokonyama. 2.North West Transport Investment - The Entity reporting to the MEC for Community Safety and Transport Management is a related party to the department. All entities in the provincial sphere of government are related parties , as a result all provincial departments, trading entities, schedule 3C &3D public entities as well as the provincial legislature are related parties to the department. There were no non-arm's length transactions with these related parties during the year.

During the 2019-20 financial year, the Department paid a total of R70,454,644.89 on behalf of the NTI. This payment was made toward some of the entity's creditors, as the entity was on provisional liquidation. The payment is broken down as follows: - R2,000,000 for fuel, which was a direct transfer to NTI, R11,264,353,23 for lawyers, R28,223,374.70 Old Mutual, R3,958,678.48 for Due Diligence conducted, R24,713,461.26 for SARS and R294,777.22 board fees.

	No. of Individuals	2019/20 R'000	2018/19 R'000
<b>26 Key management personnel</b>			
Level 15 to 17	3	3 707	3 569
Level 14 (incl CFO if at a lower level)	5	6 389	6 073
level 13 and below	20	21 509	19 480
Family members of key management personnel			-
<b>Total</b>		<b>31 605</b>	<b>29 122</b>

	Note	2019/20 R'000	2018/19 R'000
<b>27 Provisions</b>			
Retention fees - Lichtenburg Weighbridge Project		283	283
Retention fees - Pilanesberg Airport Project		494	-
<b>Total</b>		<b>777</b>	<b>283</b>

**27.1 Reconciliation of movement in provisions - 2019/20**

	Provision 1 R'000	Provision 2 R'000	Provision 3 R'000	Provision 4 R'000	Total provisions R'000
Opening balance	283	494			777
<b>Closing balance</b>	<b>283</b>	<b>494</b>	<b>-</b>	<b>-</b>	<b>777</b>

**Reconciliation of movement in provisions - 2018/19**

	Provision 1 R'000	Provision 2 R'000	Provision 3 R'000	Provision 4 R'000	Total provisions R'000
Opening balance	283	-	-	-	283
<b>Closing balance</b>	<b>283</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>283</b>

**28 Non-adjusting events after reporting date**

Include an estimate of the financial effect of the subsequent non-adjusting events or a statement that such an estimate cannot be made.

The North West Transport Investment (NTI), which is the entity reporting to the

2019/20

R'000

-







Department, was released from provisional liquidation in May 2020  
 The extension of the Lockdown due to the COVID-19, leading to extension period on submission of financial statements and the annual report

**Total** -

**29 Movable Tangible Capital Assets**

**MOVEMENT IN MOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2020**

	Opening balance	Value adjustments	Additions	Disposals	Closing balance
	R'000	R'000	R'000	R'000	R'000
<b>MACHINERY AND EQUIPMENT</b>	630 140	-	57 012	079	610 073
Transport assets	541 716		54 435	762	519 389
Computer equipment	13 123		1 325	176	14 272
Furniture and office equipment	8 980		950	-	9 930
Other machinery and equipment	66 321		302	141	66 482
				77	
<b>TOTAL MOVABLE TANGIBLE CAPITAL ASSETS</b>	<b>630 140</b>	<b>-</b>	<b>57 012</b>	<b>079</b>	<b>610 073</b>

**Movable Tangible Capital Assets under investigation**

Included in the above total of the movable tangible capital assets per the asset register are assets that are under investigation:

	Number	Value
		R'000
Machinery and equipment	1	117

*The above asset (vehicle) is still in WALKER register but reflects as sold on VMS . This was not retired because there is an investigation to locate documentation or vehicle records to enable update to the WALKER register*

**Additions**

**29,1 ADDITIONS TO MOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2020**

	Cash	Non-cash	(Capital work-in-progress current costs and finance lease payments)	Received current, not paid (Paid current year, received prior year)	Total
	R'000	R'000	R'000	R'000	R'000
<b>MACHINERY AND EQUIPMENT</b>	20 480	36 642	-110	-	57 012
Transport assets	17 793	36 642			54 435
Computer equipment	1 325				1 325
Furniture and office equipment	950				950





Other machinery and equipment	412	-110		302
<b>TOTAL ADDITIONS TO MOVABLE TANGIBLE CAPITAL ASSETS</b>	<b>20 480</b>	<b>36 642</b>	<b>-110</b>	<b>-</b>
				<b>57 012</b>

**Disposals**

**29,2 DISPOSALS OF MOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2020**

	Sold for cash	Non-cash disposal	Total disposals	Cash received Actual
	R'000	R'000	R'000	R'000
<b>MACHINERY AND EQUIPMENT</b>	75 455	1 624	77 079	16 484
Transport assets	75 455	1 307	76 762	16 484
Computer equipment		176	176	
Other machinery and equipment		141	141	
<b>TOTAL DISPOSAL OF MOVABLE TANGIBLE CAPITAL ASSETS</b>	<b>75 455</b>	<b>1 624</b>	<b>77 079</b>	<b>16 484</b>

**29,3 Movement for 2018/19**

**MOVEMENT IN MOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2019**

	Opening balance	Prior period error	Additions	Disposals	Closing balance
	R'000	R'000	R'000	R'000	R'000
<b>MACHINERY AND EQUIPMENT</b>	607 998	-	22 548	406	630 140
Transport assets	521 675		20 041	-	541 716
Computer equipment	13 302		152	331	13 123
Furniture and office equipment	6 909		2 083	12	8 980
Other machinery and equipment	66 112		272	63	66 321
<b>TOTAL MOVABLE TANGIBLE CAPITAL ASSETS</b>	<b>607 998</b>	<b>-</b>	<b>22 548</b>	<b>406</b>	<b>630 140</b>





29,4 Minor assets

MOVEMENT IN MINOR ASSETS PER THE ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2020

	Specialised military assets R'000	Intangible assets R'000	Heritage assets R'000	Machinery and equipment R'000	Biological assets R'000	Total R'000
Opening balance	-	-	-	21 167	-	21 167
Additions				887		887
Disposals				69		69
<b>TOTAL MINOR ASSETS</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>21 985</b>	<b>-</b>	<b>21 985</b>

	Specialised military assets	Intangible assets	Heritage assets	Machinery and equipment	Biological assets	Total
Number of minor assets at cost				11 797		11 797
<b>TOTAL NUMBER OF MINOR ASSETS</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>11 797</b>	<b>-</b>	<b>11 797</b>

Minor assets

MOVEMENT IN MINOR ASSETS PER THE ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2019

	Specialised military assets R'000	Intangible assets R'000	Heritage assets R'000	Machinery and equipment R'000	Biological assets R'000	Total R'000
Opening balance	-	-	-	21 948	-	21 948
Additions	-	-	-	1 138	-	1 138
Disposals	-	-	-	1 919	-	1 919
<b>TOTAL MINOR ASSETS</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>21 167</b>	<b>-</b>	<b>21 167</b>

	Specialised military assets	Intangible assets	Heritage assets	Machinery and equipment	Biological assets	Total
Number of minor assets at cost	-	-	-	11 461	-	11 461
<b>TOTAL NUMBER OF MINOR ASSETS</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>11 461</b>	<b>-</b>	<b>11 461</b>





29,5 Movable assets written off

MOVABLE ASSETS WRITTEN OFF FOR THE YEAR ENDED 31 MARCH 2020

	Specialised military assets R'000	Intangible assets R'000	Heritage assets R'000	Machinery and equipment R'000	Biological assets R'000	Total R'000
Assets written off				1 307		1 307
<b>TOTAL MOVABLE ASSETS WRITTEN OFF</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1 307</b>	<b>-</b>	<b>1 307</b>

MOVABLE ASSETS WRITTEN OFF FOR THE YEAR ENDED 31 MARCH 2019

	Specialised military assets R'000	Intangible assets R'000	Heritage assets R'000	Machinery and equipment R'000	Biological assets R'000	Total R'000
Assets written off	-	-	-	232	-	232
<b>TOTAL MOVABLE ASSETS WRITTEN OFF</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>232</b>	<b>-</b>	<b>232</b>

30 Immovable Tangible Capital Assets

MOVEMENT IN IMMOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2020

	Opening balance R'000	Value adjustments R'000	Additions R'000	Disposals R'000	Closing balance R'000
<b>BUILDINGS AND OTHER FIXED STRUCTURES</b>	490	-	13 992	-	37 482
Dwellings		-			
Other fixed structures	490	23	13 992	-	37 482
<b>TOTAL IMMOVABLE TANGIBLE CAPITAL ASSETS</b>	<b>490</b>	<b>23</b>	<b>13 992</b>	<b>-</b>	<b>37 482</b>

Additions

30,1 ADDITIONS TO IMMOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2020

	Cash R'000	Non-cash R'000	(Capital work-in-progress current costs and finance lease payments) R'000	Received current, not paid (Paid current year, received prior year) R'000	Total R'000
<b>BUILDINGS AND OTHER FIXED STRUCTURES</b>	13 992	-	-	-	13 992
Other fixed structures	13 992				13 992
<b>TOTAL ADDITIONS TO IMMOVABLE TANGIBLE CAPITAL ASSETS</b>	<b>13 992</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>13 992</b>





Movement for 2018/19

30,2 MOVEMENT IN IMMOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2019

	Opening balance R'000	Prior period error R'000	Additions R'000	Disposals R'000	Closing balance R'000
<b>BUILDINGS AND OTHER FIXED STRUCTURES</b>	-	-	23 490	-	23 490
Dwellings	-		-	-	-
Non-residential buildings	-		-	-	-
Other fixed structures	-		23 490	-	23 490
<b>TOTAL IMMOVABLE TANGIBLE CAPITAL ASSETS</b>	<b>-</b>	<b>-</b>	<b>23 490</b>	<b>-</b>	<b>23 490</b>

Capital Work-in-progress

30,3 CAPITAL WORK-IN-PROGRESS AS AT 31 MARCH 2020

	Note <a href="#">Annexure 7</a>	Opening Balance 1 April 2019 R'000	Current Year WIP R'000	Ready for use (Assets to the AR) / Contracts terminated R'000	Closing Balance 31 March 2020 R'000
Buildings and other fixed structures		64 088	13 992	-	78 080
<b>TOTAL</b>		<b>64 088</b>	<b>13 992</b>	<b>-</b>	<b>78 080</b>

Age analysis on ongoing projects	Number of projects		2019/20 Total R'000
	Planned, construction not started	Planned, construction started	
0 to 1 year		2	6 886
1 to 3 year(s)	1	2	5 999
3 to 5 years		1	51 284
Longer than 5 years	1		13 911
<b>Total</b>	<b>2</b>	<b>5</b>	<b>78 080</b>

CAPITAL WORK-IN-PROGRESS AS AT 31 MARCH 2019

	Note <a href="#">Annexure 7</a>	Opening Balance R'000	Prior period error R'000	Current Year WIP R'000	Ready for use (Assets to the AR) / Contracts terminated R'000	Closing Balance 31 March 2019 R'000
Buildings and other fixed structures		72 924	-	10 274	110	64 088
<b>TOTAL</b>		<b>72 924</b>	<b>-</b>	<b>10 274</b>	<b>110</b>	<b>64 088</b>





Age analysis on ongoing projects	Number of projects		2018/19 Total R'000
	Planned, construction not started	Planned, construction started	
0 to 1 year	2	4	5 528
1 to 3 year(s)	-	1	471
3 to 5 years	-	2	58 089
<b>Total</b>	<b>2</b>	<b>7</b>	<b>64 088</b>

Assets to be transferred in terms of S42 of the PFMA - 2018/19

	No of Assets	Value of Assets R'000
<b>BUILDINGS AND OTHER FIXED STRUCTURES</b>	<b>3</b>	<b>23 490</b>
Dwellings	-	-
Non-residential buildings	-	-
Other fixed structures	3	23 490
<b>TOTAL</b>	<b>3</b>	<b>23 490</b>

The Department is in the process of transferring these assets to the Department of Public Works and Roads, which is the custodian of government immovable property

31 Principal-agent arrangements

		2019/20	2018/19
		R'000	R'000
31,1	Department acting as the principal		
	Municipality	57 771	51 753
	SAPO	3 515	3 247
	Ratlou Municipality	307	-
	<b>Total</b>	<b>61 593</b>	<b>55 000</b>

Municipalities - The Department has 'contracted' municipalities to collect Motor Vehicle Licence Tax and pays a 20% commission. The South African Post Office has been contracted by the Department to collect Motor Vehicle Licence Tax at a commission of 8.5%

The Department issues face value forms to the agencies. Should the arrangement be terminated, the department will have to collect for itself but will not have to pay any commission, will be getting the whole (100%) revenue





In addition to the face value forms, the agencies have been provided with Natis equipment

32 Prior period errors

	Note	Amount bef error correction R'000	2018/19 Prior period error R'000	Restated amount R'000
32,1 Correction of prior period errors <i>Revenue: (e.g. Annual appropriation, Departmental revenue, Aid assistance, etc.)</i>				
				-
<b>Net effect</b>		-	-	-

**Expenditure: (e.g. Compensation of employees, Goods and services, Tangible capital assets, etc.)**

Key Management Personnel	34	29 734	612	-	29 122
Housing Loan Guarantees	25	-	12		12
					-
					-
					-
					-
					-
					-
<b>Net effect</b>		<b>29 734</b>	<b>600</b>	-	<b>29 134</b>

**Liabilities: (e.g. Payables current, Voted funds to be surrendered, Commitments, Provisions, etc.)**

Capital Commitment	26	5 657	68		5 725
<b>Net effect</b>		<b>5 657</b>	<b>68</b>		<b>5 725</b>

**Changes in MCS. Capital Commitments disclosure**

**Other: (e.g. Irregular expenditure, fruitless and wasteful expenditure, etc.)**

Finance Lease Machinery	29.2		6 567	-6 258	309
Irregular Expenditure	31		5 262 238	29 249	5 291 487
Operatng leases - Machinery and Equipment	29		-	6 267	6 267
Operatng leases - Buildings and other fixed structures not later than 1 year	29		39 568	596	40 164
					-
<b>Net effect</b>			<b>5 308 373</b>	<b>29 854</b>	<b>5 338 227</b>





33

STATEMENT OF CONDITIONAL GRANTS  
 RECEIVED

NAME OF GRANT	GRANT ALLOCATION					SPENT				2018/19	
	Division of Revenue Act/Provincial Grants	Roll Overs	DORA Adjustments	Other Adjustments	Total Available	Amount received by department	Amount spent by department	Under / (over spending)	% of available funds spent by dept	Division of Revenue Act	Amount spent by department
	R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
PUBLIC TRANSPORT OPERATIONS GRANT	116 603				116 603	116 603	116 603	-	100%	192 419	192 419
SOC SEC EPWP INCENTIVE GRANT PROV	2 080				2 080	2 080	2 067	13	99%	2 440	2 029
	118 683	-	-	-	118 683	118 683	118 670	13		194 859	194 448







Annexures to the Annual Financial Statements

ANNEXURE 1A

STATEMENT OF TRANSFERS TO DEPARTMENTAL AGENCIES AND ACCOUNTS

DEPARTMENT/AGENCY/ACCOUNT	TRANSFER ALLOCATION				TRANSFER		2018/19
	Adjusted appropriation	Roll Overs	Adjustments	Total Available	Actual Transfer	% of Available funds transferred	Final Appropriation
	R'000	R'000	R'000	R'000	R'000	%	R'000
Government fleet licence fees	-	-	-	-	2 993		2 957
North West University	-	-	-	-	2		
Safety & Security	-	-	-	-	887		
Transport Education	-	-	-	-	887		
<b>Total</b>	-	-	-	-	4 769		2 957

ANNEXURE 1B

STATEMENT OF TRANSFERS/SUBSIDIES TO PUBLIC CORPORATIONS AND PRIVATE ENTERPRISES

NAME OF PUBLIC CORPORATION/PRIVATE ENTERPRISE	TRANSFER ALLOCATION				EXPENDITURE				2018/19
	Adjusted appropriation Act	Roll Overs	Adjustments	Total Available	Actual Transfer	% of Available funds transferred	Capital	Current	Final Appropriation
	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000
<b>Public corporations</b>									
<b>Transfers</b>	-	-	-	-	685 379		-	-	676 744
AMAROSA TRADING (PTY)					211 686				217 693
ATAMELANG BUS TRANSPORT					137 418				152 900
MVELATRANS(BOJANALA BUS)					253 304				287 227
PUMUTRA TRANSPORT ENTERPRISE					12 516				18 924
NORTH WEST TRANSPORT INVESTMENT (NTI)					70 455				
<b>Sub total: Public corporations</b>	-	-	-	-	685 379		-	-	676 744





**ANNEXURE 1C  
 STATEMENT OF TRANSFERS TO NON-PROFIT  
 INSTITUTIONS**

NON-PROFIT INSTITUTIONS	TRANSFER ALLOCATION				EXPENDITURE		2018/19
	Adjusted appropriation Act	Roll Overs	Adjustments	Total Available	Actual Transfer	% of Available funds transferred	Final Appropriation
	R'000	R'000	R'000	R'000	R'000	%	R'000
<b>Transfers</b>							
Brits	-				22		15
Groot Marico	-						30
Hartebeesfontein	-						15
Ikageng	-				31		38
Itsoseng					40		20
Jouberton					31		25
Kanana							30
Lehurutsho					40		23
Letlhabile					32		35
Mafikeng					45		32
Ventersdorp					25		23
Vryburg					53		20
Wolmaranstad					40		49
Ultrapex							30
Sustainable							30
Phokeng							14
Mooiooi					45		15
Lomanyaneng							51
Klerksdorp							21
Home of hope against							39
Boitekong					27		15
Youth Action for soci							15
Rustenburg					22		10
Setlagole					29		24
Ipelegeng							25
Delareyville							26
Mogwase					27		20
Makwassie							20
Klipgat							24
Potchefstroom							18
Mooifontein							26
Cyferskuil							20
Christiana							15
Assen							15
Makapanstad							20





Khulisa Social Solution					30
Madibogo	-				29
Zeerust	-	30			77
Motswedi	-				24
Hebron					52
Lichtenburg		35			
Taung		20			
Mmabatho		40			
Khuma		40			
Gay Umbrella		40			
Ganyesa		30			
bloemhof		42			
Klerksdorp		31			
Respect Sports Development		40			
Ottosdal	-	35			
Mmakau	-	17			
Pudumoe		27			
Makapanstad Rural Development		23			
Bojanala District		25			
Tlhabane		22			
The Provincial CPF board	-	74			
	-	-	-	1 080	1 030
<b>Total</b>	-	-	-	1 080	1 030

**ANNEXURE 1D**

**STATEMENT OF TRANSFERS TO HOUSEHOLDS**

HOUSEHOLDS	TRANSFER ALLOCATION				EXPENDITURE		2018/19
	Adjusted appropriation Act	Roll Overs	Adjustments	Total Available	Actual Transfer	% of Available funds transferred	Final Appropriation
	R'000	R'000	R'000	R'000	R'000	%	R'000
<b>Transfers</b>							
Injury on duty	877			877	279	32%	326
Leave gratuity	3 423			3 423	3 646	107%	3 431
Bursaries(non employees)				-			-
Claims agains state				-			460
EPWP Programme				-			
	4 300	-	-	4 300	3 925		4 217





ANNEXURE  
1E  
STATEMENT OF ACTUAL MONTHLY  
EXPENDITURE PER GRANT

GRANT TYPE	APRIL 2019	MAY 2019	JUN 2019	JUL 2019	AUG 2019	SEPT 2019	OCT 2019	NOV 2019	DEC 2019	JAN 2020	FEB 2020	MAR 2020	TOTAL
	R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000
PUBLIC TRANS OPERATIONS GRANT	-	1 033	34 400	1 044	16 396	8 363	6 865	5 000	13 615	836	11 249	17 804	116 603
SOC SEC EPWP INCEN GRNT FOR PROV	-	-	-	1 136	147	393	-	-	391	-	-	-	2 067
<b>Total</b>	-	1 033	34 400	2 180	16 543	8 756	6 865	5 000	14 006	836	11 249	17 804	118 670

ANNEXURE 2A  
STATEMENT OF FINANCIAL GUARANTEES ISSUED AS AT 31 MARCH 2020 -  
LOCAL

GUARANTOR INSTITUTION	Guarantee in respect of	Original guaranteed capital amount	Opening balance 1 April 2019	Guarantees draw downs during the year	Guaranteed repayments/ cancelled / reduced during the year	Revaluation due to foreign currency movements	Closing balance 31 March 2020	Revaluations due to inflation rate movements	Accrued guaranteed interest for year ended 31 March 2020
		R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000
<b>Housing</b>									
FIRST RAND BANK LIMITED		12	12	-	-	-	12	-	-
	Subtotal	12	12	-	-	-	12	-	-
	Subtotal	-	-	-	-	-	-	-	-
	<b>Total</b>	12	12	-	-	-	12	-	-

ANNEXURE 3A  
STATEMENT OF CONTINGENT LIABILITIES AS AT 31 MARCH  
2020

NATURE OF LIABILITY	Opening balance 1 April 2019	Liabilities incurred during the year	Liabilities paid/ cancelled/ reduced during the year	Liabilities recoverable (Provide details hereunder)	Closing balance 31 March 2020
	R'000	R'000	R'000	R'000	R'000



Claims against the department

MBD Credit Solutions & INCA or Mosiamisi Business Solutions	4 038		4 038
Nel S.J.	25		25
Mahishi P	100		100
Du Plooy J.P.J	818		818
Serbel CC	18	18	-
Mmolawa K.S.	5 000		5 000
Lengane Inv Holdings	6 091		6 091
Lengane Inv Holdings	1 063		1 063
Lengane Inv Holdings	19 500		19 500
Lekau K.S.	409		409
Gaelejwe G Shotec Trade 20 cc	17		17
Lapeng Investments	6 700	6 700	-
S De Wet Carol	2 830		2 830
Mongale M.I	900		900
Williams W.S.	16	16	0
Maruping Peter Leeuw	400		400
Mothibi S.M	250		250
Seleka S	400		400
Ase Ami	100		100
Lekopanye K.G.	36		36
Mokotedi M.J	16		16
Mqonjane LL	100		100
Moate L	250		250
Thusang Bana Transport Services	521		521
Mkhobotlwane K	21 428		21 428
Segoati M.T	43		43
Moela B.J	22		22
Redefine Properties	120	120	0
Majola J.J	226		226
Schempers M	23		23
Mochabapula Consulating CC	84		84
Nkumande S.F	23 729		23 729
Tinye M.G.	46		46
Adv Lungile Tyatya	33	33	-
Arbitration : Atamelang Bus Transport	93		93
Arbitration : Thari/ Amarosa Bus Company	0		0
Lekala L.A.	0		0
Smec SA(PTY) (LTD)	570		570
K.I Mashela	-		-
C. P Potgieter	41		41
NSP Du Plooy	120		120
Gavin Keenton	34		34
	40		40



L J Thobega	14				14
S M Mvula	82				82
E H Lombaard	31				31
DADA Motors	-				-
GIBB(PTY) LTD	359			359	-
Sebesho B.J	24				24
Setumo M.S	89				89
Moheta M.A	22				22
TVD Poel	15		15		-
Mosasi A.B	125				125
Molotsi K	500				500
I Tshoba	69				69
O.L. Seothaeng	200				200
Roux D.F.	93				93
Numbi Auto White River (Pty) (Ltd)	59				59
B.S.Matlhola	847			847	-
J. Maleka		10			10
K. Modukwane		43			43
T.I. Phutiyagae		80			80
C.B. Boikanyo		58			58
<b>Subtotal</b>	<b>98 780</b>	<b>191</b>	<b>6 902</b>	<b>1 206</b>	<b>90 863</b>

**ANNEXURE 4**  
**CLAIMS RECOVERABLE**

GOVERNMENT ENTITY	Confirmed balance outstanding		Unconfirmed balance outstanding		Total		Cash in transit at year end 2019/20*	
		31/03/2019	31/03/2020	31/03/2019	31/03/2020	31/03/2019	Receipt date up to six (6) working days after year end	Amount
	R'000	R'000	R'000	R'000	R'000	R'000		R'000
<b>DEPARTMENTS</b>								
Department of Health	403				403	-		
Department of Social Development	741				741	-		
Department of Arts, Culture and Traditional Affairs	279				279	-		
Department of Cogta	154				154	-		
	<b>1 577</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1 577</b>	<b>-</b>		<b>-</b>
<b>Total</b>	<b>1 577</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1 577</b>	<b>-</b>		





**ANNEXURE 5  
INTER-GOVERNMENT  
PAYABLES**

GOVERNMENT ENTITY	Confirmed balance outstanding		Unconfirmed balance outstanding		Total		Cash in transit at year end 2019/20*	
	31/03/2020	31/03/2019	31/03/2020	31/03/2019	31/03/2020	31/03/2019	Payment date up to six (6) working days before year end	Amount
	R'000	R'000	R'000	R'000	R'000	R'000		R'000
<b>DEPARTMENTS</b>								
<b>Current</b>								
Office of the Premier	992				992	-		
					-	-		
<b>Subtotal</b>	992	-	-	-	992	-		-
<b>Total Departments</b>	992	-	-	-	992	-		-
<b>OTHER GOVERNMENT ENTITY</b>								
<b>Current</b>								
AUDITOR-GENERAL OF SOUTH AFRICA	939				939	-		
ESKOM HOLDINGS SOC LTD - GAUTENG	102				102	-		
KGETLENGRIVIER LOCAL MUNICIPALIT					-	-		
RUSTENBURG LOCAL MUNICIPALITY	19				19	-		
NORTH WEST DEVELOPMENT CORPORATION					-	-		
MAFIKENG - NW LOCAL MUNICIPALITY	93				93	-		
TELKOM	411				411	-		
					-	-		
					-	-		
					-	-		
	1 564	-	-	-	1 564	-		
<b>Total Other Government Entities</b>	1 564	-	-	-	1 564	-		
<b>TOTAL INTERGOVERNMENTAL PAYABLES</b>	2 556	-	-	-	2 556	-		





**Community Safety and Transport Management**

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