

**dcstm**

Department:  
Community Safety and Transport Management  
**North West Provincial Government**  
**REPUBLIC OF SOUTH AFRICA**

# ANNUAL REPORT 2020/21

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# **PART A: GENERAL INFORMATION**

## **1. DEPARTMENT GENERAL INFORMATION**

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## 2. LIST OF ABBREVIATIONS/ACRONYMS

<b>AARTO</b>	Administrative Adjudication of Road Traffic Offences
<b>APP</b>	Annual Performance Plan
<b>BAS</b>	Basic Accounting System
<b>CCTV</b>	Closed- Circuit Television
<b>COVID 19</b>	Corona Virus Disease 2019
<b>CPF</b>	Community Policing Forum
<b>CSF</b>	Community Safety Forum
<b>DLTC</b>	Driving License Testing Centre
<b>DPW&amp;R</b>	Department of Public Works and Roads
<b>DVA</b>	Domestic Violence Act
<b>EPWP</b>	Extended Public Works Programme
<b>EXCO</b>	Executive Council
<b>GBV</b>	Gender Based Violence
<b>GBH</b>	Grievous Bodily Harm
<b>GD</b>	George Dickson
<b>HoD</b>	Head of Department
<b>ICT</b>	Information Communication Technology
<b>IDP</b>	Integrated Development Plan
<b>IGR</b>	Integrated Governmental Relations
<b>IMTT</b>	Inter-Ministerial Task Team
<b>IPID</b>	Independent Police Investigative Directorate
<b>IPTN</b>	Integrated Provincial Transport Network
<b>IT</b>	Information Technology
<b>ITP</b>	Integrated Transport Plans
<b>MISS</b>	Minimum Information Security System
<b>MEC</b>	Member of Executive Council
<b>MPL</b>	Member of Provincial Legislature
<b>MTEF</b>	Medium Term Expenditure Framework
<b>MTSF</b>	Medium Term Strategic Framework
<b>MUNIMEC</b>	Municipal Managers and Member of Executive Council
<b>N/A</b>	Not Applicable
<b>NaTIS</b>	National Traffic Information System
<b>NCPS</b>	National Crime Prevention Strategy
<b>NDP</b>	National Development Plan
<b>NDoT</b>	National Department of Transport
<b>NEET</b>	Not Employed, Educated, Trained
<b>NICD</b>	National Institute of Communicable Diseases
<b>NLTA</b>	National Land Transport Act

<b>NLTSF</b>	National Land Transport Strategic Framework
<b>NMT</b>	National Monitoring Tool
<b>NMT</b>	Non-Motorised Transport
<b>NPA</b>	National Prosecuting Authority
<b>NPI</b>	Non-Profit Institutions
<b>NRTA</b>	National Road Traffic Act
<b>NTI</b>	North West Transport Investment
<b>NTMO</b>	National Traffic Management Officer
<b>NW</b>	North West
<b>NWP</b>	North West Provincial
<b>OHS</b>	Occupational Health and Safety
<b>PET</b>	Participatory Educational Techniques
<b>PERSEL</b>	Name of HR System- PERSAL System
<b>PLTF</b>	Provincial Land Transport Framework
<b>PPE</b>	Personal Protective Equipment
<b>PPP</b>	Public Private Partnerships
<b>PSA</b>	Public Services Act
<b>RA</b>	Registering Authority
<b>RT 57</b>	Contract for Government Motor Fleet
<b>RTMC</b>	Road Traffic Management Corporation
<b>SABS</b>	South African Bureau of Standards
<b>SACAA</b>	South African Civil Aviation Authority
<b>SAPS</b>	South African Police Service
<b>SCM</b>	Supply Chain Management
<b>SLA</b>	Service Level Agreement
<b>SMS</b>	Senior Management Structure
<b>SOPA</b>	State of the Province Address
<b>SWOT</b>	Strengths Weaknesses Opportunities Threats
<b>TVET</b>	Technical and Vocational Education and Training
<b>VTSS</b>	Vehicle Testing Stations

### **3. FOREWORD BY THE MEC**



It is that time of the year where we need to take accountability and report on our performance following commitments that we made to improve the services and change the lives of our communities for the better.

The financial year under review had been the most difficult one in a generation for not only us as the Department, Province or country but for the world as a whole.

This Annual Report provides us with an opportunity to reflect on what we have gone through, how far we have gone on our journey of service delivery and further outlines the meaningful differences we have made in the lives of our people.

Last year was not normal and the pandemic has seriously hampered the service delivery. However, we have done our level best to deliver what was expected from us as the Department. Under difficult circumstances we have done what we could to create safer and secure communities and further to provide efficient and effective transport system in the Province as per our mandate.

The Department could not continue with some of the planned activities especially those that required person to person contact and navigated our way as we are consciously implementing COVID guidelines and protocols.

The scourge of Gender Based Violence (GBV) is not ceasing therefore we should continue and we have had, to raise awareness in relevant platforms.

We have had a backlog of vehicles that needed to renew their licenses following the lockdown; however, we continued to assist our motorists as best we could to renew their driving and vehicles licenses.

Despite all challenges experienced, we managed to complete the development of Integrated Transport Plans (ITP's) for Dr Kenneth Kaunda and Bojanala Platinum Districts. We also managed to complete Integrated Public Transport Networks (ITPN) for Dr Kenneth Kaunda, Ngaka Modiri Molema and Dr Ruth Segomotsi Mompati Districts.

One of the highlights is the successful hosting of four Districts taxi Makgotla, one Provincial Lekgotla and participated at national Lekgotla. The fundamental objectives of Makgotla are transformation and unity of the taxi industry. Makgotla were built around the four pillars; Regulation, Professionalization, Empowerment and Customer care within the taxi industry.

Let me sincerely thank all our partners in the transport and safety sectors who traversed this journey with us. Working together, supporting each other and active participation of all stakeholders, we shall achieve our dreams of building safer communities for all.

We therefore present this strategic plan which we believe is our agreement to improve the services to our communities.

Together we build North West forward, together we shall overcome.



**Mr Jonas Sello Lehari (MPL)**

**MEC of the Department of Community Safety and Transport Management**

**30 September 2021**

#### **4. REPORT OF THE ACCOUNTING OFFICER**

##### **Overview of the operations of the department**



##### **Corporate Services**

The outbreak of corona virus disrupted many activities within the Department. The implementation of the training plan was drastically affected as the planned training interventions had to be reviewed and prioritized Covid-19 pandemic related programs. In this case the Examiner of Motor Vehicle (EOV), Examiner of Driving License (EDL) learnership program was not implemented due to closure of Traffic Colleges.

Interns who were appointed for the period under review, did not gain meaningful work exposure due to level 5 hardlockdown.

The Department had planned to fill a number of vacant and funded posts, however this was not achieved because of the disruption brought about by the Covid-19 pandemic, for example, the constant closure of offices for decontamination and deep cleansing due to confirmed Covid-19 positive cases.

In response to Service Delivery Improvement Plan (SDIP) the Department managed to submit the Annual Report to DPSA as per the requirement. The unannounced visits to various Service Points could not be done due to restriction of movement. The normal Batho Pele workshops which aim at encouraging Employees to focus on Customer care were also not conducted during the period under review. This will be intensified during the financial year 2021/2022.

The approval of the Organisational Structure resulted in some positions having to be relocated and finding alternative placement. In this connection, consultation processes were conducted with the affected Personal Assistants in the Office of Directors and Office Managers in the Office of Chief Directors. This ensured that change management principles are adhered to as a means of alleviating anxiety in the workplace and suitable placement was identified and will be effected gradually. The said positions will be advertised during 2021/22 financial year.

##### **Provincial Secretariat for Police Service**

The Provincial Secretariat was one of the programmes whose performance was affected by the implementation of Covid-19 Regulations in which drastic measures; including restricting movement of persons was imposed. The two first quarters of the financial year were the most difficult as

engagements sessions and police stations monitoring were impossible due to strict Lockdown Regulations which had to be adhered to. As the Programme is characterised by police station visits, stakeholder and community engagements, they were also forced to review their activities and adjust to the new normal with regard to implementing their plans. The review of the Annual Performance Plan allowed for alternative methods of implementation in ensuring that much needed service delivery to communities was not compromised.

The Programme however, with the easing of Regulations, managed to implement activities as per reviewed Annual Performance Plan. It focused mostly on safety promotion campaigns, which targeted Gender Based Violence and Crime Prevention through Environmental Design. As and when Alert levels were adjusted, awareness campaigns in communities and schools were heightened to ensure that safety in communities was enhanced. The awareness campaigns also included social and print media, and radio promos; this was to ensure that messages regarding the prevention of crime reached communities. Further, the Programme participated in Law enforcement and Road Safety activities; where educational materials were distributed to motorists.

Participation of safety volunteers was prohibited during the first two quarters and that affected appointment of community safety patrollers and funding of NPIs. However, activities relating to this were therefore successfully implemented during the third quarter.

The programme further participated in intervention processes in an effort to restore broken relationships between the SAPS and Community. The engagement sessions were undertaken in Taung and Jouberton and as the process is ongoing, it will continue as and when there is a need.

The Programme had to monitor the South African Police Service as it was identified as an essential service, and key to the implementation and adherence to the Lockdown Regulations. What became of importance was how they operated within the ambit of the law, whilst providing service to community members, and ensuring that they themselves comply with the Covid-19 Regulations. Even though the implementation process to monitor SAPS compliance to the COVID-19 Regulations was challenging during the first and second quarter as a result of constant closure and decontamination of police stations; other measures; including telephonic conversation and visit to police stations were utilised. Recommendations on findings were elevated to SAPS management for implementation with a view to improve service delivery.

There was a need to review the manner in which complaints were received and processed by the Programme, in order to comply with the restrictions imposed by the Covid -19 Regulations and to limit person to person contact between Officials and complainants. Most complaints were dealt with telephonically; that included taking in the complaint and engaging the SAPS for intervention. Complaints received were attended to, and interventions were done as and when needed, ensuring that there was an improved police service delivery

The Programme continued to monitor implementation of IPID Recommendations by the SAPS. Even though the process could not be undertaken by person-to-person meetings, telephonic conversation and virtual meetings were undertaken. The programme received information which was shared between the two Institutions to confirm compliance thereof. This necessitated the achievement of the planned activities.

The Programme followed up on Gender Based Violence cases identified during the Court Watching Brief Pilot Project. Concentration was placed on cases withdrawn at court due to various reasons including but not limited to cases not at court. These proved to be successful as it indicated that 80% of the cases were not followed up even though they had queries from court; most of the cases were closed by the Police Station and not attended to. This is an indication that the project to no longer be implemented as a pilot project but to be implemented fully.

The Programme conducted two Research Projects which focused on the Functionality of the Detective Services and phase one of Analysis of Murder case Dockets. The findings of which will contribute to the development and review of Policing Policies.

### **Transport Operations**

The year started during the level 5 hard lockdown owing to the declaration of State of Emergency by the State President to allow the country to prepare for Covid-19 pandemic. The provision of public transport, both commuter and learner transport services were affected. Commuter transport could not operate as all businesses were closed and workers stayed home. Similarly, schools were closed thus operations had to be halted. Operations resumed during level 4 lockdown. The department took all operators through the Covid-19 regulation and directives to apprise them on operational requirements to alleviate the spread of the virus in public transport. It further provided operators with disinfectants, sanitisers and face shields. Operations only resumed when all operators were ready and complied with regulation.

The department had planned to increase the number of schools and learners benefiting from the learner transport program. However, this could not be achieved since not all learners attend school every day making the verification of routes and number of learners very difficult.

The setting aside of the 2017 learner transport contract by the Mahikeng High Court in December 2019 empowered the department to implement the outcomes of the investigations by Gobodo and Provincial Internal Audit which confirmed the verification of routes and kilometres conducted by the department. The department moved swiftly in appointing successful operators on a month-to-month basis with a new remuneration model and revised kilometres. This resulted in budget saving and the department is now in a process of identifying those that either owe or are owed based on the reports.

The Department continued with ad-hoc monitoring since there is no dedicated supervisory and monitoring firm. All issues of non-compliance identified were dealt with as part of penalties imposed on defaulting operators.

The intervention to assist municipalities to perform transport functions as prescribed by the National Land Transport Act, No 5 of 2009, yielded positive results at least two district municipalities have established transport components, Dr Kenneth Kaunda and Bojanala Platinum. All local municipalities have dedicated officials who deal with transport matters. All district municipalities completed the development of Integrated Transport Plans with Dr Kenneth Kaunda municipal council adopting its plan thus paving way for the MEC to approve.

The two provincial airports managed by the department were similarly affected by Covid-19 lockdown, as with other airports within the country. The department managed to resume the operations at the two provincial airports. Pilanesburg International Airports maintained its status of category 6 Aerodrome while G. D Montshiwa was downgraded to category 2 Aerodrome. This was mainly as a result of non-compliance to the regulators requirements. The Department also continued to address the non-compliance matters raised by South African Civil Aviation Authority (SACAA) and much progress is been registered with the exception of capital projects which requires huge funding.

Government motor fleet lost allocated budget due to funds being shifted by the Provincial Treasury to assist in Covid-19 related activities. This placed a huge burden on the department to assist the programme in complying with its mandate of maintaining and repairing the provincial white fleet and executive vehicles.

The Directorate Transport Safety and Compliance managed to perform all planned activities during the period under review. The two interventions implemented were Road Safety Promotions and Road Safety Community Outreach. The activities conducted were included road safety awareness activities, driver skills enhancement workshops, participation at Multi-purpose and Inter Provincial roadblocks and community outreach by monitoring appointed Road Safety Rangers.

## **Transport Regulation**

The role of traffic law enforcement in meeting the UN Make Roads Safe objectives of Safer Roads, Safer Road Users and Safer Vehicles cannot be underestimated. Every effort to increase and sustain enforcement initiatives should be encouraged and supported by various role-players and stakeholders because traffic enforcement is transversal and must be transformational and dynamic in order to respond to the ever-changing environment.

The declaration of the national state of disaster following the pandemic of the Covid-19 that saw imposing of a lockdown restrictions, impacted almost every business sector in the Republic of South Africa including certain elements within the road traffic environment, both from a compliance and a law enforcement point of view.

With limited movements of light motor vehicles especially on the national roads during the hard lockdown, there was a large increase of heavy vehicles transporting essential goods, there was a high risk of deliberate overloading by the transporters. During the year under review, more than 56000 trucks were weighed to ensure overload control and protection of roads infrastructure.

All licensing service centers were closed from the 26<sup>th</sup> March to 31<sup>st</sup> May 2020 and re-opened during June 2020 but with only a few complying to the re-opening directives. The Department managed to control the influx of motorists at all provincial sites with the extension of operational hours in addressing the licensing backlog.

The Department successfully hosted Taxi Makgotla at all Four (04) Districts Municipalities and One (01) Provincial Taxi Lekgotla with the view of streamlining the operations in ensuring stability and professionalism in the taxi industry.

Overview of the financial results of the department:

- Departmental receipts

Departmental receipts	2019/20			2020/21		
	Estimate	Actual Amount Collected	(Over)/Under Collection	Estimate	Actual Amount Collected	(Over)/Under Collection
	R'000	R'000	R'000	R'000	R'000	R'000
<b>Tax Receipts</b>	501 612	557 419	-55 807	529 201	541 060	-11 869
Casino taxes			0			
Horse racing taxes			0			
Liquor licences			0			
Motor vehicle licences	501 612	557 419	-55 807	529 201	541 070	-11 869
Sale of goods and services other than capital assets	253 139	210 001	43 138	229 206	197 954	31 200
Transfers received			0			
Fines, penalties and forfeits	21 060	20 594	466	12 218	14 173	-1 893
Interest, dividends and rent on land		11	-11		9	-9
Sale of capital assets		16 484	-16 484			
Financial transactions in assets and liabilities		1 616	-1 616		3 413	-3 413
<b>Total</b>	<b>775 811</b>	<b>806 125</b>	<b>-30 314</b>	<b>770 625</b>	<b>756 609</b>	<b>14 016</b>

- Total collection to date is 98%. The 2% under collection is under **Sale of Goods and services** which was caused by the National lockdown and the non-billing of kilometre log sheets due to technical problem encountered at Provincial Treasury.
- **Tax receipts** registered an over collection of 2%, the Registering Authorities were operating six days a week. A backlog in motor vehicle licensing was controlled.
- Fines, Penalties and Forfeits have an over collection of 15% and have improved despite the National lockdown regulations, penalties for late renewal of motor vehicle licences contributed to the over collection on the item.

### **Determination of tariff**

Tariff's for the registration and licensing of motor vehicle are revised annually. The consumer price index and inflations are used to determine the annual increase. Proposed tariffs are submitted to the Provincial Treasury for approval and published in a Provincial Gazette.

### **Nature of free services rendered by the department**

No free services were rendered by the Department.

### **Programme Expenditure**

Programme Name	2019/20			2020/21		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
ADMINISTRATION	365 944	365 839	105	311 432	298 881	12 551
PROVINCIAL SECRETARIAT FOR POLICE SERVICE	42 666	42 565	101	37 611	36 817	794
TRANSPORT OPERATIONS	1 351 815	1 346 168	5 647	1 144 447	1 121 854	22 593
TRANSPORT REGULATIONS	624 682	624 066	616	577 846	572 077	5 769
<b>Total</b>	<b>2 385 107</b>	<b>2 378 638</b>	<b>6 469</b>	<b>2 071 336</b>	<b>2 029 629</b>	<b>41 707</b>

The Department has spent 98% of its allocated budget against a target of 100% and is below the target by 2%.

All programmes have slightly under spent as follows: Administration by 4%, Provincial Secretariat for Police Services and Transport Operations under spent by 2% each. Transport Regulations has under spent by 1%.

### **Programme 01 Administration**

The programme spent 96% with a 4% under spending in the 2020/21 financial year, compared to the 2019/20 that has a spending of 100%. There is 4% decrease in the spending.

**Compensation of Employees:** The item has under spent by 2% which is attributed to vacant funded posts not yet filled

**Good and services** is under spending by 6%. The under spending is related to Consumable supplies which was mainly earmarked for combatting Covid -19. As at 31st March 2021, 40% of budget has been incurred for the procurement of Personal Protective Equipment and fumigation services.

**Households'** items have spent 95% of the budget, attributed to payment of leave gratuity

**Machinery and Equipment:** The spending is 96%. This is attributed to the procurement of office furniture and ICT equipment.

### **Programme 02- Provincial Secretariat for Police Services**

The programme spent 98% with a 2% under spending in the 2020/21 financial year; 99,8% was spent in the preceding year. This had a 1,9% slight decrease in the spending pattern for the programme.

**Goods and services:** The economic classification has spent 97% of the allocated budget. The budget for the appointment of EPWP contractors is allocated under this item and has spent 98%.

#### **Transfers and subsidies**

- Households have spent 100% of the budget, this is a budget earmarked for payment of leave gratuity and Injury on duty
- Non-Profit Institutions: 99% of the budget has been transferred to NPI's. The budget is for funding crime prevention projects of Community Police Forums and other qualifying Non-Profit Organisations.

### **Programme 03- Transport Operations**

There was 98% spending with a 2% under spending, as compared to 2019/20 financial year with 99.58. There is a 1% decline in the spending.

**Compensation of Employees:** The economic classification is under spending by 7%. A saving recognised is related to vacant funded posts in the programme

**Goods and services** have spent 97% of the budget. The under spending relates to Agency and support (EPWP budget for- Equitable share); stationery and contractors. Learner Transport services have spent 94% of the budget; the saving was as a result of the reviewed rates and the routes. The national lock down regulations also affected the trend.

**Households:** The item has spent 64% of the budget, this is budget earmarked for payment of leave gratuity and injury on duty

**Public corporations and Private Enterprise:** (Atamelang and Amarosa) have spent 100% of the budget; the March invoice is an accrual and will be paid in the new financial year. The budget is

always augmented from savings under other economic classification as it is depleted before the end of the financial year.

**Building and other Fixed Structure:** only 52% has been spent for the repair of Pilanesberg Airport. The programme could not finalise the procurement infrastructure project (Pilanesberg Airport Renovation). A roll over of funds will be applied for

### **Programme 04-Transport Regulations**

The programme spent 99% spending with a 1% under spending, compared to the 2019/20 financial year with a spending of 99, 9%. There was a decline of 0,9% on the spending patterns.

**Compensation of Employees:** The economic classification is at 99% and overtime is a cost driver under this economic classification.

**Goods and services** has spent 100%, Fleet services (maintenance and repairs of white fleet) is the cost driver under this item and has registered 100% of spending

**Households** have spent 94% of the budget, which is expenditure attributed to leave gratuity and injury on duty payments.

**Building and other Fixed Structure:**Thespending is at 50%. The under spending was due to infrastructure projects that could not be finalised in the 2021/21 financial year and are Mogwase Vehicle Testing Station and Kgomotso Vehicle Testing Station. Virements/roll overs

### **Virements**

There were virements of R 15.6 million from Administration under Compensation of Employees ( R 5 million) , Goods and Services ( R 10.6 million). This was to assist with the budget pressures in Transport Operations under Transfers and subsidies (Commuter Bus Subsidies).

### **Roll over**

A roll over of R 1.8 million from 2019/20 was approved; this was for the renovation of Pilanesburg Airport under transport Operations Programme.

### **Unauthorised Expenditure**

No unauthorized expenditure for the period under review.

The fruitless and wasteful expenditure amount to R171 294.50 and issue is currently under investigation

### **Public Private Partnerships**

- None

### **Discontinued key activities / activities to be discontinued**

- None

### **New or proposed key activities**

- None

### Supply chain management

- List all unsolicited bid proposals concluded for the year under review

**None**

- Indicate whether SCM processes and systems are in place to prevent irregular expenditure

The establishment of SCM checklist to confirm compliance.

Engaging Internal Control to check compliance

Engagement of Provincial Internal Audit to confirm compliance on Bids above R10 Million

- Challenges experienced in SCM and how they were resolved

Lack of effective contract management. The post has been advertised and awaiting to be shortlisted.

Vacant positions of Deputy Directors in Acquisition, Logistics, Demand Management, only Deputy Director Acquisition and Logistics have been advertised and awaiting to be shortlisted.

### Gifts and Donations received in kind from non-related parties

None

### Exemptions and deviations received from the National Treasury

- Include a discussion on any exemption from the PFMA or TR or deviation from the financial reporting requirements received for the current and/or previous financial year. Detail the conditions of the exemption or deviation (if any) and the mechanisms put in place to ensure that all milestones will be achieved by the end of the exemption or deviation period

The deviation was related to the appointment of Security Service providers on quotations as the Tender process was still unfolding.

- Events after the reporting date

- Include the nature of any events (adjusting and non-adjusting), favourable and unfavourable that occurred after the reporting date and the date of approval of the Annual Financial Statements.

None

- Include an estimate of the financial effect of the subsequent non-adjusting events or a statement that such an estimate cannot be made.

None

- Other

- Any other material fact or circumstances, which may have an effect on the understanding of the financial state of affairs, not addressed elsewhere in this report.

None

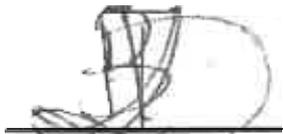
### Acknowledgement/s or Appreciation

The department would like to thank the MEC for the political will, efforts and the unconditional guidance in driving the Department as the Executive Authority. The Department also appreciates the staff and the managements contribution during the year under review. Their continued dedication, commitment and support is valued. Much appreciate and thanks goes to staff for ensuring that audit action plan is implemented and progress managed through the audit steering committee meetings. The commitment and hard work have not been unnoticed.

### **Conclusion**

During the year under review, the country was still under lockdown as a result of Covid-19 conditions. The impact of the Covid-19 pandemic was hugely felt by the Department, which this also resulted to the loss of 4 staff member, however, out the 150 employees infected, the total number of 146 recovered. With the closure of the office for quite a number of days, the Department managed to adjust its strategies and continued with service deliver in the mist of the challenging times.

Approval and sign off



**Ms Botlhale Mofokeng**

**Head of the Department**

**Department of Community Safety and Transport Management**

**Date: 30 September 2021**



**Mr Mathabatha Mokonyama**

**Accounting Officer**

**Administrator in terms of section 100 (1) of the Constitution of South Africa**

**Date: 30 September 2021**

## **5. STATEMENT OF RESPONSIBILITY AND CONFIRMATION OF ACCURACY FOR THE ANNUAL REPORT**

To the best of my knowledge and belief, I confirm the following:

All information and amounts disclosed throughout the annual report are consistent.

The annual report is complete, accurate and is free from any omissions.

The annual report has been prepared in accordance with the guidelines on the annual report as issued by National Treasury.

The Annual Financial Statements (Part E) have been prepared in accordance with the modified cash standard and the relevant frameworks and guidelines issued by the National Treasury.

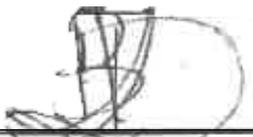
The Accounting Officer is responsible for the preparation of the annual financial statements and for the judgements made in this information.

The Accounting Officer is responsible for establishing and implementing a system of internal control that has been designed to provide reasonable assurance as to the integrity and reliability of the performance information, the human resources information and the annual financial statements.

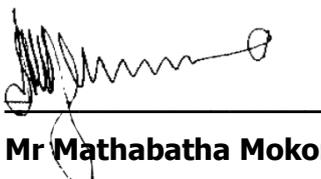
The external auditors are engaged to express an independent opinion on the annual financial statements.

In my opinion, the annual report fairly reflects the operations, the performance information, the human resources information and the financial affairs of the department for the financial year ended 31 March 2021.

Yours faithfully



**Ms Botlhale Mofokeng**  
**Head of the Department**  
**Department of Community Safety and Transport Management**  
**Date: 30 September 2021**



**Mr Mathabatha Mokonyama**  
**Accounting Officer**  
**Administrator in terms of section 100 (1) of the Constitution of South Africa**  
**Date: 30 September 2021**

## 6. STRATEGIC OVERVIEW

### 6.1 Vision

Safe Communities and effective transport services

### 6.2 Mission

We are committed to promote community and road safety, exercise civilian oversight of police and coordinate transport services through an integrated approach

### 6.3 Values

- Integrity
- Innovation
- Motivated
- Accountability
- Client focused
- Committed
- Team work
- Communication
- Consultation

## 7. LEGISLATIVE AND OTHER MANDATES

Constitutional Mandate	Description
The Constitution of South Africa, 1996. Act No. 108 of 1996	<p>To ensure that the provincial governments are responsible for public transport and traffic management. Section 206(3) of the Constitution provides for the Provincial Government to do the following:</p> <ul style="list-style-type: none"> <li>• To monitor police conduct;</li> <li>• To oversee the effectiveness and efficiency of the police service regarding visible policing;</li> <li>• To assess efficiency of visible policing;</li> <li>• To promote good relations between the police and the community;</li> <li>• To liaise with national structures on crime and policing;</li> <li>• To promote democratic accountability and transparency in the SAPS;</li> </ul> <p>In terms of Schedule 4 and 5 of the Constitution legislative competency.</p>

The Department of Community Safety and Transport Management's mandate is: *To promote community and road safety through community mobilisation, positively influencing road user behaviour through safety awareness and traffic law enforcement; oversight of the police and to coordinate public transport services.*

### **1. Updates to relevant legislative and policy mandates**

- i. Act 108 of 1996, The Constitution
- ii. Civil Aviation Act, 2009
- iii. Critical Infrastructure Protection Act, Act 8 of 2019
- iv. Cross Border Transport Act, Act 4 of 1998
- v. Administrative Adjudication of Road Traffic Offences Act, Act 46 of 1998 as amended by Act 4 of 2019
- vi. E-Policing Policy
- vii. The Firearms Control Act ,Act 60 of 2000
- viii. The Independent Police Investigative Directorate (IPID) Act, Act 1 of 2011
- ix. Stock theft Act , Act 57 of 1959 to be amended by Animal Movement and Animal Products Bill
- x. The South African Police Service amendment Act, Act 10 of 2012
- xi. The CSF (Community Safety Forum) Policy on establishment of CSF's.
- xii. The CPF (Community Police Forum) Policy of 2019
- xiii. National Airlift Strategy, 2006
- xiv. National Airports Development Plan, 2015
- xv. National Land Transition Act, Act 5 of 2009 as amended
- xvi. National Policy on Airports and Airspace, 1998
- xvii. National Rail Policy, 2015
- xviii. National Road Traffic Amendment Act, Act 64 of 2008
- xix. National Road Traffic Act, Act 93 of 1996
- xx. National Crime Prevention Strategy of 1996
- xxi. The TRH 11 (Dimensional and mass limitations and other requirements for abnormal vehicles guidelines)
- xxii. Criminal Procedure Act 51 of 1977 as amended
- xxiii. Declaration by the Presidency on Gender Based Violence on 28 March 2018
- xxiv. White Paper on National Civil Aviation Policy, 2017
- xxv. White Paper on National Transport Policy, 1996
- xxvi. Transport Appeal Tribunal Act, Act 39 of 1998
- xxvii. White Paper on Safety & Security of 1998
- xxviii. Child Justice Act, Act 75 of 2008
- xxix. Road Traffic Management Corporation Act, Act 20 of 1999
- xxx. Civilian Secretariat for Police Service Act, Act 2 of 2011
- xxxi. Rural Safety Strategy of 2018/19
- xxxii. National Road Safety Strategy, 2016-2030
- xxxiii. White Paper on Policing for 2018/19

xxxiv. Domestic Violence Act, Act 116 of 1998.

## 2. Updates to institutional policies and strategies

- i. IGR Framework
- ii. Ministerial 6 point Plan on DVA
- iii. NCPS Pillars
- iv. Presidential Priorities
- v. EXCO Makgotla Resolutions
- vi. SOPA Priorities
- vii. Transport Appeal Tribunal Resolutions

## 3. Updates to relevant court rulings

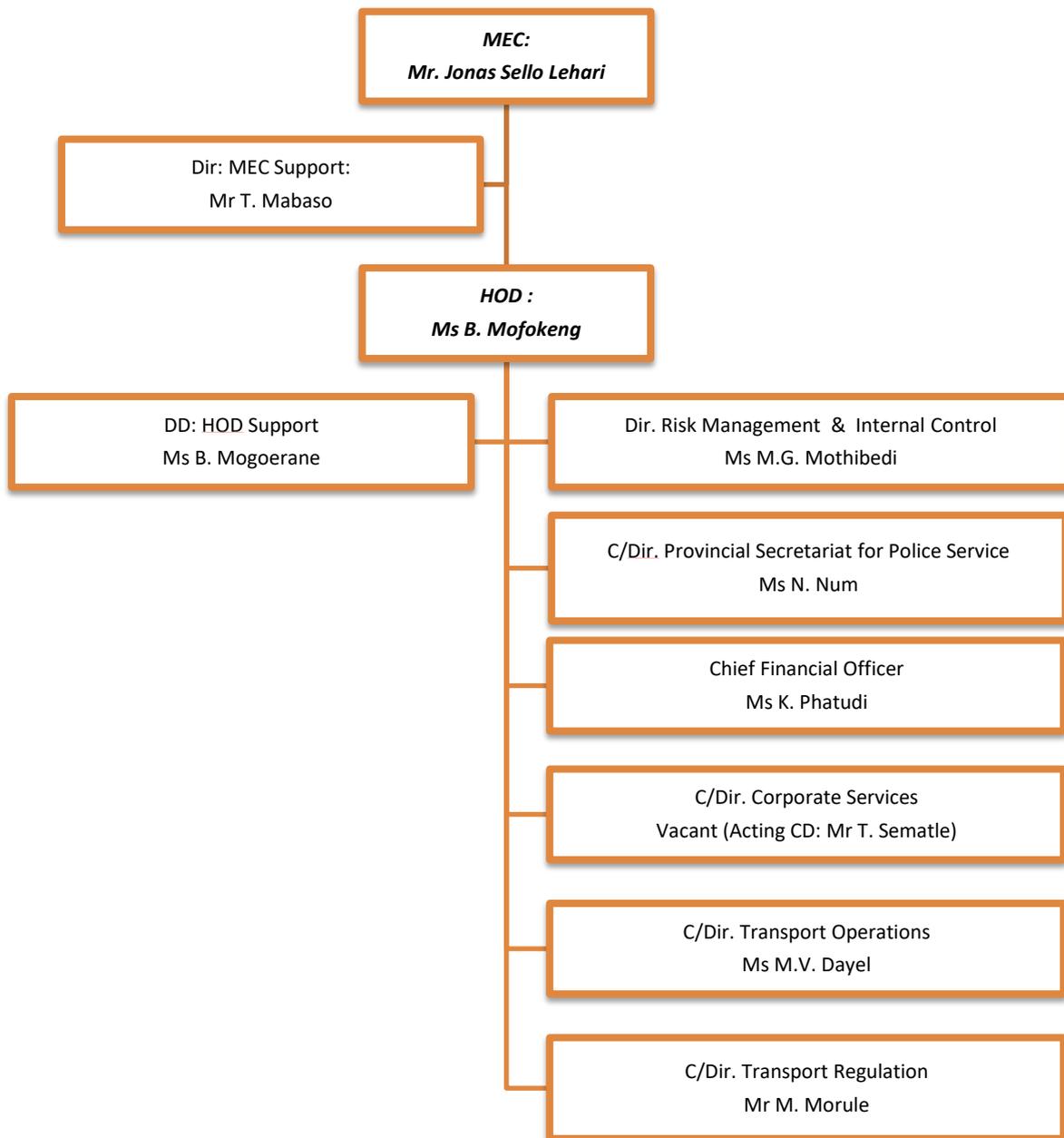
**Landmark Court Ruling on private roads:** enforcement of road traffic law on mining and private estate roads

In the matter of Niemesh Singh // Mount Edgcombe Country Club Estate: The learned Judge V. Ponnann ordered that the enforcement of the Road Traffic Act within the private estate may only be carried out by a peace officer, meaning a traffic official. The challenge is in most instances, it is almost impossible to enter a private dwelling as a peace officer and enforce the provisions of the Traffic Act.

**AARTO:** affect administration of traffic fines

**Dragger:** impacts on the machinery/ equipment usage

## 8. ORGANISATIONAL STRUCTURE



## 9. ENTITIES REPORTING TO THE MEC

The North West Transport Investment (NTI) is under control of the Department of Community Safety and Transport Management as its public entity and for the year under review no financial transactions have been undertaken

The table below indicates the entities that report to the MEC.

Name of Entity	Legislative Mandate	Financial Relationship	Nature of Operations
North West Transport Investment (Pty) Ltd	PFMA 1999, Companies Act, 2008	Schedule 3 D public entity in terms of the PFMA, 1999 (Act No. 1 of 1999).	<ul style="list-style-type: none"> <li>• To provide the public with affordable, reliable, and sustainable bus transport services, to grow the organizational capacity as well as to maintain and grow the shareholder's capital invested in the company</li> <li>• To look after investments of the Provincial Department in it, and its subsidiaries and grow it, unless specifically authorized to reduce and/or dilute it.</li> <li>• To minimize financial exposure of the North West Provincial Government and ensure that its subsidiaries are self-sustainable</li> </ul>

## **PART B: PERFORMANCE INFORMATION**

## **1. AUDITOR GENERAL'S REPORT: PREDETERMINED OBJECTIVES**

The AGSA currently performs certain audit procedures on the performance information to provide reasonable assurance in the form of an audit conclusion. The audit conclusion on the performance against predetermined objectives is included in the report to management, with material findings being reported under the Predetermined Objectives heading in the Report on other legal and regulatory requirements section of the auditor's report.

Refer to page 126 of the Report of the Auditor General, published as Part E: Financial Information.

## **2. OVERVIEW OF DEPARTMENTAL PERFORMANCE**

### **2.1 Service Delivery Environment**

#### **Corporate Services**

The Department had already forged partnerships with TVET Colleges in the Province and the North West University to advance the agenda of skills development mainly for Student Interns and Graduate Interns. This was just a peculiar year where the Department failed to ensure the intake of a significant number of Student Interns in need of placement in the Institution for a period of 18 months to enable them to acquire the necessary on-the-job training and subsequently their qualification. The Department has however, finalized the recruitment process for implementation of Examiner of Motor Vehicle (EOV) and Examiner of Driving License (EDL) and busy with securing space at one of the thirteen recognized Traffic Training College for implementation of the Program.

The Department has created permanent employment of ninety-seven (97) Provincial Inspector which adds to the capacity of Traffic Officers in the Province and thus increase visibility on our roads.

The Department is in the process of implementing the approved Organisational Structure which was put on hold due to funds being redirected to Covid 19 and the reduction of Cost of Employees (CoE) budget. There was a delay in filling of vacant and funded posts due to disruptions brought by covid 19. This will however be prioritized during 2021/22 financial to reduce the current vacancy rate. Implementation of the Organisational Structure will be implemented in phases with priority on District Model and this will be done with consideration of the current CoE budget.

The issue of Office accommodation is still a challenge across the Province and the Department is also monitoring compliance of all the building in terms of accessibility and covid 19 compliance to regulations. Customer satisfaction surveys needs to be conducted to establish areas of improvement in all Departmental service points and this was not done intensely due to lockdown restrictions.

## **Provincial Secretariat For Police Services**

The emergence of Covid 19 and implementation of Disaster Management Regulation; called for a review on the planned implementation of programmes due to restrictions. The conventional methods of implementation proved impossible and adjustments were made to ensure continuity in the delivery of services. The Review of the Strategic Plan and Annual Performance Plan called for the Programme to explore alternative methods of implementation and reaching out to communities and stakeholders, as part of adjusting to the new normal

Despite all the challenges brought about by the CO, the Programmes implemented its planned targets as required. Activities implemented included SAPS monitoring and Oversight Programmes, Research Projects, implementing crime prevention programmes in Municipalities and supporting community structures to participate in community policing and the fight against crime. Community structures like NPIs, CPFs and Community Patrollers were supported to ensure that their contribution efforts towards crime prevention are enhanced.

Awareness campaigns related to Gender Based Violence and Crime Prevention through Environmental Design activities to eliminate crime hotspots were also implemented.

## **Transport Operations**

This was the most difficult and challenging period for the transport sector as the financial year began with lockdown and no operations for learner transport or commuter transport were done for April and May. Schools re-opened in June for two Grades only, 7 and 12 and other Grades re-opened in August 2020. Commuter transport also began operations in May after preparing their workplaces and screening of employees. Further Covid 19 disrupted the programme in ensuring that Non-Motorised Transport is managed and bicycles are given to beneficiary schools on time.

The pandemic saw the Department of Education, South African Small Bus Operators Council (SANSBOC –NW) and the Department working closely together even though the threat of stopping operation was on the cards, several meetings were held with operators to resolve the issues that might affect the learner transport operations. A limited operation in learner transport began in June as few learners were attending school.

Commuter buses began with full operations in May except 1 operator who began later in the Month. The other operator began services in June after ensuring that they also prepare the workplace and screen employees first.

The distribution of bicycles donated by the Department of Transport could only be carried out during the third and fourth quarter of the financial year. Thus, learners who qualify to benefit from the programme had to wait until Covid-19 regulations were eased. The procurement of animal drawn carts had to be deferred for next financial year due to budget cuts for Covid-19.

Due to the merger and closure of some schools by the Department of Education, learners had to access schools in areas other than where they reside. This led to an increase in the demand for learner transport.

The current commuter service is based on route designs of 2003/4, many of the newly developed settlements in rural and urban transport require services to be extended to cater for communities in those areas. Due to decreasing budget allocation, it is difficult to extend the service.

### **Transport Regulations**

The Department operates in the environment that is predominantly rural. This department is responsible for motorized and non-motorized transport services. The main challenge is that, the transport resources are skewed in favour of the urban and peri-urban areas. Most of the rural areas lack resources such as proper roads, human capital and adequate road signage. Poor road infrastructure road infrastructure, often contributes to pedestrian and other forms of accidents. High volumes of traffic in urban areas, warrants for deployment of resources to address the ever increasing traffic volumes. However, within the limited resources, the department managed to achieve its targets as set in the annual performance plan. Predominantly

Covid 19 remains a serious challenge for the Department such as ours in different ways which makes the staff members vulnerable. To start with staff members are expected to travel throughout the Province on the other hand they serve the customers whose main preoccupation is travelling (e.g public transport operators, law enforcement officials). The place of work for many of the staff members such as taxi/bus ranks, public roads also make them vulnerable. Departmental customers expect government to provide PPEs to them which thing is not adequately budgeted for at all times.

### **2.2 Service Delivery Improvement Plan**

The department has completed a service delivery improvement plan. The tables below highlight the service delivery plan and the achievements to date.

#### *Main services and standards*

Main services	Beneficiaries	Current/actual standard of service	Desired standard of service	Actual achievement
Issuing of Learners and Driver's License identified in the approved SDIP 2018/21.	Needy communities	3270 Drivers licenses issued for 2019/20 & 5194 Learners Drivers Licenses issued for 2019/20	Desired standard for 2020/21 for Drivers Licenses is 5 500 & Learners Drivers Licenses 6 000	<b>3211</b> Drivers Licenses issued for 2020/21 & <b>4312</b> Learners Drivers issued (due to

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				COVID the service points were not operating).
Provision of Learner Transport to learners who resides in farms and deep rural areas travelling 5km to the nearest school.	Learners living in deep rural and farm areas (number of Learners provided with transport)	64 811 Learners transported for 2019/20 as per fourth quarter report.	Desired standard for 2020/21 is 57 500 learners to be provided with transport.	63 636 Learners transported as per Q3 report.

*Batho Pele arrangements with beneficiaries (Consultation access, etc.)*

Current/actual arrangements	Desired arrangements	Actual achievements
Due to National lockdown on the 26 <sup>th</sup> March 2020 and its regulations, no direct consultations with customers were done. For Q1, service points were non – operational. For Q2-4, dependency was on service points to request customers to complete customer satisfaction survey forms on day to day visits. Targeted service points is Provincial DLTCs and RAs (Mogwase, Madikwe, Ganyesa, Lehurutshe and Molopo) and Operator and License and Permit Offices (Mafikeng, Vryburg, Potchefstroom, Rustenburg and Brits). The target was to consult with 750 customers for 2020/21.	Desired to reach out 750 Customers for 2020/21	760 Customers completed Customer satisfaction survey forms from 4 service points out of 16.
Conduct Change Management workshops monthly with the aim of encouraging employees on service delivery improvement and Batho Pele principles.	To continue with workshops on Change Management and Batho Pele.	Due to COVID 19, no workshops were conducted for 2020/21. Consulted with 23 SDIP Forum members during the presentation of draft Annual SDIP report from the 01 <sup>st</sup> -02 <sup>nd</sup> December 2020, presented Final SDIP report through visual meeting on the 12 <sup>th</sup> January 2021 to 22 SMS members. Organised visual meeting with 4 SMS members and 10 SDIP Forum members for way forward concerning improvement on key activities identified for improvement on the 31 <sup>st</sup> March 2021.

*Service delivery information tool*

Current/actual information tools	Desired information tools	Actual achievements
<p>The Department is consulting with customers through quarterly unannounced visits and completion of Customer satisfaction survey forms.</p> <p>Finalised 5 Service charters for DLTCs, 5 for Operator License and Permit Office and 6 for Registering Authorities for information to customers on services provided at different service points.</p> <p>Submitted the departmental Service charter and standard policy on the 24<sup>th</sup> March 2021 for approval.</p>	<p>To ensure that all service points charters are finalised and mounted.</p>	<p>760 Customer satisfaction survey forms completed from 4 service points out of 16. 16 Service charters finalised and printing delayed due to budget shifted to COVID operations.</p>

*Complaints mechanism*

Current/actual complaints mechanism	Desired complaints mechanism	Actual achievements
<p>Customer satisfaction survey forms completed at the departmental RAs, DLTCs and Operator License and Permit Offices.</p> <p>Complaints also received through the Departmental Call Centre.</p>	<p>To finalise/mount suggestion boxes at different service points and have the policy in place in their management.</p> <p>To consolidate complaints from call centre, Helpdesk and completed Customer satisfaction survey forms.</p>	<p>760 Customer satisfaction survey forms completed.</p>

### **2.3 Organisational environment**

For the reporting period the Department beefed up the Provincial Regulatory Entity (PRE) by appointing additional two (2) members to increase the capacity of the structure to six members (6). This appointment will ensure that Department to adjudicate on received applications relating to operating licenses in line with the National Land Transport Act (NLTA).

The Department is still administration in terms of Section 100 (1) (B) of the Constitution and continue to receive guidance of the appointed Administrator. The position of Chief Director Corporate Services was vacated and processes to fill the posts has been finalized and awaiting approval. Post of Director, HRM was vacated 31/08/2019, and advertised within six months of becoming vacant (27/09/19) and the post was filled within twelve months (01/09/20). All these changes did not negatively affect Departmental operation as Chief Director Corporate Services was appointed on contract and an there was an appointment of the Acting Director HRM.

## 2.4 Key policy developments and legislative changes

None

## 3. ACHIEVEMENT OF INSTITUTIONAL IMPACTS AND OUTCOMES

An analysis towards the achievement of the institutional impacts and outcomes will be presented during the mid-term review period.

## 4. INSTITUTIONAL PROGRAMME PERFORMANCE INFORMATION

### 4.1 Programme 1: ADMINISTRATION

#### **Programme Purpose**

To provide the Department with the overall management and administrative support, in order to ensure that it delivers on its mandate in an integrated, efficient, effective and sustainable manner.

### SUB-PROGRAMMES RESPONSIBLE FOR PERFORMANCE DELIVERY

Sub-Programmes	Sub-programme purpose
<b>1.1 Office of the MEC</b>	Provide political leadership and direction to the Department
<b>1.2 Office of the HOD</b>	Provide strategic leadership and direction to the Department
<b>1.3 Financial Management</b>	To ensure the implementation of sound Financial Management, Accounting, Supply Chain Management, and internal control systems and processes for the department in compliance with relevant legislative requirements.
<b>1.4 Corporate Services</b>	To provide operational support in terms of Human Resource Management, Communications, Information Communication Technology and Records Management, facilitating strategic planning and management of programme performance and special programmes.
<b>1.5 Legal</b>	To support the department through provision of legal support to the departmental strategic objectives
<b>1.6 Security</b>	To provide security services in accordance with MISS guidelines and other related policy prescripts and to render security service to the department.

### **Outcomes that each programme contributes towards according to the Annual Performance Plan.**

- Society that works together in respecting and abiding by the law
- Improved access to transport systems that enable socio-economic participation

**Outcomes, outputs, output indicators, targets and actual achievements**

The Department acknowledges that Traffic has always been a male dominated environment in the past and as a way of correcting this imbalance, the number of female Youth appointed in the Road Traffic Management Program is always higher than that of males. This approach has resulted in appointment of fifty-five (55) females as Provincial Inspectors against forty two (42) males

**Table 2.4.4.1:**

*(To be used for a report against the originally tabled Annual Performance Plan until date of re-tabling (In the instance where a department has **re-tabled** an Annual Performance Plan in the financial year under review)*

Programme / Sub-programme:									
Outcome	Output	Output Indicator	Audited Actual Performance 2018/2019	Audited Actual Performance 2019/20	Planned Annual Target 2020/21	*Actual Achievement 2020/21 until date of re-tabling	Deviation from planned target to Actual Achievement 2020/21	Reasons for deviations	Reasons for revisions to the Outputs / Output indicators / Annual Targets
Society that works together in respecting and abiding by the law	Compliance to legislative prescriptions	Audit Outcome achieved	Qualified audit opinion	Qualified audit opinion	Unqualified audit opinion	None	None	N/A	N/A
2. Improved access to transport systems that enable socio-economic participation									

**Note: The indicator had no target during the first quarter (prior to re-tabling)**

**Table 2.4.4.2:**

*To be used for a report against the originally tabled Annual Performance Plan (In the instance where a department **did not re-table** the Annual Performance Plan in the financial year under review) OR Report against the re-tabled Annual Performance Plan*

Programme / Sub-programme:								
Outcome	Output	Output Indicator	Audited Actual Performance 2018/2019	Audited Actual Performance 2019/20	Planned Annual Target 2020/21	** Actual Achievement 2020/2021	Deviation from planned target to Actual Achievement 2020/2021	Reasons for deviations
Society that works together in respecting and abiding by the law	Compliance to legislative prescripts	Audit Outcome achieved	Qualified audit opinion	Qualified audit opinion	Unqualified audit opinion	Qualified audit opinion	Unqualified audit opinion (did not meet the targeted audit opinion)	Evidence that support payments made for scholar transport and commuter bus services provided was not sufficient to provide assurance that services were indeed rendered. Prepayment made not correctly recognised.
2. Improved access to transport systems that enable socio-economic participation								

**Strategy to overcome areas of under performance**

**Performance in relation to Standardised Outputs and Output Indicators for Sectors with Concurrent Functions**

- The Department did not have Standardised Outputs and Output Indicators

**Reporting on the Institutional Response to the COVID-19 Pandemic**

**Table: Progress on Institutional Response to the COVID-19 Pandemic**

Budget Programme	Intervention	Geographic location (Province/District/local municipality) (Where Possible)	No. of beneficiaries (Where Possible)	Disaggregation of Beneficiaries (Where Possible)	Total budget allocation per intervention (R'000)	Budget spent per intervention	Contribution to the Outputs in the APP (where applicable)	Immediate outcomes
Programme1	Advocacy & psychosocial support	Bojanala-Rustenburg	98	Employees	0	0	N/A	Compliance to health protocols, well-informed employees
Programme1	Advocacy & psychosocial support	Ruth Segomotsi Mopani-Ganyesa, Vryburg, Taung & Christiana	74	Employees	0	0	N/A	Compliance to health protocols, well-informed employees
Programme1	Trauma debriefing	Ruth Segomotsi Mopani-Vryburg & Ganyesa	27	Employees	R0	R0	N/A	Compliance to health protocols, well-informed employees
Programme1	Advocacy & psychosocial support	Dr Kenneth Kaunda-Wolmarandstad, Klerksdorp and Pochefstroom	51	Employees	0.00	0.00	N/A	Compliance to health protocols, well-informed employees
Programme1	Decontamination	Safety house, Lichtenburg station, Lichtenburg weighbridge, Operator License & permits, Transport Admin and Liensing					N/A	Compliance to health protocols
Programme1	Decontamination	Ngakamodiri-Lehurutshe					N/A	Compliance in terms of health protocols

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Program me1	Decontamination	Dr Kenneth Kaunda-Ventersdorp					N/A	Compliance to health protocols , well-informed employees
Program me1	Advocacy & psychosocial support	Ngakamodiri-Lehurutshe & Delareyville	70	Employees			N/A	Compliance to health protocols , well-informed employees
Program me1	Advocacy & psychosocial support	Dr Kenneth Kaunda-Ventersdorp	16	Employees			N/A	Compliance to health protocols , well-informed employees
Program me1	decontamination	Bojanala-Rustenburg					N/A	Compliance to health protocols , well-informed employees
Program me1	Appointment of 40 EPWP Contract workers	Head Office-Tirelo building	20	Employees	R120,000.00	R120,000.00	N/A	Compliance to health protocols , well-informed employees
Program me1	Decontamination(Ma lebelela Trading Enterprise)	Head Office-Tirelo building	Office Building	All offices	R337,203.00	R337,203.00	N/A	Compliance to health protocols - deep cleaning
Program me1	Procurement-350 Bath Soap (Ulinzi)	province	All employees		R101,500.00	R101,500.00	N/A	Compliance in terms of health protocols
Program me1	Procurement 5ltr & 16ltr Nepsack (AZARRO)	All Districts	All employees		R83,500.00	R83,500.00	N/A	Compliance to health protocols , well-informed employees
	Procurement 200	province	All		R60,900.	R60,900.	N/A	Compliance

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	Bathsoap (Dixowork)		employees		00	00		ce to health protocols , well-informed employees
Program me1	Procurement 60 Rubber Rolls (WA GA KGOLANE) awaiting delivery	Province	All Districts	All Districts	R92,400.00	R92,400.00	N/A	Compliance to health protocols , well-informed employees
Program me1	Procurement cleaning Chemicals (ULINZI) awaiting delivery	NGAKA MODIRI	All districts	All districts	R255 040.50	R255 040.50	N/A	Compliance in terms of health protocols , clean working environment as per Covid19 health protocols

**Linking performance with budgets**

**Sub-programme expenditure**

The programme spent 96% of its allocated with a 4% under spending, as compared to the 2019/20 financial year there is a 2 % improvement in the spending patterns of the programme. The programme has vacancies that could be filled in the 2020/21 however the process is underway. The programme managed to achieve all planned targets.

	2019/20			2020/21		
	Final	Actual	(Over)/Under Expenditure	Final	Actual	(Over)/Under Expenditure
	Appropriation	Expenditure		Appropriation	Expenditure	
ECONOMIC CLASSIFICATION	R' 000	R' 000	R' 000	R' 000	R' 000	R' 000
Current payments	205 958	206 819	- 861	158 918	155 572	3 347
Transfers and subsidies	803	699	104	627	627	-
Payments for capital assets	1 889	1 128	761	1 881	1 813	68
Payment for financial assets	-	-	-	373	372	1
<b>Total</b>	<b>208 650</b>	<b>208 646</b>	<b>4</b>	<b>161 800</b>	<b>158 384</b>	<b>3 416</b>

The sub-programme spent 97,9% of its allocated budget as compared to the 2019/20 financial year. There is a 2,10 % decline in the spending patterns of the programme. The cost drivers under this unit are the Audit fees, operating leases and Administrative fees

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CORPORATE SERVICES	2019/20			2020/21		
	Final	Actual	(Over)/Under	Final	Actual	(Over)/Under
	Appropriation	Expenditure	Expenditure	Appropriation	Expenditure	Expenditure
ECONOMIC CLASSIFICATION	R' 000	R' 000	R' 000	R' 000	R' 000	R' 000
Current payments	77 753	77 752	6 953	79 202	72 249	6 953
Transfers and subsidies	2 715	2 715	66	1 956	1 890	66
Payments for capital assets	1 671	1 669	-	-	-	-
<b>Total</b>	<b>82 139</b>	<b>82 136</b>	<b>7 019</b>	<b>81 158</b>	<b>74 139</b>	<b>7 019</b>

The sub-programme spent 91,4% of its allocated budget for 2020/21 and has declined 8,6% as compared to the previous financial year. All activities were implemented as planned

LEGAL	2019/20			2020/21		
	Final	Actual	(Over)/Under	Final	Actual	(Over)/Under
	Appropriation	Expenditure	Expenditure	Appropriation	Expenditure	Expenditure
ECONOMIC CLASSIFICATION	R' 000	R' 000	R' 000	R' 000	R' 000	R' 000
Current payments	10 148	10 147	1	7 753	7 171	581
<b>Total</b>	<b>10 148</b>	<b>10 147</b>	<b>1</b>	<b>7 753</b>	<b>7 171</b>	<b>581</b>

For 2020/21, the spending has declined by 7% as compared with 2010/20. The major cost driver is budget allocation for legal fees.

SECURITY	2019/20			2020/21		
	Final	Actual	(Over)/Under	Final	Actual	(Over)/Under
	Appropriation	Expenditure	Expenditure	Appropriation	Expenditure	Expenditure
ECONOMIC CLASSIFICATION	R' 000	R' 000	R' 000	R' 000	R' 000	R' 000
Current payments	10 148	10 147	1	7 752	7 171	581
<b>Total</b>	<b>10 148</b>	<b>10 147</b>	<b>1</b>	<b>7 752</b>	<b>7 171</b>	<b>581</b>

The expenditure for 2020/21 is 93% as compared to 100% of the previous financial year.

#### 4.2 Programme 2: PROVINCIAL SECRETARIAT FOR POLICE SERVICE

**Purpose of Programme:**

To exercise oversight function with regard to South African Police Service in the Province, coordinate crime prevention initiatives and promote community police relations.

#### SUB-PROGRAMMES RESPONSIBLE FOR PERFORMANCE DELIVERY

Sub-Programme	Sub-programme purpose
<b>Policy and research</b>	To conduct research that informs decision making on policing
<b>Monitoring and Evaluation</b>	To ensure SAPS provision of service is in line with statutory requirements
<b>Safety Promotion</b>	To ensure community participation in the fight against crime

#### Outcomes that each programme contributes towards according to the Annual Performance Plan.

- Society that works together in respecting and abiding by the law

#### **Outcomes, outputs, output indicators, targets and actual achievements**

The emergence of Covid 19 called for a review on the planned implementation of programmes due to restrictions. The conventional methods of implementation proved impossible and adjustments were made to ensure continuity in the delivery of services. Despite all the challenges, the programme was able to implement its planned targets as required. The Programme implemented activities related to SAPS monitoring and oversight, conducting research, implementing crime prevention programmes in Municipalities and supporting community structures to participate in community policing

#### **Policy and Research**

For the period under review, the Policy and Research Unit achieved its planned activities. The activities included amongst others: data collection, analysis and report writing. Two Research Projects were successfully conducted; Functionality of the Detective Services in the North West and concluded stage 1 of Analysis of Murder case Docket.

#### **Monitoring and Oversight**

For the period under review, the Directorate Monitoring and Oversight concentrated on assessing SAPS compliance to implementing COVID-19 at the workplace and to community Members. These included assessing SAPS compliance to implementation of Domestic Violence Act and Frontline service delivery in a form of announced and unannounced visits to all the eighty-three Police Stations in the Province.

A follow up process was carried out on the Gender Based Violence cases which were identified during the Court Watching Brief Pilot Project. Concentration was placed on cases withdrawn at court due to various reasons; including but not limited to cases not at court.

Engagement Sessions were held with IPID and the SAPS to monitor implementation of recommendations by the SAPS. Due to Covid the review in nature of engagement, the department had to include hybrid sessions as it proved to be difficult to have physical engagement sessions.

The Programme assessed Infrastructural Challenges at various Police Stations, dilapidated as well as built but not utilised infrastructure reported to be vandalised. The programme led by the Member of the Executive Council began with the visit to Sun City Police Station including the following Police stations: Dwarsberg, Moeka Vuma and Mabeskraal.

The Directorate further participated in the Departmental Festive Period Operational Plan. Its main focus was on prioritized Police Stations contributing to high crime rate across the province. The operations included support and monitoring SAPS during Joint Operations, Monitor SAPS readiness in implementing the National Instructions (Festive Seasons Plan, Domestic Violence Act Compliance and Ports of Entry Monitoring).

### **Safety Promotion**

The Sub Programme implemented its reviewed activities under the difficult conditions of the prevailing Covid 19 environment. Despite the inability to mobilise communities and stakeholders as required, programmes were minimally implemented without compromising the quality of services rendered to communities

The two programmes implemented were as follows:

a) Crime Prevention through Environmental Design (CPTED)

The Department partnered with key role players identified crime hotspots across the districts to ensure that criminal opportunities are eliminated. Due to restrictions, the number of volunteers participating was drastically reduced and there were challenges in some Municipalities to provide machinery. The objective was however achieved.

A total of 7 Municipalities were reached and 802 volunteers participated. Areas targeted included Brits, Alabama, Jouberton –Extension 23, Lichtenburg, Vryburg, Ipelegeng, Mosiane View, Mahikeng, Mosweu village, Lehurutshe, Wilbedacht- Lehurutshe, Lichtenburg-Blydeville&, Phola, Madikwe, Klersksdorp and Hartbeesfontein. Activities included debushing, erection of crime boards and house numbering.

b) Prevention of Gender Based Violence against Vulnerable Groups

For the period under review, the activities related to the Prevention of Gender Based Violence were implemented as follows in a form of school presentations, dialogues, engagements, roadblocks and workshops:

- Ledig, Wonderkop, Botshabelo- Coligny, Promosa, Brits, Wolmaranstad, Scotland- Coligny, Mmakau, Amalia, Cyferskuil, Madikwe, Tshaneng Village-Ganyesa, Mareetsane, Setlagole, Boitekong and Ikageng. These were implemented in partnership with all relevant stakeholders and funded NPIs
- The Programme participated in the 5 multi-purpose roadblocks covering a total of 3 districts – Potchefstroom, Taung, Rustenburg, Moretele and Brits respectively. In all the roadblocks, awareness and educational material in each activity was distributed including but not limited to Pamphlets on Trafficking in persons, Know Your Neighbor and Anti Property Theft. String bags and Key holders with messages of Gender Based Violence were also distributed, with a request to motorists to contribute in the fight against GBV.
- As part of the new normal and ensuring services to communities is not compromised as a result of covid-19 restrictions, electronic and print media were utilised to communicate and share information with a wider audience of our communities. The following media campaign programmes were carried out as targeted and planned:
  - Radio interviews conducted in two localities – Vryburg and Boitekong Local Community Radio Stations as part of electronic media approach  
Continuing with electronic Media, Radio Promos on GVB were done in 8 local radio stations reaching a wider audience in each of the four (4) districts during February & March 2021 namely Mafikeng FM, Modiri FM, Moretele FM, Star FM, Aganang FM, Vaaltaar FM, Radio Mafisa&Bodumedi FM.  
Print Media approach was carried out whereby a campaign in the form of newspaper adverts on GBV were conducted during January & February 2021 reaching a total of 7 local newspapers in the four (4) districts in the North West: namely Mahikeng Mail, Stellalander, Noordwespos, Rustenburg Herald, Potch Herald, Brits Pos& Klerksdorp Record

### **Community Police Relations**

In line with achieving its mandate on community mobilization and strengthening partnerships, the Programme provided support to community structures such as CPFs, NPIs and Community Safety Patrollers. This covered the provision of financial support for implementation of crime prevention programmes and appointment of patrollers to support the police in enhancing visible policing.

The Programme funded **23 NPIs which comprised of 07 NPOs and 16 CPFs** to implement social crime prevention programmes in stations contributing to high crime.

The consultations with key role players continued with regard to appointment of patrollers and was finalised in the four Districts. Community safety patrollers were appointed during the period under review in the following areas:

- Mahikeng: 25
- Lomanyaneng: 25
- Mmabatho: 25
- Ipelegeng (schweizer-reneke): 57
- Vryburg: 39
- Khuma: 36
- Ikageng: 61
- Boitekong: 66
- Biesiesvlei-30
- Tlhabane:40

The appointment led to a cumulative number of **528 patrollers** appointed as at end of the reporting period and the programme continued to monitor and support patrollers in the 4 districts, ensuring compliance to the policy framework

**Table 2.4.4.1:**

*(To be used for a report against the originally tabled Annual Performance Plan until date of re-tabling (In the instance where a department has **re-tabled** an Annual Performance Plan in the financial year under review)*

Programme / Sub-programme:									
Outcome	Output	Output Indicator	Audited Actual Performance 2018/2019	Audited Actual Performance 2019/20	Planned Annual Target 2020/21	*Actual Achievement 2020/21 until date of re-tabling	Deviation from planned target to Actual Achievement 2020/21	Reasons for deviations	Reasons for revisions to the Outputs / Output indicators / Annual Targets
Society that works together in respecting and abiding by the law	Mobilised communities to promote safety	Number of municipalities implementing Crime prevention programmes	18	18	22	0	22	Municipalities were not able to lead the implementation of crime prevention programmes due to	The programme was characterised by community engagements, dialogues, awareness campaigns, in

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Programme / Sub-programme:									
Outcome	Output	Output Indicator	Audited Actual Performance 2018/2019	Audited Actual Performance 2019/2020	Planned Annual Target 2020/21	*Actual Achievement 2020/21 until date of re-tableting	Deviation from planned target to Actual Achievement 2020/21	Reasons for deviations	Reasons for revisions to the Outputs / Output indicators / Annual Targets
								the nature of coordination required and due to impact of Covid-19 regulations; however, the promotion of safety was implemented based in line with the reviewed Output Indicator description.	Municipalities. As a result of Covid 19 restrictions, gatherings were prohibited and this called for a review of implementation methods to ensure that service delivery continues without compromising quality
		Number of community structures Mobilised to participate in community policing	N/A	N/A	4	0	4	Due to Covid-19 restrictions, no mobilisation could be undertaken however communities were supported to participate in line with the reviewed Output indicator.	The safety volunteers were not permitted to operate during the peak of Covid 19 and hard lockdown. Therefore, the target was reviewed to reduce the number of structures to be supported to ensure that the risk of infections is

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Programme / Sub-programme:									
Outcome	Output	Output Indicator	Audited Actual Performance 2018/2019	Audited Actual Performance 2019/20	Planned Annual Target 2020/21	*Actual Achievement 2020/21 until date of re-tableing	Deviation from planned target to Actual Achievement 2020/21	Reasons for deviations	Reasons for revisions to the Outputs / Output indicators / Annual Targets
									minimised
	Improved SAPS service to communities	Percentage of recommendations implemented by SAPS	N/A	N/A	20%	0	N/A	None	The indicator in the APP initially tabled in March 2020 read as "Percentage of recommendations implemented by SAPS" was then split into three key areas which the unit monitors as it would have been difficult to provide POE for the indicator when it covered all recommendations in one indicator. The unit monitors and provides recommendations for the following areas of SAPS operations which are : Police Stations, Service delivery complaints

Programme / Sub-programme:									
Outcome	Output	Output Indicator	Audited Actual Performance 2018/2019	Audited Actual Performance 2019/20	Planned Annual Target 2020/21	*Actual Achievement 2020/21 until date of re-tabling	Deviation from planned target to Actual Achievement 2020/21	Reasons for deviations	Reasons for revisions to the Outputs / Output indicators / Annual Targets
									and IPID. Hence development of the 3 new indicators when we re-tabled.

Note: The indicators "Percentage of recommendations implemented by SAPS" and "Number of research reports conducted" were not targeted for during the first quarter (prior to re-tabling)

**Table 2.4.4.2:**

Programme / Sub-programme:								
Outcome	Output	Output Indicator	Audited Actual Performance 2018/2019	Audited Actual Performance 2019/20	Planned Annual Target 2020/21	**Actual Achievement 2020/2021	Deviation from planned target to Actual Achievement 2020/2021	Reasons for deviations
Society that works together in respecting and abiding by the law	Mobilised communities to promote safety	Number of Crime prevention programmes implemented in municipalities	N/A	N/A	2	2	0	None
		Number of community structures supported to participate in community policing	N/A	N/A	3	3	0	None
	Improved SAPS service to communities	Number of police stations monitored for compliance to regulations	N/A	N/A	83	83	0	None

Programme / Sub-programme:								
Outcome	Output	Output Indicator	Audited Actual Performance 2018/2019	Audited Actual Performance 2019/20	Planned Annual Target 2020/21	**Actual Achievement 2020/2021	Deviation from planned target to Actual Achievement 2020/2021	Reasons for deviations
		Percentage of new service delivery complaints against the SAPS resolved	N/A	N/A	60%	65.3%	5.3%	Exceeded percentage achievement is expected as it demonstrates commitment to resolving complaints as part of service delivery to communities
		Number of engagement sessions held with IPID and the SAPS to monitor Implementation of Recommendations	N/A	N/A	9	7	-2	Engagement sessions were not undertaken as defined due to strict lockdown.
	Evidence based decision making on policing matters	Number of Research projects conducted	2	2	2	2	None	None

Note: For indicator Number of police stations monitored for compliance to regulations Lehurutshe, Bedwang, Ikageng And Ganyesa police stations were monitored twice during the financial year

**Strategy to overcome areas of under performance**

The programme continues to monitor implementation of IPID recommendations by the SAPS. Even though the process could not be undertaken, the programme received information which was

shared between the two institutions to confirm compliance, the programme will enhance monitoring thereof and also continue engaging through virtual meetings and telephonically.

**Performance in relation to Standardised Outputs and Output Indicators for Sectors with Concurrent Functions**

The department does not have standardised Outputs and Output Indicators

**Reporting on the Institutional Response to the COVID-19 Pandemic**

**Table: Progress on Institutional Response to the COVID-19 Pandemic**

Budget Programme	Intervention	Geographic location (Province/ District/ local municipality) (Where Possible)	No. of beneficiaries (Where Possible)	Disaggregation of Beneficiaries (Where Possible)	Total budget allocation per intervention (R'000)	Budget spent per intervention	Contribution to the Outputs in the APP (where applicable)	Immediate outcomes
Programme 2	Appointment of Community Patrollers	All 4 Districts	526	Women, Men, Youth and PWD	2 611	2 568	Community structures supported in community policing	Safety of communities

**Linking performance with budgets**

**Sub-programme expenditure**

Provincial Secretariat for Police Services	Final Appropriation	Actual Expenditure	Over/Under Expenditure	Final Appropriation	Actual Expenditure	Over/Under Expenditure
<b>R thousand</b>		<b>2019/20</b>		<b>2020/21</b>		
Programme Support	2 478	2 437	41	2 202	2 099	103
Policy and Research	1 403	1 374	29	1 521	1 172	349
Monitoring and Evaluation	15 848	15 834	14	14 571	14 474	97
Safety Promotion	4 627	4 627	-	4 411	4 234	177
Community Police Relation	18 310	18 293	17	14 906	14 838	68
<b>Total payments and estimates</b>	<b>42 666</b>	<b>42 565</b>	<b>101</b>	<b>37 611</b>	<b>36 817</b>	<b>794</b>

There is 98% spending, with a 2% under spending, there is an improvement on the spending with 12% on the spending patterns of the programme. The programme has managed to achieve all its planned targets, under spending was due to the restrictions on covid-19 relating to events and the safety programmes planned activities were implemented at the minimal as compared to the norm. Conditional grant (EPWP) allocated has 100% spending and funding of the NPI'S was also at 100%

PROGRAMME SUPPORT	2019/20			2020/21		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R' 000	R' 000	R' 000	R' 000	R' 000	R' 000
Current payments	2 299	2 299	-	2 202	2 099	103
Transfers and subsidies	179	138	41	-	-	-
<b>TOTAL</b>	<b>2 478</b>	<b>2 437</b>	<b>41</b>	<b>2 202</b>	<b>2 099</b>	<b>103</b>

The programme has spent 95% of the budget for 2020/21 which a slight decline as compared to 2019/20

POLICY AND RESEARCH	2019/20			2020/21		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R' 000	R' 000	R' 000	R' 000	R' 000	R' 000
Current payments	1 403	1 374	29	1 521	1 172	349
<b>TOTAL</b>	<b>1 403</b>	<b>1 374</b>	<b>29</b>	<b>1 521</b>	<b>1 172</b>	<b>349</b>

98% of the budget was spent in 2019/20, expenditure trend decreased by 21% in 2020/21 financial year

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MONITORING AND EVALUATION	2019/20			2020/21		
	Final	Actual	(Over)/Under	Final	Actual	(Over)/Under
	Appropriation	Expenditure	Expenditure	Appropriation	Expenditure	Expenditure
ECONOMIC CLASSIFICATION	R' 000	R' 000	R' 000	R' 000	R' 000	R' 000
Current payments	15 848	15 834	14	14 448	14 351	97
Transfers and subsidies	-	-	-	123	123	-
<b>TOTAL</b>	<b>15 848</b>	<b>15 834</b>	<b>14</b>	<b>14 571</b>	<b>14 474</b>	<b>97</b>

99% of the budget has been registered during 2020/21 which is a slight decrease in 1% from the previous financial year.

COMMUNITY POLICE RELATION	2019/20			2020/21		
	Final	Actual	(Over)/Under	Final	Actual	(Over)/Under
	Appropriation	Expenditure	Expenditure	Appropriation	Expenditure	Expenditure
ECONOMIC CLASSIFICATION	R' 000	R' 000	R' 000	R' 000	R' 000	R' 000
Current payments	4 627	4 627	-	4 411	4 234	177
<b>Total</b>	<b>4 627</b>	<b>4 627</b>	<b>-</b>	<b>4 411</b>	<b>4 234</b>	<b>177</b>

96% of the budget was spent during 2020/21 financial year. The sub-programme decreased by 4% as compared to previous year.

SAFETY PROMOTION	2019/20			2020/21		
	Final	Actual	(Over)/Under	Final	Actual	(Over)/Under
	Appropriation	Expenditure	Expenditure	Appropriation	Expenditure	Expenditure
ECONOMIC CLASSIFICATION	R' 000	R' 000	R' 000	R' 000	R' 000	R' 000
Current payments	17 214	17 213	1	13 756	13 703	53
Transfers and subsidies	1 096	1 080	16	1 150	1 135	15
<b>TOTAL</b>	<b>18 310</b>	<b>18 293</b>	<b>17</b>	<b>14 906</b>	<b>14 838</b>	<b>68</b>

The sub-programme has spent 100% of the allocated budget and the performance is the same as the previous financial year.

### 4.3 Programme 3: Transport Operations

**Purpose of Programme:**

To plan and facilitate the provision of integrated transport services through co-ordination and co-corporation with national planning authorities, other departments, academic institutions and the private sector in order to enhance implementation of transport safety Initiatives, accessibility and mobility of all communities

#### SUB-PROGRAMMES RESPONSIBLE FOR PERFORMANCE DELIVERY

Sub-Programme	Sub-programme purpose
<b>Public Transport Services</b>	The management of subsidised public transport contracts to provide mobility to commuters.
<b>Transport Safety and Compliance</b>	The provision of road safety education and awareness to the public including expenditure related to the communication and media releases, equipment and material as well as the liaison and coordination of provincial safety and compliance initiatives
<b>Transport Planning and Policy Development</b>	Provides planning for all modes of transport including non-motorised transport, the movement of goods and passengers to integrate transport and spatial planning  Provides for the planning of integrated modal transport systems and coordination towards the formulation of provincial transport policies and statutory plans.
<b>Infrastructure Operations</b>	To manage transport terminals such as inter modal terminals, passenger and freight terminals.

#### Outcomes that each programme contributes towards according to the Annual Performance Plan.

- Improved access to transport systems that enable socio-economic participation

#### **Outcomes, outputs, output indicators, targets and actual achievements**

The Directorate managed to reach the target as per planned activities during this period under review. One Hundred and Sixty-Eight (168) road safety awareness activities including back to school campaigns were conducted during the period under review. These activities were conducted during the October Transport month, Festive Season and Easter Holidays periods and in line with the 365 days plan.

The Directorate also conducted road safety awareness to educate communities and raise awareness on pedestrian safety, driver and passenger safety, cyclists and stray animal activities. Road Safety officers reached out to all categories of road users during their visits to taxi ranks, shopping malls,

one on one interaction at pedestrian hotspots and participation at multi- purpose and inter Provincial roadblocks.

Stakeholders involved during the implementation of these activities were, the South African Police Services, Local Municipalities, Department of Basic Education, Traffic authorities (Provincial and Local), Business sector and religious formations.

The Directorate further participated in all the activities planned for the National launch of the 2021 Road Safety Easter Campaign which was hosted by the National Department of Transport and the Road Traffic Management Corporation on the 29th March 2021 on the N4, Bapong Weighbridge

During this period under review 129 Road Safety Rangers were appointed in all four Districts and their function is to assist the Department in its effort to administer stray animal on the road and to further reduce road crashes in the Province.

The re-opening of schools on the 02 November 2020 saw learner transport operations resuming as normal. Several meetings were held with Department of Education to resolve the issues that might affect operations.

A total of 1400 bicycles were distributed in Ngaka Modiri Molema and Bojanala Platinum district municipalities to benefit learners walking between two (2) and five (5) kilometres. The National Department of Transport donated additional 155 bicycles.

**Table 2.4.4.1:**

*(To be used for a report against the originally tabled Annual Performance Plan until date of re-  
tabling (In the instance where a department has **re-tabled** an Annual Performance Plan in the  
financial year under review)*

Programme / Sub-programme:									
Outcome	Output	Output Indicator	Audited Actual Performance 2018/2019	Audited Actual Performance 2019/20	Planned Annual Target 2020/21	*Actual Achievement 2020/21 until date of re- tabling	Deviation from planned target to Actual Achievement 2020/21	Reasons for deviations	Reasons for revisions to the Outputs / Output indicators / Annual Targets
Improved access to transport systems that enable socio-	Improved mobility through transport services	Number of NMT beneficiaries in Villages	N/A	N/A	1220 beneficiaries	0	-1220	N/A	The target was revised on the basis that bicycles are a

Programme / Sub-programme:									
Outcome	Output	Output Indicator	Audited Actual Performance 2018/2019	Audited Actual Performance 2019/20	Planned Annual Target 2020/21	*Actual Achievement 2020/21 until date of re-tabling	Deviation from planned target to Actual Achievement 2020/21	Reasons for deviations	Reasons for revisions to the Outputs / Output indicators / Annual Targets
economic participation									donation from DoT and were not budgeted for by the Department.
Society that works together in respecting and abiding by the law	Mobilised communities to promote safety	Number of road safety interventions implemented	4	4	3	0	3	Presidential Declaration of the National Disaster (Covid 19) which resulted in National Lockdown and closing of schools	Suspension of road safety education school programme due to closure & rotation attendance of learners to school as a result of Covid 19 which resulted in denied access to schools by the dept of basic education.

*Note: the indicator "Number of NMT beneficiaries in Villages" was not targeted for during the first quarter (Prior to re-tabling)*

**Table 2.4.4.2:**

Programme / Sub-programme:								
Outcome	Output	Output Indicator	Audited Actual Performance 2018/2019	Audited Actual Performance 2019/20	Planned Annual Target 2020/21	**Actual Achievement 2020/2021	Deviation from planned target to Actual Achievement 2020/2021	Reasons for deviations
Improved access to transport systems that enable socio-economic participation	Improved mobility through transport services	Number of commuter routes subsidised	784	784	784	787	3	The Department under reported during the previous years, as a result of trips which appeared to be duplication, but were instead forward trips and were supposed to be counted separately
		Number of schools subsidized with learner transport	N/A	N/A	400	295	105	A list of schools submitted by department of education was not verified due to strict Covid-19 regulation.
		Number of NMT beneficiaries in Villages	N/A	N/A	10 beneficiaries	0	10	The budget was diverted to Covid relief funds

Society that works together in respecting and abiding by the law	Mobilised communities to promote safety	Number of road safety interventions implemented	4	4	2	2	0	None
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**Strategy to overcome areas of under performance**

More resources will be provided to enable the department to develop route designs to determine learner transport needs. There is still a need to assist learners in rural and farm areas with transport but this requires a thorough verification to determine the actual kilometres to be travelled and number of learners who qualify in terms of the policy.

**Performance in relation to Standardised Outputs and Output Indicators for Sectors with Concurrent Functions**

The department does not have Standardised Outputs and Output Indicators.

**Reporting on the Institutional Response to the COVID-19 Pandemic**

Distribution of sanitizers and disinfectants to learner transport operators was done for 358 buses which began the operation when schools re-opened in June. A consignment of PPE's was also further supplied by DoT for small bus operators. This was again used to supply the small bus operators with sanitisers, disinfectants and face shields when more buses returned to operations. All operators 195 at the time and 681 buses were supplied with the PPE's. Small bus operators will again be issued with face shield for their drivers.

Currently the Department has appointed 63 monitors to assist in ensuring compliance in buses ferrying learners and others will be appointed during the next financial year.

Dot, further instructed all Provincial Departments to reprioritise 5% of the PTOG Budget for procurement of PPE's for public transport operators. This was done to assist all operators with PPE's but due to demand subsidised operators were prioritised. Some of the knapsacks will be issued to the taxi industry for disinfecting their taxis and ranking facilities

**Table: Progress on Institutional Response to the COVID-19 Pandemic**

Budget Programme	Intervention	Geographic location (Province/District/local municipality) (Where Possible)	No. of beneficiaries (Where Possible)	Disaggregation of Beneficiaries (Where Possible)	Total budget allocation per intervention (R'000)	Budget spent per intervention	Contribution to the Outputs in the APP (where applicable)	Immediate outcomes
Public Transport	Supply Small Bus operators with disinfectants, face shields and sanitizers	Province	103 operators have received sanitizers, disinfectant and face shields while 10 operators received only face shields but did not receive sanitizers and disinfectants.	0	N/A	N/A	N/A	Sanitisers for learners and disinfected buses

Public Transport	Supply commuter bus operators with disinfectants, face shields and sanitizers	Ngaka Modiri Molema, Bojanala Platinum and Dr Ruth SegomotsiMopati district municipalities	4	0	R 6, 221, 000	R 641 850	N/A	Sanitisers for commuters and disinfected buses
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**Linking performance with budgets**

**Sub-programme expenditure**

Transport Operations	Final Appropriation	Actual Expenditure	Over/Under Expenditure	Final Appropriation	Actual Expenditure	Over/Under Expenditure
<b>R thousand</b>		<b>2019/20</b>			<b>2020/21</b>	
Programme Support Operations	2 070	2 012	58	2 388	2 121	267
Public Transport Services	1 188 177	1 184 529	3 648	1 043 095	1 038 467	4 628
Transport Safety and Compliance	29 338	29 337	1	28 479	25 288	3 191
Transport Planning and Policy Development	15 999	15 938	61	14 045	13 369	676
Infrastructure Operations	116 231	114 352	1 879	56 440	42 608	13 832
<b>Total payments and estimates</b>	<b>1 351 815</b>	<b>1 346 168</b>	<b>5 647</b>	<b>1 144 447</b>	<b>1 121 853</b>	<b>22 594</b>

Spending for the year under review is 98% as compared to the previous year which was 99.58%. This has a 1,58% decline in the spending. This under spending is due to the infrastructure projects (Pilanesburg airport Renovations), the programme could not finalise the procurement of porta cabins that will be used as temporary accommodation during implementation of phase 02. A roll over request will be made to Provincial treasury. The programme managed to achieve on the planned targets, PTOG grant spend 99.96% of its allocated budget.

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PROGRAMME SUPPORT	2019/20			2020/21		
	Final	Actual	(Over) /Under Expenditure	Final	Actual	(Over) /Under Expenditure
	Appropriation	Expenditure		Appropriation	Expenditure	
ECONOMIC CLASSIFICATION	R' 000	R' 000	R' 000	R' 000	R' 000	R' 000
Current payments	2 070	2 012	58	2 388	2 122	266
<b>Total</b>	<b>2 070</b>	<b>2 012</b>	<b>58</b>	<b>2 388</b>	<b>2 122</b>	<b>266</b>

PUBLIC TRANSPORT SERVICES	2019/20			2020/21		
	Final	Actual	(Over) /Under Expenditure	Final	Actual	(Over) /Under Expenditure
	Appropriation	Expenditure		Appropriation	Expenditure	
ECONOMIC CLASSIFICATION	R' 000	R' 000	R' 000	R' 000	R' 000	R' 000
Current payments	573 174	569 526	3 648	350 548	346 074	4 474
Transfers and subsidies	615 003	615 003	-	692 547	692 393	154
<b>Total</b>	<b>1 188 177</b>	<b>1 184 529</b>	<b>3 648</b>	<b>1 043 095</b>	<b>1 038 467</b>	<b>4 628</b>

The sub-programme has spent 100% of the budget and the trend is still the same as the 209/20 financial year. The budget was projected for the implementation of the programme plans

TRANSPORT PLANNING AND POLICY DEVELOPMENT	2019/20			2020/21		
	Final	Actual	(Over) /Under Expenditure	Final	Actual	(Over) /Under Expenditure
	Appropriation	Expenditure		Appropriation	Expenditure	
ECONOMIC CLASSIFICATION	R' 000	R' 000	R' 000	R' 000	R' 000	R' 000
Current payments	15 940	15 938	2	13 979	13 346	633
Transfers and subsidies	59	-	59	66	23	43
<b>Total</b>	<b>15 999</b>	<b>15 938</b>	<b>61</b>	<b>14 045</b>	<b>13 369</b>	<b>676</b>

The sub-programme has spent 100% of the budget during 2019/20 and has declined by 5% in the year under review.

INFRASTRUCTURE OPERATIONS	2019/20			2020/21		
	Final	Actual	(Over) /Under Expenditure	Final	Actual	(Over) /Under Expenditure
	Appropriation	Expenditure		Appropriation	Expenditure	
ECONOMIC CLASSIFICATION	R' 000	R' 000	R' 000	R' 000	R' 000	R' 000
Current payments	38 396	38 345	51	39 063	33 507	5 556
Transfers and subsidies	70 635	70 627	8	185	185	-
Payments for capital assets	7 200	5 380	1 820	17 192	8 916	8 276
<b>Total</b>	<b>116 231</b>	<b>114 352</b>	<b>1 879</b>	<b>56 440</b>	<b>42 608</b>	<b>13 832</b>

There is a 23% declination in terms of expenditure performance for previous financial year which was at 98%. The overall performance was affected by underspending on Building and other fixed structures

#### 4.4 Programme 4: Transport Regulations

**Purpose of Programme:**

To ensure the provision of a safe transport environment through the regulation of public transport operations, road traffic law enforcement and the registration and licensing of vehicles and drivers.

#### SUB-PROGRAMMES RESPONSIBLE FOR PERFORMANCE DELIVERY

Sub-Programme	Sub-programme Purpose
<b>Transport Administration and Licensing</b>	To monitor and control the registration and licensing of all motor vehicles and to render services regarding the administration of applications in terms of the National Road Traffic Act, (Act 93 of 1996). Implementation of laws and regulation relating to vehicle registration and licensing, vehicle fitness testing and driver fitness testing.
<b>Operator License and Permits</b>	The management, approval and control of registering of transport operators and the issuing of all licences and permits required in terms of National Land Transport Act, no 05 of 2009.
<b>Law Enforcement</b>	To maintain law and order for all modes of transport by providing quality traffic policing (law enforcement) services as stipulated by relevant legislation. This also includes overloading control along the road network. The management, approval and control of registering of transport operators and the issuing of all licences and permits required in terms of legislation.

#### Outcomes that each programme contributes towards according to the Annual Performance Plan.

- Society that works together in respecting and abiding by the law

#### Outcomes, outputs, output indicators, targets and actual achievements

##### Transport Administration and Licensing

The declaration of the national state of disaster resulted in all licensing services centers to be closed from the 26<sup>th</sup> March to 31<sup>st</sup> May 2020 and re-opened during June 2020 but with only a few complying to the re-opening directives. As a result, two of the registering authorities could not re-open for the public as at the end of the reporting period.

The sub programme therefore conducted compliance assurance inspections/audits at the fifty-four (54) registering authorities across the province.

Conscious of the ongoing challenges facing the Province to source additional budgetary funding, the sub-programme implemented some incentive package deals during March 2021 for the motorists and vehicle owners with outstanding motor vehicles licence tax, this in the effort to

maximise revenue collection. However, the full benefits thereof will be realised during the first quarter of the 2021/2022 budget year.

### **Law Enforcement**

It is worth mentioning that the financial year 2020-2021 was a very difficult year for the sector due to the outbreak of the COVID 19 Pandemic. This was brought about by the fact that traffic officers had to risk their health/ lives on daily basis to physically interact with motorist in the interest of service delivery. The physical interaction could not be avoided as it is the nature of their Job Description to stop and check vehicles throughout our Provincial roads in pursuit of Departmental Outcome of reducing fatalities on our roads and ultimately impacting on Government strategic priorities. Functionality of our Traffic Control Centre also contributed a lot in maintaining the road infrastructure. More than 56 000 00 trucks were weighed to ensure that there was no overloading on our Provincial roads.

### **Driving License Testing Centres and Vehicle Testing Centres**

The DLTC's and VTC's have achieved their targets as set out in the Annual Performance Plan. The department continues to experience non implementation of remedial actions by municipalities emanating from compliance inspections conducted. Prior to the re-opening of economy, all DLTC's and VTC's were supposed to comply to Covid 19 regulations. Inspections were conducted at all the centres to determine their level of readiness to re-open. All those that complied were allowed to open and others were given more time to ready themselves to the level of compliance required.

### **Operator License and Permits**

The Sub-program operator license and permits is responsible for public transport management across the Province. This sub-program, through the Provincial Regulatory Entity, managed to have 42 adjudications which is in accordance with the targets as set in the operational plan. The Provincial Regulatory Entity has further held advocacy campaign on National Land Transport Act number 05 of 2009, during its sittings. Subsequently, there has been a significant decline on number of the conflicts related to the taxi industry.

**Table 2.4.4.1:**

*(To be used for a report against the originally tabled Annual Performance Plan until date of re-tabling (In the instance where a department has **re-tabled** an Annual Performance Plan in the financial year under review)*

Programme / Sub-programme:									
Outcome	Output	Output Indicator	Audited Actual Performance 2018/2019	Audited Actual Performance 2019/20	Planned Annual Target 2020/21	*Actual Achievement 2020/21 until date of re-tabling	Deviation from planned target to Actual Achievement 2020/21	Reasons for deviations	Reasons for revisions to the Outputs / Output indicators / Annual Targets
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

**Table 2.4.4.2:**

Programme / Sub-programme:									
Outcome	Output	Output Indicator	Audited Actual Performance 2018/2019	Audited Actual Performance 2019/20	Planned Annual Target 2020/21	**Actual Achievement 2020/2021	Deviation from planned target to Actual Achievement 2020/2021	Reasons for deviations	
Society that works together in respecting and abiding by the law	Effective Law enforcement	Number of strategic law enforcement operations conducted	N/A	N/A	6240	6382	142	More deployments conducted during the end of festive season to ensure safety on our roads	
		Number of registering authorities complying with NRTA	46	46	56	55	-1	Stella South African Post Office could not perform transactional and procedural transaction	

Programme / Sub-programme:								
Outcome	Output	Output Indicator	Audited Actual Performance 2018/2019	Audited Actual Performance 2019/20	Planned Annual Target 2020/21	**Actual Achievement 2020/2021	Deviation from planned target to Actual Achievement 2020/2021	Reasons for deviations
								s due to system technicalities
		Number of DLTCs and VTSs complying to NRTA and SABS standards	53	53	20	20	0	None

**Strategy to overcome areas of under performance**

The sub-programme will intensify its strategy with the South African Post Office to ensure that all registered NaTIS sites are operational.

**Performance in relation to Standardised Outputs and Output Indicators for Sectors with Concurrent Functions**

The department does not have Standardised Outputs and Output Indicators

**Reporting on the Institutional Response to the COVID-19 Pandemic**

**Table: Progress on Institutional Response to the COVID-19 Pandemic**

Budget Programme	Intervention	Geographic location (Province / District/Local municipality) (Where Possible)	No. of beneficiaries (Where Possible)	Disaggregation of Beneficiaries (Where Possible)	Total budget allocation per intervention (R'000)	Budget spent per intervention	Contribution to the Outputs in the APP (where applicable)	Immediate outcomes
Programme 4	Fumigation of Taxi Ranks	Kgetleng River LM	Taxi associations and Commuters	2 Taxi Associations and Commuters	N/A	N/A	N/A	Ranks were deep cleaned to prevent Covid from spreading
Programme 4	Fumigation of Taxi Rank	Kagisano Molopo LM	Taxi association and Commuters	1 Taxi Association and Commuters	N/A	N/A	N/A	Rank were deep cleaned to prevent Covid from spreading

**Linking performance with budgets**

**Sub-programme expenditure**

Transport Regulations	Final Appropriation	Actual Expenditure	Over/Under Expenditure	Final Appropriation	Actual Expenditure	Over/Under Expenditure
<b>R thousand</b>		<b>2019/20</b>		<b>2020/21</b>		
Programme Support Traffic	2 592	2 569	23	2 444	2 155	289
Operators License and Permits	210 965	210 958	7	165 859	164 286	1 573
Law Enforcement	374 071	373 954	117	375 243	372 137	3 106
Transport Administration and Licensing	37 054	36 585	469	34 300	33 499	801
<b>Total payments and estimates</b>	<b>624 682</b>	<b>624 066</b>	<b>616</b>	<b>577 846</b>	<b>572 077</b>	<b>5 769</b>

There programme has spent 99% with a 1% under spending, as compared to the previous year financial year which was 93%. There is 6% improvement in the spending patterns within the programme. The under spending under period under review is due to infrastructure projects that could not be finalised and are: Mogwase Vehicle Testing Stations and Kgomotso Vehicle Testing Stations respectively. The programme managed to achieve all planned targets for the year.

PROGRAMME SUPPORT	2019/20			2020/21		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R' 000	R' 000	R' 000	R' 000	R' 000	R' 000
Current payments	2 574	2 551	23	2 444	2 155	289
Transfers and subsidies	18	18	-	-	-	-
<b>Total</b>	<b>2 592</b>	<b>2 569</b>	<b>23</b>	<b>2 444</b>	<b>2 155</b>	<b>289</b>

The programme has spent 88% of the budget which is results in a decline of 11% from the previous years' expenditure performance

TRANSPORT ADMINISTRATION AND LICENSING	2019/20			2020/21		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
Current payments	35 808	34 980	828	32 271	32 270	1
Transfers and subsidies	146	99	47	1 229	1 229	-
Payments for capital assets	1 100	1 506	- 406	800	-	800
<b>Total</b>	<b>37 054</b>	<b>36 585</b>	<b>469</b>	<b>34 300</b>	<b>33 499</b>	<b>801</b>

98% of the budget has been spent during the 2020/21 financial year and it is below the previous one by 1%. All activities were achieved as planned

OPERATOR LICENCES AND PERMIT ECONOMIC CLASSIFICATION	2019/20			2020/21		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
Current payments	195 948	195 948	-	157 439	156 709	730
Transfers and subsidies	3 582	3 575	7	3 663	3 651	12
Payments for capital assets	11 435	11 435	-	4 757	3 926	831
<b>Total</b>	<b>210 965</b>	<b>210 958</b>	<b>7</b>	<b>165 859</b>	<b>164 286</b>	<b>1 573</b>

For the year under review, the sub-programme has spent 99% of the budget which is a 1% decline as compared to 2019/20 financial year

LAW ENFORCEMENT ECONOMIC CLASSIFICATION	2019/20			2020/21		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
Current payments	359 628	359 508	120	369 278	367 197	2 081
Transfers and subsidies	979	982	- 3	3 179	2 881	298
Payments for capital assets	13 464	13 464	-	2 786	2 059	727
<b>Total</b>	<b>374 071</b>	<b>373 954</b>	<b>117</b>	<b>375 243</b>	<b>372 137</b>	<b>3 106</b>

The sub-programme has spent 99% of the budget for 2020/21 financial year which is a 1% decline as compared to 2019/20 financial year.

## 5. TRANSFER PAYMENTS

### 5.1. Transfer payments to public entities

Nil

Name of Public Entity	Key Outputs of the public entity	Amount transferred to the public entity	Amount spent by the public entity	Achievements of the public entity
N/A	N/A	N/A	N/A	N/A

### 5.2. Transfer payments to all organisations other than public entities

The table below reflects the transfer payments made for the period 1 April 2020 to 31 March 2021

Name of transferee	Type of organisation	Purpose for which the funds were used	Did the dept. comply with s 38 (1) (j) of the PFMA	Amount transferred (R'000)	Amount spent by the entity	Reasons for the funds unspent by the entity
Huhudi CPF	Community Police Forum	Letsema (CPTED Activity) addressing rape and robberies.	Yes	R 36 950.00	R24 919.00	Utilise for Bank charges and next project
Nazarene Youth Club	Non-Profit Organisation	Gender Based Violence Door to Door Campaign	Yes	R 51 500.00	R 43 476.94	Utilise for Bank charges and

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<b>Name of transferee</b>	<b>Type of organisation</b>	<b>Purpose for which the funds were used</b>	<b>Did the dept. comply with s 38 (1) (j) of the PFMA</b>	<b>Amount transferred (R'000)</b>	<b>Amount spent by the entity</b>	<b>Reasons for the funds unspent by the entity</b>
		addressing rape and assaults				next project
Lehurutshe CPF	Community Police Forum	Crime Prevention Through Environmental Design (CPTED) Activity at Mosweu Crime Prevention Through Environmental Design (CPTED) Activity at Wilbedaght	Yes	R53 513.00	R51 255.75	Funds were used accordingly as per approved Business Plan. Remaining for bank charges
Zeerust CPF	Community Police Forum	House breaking & Assault and Community Protest Awareness Campaigns	Yes	R30 000.00	R6 187.52	The structure is now partly functional and they are planning to use the remaining money before the end of May 2021
Groot Marico CPF	Police Forum	Know Your Neighbour Awareness Campaign	Yes	R48 000.00	R45 866.51	Funds were used accordingly as per approved Business Plan
Motswedi CPF	Police Forum	Know Your Neighbour Awareness Campaign	Yes	R40 900.00	R23 484.81	The remaining balance will be used in the next activity ( CPTED) to be conducted before the end of June 2021
Coligny CPF	Police Forum	Gender Based Violence Activities at Botshabelo and Scotland	Yes	R39 600.00	R36 100.00	Funds were used accordingly as per approved Business Plan. Remaining for bank charges
Klerksdorp CPF	Community Police Forum	Crime Prevention Through Environmental Design (CEPTED)	YES	R51 016	R49 575.36	Balance is for bank charges
Jouberton CPF	Community Police Forum	Men's dialogue	YES	R62 400	R65 094.69	Funds were used

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<b>Name of transferee</b>	<b>Type of organisation</b>	<b>Purpose for which the funds were used</b>	<b>Did the dept. comply with s 38 (1) (j) of the PFMA</b>	<b>Amount transferred (R'000)</b>	<b>Amount spent by the entity</b>	<b>Reasons for the funds unspent by the entity</b>
		Men's march against Gender Based Violence				accordingly as per approved Business Plan
Wolmaranstad CPF	Community Police Forum	Bua sechaba common assault	YES	R35 400	R27 406.50	The CPF deviated from the business plan to comply with Covid 19 Regulations. Instead of gathering the community they did a motorcade and saved on catering.
Hartebeesfontein CPF	Community Police Forum	Debushing- Clean up the street	YES	R51 016	R48 703.01	Balance is for Bank Charges
Banna Ba Kae Tlokwe Men's Forum NPO	Non-Profit Organisation	Men and Boys GBV and Crime Prevention Awareness Campaign	YES	R51 700.00	R51 937	Funds were used accordingly as per approved Business Plan
BRITS CPF	Community Police Forum	Gender Based Violence Awareness Campaign	YES	R50 000	R2 642.00	Another GBV Awareness Campaign to be conducted and Promotional material to be bought by the CPF
MADIKWE CPF	Community Police Forum	Gender Based Violence Awareness Campaign CPTED Letsema Campaign	YES	R52 000	R42 087.20	Funds utilised the remaining will be to increase their promotional material for other awareness campaigns
LOAGO LA RONA DOMESTIC VIOLENCE CARE AND COMMUNITY	NPO	2 x Gender Based Violence Awareness Campaigns	YES	R51 000	R47 511.87	Funds utilised the remaining will be for bank charges

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Name of transferee	Type of organisation	Purpose for which the funds were used	Did the dept. comply with s 38 (1) (j) of the PFMA	Amount transferred (R'000)	Amount spent by the entity	Reasons for the funds unspent by the entity
DEVELOPMENT CENTRE						
THUSEGO SAFE HOUSE	NPO	Gender Based Violence Awareness Campaign	YES	R51 700	R44 720.00	Funds utilised the remaining will be for GBV door to door awareness campaign
RISE OF THE COMMUNITY OF BOITEKONG	NPO	Gender Based Violence Awareness Campaigns	YES	R51 500	R36 500.00	The remaining funds were returned to the Departmental account due to misuse of funds. Funds were utilised for purposes not allocated for the organisation
Imologa Community Projects	Non-Profit Organization	Conduct/Implement Awareness Social on Crime Prevention Projects: Awareness campaign on Gender Based Violence	Yes	R 51, 200.00	R 50, 450.00	None
Ipelegeng CPF	Community Police Forum	Conduct/Implement Awareness Social on Crime Prevention Projects: - CPTED-Letsema (Debushing).	Yes	R 51, 200.00	R 44, 289.08	The CPF spent the budget allocated, the outstanding budget amounting to R 6, 827.31 as in the bank statement for April 2021, was the budget as they purchased some items at lesser prices. A request sent to the Department for utilisation of

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<b>Name of transferee</b>	<b>Type of organisation</b>	<b>Purpose for which the funds were used</b>	<b>Did the dept. comply with s 38 (1) (j) of the PFMA</b>	<b>Amount transferred (R'000)</b>	<b>Amount spent by the entity</b>	<b>Reasons for the funds unspent by the entity</b>
						the outstanding amount for next project
Mareetsane CPF	Community Police Forum	Anti Substance Abuse and Anti Rape Campaigns	Yes	R 61 000.00	R58 070.5	Funds were used accordingly as per approved Business Plan. Remaining for bank charges
Setlagole CPF	Community Police Forum	Anti Rape Campaign	Yes	R36 100.00	R30 872.96	Funds were used accordingly as per approved Business Plan. Remaining for bank charges and material for other projects
LICHTENBURG CPF	Community Police Forum	Letsema-Crime Prevention Through Environmental Design (CPTED) Gender Based Violence	Yes	R54 716.00	50185.00	Funds were used accordingly as per approved Business Plan. Remaining for bank charges
LOMANYANENG CPF	Community Police Forum	Letsema-Crime Prevention Through Environmental Design (CPTED) Gender Based Violence	Yes	58 150.00	R53068.59	Funds were used accordingly as per approved Business Plan. Remaining for bank charges
WESTERN TIGERS MULTI SPORTS	NPO	Letsema-Crime Prevention Through Environmental Design (CPTED) Gender Based Violence	Yes	R58 200.00	R985.30	Funds were transferred towards the end of financial year due to challenges with NPO documents, a new plan has

Name of transferee	Type of organisation	Purpose for which the funds were used	Did the dept. comply with s 38 (1) (j) of the PFMA	Amount transferred (R'000)	Amount spent by the entity	Reasons for the funds unspent by the entity
						been developed or implementation in new financial year

The table below reflects the transfer payments which were budgeted for in the period 1 April 2020 to 31 March 2021, but no transfer payments were made.

Name of transferee	Purpose for which the funds were to be used	Amount budgeted for (R'000)	Amount transferred (R'000)	Reasons why funds were not transferred
N/A	N/A	N/A	N/A	N/A
N/A	N/A	N/A	N/A	N/A

## 6. CONDITIONAL GRANTS

### 6.1. Conditional grants and earmarked funds paid

The table below describes each of the conditional grants and earmarked funds paid by the department.

*Conditional Grant 1:*

Department/ Municipality to whom the grant has been transferred	N/A
Purpose of the grant	N/A
Expected outputs of the grant	N/A
Actual outputs achieved	N/A
Amount per amended DORA	N/A
Amount transferred (R'000)	N/A

Reasons if amount as per DORA not transferred	N/A
Amount spent by the department/ municipality (R'000)	N/A
Reasons for the funds unspent by the entity	N/A
Monitoring mechanism by the transferring department	N/A

*Conditional Grant 2:*

Department/ Municipality to whom the grant has been transferred	N/A
Purpose of the grant	N/A
Expected outputs of the grant	N/A
Actual outputs achieved	N/A
Amount per amended DORA	N/A
Amount transferred (R'000)	N/A
Reasons if amount as per DORA not transferred	N/A
Amount spent by the department/ municipality (R'000)	N/A
Reasons for the funds unspent by the entity	N/A
Monitoring mechanism by the transferring department	N/A

**6.2. Conditional grants and earmarked funds received**

The receiving department should include the following information in the annual report on conditional grants received:

- An overview of grants received, including types and total amount received.

- An indication of the total amount of actual expenditure on all allocations.
- Confirmation that all transfers were deposited into the accredited bank account of the Provincial Treasury.
- An indication of the extent to which the objectives were achieved, with a comparative analysis of provincial performance against targets. Where performance fell short of expectations, outline the reasons and measures taken to improve performance in the coming years if the grant is continuing.
- An overall assessment of compliance with the Act, and an explanation of any measures taken in situations where there was non-compliance

The table/s below details the conditional grants and ear marked funds received during for the period 1 April 2020 to 31 March 2021.

Conditional Grant 1 - Incentives:

<b>Department who transferred the grant</b>	Department of Public Works and Infrastructure
<b>Purpose of the grant</b>	To provide funding for implementation of the community safety patrollers programme under EPWP
<b>Expected outputs of the grant</b>	Improved number of people employed and receiving income through EPWP
<b>Actual outputs achieved</b>	A Total of 528 participants were appointed on a contract basis in the 4 Districts. 70% of the participants constituted youth and women, and 0.5 percent Person with disability
<b>Amount per amended DORA</b>	R 2 611
<b>Amount received (R'000)</b>	R2 611
<b>Reasons if amount as per DORA was not received</b>	None
<b>Amount spent by the department (R'000)</b>	R2 568
<b>Reasons for the funds unspent by the entity</b>	None
<b>Reasons for deviations on performance</b>	None
<b>Measures taken to improve performance</b>	N/A
<b>Monitoring mechanism by the receiving department</b>	Monthly monitoring of the programme conducted through receiving weekly registers and monitoring sites of deployment

*Conditional Grant 2 – Public Transport Operations Grant (PTOG):*

<b>Department who transferred the grant</b>	Department of Transport
<b>Purpose of the grant</b>	Provide supplementary funding towards public transport services provided by Provincial Departments of Transport
<b>Expected outputs of the grant</b>	<p>Number of vehicles subsidised</p> <p>Number of cumulative annual vehicles subsidised</p> <p>Number of scheduled trips</p> <p>Number of trips operated</p> <p>Number of passengers</p> <p>Number of kilometers</p>
<b>Actual outputs achieved</b>	<p>Number of vehicles subsidised = 336</p> <p>Number of cumulative annual vehicles subsidised= 1 008</p> <p>Number of scheduled trips = 92 348</p> <p>Number of trips operated =84 699</p> <p>Number of passengers = 1 602 033</p> <p>Number of kilometers = 4 423 696</p> <p>Number of employees = 1 666</p>
<b>Amount per amended DORA</b>	116 603
<b>Amount received (R'000)</b>	116 603
<b>Reasons if amount as per DORA was not received</b>	N/A
<b>Amount spent by the department (R'000)</b>	116 603
<b>Reasons for the funds unspent by the entity</b>	N/A
<b>Reasons for deviations on performance</b>	N/A
<b>Measures taken to improve performance</b>	N/A
<b>Monitoring mechanism by the receiving department</b>	Internally by the Departmental Officials

## 7. DONOR FUNDS

### 7.1. Donor Funds Received

*Donor Fund :*

<b>Name of donor</b>	N/A
<b>Full amount of the funding</b>	N/A
<b>Period of the commitment</b>	N/A
<b>Purpose of the funding</b>	N/A
<b>Expected outputs</b>	N/A
<b>Actual outputs achieved</b>	N/A
<b>Amount received in current period (R'000)</b>	N/A
<b>Amount spent by the department (R'000)</b>	N/A
<b>Reasons for the funds unspent</b>	N/A
<b>Monitoring mechanism by the donor</b>	N/A

## 8. CAPITAL INVESTMENT

### 8.1. Capital investment, maintenance and asset management plan

#### **Progress made on implementing the capital, investment and asset management plan.**

The Department managed to implement more than 50% of the planned projects, other projects had to be put on hold due to the budget being redirected to covid-19 relief fund.

#### **Completed projects**

- Lichtenburg Weighbridge
- Fencing phase 01 of Pilanesberg Airport awaiting practical completion as the delay was caused by late approval of the extension of time
- Due to the National Lockdown imposed on the 27<sup>th</sup> March 2020, the construction industry had to close all sites in order to adhere to the National Disaster Management Act 2020 level which delayed implementation of projects. The industry was only allowed to operate under level 03 of the lockdown in July 2020.

#### **Infrastructure projects that are currently in progress (list projects) and when are they expected to be completed,**

##### **List of Projects**

- Temporary Accommodation (Supply & Installation of Porta Cabins at Pilanesberg Airport), expected practical completion date is the 13<sup>th</sup> June 2021.

- Kgomotso Registering Authority – expected practical completion is the 23th June 2021

### **Downgraded facilities**

- GD Monshioa Airport downgraded to level 2

### **Progress made on the maintenance of infrastructure**

- GD Monshioa and Pilanesburg airports – maintenance of stand by generators.
- Upgrading of toilets, water and sewer lines at Rustenburg Traffic Station (139 Kock Street). The project is complete and the retention amount of R 28 208.50 is still to be paid to the contractor.

### **Developments relating to the above that are expected to impact on the department's current expenditure.**

- N/A

### **Details as to how asset holdings have changed over the period under review, including information on disposals, scrapping and loss due to theft**

- Assets that are dilapidated were disposed and donated to schools. No auction was conducted and no loss reported during the period under review.

### **Measures taken to ensure that the department's asset register remained up-to-date during the period under review**

- After the procurement of Asset, all assets are Bar-Coded and registered in an Asset register. The reconciliation of asset register is performed on monthly basis.

### **The current state of the department's capital assets, for example what percentage is in good, fair or bad condition.**

- Asset in good condition 30%
- Asset Fair condition 65%
- Asset in bad condition 5%

### **Major maintenance projects that have been undertaken during the period under review**

- Upgrading of toilets, water and sewer lines at Rustenburg Traffic Station (139 Kock Street)

### **Progress made in addressing the maintenance backlog during the period under review, for example, has the backlog grown or become smaller? Is the rate of progress according to plan? If not why not, and what measures were taken to keep on track**

- Phase 1 of perimeter fence was done, airport manuals submitted to SACAA for approval. Emergency manual conditionally approved, Fire Fighter 1 and 2 training was done. Aircraft Construction training was done. Standby generator repaired, site handover for

Parkhome project done and delivery of 12 out of 22 park home units delivered. The progress rate was not according to the plan due to funds being redirected to covid relief fund.

Infrastrucutre Projects	Final Appropriation	Actual Expenditure	Over/Under Expenditure	Final Appropriation	Actual Expenditure	Over/Under Expenditure
		2019/20		2020/21		
New and replacement assets	1 100	1 506	-406	2 200	1 489	711
Existing infrastructure assets			-			-
- Upgrades and additions	14 306	12 486	1 820	17 992	8 916	9 076
- Rehabilitation, renovations and refurbishments	-	-	-	-	-	-
- Maintenance and repairs	-	-	-	-	-	-
Infrastructure transfer	-	-	-	-	-	-
- Current	-	-	-	-	-	-
- Capital	-	-	-	-	-	-
<b>Total</b>	<b>15 406</b>	<b>13 992</b>	<b>1 414</b>	<b>20 192</b>	<b>10 405</b>	<b>9 787</b>

## **PART C: GOVERNANCE**

## **1. INTRODUCTION**

The Department is committed to maintain the highest standard of good governance to ensure proper management and accountability of public funds and resources. This is done through submission and presentation of reports to governance or oversight structures such as the Public Accounts Committee, Portfolio Committee, Audit and Risk Committees, amongst others. Recommendations and advice received from these oversight structures were implemented to ensure improved control environment.

The Department also appointed skilled and competent staff in all key positions to ensure that public funds are used for the intended purposes and accounted for, policies and procedures are in place to ensure alignment with the mandate of the Department and other legislative requirements and are implemented and monitored for compliance and where gaps were identified, corrective measures were taken.

The Department further aligned itself with the National and Provincial Anti-Corruption strategies to ensure that all allegations of fraud and corruption are investigated and reported. The Department commissioned investigations into allegations of irregularities on contracts issued and corrective actions will be taken against anyone who will be found at fault. This was to ensure that the reputation of the Department is maintained and resources safe-guarded.

## **2. RISK MANAGEMENT**

The Department had during the year under review, reviewed its risk management policies and strategies to ensure alignment to its mandate and the changing environment in which it operates.

Despite the challenges experienced due to restrictions of Covid-19, the annual risk assessment was conducted to determine whether there are new emerging risks and to identify strategies to mitigate such risks, and further to ensure achievement of Departmental goals and objectives. Emerging risks were identified and the register was updated accordingly. Monitoring of treatment plans were done to track progress made on implementation of these plans.

The Department had a Risk Management Committee that advised the Accounting Officer on matters of risk management in fulfilling his mandate as required by section 38(1)(a)(i) of the PFMA and in line with the Public Sector Risk Management Framework developed by National Treasury.

The Committee was chaired by an independent Chairperson, with vast amount of experience in both public and private sector; serving in the Committee also is one independent/ external members and two internal members nominated/appointed from the management team of the Department. The Risk Management Committee Charter was adopted to guide and regulate the affairs of the Committee. Five Committee meetings were held, which included four (4) scheduled meetings and one (1) special meeting an average of 99% attendance by members.

The Chairperson of the Risk Management Committee reports quarterly through the Accounting Officer's report to the Audit Committee. It is on the basis of the reports and the opinion of the Internal Auditors review of risk management processes that the Audit Committee provides advice and recommendations to the Department to improve its risk management procedures.

The Chairperson of the Risk Management Committee maintains constant communication with the Accounting Officer either through a formal report after every meeting or one-on-one meetings when there is a need.

The Committee's responsibility is amongst other things; is annual review of the Risk Management policy and strategy and recommend for approval by the Accounting Officer. The approved policy and strategy for risk management for 2020/2021 were in place and guided the implementation of the risk management process during the financial year. It also monitors the progress made on the implementation of risk treatment plans put in place to reduce the level of risks which threatens the achievement of the mandate of the Department.

The Provincial Risk Management also provides oversight role for the effective and adequate implementation of risk management within the Department. This is done through quarterly monitoring reports submitted to the Provincial Risk Management by the Department and feedback reports from the Provincial Risk Management on their assessment of the implementation of risk management process within the Department to the Accounting Officer. The reports are written form; highlights areas of weakness and recommendations for improvement.

### **3. FRAUD AND CORRUPTION**

The Department view acts of fraud, corruption and any unethical behaviour as a critical risk with a potential to deplete Department's resources, negatively affect its reputation and service delivery efficiency, hence the adoption of a culture of zero tolerance to fraud and corruption, also taking queue from the National policies on fraud and corruption. There are measures put in place aimed at the prevention, detection, investigation and resolution of any actual and / or suspected incidents on fraud and corruption, outlined in the Departmental anti-corruption, ethics and integrity policy and strategy and the whistle blowing policy to guide the process of reporting identified incidents of fraud and corruption.

The Department in its approved whistle blowing policy committed towards promoting a culture of openness and transparency and that a strict confidentiality measure will be exercised and maintained regarding the identity of the whistle-blower.

### **4. MINIMISING CONFLICT OF INTEREST**

In terms of the Public Service Regulations, 2016 (PSR, 2016) designated employees are required to disclose their financial interests (Chapter 2, Part 2). In March 2017 the Minister for the Public Service and Administration issued a Determination and Directive on other categories of employees to disclose their financial interests. In terms of Regulation 18(5) which came into effect on 01 August 2016, designated employees must use the electronic (eDisclosure) system to disclose their financial interests.

On an annual basis from 01 April to 30 April, senior management are required to disclose their financial interest. All 24 members of SMS disclosed their financial interests which were verified by

the Ethics Officer, Head of Department and the EA. These were further submitted to the PSC on the 31 May 2020 as per the requirements of the Regulations. Compliance report was also submitted to the MPSA.

Other designated employees, i.e. Supply Chain Management, Financial Management, Ethics Officers and Middle Management also disclosed their financial interests.

## **5. CODE OF CONDUCT**

The newly appointed employees are all made aware of the Code of Conduct through induction training. Furthermore, the Code of Conduct has been circulated to staff through the Departmental e-mails. Officials who fail to adhere to the Public Service Code of Conduct will be investigated and disciplined should there be grounds for action to be taken. The Department finalised 24 misconduct cases. The misconduct committed was acts of corruption, theft, fraud, negligence, misuse of Government vehicles, insubordination, unbecoming behaviour, failure to submit performance agreements, conflict of interest and absenteeism

## **6. HEALTH SAFETY AND ENVIRONMENTAL ISSUES**

Provide a brief description and nature of Health Safety and Environmental issues and the effect it has on the department.

Inadequate office space in the Department, i.e Head Office and some Traffic Station still remains a challenge and pose risks to Occupational Health and safety towards employees; however, the Department is working on addressing this matter by looking for a conducive office space. For leased building the Department ensures that Landlords adheres to safety and security measures as required by OHS Act.

The outbreak of corona virus also put emphasis on the availability of water in all our offices and areas with challenges have been identified and procurement process to procure jojo tanks is unfolding whilst a permanent solution is looked into.

## **7. PORTFOLIO COMMITTEES**

<b>DATE OF THE MEETING</b>	<b>MATTERS RAISED BY PORTFOLIO COMMITTEE</b>	<b>HOW HAS DEPARTMENT ADDRESSED THE MATTER</b>
09 April 2020	State of the police stations in province	The oversight visits were done by department and reports were submitted to portfolio committee and national
24 July 2020	Distribution PPE Public Protective equipment for Covid 19	department has a Program of visiting Taxi Rank and Malls to distributed PPE and Fumigations of the ranks

27 September 2020	How many road rangers do department have and how much are they earning and do the department have the budget?  They want details report regarding Montshioa Airport and Pilanesburg Airport	Department has sent written reports to portfolio committee regarding the matters
04 march 2021	High Violence in Klerksdorp and Potchefstroom	Provincial Department and National Department has called up Imbizo to address high level of violence in Klerksdorp and Potchefstroom
28 may 2021	None submission of detailed reports	Reports were resubmitted

## 8. SCOPA RESOLUTIONS

Resolu tion No.	Subject	Details	Response by the department	Resolved (Yes/No)
1	Goods and Services	The absence of supporting documentation for scholar transport was reported in the prior year and once again reported in the current year. Why has this matter not been addressed and what assurance do you have the services were actually rendered without supporting documentation?	<p>The Department before it can process any invoice submitted as a claim for services rendered, requires the following documents:</p> <p>Submission Stage 1: All documents to be original not copies.</p> <ul style="list-style-type: none"> <li>• Invoice without any alterations or tippex</li> <li>• Form B, signed and stamped by operator and school principal or school representative</li> <li>• Form C, is optional it applies where there is any discrepancy found in operations, e.g. Overloading etc</li> <li>• Form D, daily signed by driver and weekly by the Principal as confirmation but it must also be stamped</li> <li>• List of learners stamped and signed by the school principal or school representative</li> <li>• VA 2's are then generated with a verification certificate</li> </ul>	

Resolution No.	Subject	Details	Response by the department	Resolved (Yes/No)
			<p>looking at correctness of amount to be paid.</p> <ul style="list-style-type: none"> <li>• Checklist signed by Sub-programme manager is signed attached to VA 2.</li> </ul> <p>Stage 2: Checklist</p> <ul style="list-style-type: none"> <li>• Demand management check if service to be paid is in line with Procurement Plan and attach CSD Report</li> </ul> <p style="padding-left: 40px;">Stage 3: Checklist</p> <ul style="list-style-type: none"> <li>• Confirmation of budget by Budget Control Unit, verify correctness of allocation code and availability of budget and cash-flow</li> </ul> <p>Stage 4: Checklist</p> <ul style="list-style-type: none"> <li>• Internal Control, which has to verify if all required and delegations per Stage 1 are fully complied with.</li> </ul> <p>Stage 5: Checklist</p> <ul style="list-style-type: none"> <li>• Logistics Management Unit who has to check correctness of supplier details on the invoices, capture orders and VA 2 number.</li> <li>• Any deviation approved by HOD.</li> </ul> <p>Stage 6: Checklist</p> <ul style="list-style-type: none"> <li>• The department has a checklist to ensure that the signed and stamped invoice and correct supplier details. Verification of tax obligations to SARS and if invoice amount tallies with amount of order</li> </ul> <p>However, a further process has been added were in the Head of Department must sign the VA2 and the Administration team also has to verify before it is taken to generate order.</p> <p>Without this process no payment is made to an operator who must</p>	

Resolu tion No.	Subject	Details	Response by the department	Resolved (Yes/No)
			come and correct any query that will have been raised before they can be paid.	
2  2.1	Prepayments and advances	Have all prepayments and advances been identified?	The Department has identified all advance payments made. A register has been developed and all  Prepayments and Advance have been recorded. Progress has been documented for each area. This Has also been submitted to the AGSA as part of the 2019/20 audit.	
2.2		What controls have been implemented to ensure that these transactions are correctly recognised?	An excel spread sheet is being kept by the office of the Chief Financial Officer and is being used to Register all prepayments and advances and these will be monitored on a regular basis. The remaining balance, if any, will be disclosed in the financial statements at the time of reporting	
2.3		What were the reasons for the prepayments and advances?	The department paid prepayments and advances only where the contractual obligation requires that. That is, where there is a signed Service Level Agreement. The finding was around the SA Express payments. The contract with SA Express has since been terminated. A stance has been taken by the Department that, in future, no prepayments and advances will be made, except in instances where circumstances dictate otherwise.	
3	Commitments	What systems have subsequently been implemented to ensure that commitments are correctly recorded and disclosed as this matter was also reported in the prior year?	A commitment is a contractual arrangement that binds the department to incur future expenditure based on goods, services and capital assets that are still to be received. The	

Resolu tion No.	Subject	Details	Response by the department	Resolved (Yes/No)
			<p>department has put the following measures in place:</p> <ul style="list-style-type: none"> <li>- A contract register is maintained and monitored on a monthly basis</li> <li>- All contracts are filed together with the contract register</li> <li>- A commitment register has been developed based on all contracts that the Department has, and is being monitored using the signed contracts</li> <li>- The total contract amount is recorded on the register. All payments made as at the point of reporting is then reduced from the contract amount, as well as the amount still to be paid for that period (accrual). The remaining amount of contract is then recorded as commitment. A reconciliation between the contract register, the commitment register and the payment report is done on a monthly basis</li> </ul>	
4  4.1	Irregular Expenditure	<p>Has the irregular expenditure of of R12 062 369 made in contravention of the supply chain management requirements which were not included in irregular expenditure disclosed as well as other irregular expenditure disclosed in note 31 been dealt with in terms of section 38 and chapter 10 of the PFMA that requires the accounting officer to investigate unauthorised, irregular and fruitless and wasteful expenditure that occurred and take effective and appropriate disciplinary steps against officials who make or permit such expenditure? Please submit all the steps taken in this regard.</p>	<p>The Department has established a committee that deals with Unauthorised, Irregular, Fruitless and Wasteful Expenditure (UIF). Investigation on five major irregular expenditure items was done and submissions were prepared and submitted to treasury for condonement. Other major irregular expenditures are being investigated. A response was received from Treasury in August 2020, requiring more information, and that is being processed. The Department has also implemented more controls as follows:</p> <ul style="list-style-type: none"> <li>• Implemented check list for</li> </ul>	

Resolu tion No.	Subject	Details	Response by the department	Resolved (Yes/No)
			<p>procurement of goods and services</p> <ul style="list-style-type: none"> <li>• Internal control check for compliance on all requests before procurement.</li> <li>• One of the major irregular expenditure is on Commuter Bus Subsidies. This is a national problem due to lack of funds that are needed in order to introduce new contracts. The Department has been extending these contracts due to budget constraints. The budget is not sufficient to enable the Department to go out on tender.</li> <li>• The Department has since received a deviation from the National Department of Transport, which will be regulating expenditures from the date of extension, which is the 2020/21 financial year</li> </ul>	
4.2		What was the root cause of the irregular expenditure and how is it being addressed?	<p>The major contributor for the irregular expenditure is contracts that have been extended over a long period of time, like the contract for Commuter Bus Services, or expenditures and contracts where proper and correct supply chain management processes were not followed.</p> <p>In addressing this, the department has reviewed all contracts and is in the process of finalising new compliant bids</p>	
5  5.1	Unauthorised and Fruitless and Wasteful Expenditure	Has the unauthorised expenditure of R13 662 000 in respect of prior years subsequently been resolved?	<p>A request for condonement of the unauthorised expenditure was submitted to Treasury. The bill to condone unauthorised expenditure in respect of the 2014/15 financial year and to charge the Provincial Revenue Fund was presented to the Provincial Legislature inclusive of the R13 662 000.00 for overspending on Transport</p>	

Resolu tion No.	Subject	Details	Response by the department	Resolved (Yes/No)
			Regulation Programme. The Department is awaiting response.	
5.2		Has the fruitless and wasteful expenditure of R175 000 that was incurred in the current year and fruitless and wasteful expenditure of R3 632 000 0 from prior years been dealt with in terms of section 38 and chapter 10 of the PFMA? Please submit all the steps taken in this regard.	The Departmental Committee on Irregular, Fruitless and Wasteful Expenditure is currently investing all cases of Fruitless and Wasteful Expenditure and will make recommendations to the Accounting Officer.	
6  6.1	Underspending of the budget	What was the reason for the underspending of the budget on Programme 4: Transport Regulations by R29 504 000?	The underspending on the Programme is made up of R5 291 000 under Compensation of Employees, R10 599 000 under Goods and services for Fleet services due to a delay in payment for Standard Bank Invoice. While under Payment for Capital assets, the variance of R12 536 000 is on Building and other Fixed structure amounting to R9 256 000 for infrastructure projects not implemented and R3 820 000 for Transport equipment. Under Transfers and subsidies, a saving of R1 072 000 was recognised on Households.	
6.2		To what extent was service delivery affected by the underspending?	The projects that were earmarked for implementation for the year were not successful and the money was surrendered back to Provincial Treasury. A roll-over was only approved for Lichtenburg weighbridge as it was already committed. There was no direct negative impact on service delivery.	
7	Material uncertainty relating to contingencies	Please submit details of the lawsuits in which the department is a defendant as well as the amounts involved	Register submitted	

Resolu tion No.	Subject	Details	Response by the department	Resolved (Yes/No)
8  8.1  8.2	Accruals and Payables not recognised	Please indicate to this committee what expenditure is represented by accruals and payables to the amount of R219 063 000, of which R151 484 000 would have constituted unauthorised expenditure?  Why was this amount not paid within the 30-days period?	Unavailability of the budget led to the Department being unable to pay suppliers within the Financial Year. The amount could not be paid within 30 days because the budget for Learner transport was depleted during the course of the financial year.	
8.3		What monitoring controls are now in place to ensure that payables remain within the budget of the department?	The department performs monthly monitoring of invoices during the financial year against commitments made, to ensure that invoices are processed in financial year.	
9	Annual Performance Report	What monitoring controls have been implemented to ensure that supporting evidence agrees to reported achievements and supports comments on deviations between planned targets and the achievement reported in the annual performance report?	Chief Directors verify the status of reported Performance information of Programmes and Portfolio of evidence for reported outputs.  Line Function Managers capture, verify and upload relevant and credible Portfolio of evidence on the Provincial Electronic Reporting System. They are also responsible for the verification and submission of Portfolio of Evidence to Strategic Planning, Monitoring and Evaluation directorate for validation and safe keeping.  In case of Deviations, Line function managers submit portfolio of evidence for variances to Strategic Monitoring and Evaluation Unit for validation and safekeeping.  Strategic Monitoring and Evaluation Directorate: Validates the reported outputs against	

Resolu tion No.	Subject	Details	Response by the department	Resolved (Yes/No)
			<p>Portfolio of Evidence to ensure correlation between reported outputs and supporting evidence. In case of deviations the Strategic Monitoring and Evaluation Directorate also validates Portfolio of evidence for variances to ensure linkage/correlation between comments on deviations and reported outputs.</p> <p>Extended Departmental Management Committee, interrogates, quality assures and adopt Departmental Performance Reports.</p>	
10.1	Compliance and Legislation	What control measures have been implemented to ensure that financial statements are prepared in accordance with the prescribed financial reporting framework and supported by full and proper records as required by section 40(1)(a) and (b) of the PFMA before submission for audit and what monitoring controls are in place to detect and correct material misstatements before finalising the financial statements?	The Department has developed a financial statements preparation plan, with specific responsibilities allocated to responsible officials. This will assist in receiving information on time for review and correction in line with prescripts, before being loaded on the financial statement templates.	
10.2		What control measures have subsequently been implemented for approval and processing of payments, as required by Treasury Regulation 8.1.1.?	A payment checklist has been developed and implemented. Payments are being checked against the checklist to ensure that it complies with all regulations.	
10.3		What control measures have been implemented to improve procurement and contract management?	<p>The Department has reviewed SCM policies and procedures to ensure that all procurement is done within the legislation. Further to that, checklists have been developed.</p> <p>The procurement procedures are</p>	

Resolution No.	Subject	Details	Response by the department	Resolved (Yes/No)
			followed and the procurement checklists are attached on every Requisition form. The internal Control Unit also performs compliance check on all requisitions for procurement of goods and services.	
11	Material irregularities			
12	Transport Intervention Team	<p>12.1 What processes were followed in the appointment of this intervention team?</p> <p>12.2 Has the appointment of the team been included in the APP of the department?</p> <p>12.3 Please give a detailed report on the costs involved, including expenses and salaries for each member of the team.</p>	<p>Given the volatility in the public transport sector, MEC Lehari and the Department went about setting up an intervention team in an advisory role. The team's mandate was to examine and identify challenges faced by the broader transport sector and solution thereof. This was to ensure stability in the industry and enhance relations between different role players in the sector and Government.</p> <p>In establishing the intervention team, the MEC consulted various stakeholders. Among them the organised taxi bodies, the South African National Small Bus Operators Council (SANSBOC), Governance Cluster Committee, Executive Council (EXCO) and the Inter-ministerial Task Team (IMTT). All agreed that an intervention is necessary and steps taken by MEC Lehari are profound. Earlier, in answering a question about violence in the industry at the Portfolio Committee, the MEC also informed Members on the imminent establishment of the intervention team.</p> <p>It was after this interactive process that the team of 12 members comprising six men and six women was identified.</p>	

Resolu tion No.	Subject	Details	Response by the department	Resolved (Yes/No)
			<p>Critical to the skill required is to diffuse the tensions before they escalate into violence and loss of life. Other criteria include, among others that nominated members should have:</p> <ul style="list-style-type: none"> <li>• Minimum qualification (Matric/Grade 12)</li> <li>• Experience and leadership in governance,</li> <li>• Ability to manage divergent stakeholders,</li> <li>• Knowledge of the Transport sector, and</li> <li>• Public participation, especially in the North West Province.</li> </ul> <p>The team put together was introduced to the public. However there had been numerous concerns from a number of stakeholders on the processes followed to establish the team. The MEC thence requested that the appointment of the team be reversed as an administrative due process was not correctly followed in the identification of the members.</p> <p>As the team was still to assume full responsibility when they were withdrawn, there had not been any costs incurred by the Department.</p>	

## **9. PRIOR MODIFICATIONS TO AUDIT REPORTS**

Include a discussion on mechanisms put in place by the Accounting Officer to resolve the matters reported by the AGSA in the previous financial year. This should include all matters in the audit report and those noted as important in the management report.

The discussion should be limited to all matters that gave rise to a qualification, disclaimer, adverse opinion and matters of non-compliance only. The department may include the information in a table as follows:

Nature of qualification, disclaimer, adverse opinion and matters of non-compliance	Financial year in which it first arose	Progress made in clearing / resolving the matter
<b><i>Qualification Matter</i></b>		

<p><b><u>Prepayments and advances</u></b></p> <p>The department did not correctly recognize payments made to South African Airways Express SOC Ltd (SAX) or the related management companies for goods or services not yet received as prepayments and advances in accordance with the MCS in the current year and prior year. ((Iss.104) CAF 21)</p>	<p>2018/2019</p>	<p>The Department has performed reconciliation for advances paid to the airliner. Total amount paid for the Airline (SAX) amounts to R184,778,616.00 while the total amount used against the prepaid amount is R161,687,133.30. The unused portion of the prepaid amount is R23,091,482.70. The Department has written a letter to the SA Express liquidators to claim the amount. A report from ATNS was used to confirm actual trips undertaken, against the contracted kilometres.</p> <p>Information relating to the management companies has been requested from management companies and SA Express regarding marketing and operational costs. reconciliation and analysis will be performed once this information is received.</p> <p>A Standard operating procedure on prepayment and advances has been drafted and finalised</p>
<p><b><u>Goods and services</u></b></p> <p>1. Overpayment to scholar transport operators due incorrect kilometers and number of buses PIA verified kilometers ((Issue 87 ,CAF19))</p> <p>2. Overpayments to scholar transport operators for kilometers claimed in excess of actual route kilometers ((Issue 160 prior year))</p> <p>3. Evidence for fleet management relating repairs and maintenance and fuel not submitted for audit ((issue 74,CAF19))</p>	<p>2018/2019</p> <p>2019/2020</p> <p>2019/2020</p> <p>2019/2020</p> <p>2019/2020</p>	<p>New appointment letters with verified kilometres were issued to operators and payments made as per verified kilometres.</p> <p>Disputed verified kilometres were re-verified and where discrepancies are found corrections were made.</p> <p>Preliminary calculations have been done and still to be verified by PIA, where there are discrepancies, corrections will be done.</p> <p>GDF 421 NW repair costs invoices has been submitted GDF 547 NW repair costs attached GDF 553 NW, GDF 584 NW fuel cost slips attached GDF 287 NW and GDF 457 NW fuel costs not attached since vehicles did not refuel JWH478NW, JGB026NW, JGB031NW AND JTG 632 NW fuel cost slips awaited from SAPS/VIP VIP drivers are controlled by SAPS thus making management of these vehicles difficult for Government Fleet</p> <p>User departments should reflect the fuel used during the month by attaching slips indicating the value's and those must</p>

<p>4. Fuel supporting documents (Receipt) does not agree to the standard bank transaction report ((issue 122) CAF 19)</p> <p>5. Grade levels and the supporting certificates for the security officers not submitted (((issue 91) CAF 22)</p>		<p>tally with the amount on the statement / transaction report, on this finding slips attached do not tally with the transaction report, so some of the slips are missing, some have faded. The transaction report reflects the total value inclusive of fuel and oil whereas the slip will indicate fuel value and oil value separately.</p> <p>All Security service providers contracted to the Department has provided PSIRA Certificates of all Security Officers deployed in the Department.</p> <p>The certificates has been filed in the office for reference.</p>
<p><b><i>Transfers and Subsidies</i></b></p> <p>Evidence not sufficient to confirm service were received for payment made to commuter bus operators,( Issue 80, CAF 12)</p>	<p>2019/2020</p>	<p>Specification for electronic monitoring system has been presented to the specification committee.</p> <p>All operators are submitting tracker reports on monthly basis.</p>
<p><b><i>Material Irregularities ( PAA and the material irregularity regulations)</i></b></p>		
<p><b><i>Non-compliance in appointment of scholar transport operators and overpayments to scholar transport operators</i></b></p>	<p>2018/2019</p>	<p>The Department has since the last audit received an investigation report from Gobodo Forensic and Investigative Accounting (PTY) LTD. The recommendations from the investigation report is being implemented. The report was shared with the office of the AGSA.</p> <p>The investigation by the Special Investigating Unit (SIU) is still in progress.</p> <p>The Department has for the period under review, advertised the bid which expired and was re-advertised with the closing date of May 2021. Pre-evaluation is currently underway. It is estimated that the award will be finalised by 30 June 2021.</p>
<p><b><i>Services not received for advance payments to Caswell Mthombeni Consultants CC</i></b></p>	<p>2018/2019</p>	<p>During the year under review, follow-ups were made with the last being on the 07th May 2021, however, no responses were received from the HAWKS. As requested last year, the AGSA is hereby advised to escalate this matter with the Justice system and SAPS</p>
<p><b><i>Payments to South African Express Airways Soc Ltd and Airport Management Companies</i></b></p>	<p>2019/2020</p>	<p>This matter is still in the hands of the Hawks and the Asset Forfeiture unit. Furthermore, the Department has through the National Treasury, appointed PricewaterCoopers to investigate the matter further. Investigations are still ongoing and any feedback received will be communicated with the AGSA.</p>

## **10. INTERNAL CONTROL UNIT**

The Departmental Internal Control Unit is responsible for the review of payment vouchers as a measure to assess compliance with relevant laws, policies and procedures (SCM processes) and recommends improvements where gaps were identified. All payment vouchers which were found to be non-compliant were returned to users for corrections and other processed for order generation and payments. Where it is not practical for corrections to be made, such transactions are recorded in the register for investigations.

The unit also coordinated the audit processes for both AGSA and PIA, facilitated the development of audit action plans and monitoring implementation of such plans. Monitoring of the AGSA audit action plan was done through the Audit Steering Committee and other Management structures.

The unit serves as a communication channel between management and auditors in ensuring that requests for information and management responses are communicated timely to the auditors to ensure the smooth running of the audit processes.

## **11. INTERNAL AUDIT AND AUDIT COMMITTEES**

### **Key activities and objectives of the internal audit**

#### Key activities:

Internal auditing is an independent, objective assurance and consulting activity designed to add value and improve an organization's operations. It helps an organization accomplish its objectives by bringing a systematic, disciplined approach to evaluate and improve the effectiveness of risk management, control, and governance processes.

#### Key objectives:

Provide a value-add risk based internal audit service to the department.

### **Specify summary of audit work done**

For the financial year under review 18 assurance and advisory reports were issued to the Department. Work performed was based on a risk based internal audit plan and included audits of: risk management; supply chain management (including Covid-19 procurement); financial statements; reporting on pre-determined objectives; IT governance; and audit action plans. Based on the internal audit work performed recommendations have been made to the Department for the enhancement and improvement of the effectiveness of risk management, governance and internal control processes.

**Key activities and objectives of the audit committee;**

Key activities:

**The audit committee, amongst others, reviews the following:**

- (a) the effectiveness of the internal control systems;
- (b) the effectiveness of the internal audit function;
- (c) the risk areas of the institution’s operations to be covered in the scope of internal and external audits;
- (d) the adequacy, reliability and accuracy of the financial information provided to management and other users of such information;
- (e) any accounting and auditing concerns identified as a result of internal and external audits;
- (f) the institution’s compliance with legal and regulatory provisions;
- (g) the activities of the internal audit function, including its annual work programme, coordination with the external auditors, the reports of significant investigations and the responses of management to specific recommendations

Key objectives:

To contribute to the oversight function of the design and implementation of effective corporate governance, financial and performance management, risk management and internal controls with a view of achieving quality service delivery.

**Attendance of audit committee meetings by audit committee members (Tabular form);**

The table below discloses relevant information on the audit committee members:

Name	Qualifications	Internal or external	If internal, position in the department	Date appointed	Date Resigned	No. of Meetings attended
Mr L.Z Fihlani	CA(SA)	External	Chairperson	1 August 2018		4
Ms P. Mzizi	CA(SA)	External	Member	1 August 2018		5
Mr P. Tjie	B.Com, PMD	External	Member	1 August 2018		5
Ms. J. Masite	B.Com, CIA	External	Member	1 August 2018		5
<b>Cluster Audit Committee</b>						

Ms. P. Mzizi	CA(SA)	External	Chairperson	1 August 2018		6
Mr. F. Sinthumule	B.Com, MBA	External	Member	1 August 2018		7
Mr. M. Terheyden	CA(SA)	External	Member	1 August 2018		7
Ms. J. Brown	B.Com	External	Member	1 August 2018		2
Mr. L. Ally*	CA(SA)	External	Member	1 August 2018		2
Mr P. Tjie*	B.Com, PMD	External	Member	1 August 2018		1

## **12. AUDIT COMMITTEE REPORT**

### **1. Legislative requirements**

The Audit Committee herewith presents its report for the financial year ended 31 March 2021, as required by treasury regulation 3.1.13 read with section 77 of the Public Finance Management Act, 1999 (Act No. 1 of 1999, as amended by Act No. 29 of 1999).

### **2. Audit Committee Members and Attendance**

The Audit Committee consists of the members listed hereunder and should meet at least four times per annum as the Central Audit Committee and four times per annum as the Cluster Audit Committee as per its approved terms of reference. During the current year four scheduled and one special meetings were held by the Central Audit Committee and four scheduled and four special meetings were held by the Cluster Audit Committee.

### **3. Audit Committee Responsibility**

The Audit Committee reports that it has complied with its responsibilities arising from section 38(1) (a) (ii) of the Public Finance Management Act, 1999 and Treasury Regulation 3.1.

The Audit Committee also reports that it has adopted appropriate formal terms of reference as its Audit Committee Charter, has regulated its affairs in compliance with this charter and has discharged all its responsibilities as contained therein.

#### **4. Under Administration**

This department is under s100(1)(b) of the Constitution which dictates that when a province cannot or does not fulfil an executive obligation in terms of the Constitution or legislation, the national executive may intervene by taking any appropriate steps to ensure fulfilment of that obligation, including assuming responsibility for the relevant obligation in that province.

#### **5. The effectiveness of internal control**

In line with the Public Finance Management Act, 1999, internal audit provides the Audit Committee and management with assurance that the internal controls are appropriate and effective. This is achieved by evaluating internal controls to determine their adequacy and efficiency, and by developing recommendations for enhancement or improvement. The accounting officer retains responsibility for implementing such recommendations as per Treasury Regulation 3.1.12.

From the various reports of internal audit and Auditor General South Africa, it was noted that matters were reported indicating areas of improvement in the system of internal controls in areas pertaining to financial reporting, reporting on pre-determined objectives and compliance with laws and regulations. Through our analysis of audit reports and engagement with the Department it is concluded that the system on internal control for the period under review was not entirely adequate and effective.

The Audit Committee remains concerned with the slow progress in the finalization of unauthorized, irregular, and fruitless and wasteful expenditure. The status of the implementation of the guideline(s) as issued by National Treasury to resolve irregular and fruitless and wasteful expenditure is inadequate.

#### **6. Risk Management**

The Risk Management Committee has been appointed and is chaired by an independent chairperson who advises the accounting officer. Based on the quarterly reviews performed, the departmental fraud and risk management system is not entirely adequate and effective. The Audit Committee is concerned with inadequate risk management which will impact service delivery negatively if the situation is not addressed.

## **7. In-Year Management and Quarterly Reporting**

The Provincial Treasury has confirmed that the department has reported to the Treasury as is required by the Public Finance Management Act, 1999. The quality of the in-year financial and performance reporting including interim financial statements are however a concern to the Audit Committee.

The audit committee has engaged with management to remedy shortcomings, especially relating to interim financial statements and reports on performance against predetermined objectives.

The audit committee has recommended that the department prepare interim financial statements that comply with the financial reporting framework (Modified Cash Standard (MCS). This will assist in performing reconciliations timeously and in eliminating year-end adjustments.

## **8. Evaluation of Financial Statements**

The Audit Committee has reviewed the draft annual financial statements prepared by the department and has advised the accounting officer to ensure that all the review notes and comments of Provincial Accountant General, Internal Audit and Audit Committee are fully addressed prior to submission of the annual financial statements to the Auditor General South Africa. The Audit Committee also reviewed the material misstatements that were corrected during the audit.

## **9. Evaluation of Performance information**

The Audit Committee has reviewed the information on predetermined objectives to be included in the annual report prepared by the department and has advised the accounting officer to ensure that all the review notes and comments of the Internal Audit and Audit Committee are fully addressed prior to submission of the annual report to the Auditor General South Africa. The Audit Committee also reviewed the material misstatements that were corrected during the audit.

## **10. Compliance with laws and regulations**

The Audit Committee is concerned with the status of compliance with laws and regulations. If the department does not implement an adequate and effective compliance framework and system, noncompliance resulting in unauthorised, irregular and fruitless and wasteful expenditure will continue to occur.

## **11. Internal Audit**

The accounting officer is obliged, in terms of the Public Finance Management Act, 1999, to ensure that the entity has a system of internal audit under the control and direction of the Audit Committee. The Audit Committee is satisfied that the internal audit function has properly discharged its functions and responsibilities during the year under review.

The Audit Committee also notes that the deficiencies in the risk management system mentioned above impact on the implementation of the risk-based audit approach.

The Audit Committee is satisfied that the internal audit function maintains an effective internal quality assurance programme that covers all aspects of the internal audit activity and that as determined during the external quality assessment review, that a generally conformance rating can be applied to the internal audit work and the term "Conforms with the International Standards for the Professional Practice of Internal Auditing" may be used by the function.

## **12. Auditor General South Africa**

We have reviewed the department's implementation plan for audit issues raised in the previous year and based on the interaction with the department and the internal audit reports, the committee is not satisfied that all matters have been adequately addressed.

We have reviewed the organization's implementation plan for audit issues raised in the previous year and we are not satisfied that the matters raised were substantially resolved.

The Audit Committee concurs and accepts the conclusions of the Auditor-General on the Annual Financial Statements and is of the opinion that the Audited Annual Financial Statements should be accepted and read together with the report of the Auditor-General.

## **13. COVID-19**

As a result of the President declaring a national state of disaster on the 26<sup>th</sup> March 2020, the country had been under various levels of lockdown and the need to fund unplanned COVID 19 expenditure resulted in budget cuts which have impacted service delivery.

#### 14. General

The Audit Committee is concerned with the status of the NTI which requires the urgent intervention of the Executive Authority. The Audit Committee strongly recommends that the Department must ensure adequate and effective implementation and frequent monitoring of the audit action plans for both internal and external audits to obtain an unqualified audit opinion.

Signed on behalf of the Cluster Audit Committee by:

<b>Chairperson of the</b>	<b>Pumla Mzizi CA(SA)</b> .....
<b>Cluster Audit Committee</b>	<b>P. Mzizi CA (SA)</b>
<b>Date:</b>	<b>31 August 2021</b>

#### 13. B-BBEE COMPLIANCE PERFORMANCE INFORMATION

The following table has been completed in accordance with the compliance to the BBEE requirements of the BBEE Act of 2013 and as determined by the Department of Trade and Industry.

Has the Department / Public Entity applied any relevant Code of Good Practice (B-BBEE Certificate Levels 1 – 8) with regards to the following:		
Criteria	Response Yes / No	Discussion <i>(include a discussion on your response and indicate what measures have been taken to comply)</i>
Determining qualification criteria for the issuing of licences, concessions or other authorisations in respect of economic activity in terms of any law?	N/A	N/A
Developing and implementing a preferential procurement policy?	YES	This is embedded in the departmental Supply Chain Management Policies
Determining qualification criteria for the sale of state-owned enterprises?	N/A	N/A
Developing criteria for entering into partnerships with the private sector?	N/A	N/A
Determining criteria for the awarding of incentives, grants and investment schemes in support of Broad Based Black Economic Empowerment?	N/A	N/A

# **PART D: HUMAN RESOURCE MANAGEMENT**

## **1. INTRODUCTION**

The information contained in this part of the annual report has been prescribed by the Minister for the Public Service and Administration for all departments in the public service.

## **2. OVERVIEW OF HUMAN RESOURCES**

Provide commentary on the following:

- The status of human resources in the department.

As at 31<sup>st</sup> March 2021, the Department had a total of 2337 employees, of which 1463 permanent staff and 874 temporary employees. There is a total of 677 Traffic Officers.

In the previous years the Department operated with the interim organisational structure and only received concurrence from the DPSA in November 2019 and subsequently signed by the MEC on the 10 December 2019. The newly approved organisational structure gives the Department an opportunity to appoint additional 268 employees, to implement District Coordination.

A total of ninety seven (97) unemployed Youth were registered on the Road Traffic Management Learnership and subsequently qualified as Traffic Officers who were appointed as Provincial Inspectors permanently in the Department effective from 01 June 2020. This will ensure Traffic visibility to enable the Department to have sufficient manpower to deliver on its mandate.

- Human resource priorities for the year under review and the impact of these.

The Department planned to implement the newly approved organisational structure during the year under review. This was however not achieved due to the outbreak of COVID 19 which necessitate the redirecting of funds to procurement of necessary personal protective equipment (PPE). A number of vacant funded posts were also not filled during the period under review and impacted negatively on the vacancy rate and underspending on compensation of employee budget (CoE). The Department then resolved to embark on massive recruitment drive during financial year 2021/22 for vacant funded posts with consideration of the current budget cuts

- Workforce planning and key strategies to attract and recruit a skilled and capable workforce.  
Filling of positions is always aligned to budget availability. Critical positions to be filled in a particular Financial Year are identified at the beginning of each Financial Year, informed by the needs of the Department. The recruitment processes in the Department is competency based in ensuring that only competent applicants are appointed.
- Employee performance management.

Systems were in place to ensure that Performance Management Development System (PMDS) is adhered to and effectively implemented. Individual Performance is linked to the Departmental Performance as reflected in the Annual Performance Plan.

At the end of the 4<sup>th</sup> quarter, all performance assessments for employees on salary level 1-12 were moderated and qualifying employees were duly remunerated. The challenge still remains with moderations of Senior Managers as a result of the way the Department is structured and as a result had to rely on Senior Managers from other Provincial Departments. There are still outstanding SMS PMDS moderations to be finalized and PMDS incentives to be processed. Annual training report was duly submitted and Workplace Skills Plan (WSP) approved and submitted to PSETA. Developmental areas were identified COVID and prioritized in the training plan, however not all were addressed due to challenges brought by 19.

- Employee wellness programmes.

Employee Health & Wellness is deemed one important area in creating a balanced work life for all Employees. The services of the Unit were mainly utilized during the reporting period as most Employees faced anxiety as a result of the outbreak of COVID 19. Counselling, spiritual empowerment, awareness and advocacy program on the virus was a priority and ensuring that all COVID 19 protocols are adhered to. The Program ensured that during hard lockdown there is availability of PPE for Traffic Officers, Fire Personnel who were rendering essential services at that time and all Officials deemed to be rendering critical services at that period.

- Highlight achievements and challenges faced by the department, as well as future human resource plans /goals.

The Department managed to appoint 1.98% of persons with disability. The Department has currently employed 25 SMS members, with 44% being females while 56.% are males The Department is working towards the achievement of 50/50 percentage in line with policies.

Lack of sufficient office accommodation / building still remains a challenge and this has a negative bearing on establishing a common organizational culture. There are efforts to acquire more office space especially at the District level to enable the Department to effectively implement the District Model.

### **3. HUMAN RESOURCES OVERSIGHT STATISTICS**

#### **3.1 Personnel related expenditure**

The following tables summarises the final audited personnel related expenditure by programme and by salary bands. In particular, it provides an indication of the following:

- amount spent on personnel
- amount spent on salaries, overtime, homeowner's allowances and medical aid.

*Table 3.1.1 Personnel expenditure by programme for the period 1 April 2020 and 31 March 2021*

Programme	Total expenditure (R'000)	Personnel expenditure (R'000)	Training expenditure (R'000)	Professional and special services expenditure (R'000)	Personnel expenditure as a % of total expenditure	Average personnel cost per employee (R'000)
ADMINISTRATION	R130 015 475.40	R 127 760 514.38	R84 875.50	R2 233 821.69	19	R 323 444.00
PROV SECRETARIAT FOR POLICE SERV	R33 748 002.70	R 32 811 597.19	0	R933025.51	5	R 52 248.00
TRANSPORT REGULATION	R446 608 273.60	R 432 693 926.98	0	R13445159.84	66	R 415 652.00
TRANSPORT OPERATIONS	R66 935 207.31	R 65 185 769.70	0	R1718034.61	10	R 238 776.00
<b>Total</b>	<b>R677 306 959.01</b>	<b>R 658 451 808.25</b>	<b>R84 875.50</b>	<b>R18330041.65</b>	<b>100</b>	<b>R 281 751.00</b>

*Table 3.1.2 Personnel costs by salary band for the period 1 April 2020 and 31 March 2021*

Salary band	Personnel expenditure (R'000)	% of total personnel cost	No. of employees	Average personnel cost per employee (R'000)
Lower skilled (Levels 1-2)	R12 421 191.53	2	68	R 182 665.00
Skilled (level 3-5)	R70 444 036.62	11	254	R 277 339.00
Highly skilled production (levels 6-8)	R369 113 411.37	56	901	R 409 671.00
Highly skilled supervision (levels 9-12)	R147 340 390.58	22	202	R 729 408.00
Senior and Top management (levels 13-16)	R25 825 156.42	4	22	R 1 173 871.00
Contract (Levels 1-2)	R1 167 896.39	0	1	R 1 167 896.00
Contract (Levels 3-5)	R1 599 055.42	0	14	R 114 218.00
Contract (Levels 6-8)	R9 447 228.85	1	12	R 787 269.00
Contract (Levels 9-12)	R9 774 847.06	2	14	R 698 203.00
Contract (Levels 13-16)	R4 896 062.28	1	3	R 1 632 021.00
Periodical Remuneration	R6 336 854.32	1	841	R 7 535.00
Abnormal Appointment	R85 677.41	0	5	R 17 135.00
<b>Total</b>	<b>R 658 451 808.25</b>	<b>100</b>	<b>2337</b>	<b>R 281 751.00</b>

***Table 3.1.3 Salaries, Overtime, Home Owners Allowance and Medical Aid by programme for the period 1 April 2020 and 31 March 2021***

Programme	Salaries		Overtime		Home Owners Allowance		Medical Aid	
	Amount (R'000)	Salaries as a % of personnel costs	Amount (R'000)	Overtime as a % of personnel costs	Amount (R'000)	HOA as a % of personnel costs	Amount (R'000)	Medical aid as a % of personnel costs
ADMINISTRATION	R 86 766 732.16	68	R 2 117 553.03	2	R 3 839 236.80	3	R 5 997 083.00	5
PROV SECRETARIAT FOR POLICE SERV	R 20 567 430.87	63	R 2 049.39	0	R 700 023.22	3	R 1 178 714.25	4
TRANSPORT REGULATION	R 275 015 962.96	64	R 37 989 478.32	9	R 13 969 024.28	3	R 30 377 430.94	7
TRANSPORT OPERATIONS	R 44 435 455.07	68	R 671 078.68	1	R 2 060 214.25	3	R 3 628 243.50	6
<b>Total</b>	<b>R 426 785 581.06</b>	<b>65</b>	<b>R 40 780 159.42</b>	<b>6</b>	<b>R 20 568 498.55</b>	<b>3</b>	<b>R 41 181 471.69</b>	<b>6</b>

**Table 3.1.4 Salaries, Overtime, Home Owners Allowance and Medical Aid by salary band for the period 1 April 2020 and 31 March 2021**

Salary band	Salaries		Overtime		Home Owners Allowance		Medical Aid	
	Amount (R'000)	Salaries as a % of personnel costs	Amount (R'000)	Overtime as a % of personnel costs	Amount (R'000)	HOA as a % of personnel costs	Amount (R'000)	Medical aid as a % of personnel costs
Skilled (level 1-2)	R 7 779 843.29	63	R 32 802.26	0	R 1 162 756.76	9	R 1 755 682.25	14
Skilled (level 3-5)	R 46 302 161.45	66	R 1 355 426.61	2	R 4 635 117.19	7	R 6 761 197.25	10
Highly skilled production (levels 6-8)	R 235 861 627.05	64	R 34 901 319.04	10	R 11 737 610.56	3	R 27 087 907.36	7
Highly skilled supervision (levels 9-12)	R 101 654 888.74	69	R 3 640 992.80	3	R 2 539 766.04	2	R 5 334 668.83	4
Senior management (level 13-16)	R 16 420 520.45	64	0	0	R 241 788.00	1	R 215 328.00	1
Contract (Levels 1-2)	R 1 073 444.06	92	R 1 713.92	0	0	0	0	0
Contract (Levels 3-5)	R 1 118 030.24	70	R 15 158.57	1	0	0	0	0
Contract (Levels 6-8)	R 6 202 386.64	66	R 812 340.82	9	0	0	0	0
Contract (Levels 9-12)	R 7 003 503.80	72	R 20 405.40	0	R 65 460.00	1		0
Contract (Levels 13-16)	R 3 369 175.34	69	0	0	R 186 000.00	4	R 26 688.00	1
Periodical Remuneration	0	0	0	0	0	0	0	0
Abnormal Appointment	0	0	0	0	0	0	0	0
<b>Total</b>	<b>R 426 785 581.06</b>	<b>65</b>	<b>R 40 780 159.42</b>	<b>6</b>	<b>R 20 568 498.55</b>	<b>3</b>	<b>R 41 181 471.69</b>	<b>6</b>

### 3.2 Employment and Vacancies

The tables in this section summarise the position with regard to employment and vacancies.

The following tables summarise the number of posts on the establishment, the number of employees, the vacancy rate, and whether there are any staff that are additional to the establishment.

This information is presented in terms of three key variables:

- programme
- salary band
- critical occupations (see definition in notes below).

Departments have identified critical occupations that need to be monitored. In terms of current regulations, it is possible to create a post on the establishment that can be occupied by more than one employee. Therefore, the vacancy rate reflects the percentage of posts that are not filled.

*Table 3.2.1 Employment and vacancies by programme as on 31 March 2021*

Programme	Number of posts on approved establishment	Number of posts filled	Vacancy Rate	Number of employees additional to the establishment
ADMINISTRATION	1789	247	86	13
PROV SECRTRIAT FOR POLICE SERV	66	43	35	0
TRANSPORT REGULATION	1393	1036	26	0
TRANSPORT OPERATIONS	321	137	57	8
<b>Total</b>	<b>3569</b>	<b>1463</b>	<b>59</b>	<b>21</b>

*Table 3.2.2 Employment and vacancies by salary band as on 31 March 2021*

Salary band	Number of posts on approved establishment	Number of posts filled	Vacancy Rate	Number of employees additional to the establishment
Lower skilled (1-2)	195	69	65	0
Skilled (3-5)	682	254	63	11
Highly skilled production (6-8)	2045	900	56	10
Highly skilled supervision (9-12)	590	216	63	0
Senior management (13-16)	57	24	58	0
<b>Total</b>	<b>3569</b>	<b>1463</b>	<b>59</b>	<b>21</b>

*Table 3.2.3 Employment and vacancies by critical occupations as on 31 March 2021*

Critical occupation	Number of posts on approved establishment	Number of posts filled	Vacancy Rate	Number of employees additional to the establishment
ADMINISTRATIVE RELATED	473	163	66	0
ALL ARTISANS IN THE BUILDING METAL MACHINERY ETC.	35	9	74	0
ARTISAN PROJECT AND RELATED SUPERINTENDENTS	2	1	50	0
BUILDING AND OTHER PROPERTY CARETAKERS	36	14	61	0
BUS AND HEAVY VEHICLE DRIVERS	20	14	30	0
CHAPLAIN AND RELATED PROFESSIONALS	6	3	50	0
CLEANERS IN OFFICES WORKSHOPS HOSPITALS ETC.	171	73	57	0
COMMUNICATION AND INFORMATION RELATED	6	3	50	0
EMERGENCY SERVICES RELATED	6	2	67	0
FINANCE AND ECONOMICS RELATED	12	5	58	0
FINANCIAL AND RELATED PROFESSIONALS	50	24	52	1
FINANCIAL CLERKS AND CREDIT CONTROLLERS	147	51	65	0
FIRE FIGHTING AND RELATED WORKERS	94	43	54	0
GENERAL LEGAL ADMINISTRATION & REL. PROFESSIONALS	8	3	63	0

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Vote 5: Department of Community Safety and Transport Management  
North West Province*

<b>Critical occupation</b>	<b>Number of posts on approved establishment</b>	<b>Number of posts filled</b>	<b>Vacancy Rate</b>	<b>Number of employees additional to the establishment</b>
HEAD OF DEPARTMENT/CHIEF EXECUTIVE OFFICER	2	1	50	0
HUMAN RESOURCES & ORGANISAT DEVELOPM & RELATE PROF	53	23	57	0
HUMAN RESOURCES CLERKS	27	15	44	0
HUMAN RESOURCES RELATED	44	17	61	0
LANGUAGE PRACTITIONERS INTERPRETERS & OTHER COMMUN	11	3	73	0
LIBRARY MAIL AND RELATED CLERKS	19	9	53	1
LIGHT VEHICLE DRIVERS	1		100	0
LOGISTICAL SUPPORT PERSONNEL	13	6	54	0
MATERIAL-RECORDING AND TRANSPORT CLERKS	51	22	57	6
MESSENGERS PORTERS AND DELIVERERS	80	27	66	0
MOTOR VEHICLE DRIVERS	2	2	0	0
OTHER ADMINISTRAT & RELATED CLERKS AND ORGANISERS	393	156	60	5
OTHER ADMINISTRATIVE POLICY AND RELATED OFFICERS	251	93	63	7
OTHER INFORMATION TECHNOLOGY PERSONNEL.	13	5	62	0

<b>Critical occupation</b>	<b>Number of posts on approved establishment</b>	<b>Number of posts filled</b>	<b>Vacancy Rate</b>	<b>Number of employees additional to the establishment</b>
OTHER OCCUPATIONS	2	1	50	0
REGULATORY INSPECTORS	1392	626	55	0
SECRETARIES & OTHER KEYBOARD OPERATING CLERKS	54	17	69	1
SECURITY OFFICERS	19	4	79	0
SENIOR MANAGERS	53	22	59	0
TRADE LABOURERS	23	6	74	0
<b>Total</b>	<b>3569</b>	<b>1463</b>	<b>59</b>	<b>21</b>

### Notes

- The CORE classification, as prescribed by the DPSA, should be used for completion of this table.
- Critical occupations are defined as occupations or sub-categories within an occupation –
  - (a) in which there is a scarcity of qualified and experienced persons currently or anticipated in the future, either because such skilled persons are not available or they are available but do not meet the applicable employment criteria;
  - (b) for which persons require advanced knowledge in a specified subject area or science or learning field and such knowledge is acquired by a prolonged course or study and/or specialised instruction;
  - (c) where the inherent nature of the occupation requires consistent exercise of discretion and is predominantly intellectual in nature; and
  - (d) in respect of which a department experiences a high degree of difficulty to recruit or retain the services of employees.

### 3.3 Filling of SMS Posts

The tables in this section provide information on employment and vacancies as it relates to members of the Senior Management Service by salary level. It also provides information on advertising and filling of SMS posts, reasons for not complying with prescribed timeframes and disciplinary steps taken.

*Table 3.3.1 SMS post information as on 31 March 2021*

SMS Level	Total number of funded SMS posts	Total number of SMS posts filled	% of SMS posts filled	Total number of SMS posts vacant	% of SMS posts vacant
Director-General/ Head of Department					
Salary Level 16	1	1	100	0	0
Salary Level 15	1	1	100	0	0
Salary Level 14	5	4	80	1	20
Salary Level 13	18	18	100	0	0
<b>Total</b>	<b>25</b>	<b>24</b>	<b>96.0</b>	<b>1</b>	<b>4</b>

*Table 3.3.2 SMS post information as on 30 September 2020*

SMS Level	Total number of funded SMS posts	Total number of SMS posts filled	% of SMS posts filled	Total number of SMS posts vacant	% of SMS posts vacant
Director-General/ Head of Department					
Salary Level 16	1	1	100	0	0
Salary Level 15	1	1	100	0	0
Salary Level 14	5	4	80	1	20
Salary Level 13	19	18	95	1	5.3
<b>Total</b>	<b>26</b>	<b>24</b>	<b>92.3</b>	<b>2</b>	<b>7.7</b>

*Table 3.3.3 Advertising and filling of SMS posts for the period 1 April 2020 and 31 March 2021*

SMS Level	Advertising	Filling of Posts	
	Number of vacancies per level advertised in 6 months of becoming vacant	Number of vacancies per level filled in 6 months of becoming vacant	Number of vacancies per level not filled in 6 months but filled in 12 months
Director-General/ Head of Department	0	0	0
Salary Level 16	0	0	0
Salary Level 15	0	0	0
Salary Level 14	1	0	0
Salary Level 13	1	0	1
<b>Total</b>	<b>2</b>	<b>0</b>	<b>1</b>

Table 3.3.4 Reasons for not having complied with the filling of funded vacant SMS - Advertised within 6 months and filled within 12 months after becoming vacant for the period 1 April 2020 and 31 March 2021

Reasons for vacancies not advertised within six months
Not applicable - the position of Chief Director, Corporate Services was vacated on the 31/08/2020. And was advertised with closing date 25/09/2020 & 02/10/2020, the interview process done 10/12/2020. The post should have been filled by 31/03/21
Post of Director, HRM was vacated 31/08/2019, and advertised within six months of becoming vacant (27/09/19) the post was filled within twelve months(01/09/20).

Reasons for vacancies not filled within twelve months
Not applicable – the selection process for the post of Chief Director, Corporate Services is finalised ,it is our expectation as HRM that the Executive Authority should sign the interview reports for the incumbent to assume duty, as soon as possible.

**Notes**

- In terms of the Public Service Regulations Chapter 1, Part VII C.1A.3, departments must indicate good cause or reason for not having complied with the filling of SMS posts within the prescribed timeframes.

Table 3.3.5 Disciplinary steps taken for not complying with the prescribed timeframes for filling SMS posts within 12 months for the period 1 April 2020 and 31 March 2021

Reasons for vacancies not advertised within six months
None

Reasons for vacancies not filled within six months
None

**Notes**

- In terms of the Public Service Regulations Chapter 1, Part VII C.1A.2, departments must indicate good cause or reason for not having complied with the filling of SMS posts within the prescribed timeframes. In the event of non-compliance with this regulation, the relevant executive authority or head of department must take appropriate disciplinary steps in terms of section 16A(1) or (2) of the Public Service Act.

### 3.4 Job Evaluation

Within a nationally determined framework, executing authorities may evaluate or re-evaluate any job in his or her organisation. In terms of the Regulations all vacancies on salary levels 9 and higher must be evaluated before they are filled. The following table summarises the number of jobs that were evaluated during the year under review. The table also provides statistics on the number of posts that were upgraded or downgraded.

*Table 3.4.1 Job Evaluation by Salary band for the period 1 April 2020 and 31 March 2021*

Salary band	Number of posts on approved establishment	Number of Jobs Evaluated	% of posts evaluated by salary bands	Posts Upgraded		Posts downgraded	
				Number	% of posts evaluated	Number	% of posts evaluated
Lower Skilled (Levels 1-2)	0		0		0		0
Skilled (Levels 3-5)	0		0		0		0
Highly skilled production (Levels 6-8)	0		0		0		0
Highly skilled supervision (Levels 9-12)	38		38		0		38
Senior Management Service Band A	0		0		0		0
Senior Management Service Band B	0		0		0		0
Senior Management Service Band C	0		0		0		0
Senior Management Service Band D	0		0		0		0
<b>Total</b>	<b>38</b>		<b>38</b>		<b>0</b>		<b>38</b>

The following table provides a summary of the number of employees whose positions were upgraded due to their post being upgraded. The number of employees might differ from the number of posts upgraded since not all employees are automatically absorbed into the new posts and some of the posts upgraded could also be vacant.

*Table 3.4.2 Profile of employees whose positions were upgraded due to their posts being upgraded for the period 1 April 2020 and 31 March 2021*

Gender	African	Asian	Coloured	White	Total
Female	6	1	0	0	7
Male	16	0	0	0	16
<b>Total</b>					<b>23</b>

Employees with a disability	<b>0</b>
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The following table summarises the number of cases where remuneration bands exceeded the grade determined by job evaluation. Reasons for the deviation are provided in each case.

*Table 3.4.3 Employees with salary levels higher than those determined by job evaluation by occupation for the period 1 April 2020 and 31 March 2021*

Occupation	Number of employees	Job evaluation level	Remuneration level	Reason for deviation
0	0	0	0	N/A
0	0	0	0	N/A
0	0	0	0	N/A
0	0	0	0	N/A
<b>Total number of employees whose salaries exceeded the level determined by job evaluation</b>				<b>0</b>
<b>Percentage of total employed</b>				<b>0</b>

The following table summarises the beneficiaries of the above in terms of race, gender, and disability.

*Table 3.4.4 Profile of employees who have salary levels higher than those determined by job evaluation for the period 1 April 2020 and 31 March 2021*

Gender	African	Asian	Coloured	White	Total
<b>Female</b>	0	0	0	0	0
<b>Male</b>	0	0	0	0	0
<b>Total</b>	0	0	0	0	0

<b>Employees with a disability</b>	0	0	0	0	0
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## Notes

- If there were no cases where the salary levels were higher than those determined by job evaluation, keep the heading and replace the table with the following:

Total number of Employees whose salaries exceeded the grades determine by job evaluation	None
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## 3.5 Employment Changes

This section provides information on changes in employment over the financial year. Turnover rates provide an indication of trends in the employment profile of the department. The following tables provide a summary of turnover rates by salary band and critical occupations (see definition in notes below).

*Table 3.5.1 Annual turnover rates by salary band for the period 1 April 2020 and 31 March 2021*

Salary band	Number of employees at beginning of period-1 April 2020	Appointments and transfers into the department	Terminations and transfers out of the department	Turnover rate
Lower skilled (Levels 1-2)	72	4	4	6
Skilled (Levels3-5)	267	4	19	7
Highly skilled production (Levels 6-8)	830	99	34	4
Highly skilled supervision (Levels 9-12)	212	1	12	6
Senior Management Service Bands A	17	0	0	0
Senior Management Service Bands B	4	0	1	25
Senior Management Service Bands C	0	0	0	0
Senior Management Service Bands D	1	0	0	0
Contracts	151	0	137	91
Periodical Remuneration	26	871	763	2935
Abnormal Appointment	2	3	0	0
<b>Total</b>	<b>1582</b>	<b>1014</b>	<b>970</b>	<b>61</b>

***Table 3.5.2 Annual turnover rates by critical occupation for the period 1 April 2020 and 31 March 2021***

Critical occupation	Number of employees at beginning of period- April 2020	Appointments and transfers into the department	Terminations and transfers out of the department	Turnover rate
ADMINISTRATIVE RELATED	175	2	11	6
ALL ARTISANS IN THE BUILDING METAL MACHINERY ETC.	10	0	1	10
BUILDING AND OTHER PROPERTY CARETAKERS	14	0	0	0
BUS AND HEAVY VEHICLE DRIVERS	14	0	1	7
CHAPLAIN AND RELATED PROFESSIONALS	3	0	0	0
CLEANERS IN OFFICES WORKSHOPS HOSPITALS ETC.	103	159	59	57
COMMUNICATION AND INFORMATION RELATED	3	0	0	0
EMERGENCY SERVICES RELATED	0	1	0	0
ENGINEERS AND RELATED PROFESSIONALS	1	0	0	0
FINANCE AND ECONOMICS RELATED	3	0	0	0
FINANCIAL AND RELATED PROFESSIONALS	26	2	1	4
FINANCIAL CLERKS AND CREDIT CONTROLLERS	58	3	6	10
FIRE FIGHTING AND RELATED WORKERS	44	0	1	2
GENERAL LEGAL ADMINISTRATION & REL. PROFESSIONALS	5	0	1	20
HEAD OF DEPARTMENT/CHIEF EXECUTIVE OFFICER	1	0	0	0
HUMAN RESOURCES & ORGANISAT DEVELOPM & RELATE PROF	20	1	0	0
HUMAN RESOURCES CLERKS	14	2	0	0
HUMAN RESOURCES RELATED	21	0	2	10

Critical occupation	Number of employees at beginning of period- April 2020	Appointments and transfers into the department	Terminations and transfers out of the department	Turnover rate
LANGUAGE PRACTITIONERS INTERPRETERS & OTHER COMMUN	4	1	1	25
LIBRARY MAIL AND RELATED CLERKS	9	1	0	0
LOGISTICAL SUPPORT PERSONNEL	6	0	0	0
MATERIAL-RECORDING AND TRANSPORT CLERKS	25	6	3	12
MESSENGERS PORTERS AND DELIVERERS	27	2	1	4
MOTOR VEHICLE DRIVERS	2	0	0	0
OTHER ADMINISTRAT & RELATED CLERKS AND ORGANISERS	194	726	751	387
OTHER ADMINISTRATIVE POLICY AND RELATED OFFICERS	102	7	12	12
OTHER INFORMATION TECHNOLOGY PERSONNEL.	5	0	0	0
OTHER OCCUPATIONS	1	0	0	0
REGULATORY INSPECTORS	641	98	114	18
SECRETARIES & OTHER KEYBOARD OPERATING CLERKS	19	1	1	5
SECURITY OFFICERS	4	0	0	0
SENIOR MANAGERS	21	2	3	14
TRADE LABOURERS	7	0	1	14
<b>TOTAL</b>	<b>1582</b>	<b>1014</b>	<b>970</b>	<b>61</b>

**Notes**

- The CORE classification, as prescribed by the DPSA, should be used for completion of this table.
- Critical occupations are defined as occupations or sub-categories within an occupation –
  - (a) in which there is a scarcity of qualified and experienced persons currently or anticipated in the future, either because such skilled persons are not available or they are available but do not meet the applicable employment criteria;

- (b) for which persons require advanced knowledge in a specified subject area or science or learning field and such knowledge is acquired by a prolonged course or study and/or specialised instruction;
- (c) where the inherent nature of the occupation requires consistent exercise of discretion and is predominantly intellectual in nature; and
- (d) in respect of which a department experiences a high degree of difficulty to recruit or retain the services of employees.

The table below identifies the major reasons why staff left the department.

*Table 3.5.3 Reasons why staff left the department for the period 1 April 2020 and 31 March 2021*

Termination Type	Number	% of Total Resignations
Death	18	2
Resignation	25	3
Expiry of contract	893	92
Dismissal – operational changes	3	
Dismissal – misconduct	1	0
Dismissal – inefficiency	0	0
Discharged due to ill-health	0	0
Retirement	22	2
Transfer to other Public Service Departments	0	0
Other - Early retirement	4	0
<b>Total</b>	<b>966</b>	<b>100</b>
<b>Interdepartmental Transfer</b>	<b>4</b>	<b>0</b>
<b>Total number of employees who left as a % of total employment</b>	<b>970</b>	<b>100</b>

*Table 3.5.4 Promotions by critical occupation for the period 1 April 2020 and 31 March 2021*

Occupation	Employees 1 April 2020	Promotions to another salary level	Salary level promotions as a % of employees by occupation	Progressions to another notch within a salary level	Notch progression as a % of employees by occupation
ADMINISTRATIVE RELATED	175	2	1	116	66
ALL ARTISANS IN THE BUILDING METAL MACHINERY ETC.	10	0	0	4	40
BUILDING AND OTHER PROPERTY CARETAKERS	14	0	0	11	79
BUS AND HEAVY VEHICLE DRIVERS	14	0	0	4	29
CHAPLAIN AND RELATED PROFESSIONALS	3	0	0	3	100
CLEANERS IN OFFICES WORKSHOPS HOSPITALS ETC.	103	0	0	46	45
COMMUNICATION AND INFORMATION RELATED	3	0	0	1	33
ENGINEERS AND RELATED PROFESSIONALS	1	0	0	0	0
FINANCE AND ECONOMICS RELATED	3	0	0	2	67
FINANCIAL AND RELATED PROFESSIONALS	26	0	0	16	62
FINANCIAL CLERKS AND CREDIT CONTROLLERS	58	1	2	37	64
FIRE FIGHTING AND RELATED WORKERS	44	0	0	36	82
GENERAL LEGAL ADMINISTRATION & REL. PROFESSIONALS	5	0	0	1	20

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<b>Occupation</b>	<b>Employees 1 April 2020</b>	<b>Promotions to another salary level</b>	<b>Salary level promotions as a % of employees by occupation</b>	<b>Progressions to another notch within a salary level</b>	<b>Notch progression as a % of employees by occupation</b>
HEAD OF DEPARTMENT/CHIEF EXECUTIVE OFFICER	1	0	0	0	0
HUMAN RESOURCES & ORGANISAT DEVELOPM & RELATE PROF	20	1	5	17	85
HUMAN RESOURCES CLERKS	14		0	7	50
HUMAN RESOURCES RELATED	21	0	0	13	62
LANGUAGE PRACTITIONERS INTERPRETERS & OTHER COMMUN	4	1	25	1	25
LIBRARY MAIL AND RELATED CLERKS	9	1	11	4	44
LOGISTICAL SUPPORT PERSONNEL	6	0	0	3	50
MATERIAL-RECORDING AND TRANSPORT CLERKS	25	2	8	21	84
MESSENGERS PORTERS AND DELIVERERS	27	0	0	16	59
MOTOR VEHICLE DRIVERS	2	0	0	2	100
OTHER ADMINISTRAT & RELATED CLERKS AND ORGANISERS	194	0	0	124	64
OTHER ADMINISTRATIVE POLICY AND RELATED OFFICERS	102	2	2	63	62
OTHER INFORMATION TECHNOLOGY PERSONNEL.	5	0	0	5	100

Occupation	Employees 1 April 2020	Promotions to another salary level	Salary level promotions as a % of employees by occupation	Progressions to another notch within a salary level	Notch progression as a % of employees by occupation
OTHER OCCUPATIONS	1	0	0	0	0
REGULATORY INSPECTORS	641	1	0	411	64
SECRETARIES & OTHER KEYBOARD OPERATING CLERKS	19	0	0	13	68
SECURITY OFFICERS	4	0	0	2	50
SENIOR MANAGERS	21	1	5	0	0
TRADE LABOURERS	7	0	0	6	86
<b>TOTAL</b>	<b>1582</b>	<b>12</b>	<b>1</b>	<b>985</b>	<b>62</b>

*Table 3.5.5 Promotions by salary band for the period 1 April 2020 and 31 March 2021*

Salary Band	Employees 1 April 2020	Promotions to another salary level	Salary bands promotions as a % of employees by salary level	Progressions to another notch within a salary level	Notch progression as a % of employees by salary bands
Lower skilled (Levels 1-2)	72	0	0	46	64
Skilled (Levels3-5)	267	0	0	191	72
Highly skilled production (Levels 6-8)	830	9	1	599	72
Highly skilled supervision (Levels 9-12)	212	2	1	149	70
Senior Management (Level 13-16)	22	1	5	0	0
Contracts	151	0	0	0	0
Periodical Remuneration	26	0	0	0	0
Abnormal Appointment	2	0	0	0	0
<b>Total</b>	<b>1582</b>	<b>12</b>	<b>1</b>	<b>985</b>	<b>62</b>

### 3.6 Employment Equity

*Table 3.6.1 Total number of employees (including employees with disabilities) in each of the following occupational categories as on 31 March 2021*

Occupational category	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Legislators, senior officials and managers	13	0	0	1	9	0	0	0	23
Professionals	28	0	0	2	56	0	0	0	86
Technicians and associate professionals	420	22	1	18	429	8	1	2	901
Clerks	75	1	0	0	202	4	1	6	289
Service and sales workers	29	1	0	0	16	1	0	0	47
Skilled agriculture and fishery workers	425	6	0	0	409	5	0	1	846
Craft and related trades workers	7	0	0	2	0	0	0	0	9
Plant and machine operators and assemblers	14	0	0	0	1	0	0	0	15
Elementary occupations	60	0	0	0	61	0	0	0	121
<b>Total</b>	<b>1071</b>	<b>30</b>	<b>1</b>	<b>23</b>	<b>1183</b>	<b>18</b>	<b>2</b>	<b>9</b>	<b>2337</b>
<b>Employees with disabilities</b>	13	0	0	1	12	0	1	1	28

*Table 3.6.2 Total number of employees (including employees with disabilities) in each of the following occupational bands as on 31 March 2021*

Occupational band	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
EXCEPTION - POLITICAL OFFICE BEARERS	1	0	0	0	0	0	0	0	1
Top Management	0	0	0	0	1	0	0	0	1
Senior Management	13	0	0	1	9	0	0	0	23

Occupational band	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Professionally qualified and experienced specialists and mid-management	71	4	0	8	56	0	1	0	140
Skilled technical and academically qualified workers, junior management, supervisors, foreman and superintendents	425	18	1	14	513	9	1	8	989
Semi-skilled and discretionary decision making	115	2	0	0	147	4	0	0	268
Unskilled and defined decision making	21	0	0	0	48	0	0	0	69
NON-PERMANENT WORKER	425	6	0	0	409	5	0	1	846
<b>Total</b>	<b>1071</b>	<b>30</b>	<b>1</b>	<b>23</b>	<b>1183</b>	<b>18</b>	<b>2</b>	<b>9</b>	<b>2337</b>
Employees with disabilities	13	0	0	1	12	0	1	1	28

*Table 3.6.3 Recruitment for the period 1 April 2020 to 31 March 2021*

Occupational band	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Top Management	0	0	0	0	0	0	0	0	0
Senior Management	0	0	0	0	2	0	0	0	2
Professionally qualified and experienced specialists and mid-management	0	0	0	0	1	0	0	0	1
Skilled technical and academically qualified workers, junior management, supervisors, foreman and superintendents	50	2	0	0	60	1	0	0	113

Semi-skilled and discretionary decision making	10	0	0	0	8	0	0	0	18
Unskilled and defined decision making	2	0	0	0	3	0	0	0	5
NON-PERMANENT WORKER	438	6	0	0	424	5	0	1	874
<b>Total</b>	<b>500</b>	<b>8</b>	<b>0</b>	<b>0</b>	<b>498</b>	<b>6</b>	<b>0</b>	<b>1</b>	<b>1013</b>
<b>TRANSFERS TO THE DEPARTMENT</b>	0	0	0	0	1	0	0	0	1
<b>Employees with disabilities</b>	0	0	0	0	0	0	0	0	0

*Table 3.6.4 Promotions for the period 1 April 2020 to 31 March 2021*

Occupational band	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
<i>Top Management</i>	0	0	0	0	0	0	0	0	0
Senior Management	0	0	0	0	1	0	0	0	1
Professionally qualified and experienced specialists and mid-management	0	0	0	0	0	0	0	0	0
Skilled technical and academically qualified workers, junior management, supervisors, foreman and superintendents	3	0	0	0	8	0	0	0	11
Semi-skilled and discretionary decision making	0	0	0	0	0	0	0	0	0
Unskilled and defined decision making	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>3</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>9</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>12</b>
<b>Employees with disabilities</b>	0	0	0	0	0	0	0	0	0

*Table 3.6.5 Terminations for the period 1 April 2020 to 31 March 2021*

Occupational band	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Top Management	0	0	0	0	0	0	0	0	0
Senior Management	0	0	0	0	3	0	0	0	3
Professionally qualified and experienced specialists and mid-management	4	0	0	1	5	0	0	0	10
Skilled technical and academically qualified workers, junior management, supervisors, foreman and superintendents	62	2	0	0	76	1	0	1	142
Semi-skilled and discretionary decision making	9	0	0	0	16	0	0	0	25
Unskilled and defined decision making	9	0	0	0	14	0	0	0	23
NON-PERMANENT WORKER	409	6	0	0	342	5	0	1	763
<b>Total</b>	<b>493</b>	<b>8</b>	<b>0</b>	<b>1</b>	<b>456</b>	<b>6</b>	<b>0</b>	<b>2</b>	<b>966</b>
<b>TRANSFER OF A PERSON TO ANOTHER PERSAL BUREAU</b>	0	0	0	0	0	0	0	0	0
<b>INTER DEPARTMENTAL TRANSFER (WITHIN NWPG)</b>	1	0	0	0	3	0	0	0	4
<b>TOTAL INCLUDING TRANSFERS OUT OF PERSAL</b>	<b>494</b>	<b>8</b>	<b>0</b>	<b>1</b>	<b>459</b>	<b>6</b>	<b>0</b>	<b>2</b>	<b>970</b>
<b>Employees with Disabilities</b>	1	0	0	0	2	0	0	0	3

*Table 3.6.6 Disciplinary action for the period 1 April 2020 to 31 March 2021*

Disciplinary action	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
	12				12				24

*Table 3.6.7 Skills development for the period 1 April 2021 to 31 March 2021*

Occupational category	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Legislators, senior officials and managers	07	0	0	0	04	0	0	0	11
Professionals	08	0	0	0	11	0	0	0	19
Technicians and associate professionals									
Clerks	22	0	0	0	43	0	0	0	65
Service and sales workers	0	0	0	0	0	0	0	0	0
Skilled agriculture and fishery workers	0	0	0	0	0	0	0	0	0
Craft and related trades workers	0	0	0	0	0	0	0	0	0
Plant and machine operators and assemblers	0	0	0	0	0	0	0	0	0
Elementary occupations	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>37</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>58</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>95</b>
<b>Employees with disabilities</b>	0	0	0	0	0	0	0	0	0

### 3.7 Signing of Performance Agreements by SMS Members

All members of the SMS must conclude and sign performance agreements within specific timeframes. Information regarding the signing of performance agreements by SMS members, the reasons for not complying within the prescribed timeframes and disciplinary steps taken is presented here.

*Table 3.7.1 Signing of Performance Agreements by SMS members as on 31 May 2021*

SMS Level	Total number of funded SMS posts	Total number of SMS members	Total number of signed performance agreements	Signed performance agreements as % of total number of SMS members
Director-General/ Head of Department	0	0	0	0
Salary Level 16	1	1	0	0
Salary Level 15	1	1	1	100%
Salary Level 14	5	4	5	100%
Salary Level 13	18	18	17	94.4% (HR Director was vacant during this period)
<b>Total</b>	<b>25</b>	<b>24</b>	<b>23</b>	<b>94</b>

#### Notes

- In the event of a National or Provincial election occurring within the first three months of a financial year all members of the SMS must conclude and sign their performance agreements for that financial year within three months following the month in which the elections took place. For example, if elections took place in April, the reporting date in the heading of the table above should change to 31 July 2021.

*Table 3.7.2 Reasons for not having concluded Performance agreements for all SMS members as on 31 March 2021*

Reasons
All SMS members submitted performance agreements, we had 94.4 % submission on level 13 due to a vacant HRM Director Post.

#### Notes

- The reporting date in the heading of this table should be aligned with that of Table 3.7.1.

***Table 3.7.3 Disciplinary steps taken against SMS members for not having concluded Performance agreements as on 31 March 2021***

Reasons
All SMS members concluded their agreements no disciplinary steps were necessary.

**Notes**

- The reporting date in the heading of this table should be aligned with that of Table 3.7.1.

**3.8 Performance Rewards**

To encourage good performance, the department has granted the following performance rewards during the year under review. The information is presented in terms of race, gender, disability, salary bands and critical occupations (see definition in notes below).

***Table 3.8.1 Performance Rewards by race, gender and disability for the period 1 April 2020 to 31 March 2021***

Race and Gender	Beneficiary Profile			Cost	
	Number of beneficiaries	Number of employees	% of total within group	Cost (R'000)	Average cost per employee
<b>African</b>					
Male	126	774	16	R 3 193 531.77	R 25 345.50
Female	68	646	11	R 1 592 631.33	R 23 421.00
<b>Asian</b>					
Male	0	2	0	0	R 0.00
Female	0	1	0	0	R 0.00
<b>Coloured</b>					
Male	0	13	0	0	R 0.00
Female	3	24	13	R 45 056.97	R 15 019.00
<b>White</b>					
Male	5	8	63	R 114 592.59	R 22 918.50
Female	3	23	13	R 101 614.11	R 33 871.40
<b>Total</b>	<b>205</b>	<b>1491</b>	<b>14</b>	<b>R 5 047 426.77</b>	<b>R 24 621.60</b>
	6	28	21	R 117 633.57	R 19 605.60

***Table 3.8.2 Performance Rewards by salary band for personnel below Senior Management Service for the period 1 April 2020 to 31 March 2021***

Salary band	Beneficiary Profile			Cost		Total cost as a % of the total personnel expenditure
	Number of beneficiaries	Number of employees	% of total within salary bands	Total Cost (R'000)	Average cost per employee	
Lower Skilled (Levels 1-2)	6	68	9	R 39 833.22	R 6 638.90	0
Skilled (level 3-5)	39	254	15	R 511 570.35	R 13 117.20	0
Highly skilled production (level 6-8)	102	901	11	R 2 147 669.28	R 21 055.60	0
Highly skilled supervision (level 9-12)	58	202	29	R 2 348 353.92	R 40 488.90	0
Contract (Levels 1-12)	0	41	0	0	R 0.00	0
<b>Total</b>	<b>205</b>	<b>1466</b>	<b>14</b>	<b>R 5 047 426.77</b>	<b>R 24 621.60</b>	<b>1</b>

***Table 3.8.3 Performance Rewards by critical occupation for the period 1 April 2020 to 31 March 2021***

Critical occupation	Beneficiary Profile			Cost	
	Number of beneficiaries	Number of employees	% of total within occupation	Total Cost (R'000)	Average cost per employee
ADMINISTRATIVE RELATED	39	171	23	R 1 533 432.75	R 39 318.80
ALL ARTISANS IN THE BUILDING METAL MACHINERY ETC.	1	9	11	R 15 440.85	R 15 440.90
BUILDING AND OTHER PROPERTY CARETAKERS	2	13	15	R 10 902.45	R 5 451.20
BUS AND HEAVY VEHICLE DRIVERS	3	13	23	R 43 384.14	R 14 461.40

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Critical occupation	Beneficiary Profile			Cost	
	Number of beneficiaries	Number of employees	% of total within occupation	Total Cost (R'000)	Average cost per employee
CHAPLAIN AND RELATED PROFESSIONALS	1	3	33	R 26 871.60	R 26 871.60
CLEANERS IN OFFICES WORKSHOPS HOSPITALS ETC.	5	74	7	R 39 978.69	R 7 995.70
COMMUNICATION AND INFORMATION RELATED	0	3	0	0	R 0.00
EMERGENCY SERVICES RELATED	0	1	0	0	R 0.00
ENGINEERS AND RELATED PROFESSIONALS	0	1	0	0	R 0.00
FINANCE AND ECONOMICS RELATED	0	3	0	0	R 0.00
FINANCIAL AND RELATED PROFESSIONALS	8	26	31	R 321 876.03	R 40 234.50
FINANCIAL CLERKS AND CREDIT CONTROLLERS	16	53	30	R 261 246.33	R 16 327.90
FIRE FIGHTING AND RELATED WORKERS	0	43	0	0	R 0.00
GENERAL LEGAL ADMINISTRATION & REL. PROFESSIONALS	1	3	33	R 24 773.25	R 24 773.30
HEAD OF DEPARTMENT/CHIEF EXECUTIVE OFFICER	0	1	0	0	R 0.00
HUMAN RESOURCES & ORGANISAT DEVELOPM & RELATE PROF	16	21	76	R 375 838.62	R 23 489.90
HUMAN RESOURCES CLERKS	3	15	20	R 51 528.75	R 17 176.30
HUMAN RESOURCES RELATED	11	18	61	R 442 858.77	R 40 259.90
LANGUAGE PRACTITIONERS INTERPRETERS & OTHER COMMUN	0	3	0	0	R 0.00

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Critical occupation	Beneficiary Profile			Cost	
	Number of beneficiaries	Number of employees	% of total within occupation	Total Cost (R'000)	Average cost per employee
LIBRARY MAIL AND RELATED CLERKS	3	10	30	R 27 114.90	R 9 038.30
LOGISTICAL SUPPORT PERSONNEL	1	6	17	R 29 373.84	R 29 373.80
MATERIAL-RECORDING AND TRANSPORT CLERKS	4	28	14	R 53 232.90	R 13 308.20
MESSENGERS PORTERS AND DELIVERERS	4	28	14	R 47 359.35	R 11 839.80
MOTOR VEHICLE DRIVERS	0	2	0	0	R 0.00
OTHER ADMINISTRAT & RELATED CLERKS AND ORGANISERS	32	165	19	R 538 206.00	R 16 818.90
OTHER ADMINISTRATIVE POLICY AND RELATED OFFICERS	28	99	28	R 719 520.18	R 25 697.10
OTHER INFORMATION TECHNOLOGY PERSONNEL.	0	5	0	0	R 0.00
OTHER OCCUPATIONS	0	1	0	0	R 0.00
REGULATORY INSPECTORS	25	625	4	R 435 996.99	R 17 439.90
SECRETARIES & OTHER KEYBOARD OPERATING CLERKS	2	18	11	R 48 490.38	R 24 245.20
SECURITY OFFICERS	0	3	0	0	R 0.00
SENIOR MANAGERS	0	21	0	0	R 0.00
TRADE LABOURERS	0	6	0	0	R 0.00
<b>TOTAL</b>	<b>205</b>	<b>1491</b>	<b>14</b>	<b>R 5 047 426.77</b>	<b>R 24 621.60</b>

**Notes**

- The CORE classification, as prescribed by the DPSA, should be used for completion of this table.
- Critical occupations are defined as occupations or sub-categories within an occupation –

- (a) in which there is a scarcity of qualified and experienced persons currently or anticipated in the future, either because such skilled persons are not available or they are available but do not meet the applicable employment criteria;
- (b) for which persons require advanced knowledge in a specified subject area or science or learning field and such knowledge is acquired by a prolonged course or study and/or specialised instruction;
- (c) where the inherent nature of the occupation requires consistent exercise of discretion and is predominantly intellectual in nature; and
- (d) in respect of which a department experiences a high degree of difficulty to recruit or retain the services of employees;

*Table 3.8.4 Performance related rewards (cash bonus), by salary band for Senior Management Service for the period 1 April 2020 to 31 March 2021*

Salary band	Beneficiary Profile			Cost		Total cost as a % of the total personnel expenditure
	Number of beneficiaries	Number of employees	% of total within salary bands	Total Cost (R'000)	Average cost per employee	
Senior Management Service Band A (Level 13)	0	18	0	R 0.00	R 0.00	0
Senior Management Service Band B (Level 14)	0	3	0	R 0.00	R 0.00	0
Senior Management Service Band C (Level 15)	0	0	0	R 0.00	R 0.00	0
MEC & Senior Management Service Band D (Level 16)	0	1	0	R 0.00	R 0.00	0
Contract (Levels 13-16)	0	3	0	R 0.00	R 0.00	0
<b>Total</b>	<b>0</b>	<b>25</b>	<b>0</b>	<b>R 0.00</b>	<b>R 0.00</b>	<b>0</b>

### 3.9 Foreign Workers

The tables below summarise the employment of foreign nationals in the department in terms of salary band and major occupation.

*Table 3.9.1 Foreign workers by salary band for the period 1 April 2020 and 31 March 2021*

Salary band	01 April 2020		31 March 2021		Change	
	Number	% of total	Number	% of total	Number	% Change
Lower skilled	0	0	0	0	0	0
Highly skilled production (Lev. 6-8)	0	0	0	0	0	0
Highly skilled supervision (Lev. 9-12)	0	0	0	0	0	0
Contract (level 9-12)	1	100	1	50	0	0
Contract (level 13-16)	0	0	0	0	0	0
Contract (Levels 1-2)	0	0	0	0	0	0
Contract (Levels 3-5)	0	0	0	0	0	0
Contract (Levels 6-8)	0	0	0	0	0	0
Contract (Levels 9-12)	0	0	0	0	0	0
Periodical Remuneration	0	0	1	50	1	100
Abnormal Appointment	0	0	0	0	0	0
<b>Total</b>	<b>1</b>	<b>100</b>	<b>2</b>	<b>100</b>	<b>1</b>	<b>100</b>

*Table 3.9.2 Foreign workers by major occupation for the period 1 April 2020 and 31 March 2021*

Major occupation	01 April 2020		31 March 2021		Change	
	Number	% of total	Number	% of total	Number	% Change
ENGINEERS AND RELATED PROFESSIONALS	1	50	0	0	-1	100
OTHER ADMINISTRAT &RELATED CLERKS AND ORGANISERS	1	50	1	100	0	0
<b>Grand Total</b>	<b>2</b>	<b>100</b>	<b>1</b>	<b>100</b>	<b>-1</b>	<b>100</b>

### 3.10 Leave utilisation

The Public Service Commission identified the need for careful monitoring of sick leave within the public service. The following tables provide an indication of the use of sick leave and disability leave. In both cases, the estimated cost of the leave is also provided.

*Table 3.10.1 Sick leave for the period 1 January 2020 to 31 December 2020*

Salary band	Total days	% Days with Medical certification	Number of Employees using sick leave	% of total employees using sick leave	Average days per employee	Estimated Cost (R'000)
Lower Skills (Level 1-2)	402	88	62	6	6	R 225 756.04
Skilled (levels 3-5)	991	82	156	16	6	R 915 153.98
Highly skilled production (levels 6-8)	6225	87	677	67	9	R 8 317 652.34
Highly skilled supervision (levels 9 -12)	780	92	105	10	7	R 1 988 244.64
Top and Senior management (levels 13-16)	44	93	8	1	6	R 187 005.79
<b>Total</b>	<b>8442</b>	<b>87</b>	<b>1008</b>	<b>100</b>	<b>8</b>	<b>R 11 633 812.79</b>

*Table 3.10.2 Disability leave (temporary and permanent) for the period 1 January 2020 to 31 December 2020*

Salary band	Total days	% Days with Medical certification	Number of Employees using disability leave	% of total employees using disability leave	Average days per employee	Estimated Cost (R'000)
Lower skilled (Levels 1-2)	0	0	0	0	0	0
Skilled (Levels 3-5)	163	100	8	19	20	R 161 517.39
Highly skilled production (Levels 6-8)	864	100	32	74	27	R 1 237 766.85
Highly skilled supervision (Levels 9-12)	330	100	3	7	110	R 1 016 555.19
Senior management (Levels 13-16)	0	0	0	0	0	0
<b>Total</b>	<b>1357</b>	<b>100</b>	<b>43</b>	<b>100</b>	<b>32</b>	<b>R 2 415 839.43</b>

The table below summarises the utilisation of annual leave. The wage agreement concluded with trade unions in the PSCBC in 2000 requires management of annual leave to prevent high levels of accrued leave being paid at the time of termination of service.

*Table 3.10.3 Annual Leave for the period 1 January 2020 to 31 December 2020*

Salary band	Total days taken	Number of Employees using annual leave	Average per employee
Lower skilled (Levels 1-2)	1909	149	13
Skilled Levels 3-5)	4103	272	15
Highly skilled production (Levels 6-8)	17744.28	917	19
Highly skilled supervision (Levels 9-12)	4255	212	20
Senior management (Levels 13-16)	400	20	20
<b>Total</b>	<b>28411.28</b>	<b>1570</b>	<b>18</b>

*Table 3.10.4 Capped leave for the period 1 January 2020 to 31 December 2020*

Salary band	Total days of capped leave taken	Number of Employees using capped leave	Average number of days taken per employee	Average capped leave per employee as on 31 March 2021
Lower skilled (Levels 1-2)	0	0	0	0
Skilled Levels 3-5)	72	2	36	9
Highly skilled production (Levels 6-8)	172	9	19	17
Highly skilled supervision (Levels 9-12)	156	2	78	31
Senior management (Levels 13-16)	0	0	0	19
<b>Total</b>	<b>400</b>	<b>13</b>	<b>31</b>	<b>17</b>

The following table summarise payments made to employees as a result of leave that was not taken.

*Table 3.10.5 Leave payouts for the period 1 April 2020 and 31 March 2021*

Reason	Total amount (R'000)	Number of employees	Average per employee (R'000)
Leave payout for 2020/21 due to non-utilisation of leave for the previous cycle (LEAVE DISCOUNTING (UNUSED LEAVE CREDITS))	R 0.00	0	R 0.00
Capped leave payouts on termination of service for 2020/21 (LEAVE GRATUITY)	R 0.00	0	R 0.00
Current leave payout on termination of service for 2020/21 (LEAVE DISCOUNTING \ GRATUITY (UNUSED LEAVE CREDITS))	R 5 586 495.59	60	R 93 108.00
<b>Grand Total</b>	<b>R 5 586 495.59</b>	<b>60</b>	<b>R 93 108.00</b>

### 3.11 HIV/AIDS & Health Promotion Programmes

*Table 3.11.1 Steps taken to reduce the risk of occupational exposure*

Units/categories of employees identified to be at high risk of contracting HIV & related diseases (if any)	Key steps taken to reduce the risk
<ul style="list-style-type: none"> <li>• Traffic Officials especially at Weighbridge</li> <li>• Fire fighters' officials</li> <li>• Interns</li> </ul>	Preventative programmes Information sharing Awareness Campaigns

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***Table 3.11.2 Details of Health Promotion and HIV/AIDS Programmes (tick the applicable boxes and provide the required information)***

Question	Yes	No	Details, if yes
1. Has the department designated a member of the SMS to implement the provisions contained in Part VI E of Chapter 1 of the Public Service Regulations, 2001? If so, provide her/his name and position.	X		Director Human Resource Management Ms VT Leteane
2. Does the department have a dedicated unit or has it designated specific staff members to promote the health and well-being of your employees? If so, indicate the number of employees who are involved in this task and the annual budget that is available for this purpose.	X		13 Employees including Commercial Cleaners/ groundsmen and EPWP Workers  EHW 0.05 % Budget
3. Has the department introduced an Employee Assistance or Health Promotion Programme for your employees? If so, indicate the key elements/services of this Programme.	X		EAP Counselling and Spiritual Counselling session
4. Has the department established (a) committee(s) as contemplated in Part VI E.5 (e) of Chapter 1 of the Public Service Regulations, 2001? If so, please provide the names of the members of the committee and the stakeholder(s) that they represent.			OHS Committee <ul style="list-style-type: none"> <li>• Mabula KP</li> <li>• Williams YY</li> <li>• Khunou MH</li> <li>• Maila J</li> <li>• Kuduntwane PB</li> <li>• Montshonyane KE</li> <li>• Modisane SS</li> <li>• Letsholo PS</li> <li>• Tsatsinyane OK</li> <li>• Mpunzi JK</li> <li>• Senoge MR</li> <li>• Phetlhu OR</li> <li>• Boikanyo MR</li> <li>• Dipale J</li> <li>• Mothupi MD</li> <li>• Mabe LSM</li> <li>• Mmutla I</li> <li>• Rutiri FK</li> <li>• Fikela LG</li> </ul>
5. Has the department reviewed its employment policies and practices to ensure that these do not unfairly discriminate against employees on the basis of their HIV status? If so, list the employment policies/practices so reviewed.	X		HIV and AIDS, TB, STI's and TB Management Policy Wellness Management Policy SHERQ Management Policy Health and Productivity Management Policy
6. Has the department introduced measures to protect HIV-positive employees or those perceived to be HIV-positive from discrimination? If so, list the key elements of these measures.		X	Employees do not disclose their status
7. Does the department encourage its employees to undergo Voluntary Counselling and Testing? If so, list the results that you have achieved.	X		During ADVOCACY programmes and individual counselling sessions, employees are encouraged to test, however, they fail to disclose
8. Has the department developed measures/indicators to monitor & evaluate the impact of its health promotion	X		Quarterly reports for office of the Premier and DPSA

Question	Yes	No	Details, if yes
programme? If so, list these measures/indicators.			

### 3.12 Labour Relations

*Table 3.12.1 Collective agreements for the period 1 April 2021 and 31 March 2021*

Subject matter	Date
<b>Total number of Collective agreements</b>	None

#### Notes

- If there were no agreements, keep the heading and replace the table with the following:

<b>Total number of Collective agreements</b>	None
--	------

The following table summarises the outcome of disciplinary hearings conducted within the department for the year under review.

*Table 3.12.2 Misconduct and disciplinary hearings finalised for the period 1 April 2020 and 31 March 2021*

Outcomes of disciplinary hearings	Number	% of total
Correctional counselling	0	0
Verbal warning	0	0
Written warning	0	0
Final written warning	19	80
Suspended without pay	0	0
Fine	0	0
Demotion	0	0
Dismissal	2	8
Not guilty	0	0
Case withdrawn	3	12
<b>Total</b>	<b>24</b>	<b>100</b>

#### Notes

- If there were no agreements, keep the heading and replace the table with the following:

<b>Total number of Disciplinary hearings finalised</b>	None
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*Table 3.12.3 Types of misconduct addressed at disciplinary hearings for the period 1 April 2020 and 31 March 2021*

Type of misconduct	Number	% of total
Absentieesm	8	66
Fraud	1	9
Dishonesty	3	25
<b>Total</b>	<b>12</b>	<b>100</b>

*Table 3.12.4 Grievances logged for the period 1 April 2020 and 31 March 2021*

Grievances	Number	% of Total
Number of grievances resolved	6	66
Number of grievances not resolved	3	33
<b>Total number of grievances lodged</b>	<b>9</b>	<b>100</b>

*Table 3.12.5 Disputes logged with Councils for the period 1 April 2020 and 31 March 2021*

Disputes	Number	% of Total
Number of disputes upheld	2	50
Number of disputes dismissed	2	50
<b>Total number of disputes lodged</b>	<b>4</b>	<b>100</b>

*Table 3.12.6 Strike actions for the period 1 April 2020 and 31 March 2021*

<b>Total number of persons working days lost</b>	0
<b>Total costs working days lost</b>	0
<b>Amount recovered as a result of no work no pay (R'000)</b>	0

*Table 3.12.7 Precautionary suspensions for the period 1 April 2020 and 31 March 2021*

<b>Number of people suspended</b>	0
<b>Number of people who's suspension exceeded 30 days</b>	0
<b>Average number of days suspended</b>	0
<b>Cost of suspension(R'000)</b>	0

### 3.13 Skills development

This section highlights the efforts of the department with regard to skills development.

*Table 3.13.1 Training needs identified for the period 1 April 2020 and 31 March 2021*

Occupational category	Gender	Number of employees as at 1 April 2020	Training needs identified at start of the reporting period			
			Learnerships	Skills Programmes & other short courses	Other forms of training	Total
Legislators, senior officials and managers	Female	9	0	10	0	10
	Male	14	0	10	0	10
Professionals	Female	56	0	5	0	5
	Male	30	0	5	0	5
Technicians and associate professionals	Female	440	0	3	0	3
	Male	461	0	3	0	3
Clerks	Female	213	0	10	0	10
	Male	76	0	10	0	10
Service and sales workers	Female	17	0	2	0	2
	Male	30	0	2	0	2
Skilled agriculture and fishery workers	Female	415	0	1	0	1
	Male	431	0	1	0	1
Craft and related trades workers	Female	0	0	2	0	2
	Male	9	0	2	0	2
Plant and machine operators and assemblers	Female	1	0	2	0	2
	Male	14	0	2	0	2
Elementary occupations	Female	61	0	2	0	2
	Male	60	0	2	0	2
Sub Total	Female	1212	0	37	0	37
	Male	1125	0	37	0	37
<b>Total</b>		<b>2337</b>	<b>0</b>	<b>74</b>	<b>0</b>	<b>74</b>

*Table 3.13.2 Training provided for the period 1 April 2020 and 31 March 2021*

Occupational category	Gender	Number of employees as at 1 April 2020	Training provided within the reporting period			
			Learnerships	Skills Programmes & other short courses	Other forms of training	Total
Legislators, senior officials and managers	Female	9	0	1	0	5
	Male	14	0	1	0	8
Professionals	Female	56	0	0	0	0
	Male	30	0	0	0	0
Technicians and associate professionals	Female	440	0	0	0	0
	Male	461	0	0	0	0
Clerks	Female	213	0	0	0	0
	Male	76	0	0	0	0
Service and sales workers	Female	17	0	0	0	0
	Male	30	0	0	0	0
Skilled agriculture and fishery workers	Female	415	0	0	0	0
	Male	431	0	0	0	0
Craft and related trades workers	Female	0	0	0	0	0
	Male	9	0	0	0	0
Plant and machine operators and assemblers	Female	1	0	1	0	1
	Male	14	0	1	0	1
Elementary occupations	Female	61	0	1	0	1
	Male	60	0	1	0	1
Sub Total	Female	1212	0	3	0	7
	Male	1125	0	3	0	10
<b>Total</b>		<b>2337</b>	<b>0</b>	<b>06</b>	<b>0</b>	<b>17</b>

### 3.14 Injury on duty

The following tables provide basic information on injury on duty.

*Table 3.14.1 Injury on duty for the period 1 April 2020 and 31 March 2021*

Nature of injury on duty	Number	% of total
Required basic medical attention only	7	88%
Temporary Total Disablement	0	0%
Permanent Disablement	0	0%
Fatal	1	12%
<b>Total</b>	<b>8</b>	<b>100%</b>

### 3.15 Utilisation of Consultants

The following tables relates information on the utilisation of consultants in the department. In terms of the Public Service Regulations "consultant" means a natural or juristic person or a partnership who or which provides in terms of a specific contract on an ad hoc basis any of the following professional services to a department against remuneration received from any source:

- (a) The rendering of expert advice;
- (b) The drafting of proposals for the execution of specific tasks; and
- (c) The execution of a specific task which is of a technical or intellectual nature, but excludes an employee of a department.

*Table 3.15.1 Report on consultant appointments using appropriated funds for the period 1 April 2020 and 31 March 2021*

Project title	Total number of consultants that worked on project	Duration (work days)	Contract value in Rand
None	None	None	None

Total number of projects	Total individual consultants	Total duration Work days	Total contract value in Rand
None	None	None	None

*Table 3.15.2 Analysis of consultant appointments using appropriated funds, in terms of Historically Disadvantaged Individuals (HDIs) for the period 1 April 2020 and 31 March 2021*

Project title	Percentage ownership by HDI groups	Percentage management by HDI groups	Number of consultants from HDI groups that work on the project
None	None	None	None

*Table 3.15.3 Report on consultant appointments using Donor funds for the period 1 April 2020 and 31 March 2021*

Project title	Total Number of consultants that worked on project	Duration (Work days)	Donor and contract value in Rand
None	None	None	None

Total number of projects	Total individual consultants	Total duration Work days	Total contract value in Rand
None	None	None	None

*Table 3.15.4 Analysis of consultant appointments using Donor funds, in terms of Historically Disadvantaged Individuals (HDIs) for the period 1 April 2020 and 31 March 2021*

Project title	Percentage ownership by HDI groups	Percentage management by HDI groups	Number of consultants from HDI groups that work on the project
None	None	None	None

### 3.16 Severance Packages

*Table 3.16.1 Granting of employee initiated severance packages for the period 1 April 2020 and 31 March 2021*

Salary band	Number of applications received	Number of applications referred to the MPSA	Number of applications supported by MPSA	Number of packages approved by department
Lower skilled (Levels 1-2)	0	0	0	0
Skilled Levels 3-5)	0	0	0	0
Highly skilled production (Levels 6-8)	0	0	0	0
Highly skilled supervision(Levels 9-12)	0	0	0	0
Senior management (Levels 13-16)	0	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

# **PART E: FINANCIAL INFORMATION**



## Report of the auditor-general to the North West Provincial Legislature on vote no. 5: Department of Community Safety and Transport Management

### Report on the audit of the financial statements

#### Qualified opinion

1. I have audited the financial statements of the North West Department of Community Safety and Transport Management set out on pages xx to xx, which comprise the appropriation statement, statement of financial position as at 31 March 2021, statement of financial performance, statement of changes in net assets and cash flow statement for the year then ended, as well as the notes to the financial statements, including a summary of significant accounting policies.
2. In my opinion, except for the effects of the matters described in the basis for qualified opinion section of this auditor's report, the financial statements present fairly, in all material respects, the financial position of the North West Department of Community Safety and Transport Management as at 31 March 2021, and its financial performance and cash flows for the year then ended in accordance with the Modified Cash Standard (MCS) prescribed by National Treasury and the requirements of the Public Finance Management Act 1 of 1999 (PFMA) and the Division of Revenue Act 4 of 2020 (Dora).

#### Basis for qualified opinion

##### Transfers and subsidies

3. I was unable to obtain sufficient appropriate audit evidence that transfers and subsidies paid to public corporations and private enterprises had been properly accounted for, due to a lack of adequate evidence to substantiate that payments made were for services actually rendered by commuter bus companies. I was unable to confirm these transfers and subsidies by alternative means. Consequently, I was unable to determine whether any adjustment was necessary to the expense classified as public corporations and private enterprises included in transfers and subsidies of R692 290 000 (2020: R685 379 000) as disclosed in note 9 to the financial statements.

##### Goods and services

4. The department did not correctly recognise all payments made for transport provided as part of the departmental activities in accordance with the MCS chapter 8 - *expenditure* in the current year as payments were made on kilometres not travelled by scholar bus companies. As the department did not maintain adequate records of these payments, I was unable to determine the full extent of the overstatement of payments made for transport provided as part of the departmental activities of R289 760 000 that should have been presented in the statement of financial performance and disclosed in note 6 to the financial statements as it was impracticable to do so.

5. During 2020, I was unable to obtain sufficient appropriate audit evidence for goods and services due to the status of accounting records. The department did not have adequate systems of internal control to ensure payments made were for goods and services actually received. I was unable to confirm the expenditure by alternative means. Consequently, I was unable to determine whether any adjustment was necessary to the corresponding figure of goods and services stated at R1 007 451 000. My audit opinion on the financial statements for the period ended 31 March 2020 was modified accordingly. My opinion on the current year financial statements was also modified because of the possible effect of this matter on the comparability of the goods and services for the current period.

### Prepayments and advances

6. The department did not correctly recognise all payments made for goods or services not yet received as prepayments and advances in accordance with the MCS chapter 9 – *general departmental assets and liabilities* in the current year and prior years. As the department did not maintain adequate records of prepayments and advances made for goods or services not yet received at year-end, I was unable to determine the full extent of the understatement of prepayments and advances that should have been presented in the statement of financial position or the overstatement of advances paid (expensed) of R67 856 000 (2020: R67 856 000) disclosed in note 14.4 to the financial statements as it was impracticable to do so.

### Context for the opinion

7. I conducted my audit in accordance with the International Standards on Auditing (ISAs). My responsibilities under those standards are further described in the auditor-general's responsibilities for the audit of the financial statements section of this auditor's report.
8. I am independent of the department in accordance with the International Ethics Standards Board for Accountants' *International code of ethics for professional accountants (including International Independence Standards)* (IESBA code) as well as other ethical requirements that are relevant to my audit in South Africa. I have fulfilled my other ethical responsibilities in accordance with these requirements and the IESBA code.
9. I believe that the audit evidence I have obtained is sufficient and appropriate to provide a basis for my qualified opinion.

### Emphasis of matters

10. I draw attention to the matters below. My opinion is not modified in respect of these matters.

### Unauthorised, irregular and fruitless and wasteful expenditure

11. As disclosed in note 11 to the financial statements, unauthorised expenditure of R13 662 000 in respect of prior year had not yet been resolved.
12. As disclosed in note 31 to the financial statements, irregular expenditure of R72 321 000 was incurred in the current year and irregular expenditure of R6 559 770 000 from prior years was still under investigation.

### Restatement of corresponding figures

13. As disclosed in note 44 to the financial statements, the corresponding figures for 31 March 2020 were restated as a result of errors in the financial statements of the department at, and for the year ended, 31 March 2021.

### Other matter

14. I draw attention to the matter below. My opinion is not modified in respect of this matter.



## Unaudited supplementary schedules

15. The supplementary information set out on pages XX to XX does not form part of the financial statements and is presented as additional information. I have not audited these schedules and, accordingly, I do not express an opinion on them.

## Responsibilities of the accounting officer for the financial statements

16. The accounting officer is responsible for the preparation and fair presentation of the financial statements in accordance with the MCS prescribed by National Treasury the requirements of the PFMA and Dora and for such internal control as the accounting officer determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.
17. In preparing the financial statements, the accounting officer is responsible for assessing the department's ability to continue as a going concern, disclosing, as applicable, matters relating to going concern and using the going concern basis of accounting unless the appropriate governance structure either intends to liquidate the department or to cease operations, or there is no realistic alternative but to do so.

## Auditor-general's responsibilities for the audit of the financial statements

18. My objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes my opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with ISAs will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.
19. A further description of my responsibilities for the audit of the financial statements is included in the annexure to this auditor's report.

## Report on the audit of the annual performance report

### Introduction and scope

20. In accordance with the Public Audit Act 25 of 2004 (PAA) and the general notice issued in terms thereof, I have a responsibility to report on the usefulness and reliability of the reported performance information against predetermined objectives for selected programmes presented in the annual performance report. I performed procedures to identify findings but not to gather evidence to express assurance.
21. My procedures address the usefulness and reliability of the reported performance information, which must be based on the department's approved performance planning documents. I have not evaluated the completeness and appropriateness of the performance indicators included in the planning documents. My procedures do not examine whether the actions taken by the department enabled service delivery. My procedures do not extend to any disclosures or assertions relating to the extent of achievements in the current year or planned performance strategies and information in respect of future periods that may be included as part of the reported performance information. Accordingly, my findings do not extend to these matters.
22. I evaluated the usefulness and reliability of the reported performance information in accordance with the criteria developed from the performance management and reporting framework, as defined in the general notice, for the following selected programme presented in the annual performance report of the department for the year ended 31 March 2021:

Programme	Pages in the annual performance report
Programme 3 - Transport operations	x – x

23. I performed procedures to determine whether the reported performance information was properly presented and whether performance was consistent with the approved performance planning documents. I performed further procedures to determine whether the indicators and related targets were measurable and relevant, and assessed the reliability of the reported performance information to determine whether it was valid, accurate and complete.
24. The material findings on the usefulness and reliability of the performance information of the selected programme are as follows:

### Programme 3 – Transport operations

#### Various indicators

25. The indicators listed below are not well defined as the source information for achieving the planned indicator was not clearly defined.
26. The planned targets for these indicators were also not specific in clearly identifying the nature and required level of performance and not measurable.
27. The achievements reported in the annual performance report materially differed from the supporting evidence provided. In addition, I was unable to obtain sufficient appropriate audit evidence to support the reasons for the deviations between the planned target and reported achievements for these indicators. This was due to limitations placed on the scope of my work as evidence to support the reasons for deviations was not submitted. I was unable to confirm the reasons for variances by alternative means. Consequently, I was unable to determine whether any adjustments to the reported reasons for deviations for the following indicators were necessary.

Indicator description	Planned target	Reported achievement	Audited value
Number of NMT beneficiaries in villages	10	0	1258
Number of schools subsidized with learner transport.	400	295	Unquantifiable

#### Number of road safety awareness interventions implemented

28. The achievement of 2 number of road safety interventions implemented was reported against target of 2 number of road safety interventions implemented in the annual performance report. However, some supporting evidence provided materially differed from the reported achievement.

#### Number of commuter routes subsidized

29. The source information and method of calculation for the achievement of the planned indicator was not clearly defined and the type of routes to be subsidized were not specified. In addition, I was unable to obtain sufficient appropriate audit evidence for the reported reason for variance between the planned target and reported achievement. This was due to limitations placed on the scope of my work as evidence to support the variances were not submitted. I was unable to

confirm the reason for deviation by alternative means. Consequently, I was unable to determine whether any adjustment to the reported reason for deviation was required.

## **Other matters**

30. I draw attention to the matters below.

### **Achievement of planned targets**

31. Refer to the annual performance report on pages xx to xx for information on the achievement of planned targets for the year and management's explanations provided for the under/overachievement of targets. This information should be considered in the context of the material findings on the usefulness and reliability of the reported performance information in paragraphs 25 to 29 of this report.

## **Report on audit of compliance with legislation**

### **Introduction and scope**

32. In accordance with the PAA and the general notice issued in terms thereof, I have a responsibility to report material findings on the department's compliance with specific matters in key legislation. I performed procedures to identify findings but not to gather evidence to express assurance.

33. The material findings on compliance with specific matters in key legislation are as follows:

### **Strategic planning and performance management**

34. Specific information systems were not implemented to enable the monitoring of progress made towards achieving targets, core objectives and service delivery as required by public service regulation 25(1)(e)(i) and (iii).

### **Financial statements**

35. The financial statements submitted for auditing were not prepared in accordance with the prescribed financial reporting framework and supported by full and proper records, as required by section 40(1)(a) and (b) of the PFMA. Material misstatements of disclosure items identified by the auditors in the submitted financial statements were corrected and the supporting records were provided subsequently, but the uncorrected material misstatements and supporting records that could not be provided resulted in the financial statements receiving a qualified opinion.

### **Expenditure management**

36. Effective and appropriate steps were not taken to prevent irregular expenditure of R72 321 000, as disclosed in note 31 to the financial statements, as required by section 38(1)(c)(ii) of the PFMA and treasury regulation 9.1.1. The majority of the irregular expenditure was caused by non-compliance with treasury regulation 16A6.1.

37. Effective internal controls were not in place for approval and processing of payments, as required by treasury regulation 8.1.1.

38. Payments were not made within 30 days or an agreed period after receipt of an invoice, as required by treasury regulation 8.2.3.

### **Consequence management**

39. I was unable to obtain sufficient appropriate audit evidence that disciplinary steps were taken against officials who had incurred irregular, fruitless and wasteful expenditure as required by



section 38(1)(h)(iii) of the PFMA. This was due to proper and complete records that were not maintained as evidence to support the investigations into irregular expenditure.

### Procurement and contract management

40. I was unable to obtain sufficient appropriate audit evidence that persons in service of other state institutions who had a private or business interest in contracts awarded by the department did not participate in the process relating to that contract as required by treasury regulation 16A8.4.

### Other information

41. The accounting officer is responsible for the other information. The other information comprises the information included in the annual report which includes the director's report, the audit committee's report. The other information does not include the financial statements, the auditor's report and those selected programmes presented in the annual performance report that have been specifically reported in this auditor's report.

42. My opinion on the financial statements and findings on the reported performance information and compliance with legislation do not cover the other information and I do not express an audit opinion or any form of assurance conclusion on it.

43. In connection with my audit, my responsibility is to read the other information and, in doing so, consider whether the other information is materially inconsistent with the financial statements and the selected programmes presented in the annual performance report, or my knowledge obtained in the audit, or otherwise appears to be materially misstated.

44. I did not receive the other information prior to the date of this auditor's report. After I receive and read this information, and if I conclude that there is a material misstatement, I am required to communicate the matter to those charged with governance and request that the other information be corrected. If the other information is not corrected, I may have to retract this auditor's report and re-issue an amended report as appropriate. However, if it is corrected this will not be necessary.

### Internal control deficiencies

45. I considered internal control relevant to my audit of the financial statements, reported performance information and compliance with applicable legislation; however, my objective was not to express any form of assurance on it. The matters reported below are limited to the significant internal control deficiencies that resulted in the basis for qualified opinion, the findings on the performance report and the findings on compliance with legislation included in this report.

- Leadership did not maintain adequate oversight and monitoring controls to ensure adherence to internal policies and procedures, reliability of quarterly performance reporting and the monitoring of the post audit action plan. Furthermore, leadership did not address control deficiencies as identified in the prior year.
- Management did not generate accurate and complete internal reports to support reported results and compliance with legislation, whilst the lack of adequate internal controls compounds the deficiencies. Consequently, management was unable to prepare financial statements and performance reports that were free from material misstatements and to ensure compliance with laws and regulations. Management were unable to implement recommendations and corrective actions to ensure an effective internal control environment.

## Material irregularities

46. In accordance with the PAA and the Material Irregularities Regulations, I have a responsibility to report on material irregularities identified during the audit and on the status of the material irregularities reported in the previous year's auditor's report.

### Material irregularity identified during the audit

47. The material irregularities identified are as follows:

#### Overpayments due to incorrect escalation factor used on invoices

48. The North West Government entered into negotiated contracts with commuter bus operators. These operators are paid using the Public Transport Operations Grant (PTOG). In terms of the agreements, the operator will be paid monthly based on actual kilometres operated multiplied by the rate per kilometre as specified in the contract. The rates are based on a fixed rate per kilometre as stipulated in the agreement and adjusted by an escalation factor based on the wholesale diesel price and the consumer price index (CPI). Effective internal controls were not in place for the approval and processing of the payments in relation to these contracts as required by treasury regulation 8.1.1, which resulted in overpayments to a commuter bus operator who incorrectly applied the escalation factor. A total of R287 510 000 was paid to the operator during the previous year and R253 304 000 during the current year. The non-compliance is likely to result in a material financial loss if not recovered from the suppliers.

49. The accounting officer was notified of this material irregularity on 1 March 2020 and invited to make a written submission on the actions that will be taken to address the matter. The following actions have been taken:

- All claims paid from September 2020 are based upon the contracted formula and therefore no further overpayments were made after this date.
- The department appointed an external investigator to investigate and quantify the overpayments. The overpayments will then be recovered from the supplier in terms of clause 29 of the contract. The investigation is expected to be finalised by 30 September 2021.

50. Upon completion of the investigation the accounting officer plans to institute disciplinary action against officials found responsible for the loss and recover all monies lost. I will follow up on the investigation and the implementation of the planned actions during my next audit.

### Status of previously reported material irregularities

#### Payments made for flight subsidies and route marketing services that were not received

51. A contract for R407 221 142 was awarded in March 2015 for the introduction of scheduled flights to the Mahikeng and Pilanesberg airports. The department made payments of R202 635 051 in relation to this contract in previous years, which included payments for flight subsidies, route marketing services and other costs relating to the operations of these airports. Effective internal controls were not in place for the approval and processing of payments in relation to these contracts as required by treasury regulation 8.1.1, resulting in some suppliers being paid for services not delivered. The non-compliance is likely to result in a material financial loss if not recovered from the suppliers.

52. The accounting officer was notified of this material irregularity on 13 February 2020. The following actions have been taken to resolve the material irregularity:

- The contract was terminated on 18 June 2018 and no further payments were made.
- Two criminal cases were opened, one with the South African Police Service in 2017 and one with the Directorate for Priority Crime Investigation in May 2020. The Accounting



Officer is following up on a regular basis with the Directorate for Priority Crime Investigation regarding the status of the investigation, however the investigation is still in progress.

- The department also approached National Treasury in September 2019 to investigate the matter. As a result, National Treasury commissioned an external forensic investigation in October 2019. This forensic investigation is still in progress.

53. Upon completion of the investigations the accounting officer plans to institute disciplinary action against the officials found responsible for the loss and recover all monies lost. I will follow up on the investigations and the implementation of the planned actions during my next audit.

#### **Participation in a contract for learner driver training services of another department – procurement beyond scope of contract**

54. The department, by opting to participate in a contract awarded by the Free State Department of Police, Roads and Transport, awarded a R35 000 000 contract for the learner driver training and capacitation program in October 2015. However, the department did not comply with the requirements of Treasury Regulation 16A6.6 since the department procured beyond the scope of the original contract. A payment of R21 318 570 was made in November 2015 to the supplier in relation to this contract for services not received. By participating in the contract the department did not evaluate the ability of the supplier to deliver the required services. The payment made is likely to result in a financial loss if not recovered from the supplier.

55. The accounting officer was notified of the material irregularity on 15 July 2019, the following actions have been taken to resolve the material irregularity:

- The former accounting officer was identified as the responsible official and the support of the Office of the Premier was requested to take further actions against the former Accounting Officer.
- A criminal case was opened with the Directorate for Priority Crimes Investigation (Hawks) on the matter in 2017 and the investigations was concluded, however the Director of Public Prosecutions: North West, declined to prosecute.
- A civil claim for the recovery of the loss was instituted against the supplier on 8 September 2017. A summons will be issued for the defendant to appear in court in Pretoria following the establishment of his whereabouts in August 2021. I will follow up on the progress relating to civil claim proceeding and any actions emanating from the them during my next audit.

#### **Procurement process not followed to appoint scholar transport suppliers**

56. The department paid scholar transport operators without following a procurement process as prescribed by Treasury Regulation 16A6.1. The department paid R1 113 270 000 in the previous financial years to the respective suppliers. The payments made to these suppliers are likely to result in a material financial loss as the price paid for scholar transport is significantly higher than the market price. This non-compliance is likely to result in a material financial loss if not recovered from the suppliers

57. The accounting officer was notified of the material irregularity on 15 July 2019, the following actions have been taken to resolve the material irregularity :

- The Special Investigation Unit (SIU) has extended the scope of an investigation on a previous scholar transport tender to the current scholar transport matter in terms of proclamation number R.31 of 2019 (Government gazette number 42562). This investigation is still in progress.
- National Treasury instituted a forensic investigation which was finalised in February 2020. The accounting officer received the report in September 2020 and the accounting officer implemented the following recommendations.

- An application was made and judgement was issued by the High Court on 17 December 2019 to set aside the contract, that any service level agreement with the suppliers be declared void and that the department is to publish a new invitation to bid by 30 November 2020. The department terminated the agreements and entered into new agreements on a month to month basis however the department did not finalise the tender process by 30 November 2020. The new tender was advertised on 9 April 2021.
- The report was referred to the Office of the Premier to determine further actions against the former Accounting Officer, if they are in the employment of the state or public sector.
- The department stipulated the mode of transport to be used to ferry learners to school in the contracts signed between the operators and the department which determine rate per kilometre that the department will pay for the respective scholar transport operators. Therefore appropriate transport vehicles are used by the operators to transport children, which exclude cars with limited capacity.
- The department request roadworthy certificates from the operators every 6 months however the department should follow-up on roadworthy certificates not submitted by the transport operators to the department.
- A formal policy and standard operating procedures regulating the issue of intervention notices was included in the Standard Operating Procedures.
- Bidders should disclose any involvement in other entities, which the department should take cognizance of when allocations to operators are finalized
- The department should ensure that operators are not awarded routes in more than one district to ensure a fair and equitable distribution.
- The department should prohibit state employees (directly or indirectly) to participate in such tenders.

58. The accounting officer plans to take further actions based on the outcome of the SIU investigation once completed. I will follow up on the investigation and the implementation of the planned actions during my next audit.

#### **Payments made for scholar transport services that were not received**

59. The department paid R1 113 270 000 in the previous financial years to the respective suppliers. Effective internal controls were not in place for the approval and processing of these payments as required by Treasury Regulation 8.1.1, resulting in some suppliers being paid for services not delivered. This non-compliance is likely to result in a material financial loss if not recovered from the suppliers.

60. The accounting officer was notified of the material irregularity on 15 July 2019, the following actions have been taken to resolve the material irregularity :

- The department has through the provincial internal audit commissioned route verifications. As a result of these verifications, payments made to suppliers from 15 October 2019 were based on actual verified kilometres and the rate per kilometre adjusted to the correct bus capacities.
- The Special Investigation Unit (SIU) has extended the scope of an investigation on a previous scholar transport tender to the current scholar transport matter in terms of proclamation number R.31 of 2019 (Government gazette number 42562). This investigation is still in progress.
- National Treasury instituted a forensic investigation on this matter which was finalised in February 2020 and the accounting officer received the report in September 2020. The accounting officer will implement the following recommendations from this investigation by April 2022:

- Operators should record the actual distances travelled.
- Installation of tracking system by the bidders logging that the stipulated route was traveled, pick-up and drop off was on time and scholars were at school on time. Furthermore, the tracking or similar device should track speed travelled and braking abnormalities.
- Route verification with the North West Department of Education on an annual basis should determine the need for budgeting purposes. The North West Department of Education should verify the routes and distances.
- The department should determine if the excessive kilometres claimed by operators are administrative errors, oversight or intentional to prejudice the department.
- The department aligned the transport operator's contract to verified route distances which will be regarded as actual kilometres travelled by the transport operators.

61. The accounting officer plans to take further actions based on the outcome of the SIU investigation once completed. I will follow up on the investigation and the implementation of the planned actions during my next audit..

*Auditor General*

Potchefstroom

31 August 2021



AUDITOR - GENERAL  
SOUTH AFRICA

*Auditing to build public confidence*

## Annexure – Auditor-general’s responsibility for the audit

1. As part of an audit in accordance with the ISAs, I exercise professional judgement and maintain professional scepticism throughout my audit of the financial statements, and the procedures performed on reported performance information for selected programmes and on the department’s compliance with respect to the selected subject matters.

### Financial statements

2. In addition to my responsibility for the audit of the financial statements as described in the auditor’s report, I also:
  - identify and assess the risks of material misstatement of the financial statements whether due to fraud or error, design and perform audit procedures responsive to those risks, and obtain audit evidence that is sufficient and appropriate to provide a basis for my opinion. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control.
  - obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the department’s internal control.
  - evaluate the appropriateness of accounting policies used and the reasonableness of accounting estimates and related disclosures made by the accounting officer.
  - conclude on the appropriateness of the accounting officer’s use of the going concern basis of accounting in the preparation of the financial statements. I also conclude, based on the audit evidence obtained, whether a material uncertainty exists related to events or conditions that may cast significant doubt on the ability of the North West Department of Community Safety and Transport Management to continue as a going concern. If I conclude that a material uncertainty exists, I am required to draw attention in my auditor’s report to the related disclosures in the financial statements about the material uncertainty or, if such disclosures are inadequate, to modify the opinion on the financial statements. My conclusions are based on the information available to me at the date of the auditor’s report. However, future events or conditions may cause a department to cease to continue as a going concern.
  - evaluate the overall presentation, structure and content of the financial statements, including the disclosures, and whether the financial statements represent the underlying transactions and events in a manner that achieves fair presentation.

### Communication with those charged with governance

3. I communicate with the accounting officer regarding, among other matters, the planned scope and timing of the audit and significant audit findings, including any significant deficiencies in internal control that I identify during my audit.
4. I also provide the accounting officer with a statement that I have complied with relevant ethical requirements regarding independence, and to communicate to them all relationships and other matters that may reasonably be thought to have a bearing on my independence and where applicable, actions taken to eliminate threats or safeguards applied.

**APPROPRIATION STATEMENT  
FOR THE YEAR ENDED 31 MARCH 2021**

Appropriation per programme	2020/21				2019/20				
	Adjusted Appropriation R'000	Shifting of Funds	Virement	Final Appropriation R'000	Actual Expenditure R'000	Variance R'000	Expenditure as % of final appropriation	Final Appropriation R'000	Actual Expenditure R'000
<b>Voted funds and Direct charges</b>									
<b>Programme</b>									
1. <a href="#">ADMINISTRATION</a>	327 032	-	(15 600)	311 432	298 881	12 551	96,0%	365 944	365 839
2. <a href="#">PROVINCIAL SECRETARIAT FOR POLICE SERVICE</a>	37 611	-	-	37 611	36 816	795	97,9%	42 666	42 565
3. <a href="#">TRANSPORT OPERATIONS</a>	1 128 847	-	15 600	1 144 447	1 121 854	22 593	98,0%	1 351 815	1 346 168
4. <a href="#">TRANSPORT REGULATIONS</a>	577 846	-	-	577 846	572 078	5 768	99,0%	624 682	624 066
<b>Programme sub total</b>	<b>2 071 336</b>	<b>-</b>	<b>-</b>	<b>2 071 336</b>	<b>2 029 629</b>	<b>41 707</b>	<b>98,0%</b>	<b>2 385 107</b>	<b>2 378 638</b>
<b>TOTAL</b>	<b>2 071 336</b>	<b>-</b>	<b>-</b>	<b>2 071 336</b>	<b>2 029 629</b>	<b>41 707</b>	<b>98,0%</b>	<b>2 385 107</b>	<b>2 378 638</b>
<b>Add:</b>									
Departmental receipts								30 314	
<b>Actual amounts per Statement of Financial Performance (Total Revenue)</b>				<b>2 071 336</b>				<b>2 415 421</b>	
<b>Actual amounts per Statement of Financial Performance Expenditure</b>					<b>2 029 629</b>				<b>2 378 638</b>
<b>Appropriation per economic classification</b>									
	2020/21				2019/20				
	Adjusted Appropriation R'000	Shifting of Funds	Virement	Final Appropriation R'000	Actual Expenditure R'000	Variance R'000	Expenditure as % of final appropriation	Final Appropriation R'000	Actual Expenditure R'000
<b>Current payments</b>	<b>1 372 793</b>	<b>(18 553)</b>	<b>(15 600)</b>	<b>1 338 640</b>	<b>1 308 240</b>	<b>30 400</b>	<b>97,7%</b>	<b>1 652 820</b>	<b>1 648 903</b>
Compensation of employees	666 007	(2 733)	(5 000)	658 274	647 910	10 364	98,4%	640 498	640 278

Salaries and wages	583 211	(20 062)	(5 000)	558 149	551 793	6 356	98,9%	550 277	550 176
Social contributions	82 796	17 329	-	100 125	96 117	4 008	96,0%	90 221	90 102
Goods and services	706 786	(15 820)	(10 600)	680 366	660 330	20 036	97,1%	1 012 322	1 007 451
Administrative fees	50 524	10 643	(6 900)	54 267	51 710	2 557	95,3%	71 673	71 297
Advertising	3 082	1 856	-	4 938	4 429	509	89,7%	6 991	6 991
Minor assets	1 300	-	-	1 300	1 131	169	87,0%	887	887
Audit costs: External	6 217	2 872	-	9 089	9 089	-	100,0%	17 608	17 607
Bursaries: Employees	376	-	-	376	360	16	95,7%	446	446
Catering: Departmental activities	283	(89)	-	194	194	-	100,0%	2 307	2 305
Communication (G&S)	9 522	(1 449)	-	8 073	7 183	890	89,0%	9 958	10 074
Computer services	459	4 648	-	5 107	4 980	127	97,5%	4 701	4 701
Consultants: Business and advisory services	15 006	(176)	-	14 830	13 558	1 272	91,4%	20 539	20 539
Legal services	10 436	(8 917)	-	1 519	1 336	183	88,0%	12 684	12 684
Contractors	14 385	(7 193)	-	7 192	6 558	634	91,2%	8 448	8 448
Agency and support / outsourced services	4 509	320	-	4 829	3 928	901	81,3%	6 729	6 729
Fleet services (including government motor transport)	160 576	(11 920)	-	148 656	148 305	351	99,8%	131 464	131 459
Inventory: Fuel, oil and gas supplies	-	-	-	-	-	-	-	65	65
Inventory: Materials and supplies	-	-	-	-	-	-	-	1	1
Inventory: Medical supplies	-	-	-	-	557	(557)	-	-	800
Consumable supplies	21 774	(6 975)	-	14 799	8 357	6 442	56,5%	6 196	6 188
Consumable: Stationery, printing and office supplies	2 931	1 286	-	4 217	2 709	1 508	64,2%	6 635	5 832
Operating leases	52 965	(590)	(2 000)	50 375	49 356	1 019	98,0%	59 835	59 831
Property payments	36 411	139	(1 700)	34 850	35 045	(195)	100,6%	42 779	41 967
Transport provided: Departmental activity	297 880	(5 932)	-	291 948	289 760	2 188	99,3%	562 050	558 404
Travel and subsistence	15 919	5 601	-	21 520	20 415	1 105	94,9%	34 185	34 055
Training and development	1 580	(1 033)	-	547	462	85	84,5%	3 123	3 123
Operating payments	348	1 068	-	1 416	708	708	50,0%	1 111	1 110
Venues and facilities	260	(21)	-	239	115	124	48,1%	1 349	1 350
Rental and hiring	43	42	-	85	85	-	100,0%	558	558
Interest and rent on land	-	-	-	-	-	-	-	-	1 174
Rent on land	-	-	-	-	-	-	-	-	1 174
<b>Transfers and subsidies</b>	<b>671 127</b>	<b>18 180</b>	<b>15 600</b>	<b>704 907</b>	<b>704 302</b>	<b>605</b>	<b>99,9%</b>	<b>695 528</b>	<b>695 153</b>
Departmental agencies and accounts	4 822	(429)	-	4 393	4 381	12	99,7%	4 769	4 769
Departmental agencies	4 822	(429)	-	4 393	4 381	12	99,7%	4 769	4 769



Programme 1: ADMINISTRATION									
2020/21									
Sub programme	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
1. <u>OFFICE OF THE MEC</u>	17 755	1 750	-	19 505	18 786	719	96,3%	19 604	19 507
2. <u>OFFICE OF THE HOD</u>	5 296	(296)	-	5 000	4 075	925	81,5%	4 206	4 206
3. <u>FINANCIAL MANAGEMENT</u>	167 873	2 826	(8 900)	161 799	158 384	3 415	97,9%	208 650	208 646
4. <u>CORPORATE SUPPORT</u>	80 873	2 885	(2 600)	81 158	74 139	7 019	91,4%	82 139	82 136
5. <u>LEGAL SERVICES</u>	15 175	(7 361)	-	7 814	7 232	582	92,6%	10 148	10 147
6. <u>SECURITY</u>	40 060	196	(4 100)	36 156	36 265	(109)	100,3%	41 197	41 197
	<b>327 032</b>	<b>-</b>	<b>(15 600)</b>	<b>311 432</b>	<b>298 881</b>	<b>12 551</b>	<b>96,0%</b>	<b>365 944</b>	<b>365 839</b>
<b>Economic classification</b>									
<b>Current payments</b>	<b>322 794</b>	<b>(681)</b>	<b>(15 600)</b>	<b>306 513</b>	<b>294 097</b>	<b>12 416</b>	<b>95,9%</b>	<b>358 666</b>	<b>359 524</b>
Compensation of employees	133 882	-	(5 000)	128 882	126 660	2 222	98,3%	132 762	132 759
Salaries and wages	116 359	86	(5 000)	111 445	109 834	1 611	98,6%	116 386	116 385
Social contributions	17 523	(86)	-	17 437	16 826	611	96,5%	16 376	16 374
Goods and services	188 912	(681)	(10 600)	177 631	167 437	10 194	94,3%	225 904	225 640
Administrative fees	42 974	16 570	(6 900)	52 644	51 638	1 006	98,1%	71 673	71 297
Advertising	724	672	-	1 396	1 039	357	74,4%	1 449	1 449
Minor assets	1 300	-	-	1 300	1 131	169	87,0%	887	887
Audit costs: External	6 217	2 872	-	9 089	9 089	-	100,0%	16 995	16 994
Bursaries: Employees	376	-	-	376	360	16	95,7%	446	446
Catering: Departmental activities	31	25	-	56	56	-	100,0%	750	750
Communication (G&S)	9 353	(1 823)	-	7 530	6 643	887	88,2%	9 324	9 442
Computer services	459	-	-	459	332	127	72,3%	439	439
Consultants: Business and advisory	16	154	-	170	135	35	79,4%	336	337
Scientific and technological services	-	-	-	-	-	-	-	-	-
Legal services	10 236	(8 717)	-	1 519	1 481	38	97,5%	12 334	12 334
Contractors	-	1	-	1	1	-	100,0%	97	97
Inventory: Fuel, oil and gas	-	-	-	-	-	-	-	2	2
Inventory: Materials and supplies	-	-	-	-	-	-	-	1	1
Consumable supplies	12 453	(152)	-	12 301	6 416	5 885	52,2%	981	981
Consumable: Stationery, printing	1 876	174	-	2 050	1 855	195	90,5%	5 179	5 180
Operating leases	52 965	(590)	(2 000)	50 375	49 356	1 019	98,0%	55 932	55 928
Property payments	35 425	92	(1 700)	33 817	34 069	(252)	100,7%	38 570	38 570
Transport provided: Departmental	-	-	-	-	-	-	-	265	265
Travel and subsistence	2 679	1 074	-	3 753	3 263	490	86,9%	6 849	6 846
Training and development	1 580	(1 033)	-	547	462	85	84,5%	2 308	2 308
Operating payments	98	-	-	98	85	13	86,7%	309	308
Venues and facilities	150	-	-	150	26	124	17,3%	757	758
Rental and hiring	-	-	156	-	-	-	-	21	21



	2020/21				2019/20				
	Adjusted Appropriation R'000	Shifting of Funds R'000	Virement R'000	Final Appropriation R'000	Actual Expenditure R'000	Variance R'000	Expenditure as % of final appropriation %	Final Appropriation R'000	Actual Expenditure R'000
<b>Sub programme</b>	<b>37 611</b>	-	-	<b>37 611</b>	<b>36 816</b>	<b>795</b>	<b>97,9%</b>	<b>42 666</b>	<b>42 565</b>
1. <a href="#">PROGRAMME SUPPORT</a>	2 601	(399)	-	2 202	2 099	103	95,3%	2 478	2 437
2. <a href="#">POLICY AND RESEARCH</a>	2 299	(778)	-	1 521	1 172	349	77,1%	1 403	1 374
3. <a href="#">MONITORING AND EVALUATION</a>	11 085	3 486	-	14 571	14 474	97	99,3%	15 848	15 834
4. <a href="#">COMMUNITY POLICE RELATION</a>	4 386	25	-	4 411	4 234	177	96,0%	4 627	4 627
5. <a href="#">SAFETY PROMOTION</a>	17 240	(2 334)	-	14 906	14 837	69	99,5%	18 310	18 293
	<b>37 611</b>	-	-	<b>37 611</b>	<b>36 816</b>	<b>795</b>	<b>97,9%</b>	<b>42 666</b>	<b>42 565</b>
<b>Economic classification</b>									
<b>Current payments</b>	<b>36 461</b>	<b>(123)</b>	-	<b>36 338</b>	<b>35 558</b>	<b>780</b>	<b>97,9%</b>	<b>41 391</b>	<b>41 347</b>
Compensation of employees	30 829	(123)	-	30 706	30 105	601	98,0%	28 476	28 475
Salaries and wages	26 721	16	-	26 737	26 241	496	98,1%	24 742	24 742
Social contributions	4 108	(139)	-	3 969	3 864	105	97,4%	3 734	3 733
Goods and services	5 632	-	-	5 632	5 453	179	96,8%	12 915	12 872
Administrative fees	-	-	-	-	-	-	-	-	-
Advertising	500	403	-	903	903	-	100,0%	139	139
Minor assets	-	-	-	-	-	-	-	-	-
Audit costs: External	-	-	-	-	-	-	-	613	613
Bursaries: Employees	-	-	-	-	-	-	-	-	-
Catering: Departmental activities	152	(93)	-	59	59	-	100,0%	799	799
Communication (G&S)	119	13	-	132	131	1	99,2%	155	154
Agency and support / outsourced services	3 209	(640)	-	2 569	2 569	-	100,0%	5 422	5 422
Consumable supplies	298	360	-	658	606	52	92,1%	1 079	1 079
Consumable: Stationery, printing and office supplies	-	-	-	-	-	-	-	-	-
Transport provided: Departmental activity	-	-	-	-	-	-	-	39	39
Travel and subsistence	1 351	(104)	-	1 247	1 121	126	89,9%	4 027	3 985
Operating payments	-	-	-	-	-	-	-	6	6
Venues and facilities	-	-	-	-	-	-	-	178	178
Rental and hiring	3	61	-	64	64	-	100,0%	458	458
<b>Transfers and subsidies</b>	<b>1 150</b>	<b>123</b>	-	<b>1 273</b>	<b>1 258</b>	<b>15</b>	<b>98,8%</b>	<b>1 275</b>	<b>1 218</b>
Non-profit institutions	1 150	-	-	1 150	1 135	15	98,7%	1 080	1 080
Households	-	123	-	123	123	-	100,0%	195	138
Social benefits	-	123	-	123	123	-	100,0%	91	138
Other transfers to households	-	-	-	-	-	-	-	104	-
	<b>37 611</b>	-	-	<b>37 611</b>	<b>36 816</b>	<b>795</b>	<b>97,9%</b>	<b>42 666</b>	<b>42 565</b>

	2020/21					2019/20			
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>Sub programme</b>	<b>1 128 847</b>	<b>-</b>	<b>15 600</b>	<b>1 144 447</b>	<b>1 121 854</b>	<b>22 593</b>	<b>98,0%</b>	<b>1 351 815</b>	<b>1 346 168</b>
1. <a href="#">PROGRAMME SUPPORT OPERATIONS</a>	2 655	(267)	-	2 388	2 122	266	88,9%	2 070	2 012
2. <a href="#">PUBLIC TRANSPORT SERVICES</a>	1 022 958	4 537	15 600	1 043 095	1 038 467	4 628	99,6%	1 188 177	1 184 529
3. <a href="#">TRANSPORT SAFETY AND COMPLIANCE</a>	36 222	(7 743)	-	28 479	25 288	3 191	88,8%	29 338	29 337
4. <a href="#">TRANSPORT SYSTEMS</a>	15 371	(1 326)	-	14 045	13 369	676	95,2%	15 999	15 938
5. <a href="#">INFRASTRUCTURE OPERATIONS</a>	51 641	4 799	-	56 440	42 608	13 832	75,5%	116 231	114 352
	<b>1 128 847</b>	<b>-</b>	<b>15 600</b>	<b>1 144 447</b>	<b>1 121 854</b>	<b>22 593</b>	<b>98,0%</b>	<b>1 351 815</b>	<b>1 346 168</b>
<b>Economic classification</b>									
<b>Current payments</b>	<b>450 336</b>	<b>(15 979)</b>		<b>434 357</b>	<b>420 253</b>	<b>14 104</b>	<b>96,8%</b>	<b>658 805</b>	<b>655 045</b>
Compensation of employees	68 485	-	-	68 485	63 620	4 865	92,9%	66 770	66 716
Salaries and wages	53 242	3 033	-	56 275	54 342	1 933	96,6%	57 678	57 626
Social contributions	15 243	(3 033)	-	12 210	9 278	2 932	76,0%	9 092	9 090
Goods and services	381 851	(15 979)	-	365 872	356 633	9 239	97,5%	592 035	588 329
Administrative fees	1 550	-	-	1 550	-	1 550	-	-	-
Advertising	958	638	-	1 596	1 444	152	90,5%	3 375	3 375
Catering: Departmental activities	-	-	-	-	-	-	-	385	385
Communication (G&S)	50	65	-	115	113	2	98,3%	179	178
Computer services	-	-	-	-	-	-	-	72	72
Consultants: Business and advisory services	14 990	(330)	-	14 660	13 423	1 237	91,6%	19 172	19 171
Legal services	-	-	-	-	-	-	-	-	-
Contractors	8 335	(6 752)	-	1 583	949	634	59,9%	5	5
Agency and support / outsourced services	1 300	960	-	2 260	1 359	901	60,1%	1 307	1 307
Fleet services (including government motor transport)	46 694	(1 200)	-	45 494	45 413	81	99,8%	-	-
Consumable supplies	7 027	(5 682)	-	1 345	840	505	62,5%	372	371
Consumable: Stationery, printing and office supplies	405	736	-	1 141	385	756	33,7%	-	-
Operating leases	-	-	-	-	-	-	-	545	545
Property payments	736	-	-	736	679	57	92,3%	72	72
Transport provided: Departmental activity	297 880	(5 932)	-	291 948	289 760	2 188	99,3%	561 742	558 096
Travel and subsistence	1 876	810	-	2 686	2 204	482	82,1%	3 635	3 578
Training and development	-	-	-	-	-	-	-	815	815
Operating payments	-	720	-	720	26	694	3,6%	11	11
Venues and facilities	50	(12)	-	38	38	-	100,0%	293	293
Rental and hiring	-	-	-	-	-	-	-	55	55

<b>Transfers and subsidies</b>	<b>661 319</b>	<b>15 979</b>	<b>15 600</b>	<b>692 898</b>	<b>692 684</b>	<b>214</b>	<b>100,0%</b>	<b>685 810</b>	<b>685 743</b>
Provinces and municipalities	-	-	-	-	-	-	-	-	-
Public corporations and private enterprises	660 710	15 979	15 600	692 289	692 289	-	100,0%	685 379	685 379
Public corporations	660 710	15 979	15 600	692 289	692 289	-	100,0%	685 379	685 379
Subsidies on products and production (p)	660 710	15 979	15 600	692 289	692 289	-	100,0%	685 379	685 379
Households	609	-	-	609	395	214	64,9%	431	364
Social benefits	609	-	-	609	395	214	64,9%	431	364
Other transfers to households	-	-	-	-	-	-	-	-	-
<b>Payments for capital assets</b>	<b>17 192</b>	<b>-</b>	<b>-</b>	<b>17 192</b>	<b>8 917</b>	<b>8 275</b>	<b>51,9%</b>	<b>7 200</b>	<b>5 380</b>
Buildings and other fixed structures	17 192	-	-	17 192	8 917	8 275	51,9%	7 200	5 380
Buildings	-	-	-	-	-	-	-	-	-
Other fixed structures	17 192	-	-	17 192	8 917	8 275	51,9%	7 200	5 380
	<b>1 128 847</b>	<b>-</b>	<b>15 600</b>	<b>1 144 447</b>	<b>1 121 854</b>	<b>22 593</b>	<b>98,0%</b>	<b>1 351 815</b>	<b>1 346 168</b>

	2020/21					2019/20			
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>Sub programme</b>	<b>577 846</b>	-	-	<b>577 846</b>	<b>572 078</b>	<b>5 768</b>	<b>99,0%</b>	<b>624 682</b>	<b>624 066</b>
1. <u>PROGRAMME SUPPORT (TRAFFIC)</u>	2 539	(95)	-	2 444	2 155	289	88,2%	2 592	2 569
2. <u>OPERATOR LICENCES AND PERMIT</u>	156 843	9 016	-	165 859	164 286	1 573	99,1%	210 965	210 958
3. <u>LAW ENFORCEMENT</u>	385 883	(10 640)	-	375 243	372 137	3 106	99,2%	374 071	373 954
4. <u>TRANSPORT ADMINISTRATION AND LICENSING</u>	32 581	1 719	-	34 300	33 500	800	97,7%	37 054	36 585
	<b>577 846</b>	-	-	<b>577 846</b>	<b>572 078</b>	<b>5 768</b>	<b>99,0%</b>	<b>624 682</b>	<b>624 066</b>
<b>Economic classification</b>									
<b>Current payments</b>	<b>563 202</b>	<b>(1 770)</b>	-	<b>561 432</b>	<b>558 332</b>	<b>3 100</b>	<b>99,4%</b>	<b>593 958</b>	<b>592 987</b>
Compensation of employees	432 811	(2 610)	-	430 201	427 525	2 676	99,4%	412 490	412 328
Salaries and wages	386 889	(23 197)	-	363 692	361 376	2 316	99,4%	351 471	351 423
Social contributions	45 922	20 587	-	66 509	66 149	360	99,5%	61 019	60 905
Goods and services	130 391	840	-	131 231	130 807	424	99,7%	181 468	180 610
Administrative fees	6 000	(5 927)	-	73	72	1	98,6%	-	-
Advertising	900	143	-	1 043	1 043	-	100,0%	2 028	2 028
Catering: Departmental activities	100	(21)	-	79	79	-	100,0%	373	371
Communication (G&S)	-	296	-	296	296	-	100,0%	300	300
Computer services	-	4 648	-	4 648	4 648	-	100,0%	4 190	4 190
Consultants: Business and advisory services	-	-	-	-	-	-	-	1 031	1 031
Legal services	200	(200)	-	-	(145)	145	-	350	350
Contractors	6 050	(442)	-	5 608	5 608	-	100,0%	8 346	8 346
Agency and support / outsourced services	-	-	-	-	-	-	-	-	-
Fleet services (including government motor transport)	103 882	(720)	-	103 162	102 892	270	99,7%	131 464	131 459
Inventory: Fuel, oil and gas	-	-	-	-	-	-	-	63	63
Inventory: Materials and supplies	-	-	-	-	557	(557)	100,0%	-	800
Consumable supplies	1 996	(1 501)	-	495	495	-	100,0%	3 764	3 757
Consumable: Stationery, printing and office supplies	650	376	-	1 026	469	557	45,7%	1 456	652
Operating leases	-	-	-	-	-	-	-	3 358	3 358
Property payments	250	47	-	297	297	-	100,0%	4 137	3 325
Transport provided: Departmental activity	-	-	-	-	-	-	-	4	4
Travel and subsistence	10 013	3 821	-	13 834	13 827	7	99,9%	19 674	19 646
Training and development	-	-	-	-	-	-	-	-	-
Operating payments	250	348	-	598	597	1	99,8%	785	785
Venues and facilities	60	(9)	-	51	51	-	100,0%	121	121
Rental and hiring	40	(19)	-	21	21	-	100,0%	24	24



## Statement of Financial Performance

	<i>Note</i>	<b>2020/21 R'000</b>	<b>2019/20 R'000</b>
<b>REVENUE</b>			
Annual appropriation	<a href="#">1</a>	2 071 336	2 385 107
Statutory appropriation	<a href="#">2</a>	-	-
Departmental revenue	<a href="#">3</a>	-	30 314
NRF Receipts		-	-
Aid assistance		-	-
<b>TOTAL REVENUE</b>		<b>2 071 336</b>	<b>2 415 421</b>
<b>EXPENDITURE</b>			
<b>Current expenditure</b>			
Compensation of employees	<a href="#">5</a>	647 911	640 278
Goods and services	<a href="#">6</a>	660 329	1 007 451
Interest and rent on land	<a href="#">7</a>	-	1 174
Aid assistance	<a href="#">4</a>	-	-
<b>Total current expenditure</b>		<b>1 308 240</b>	<b>1 648 903</b>
<b>Transfers and subsidies</b>			
Transfers and subsidies	<a href="#">9</a>	704 302	695 153
Aid assistance	<a href="#">4</a>	-	-
<b>Total transfers and subsidies</b>		<b>704 302</b>	<b>695 153</b>
<b>Expenditure for capital assets</b>			
Tangible assets	<a href="#">10</a>	16 715	34 582
Intangible assets	<a href="#">10</a>	-	-
<b>Total expenditure for capital assets</b>		<b>16 715</b>	<b>34 582</b>
Unauthorised expenditure approved without funding	<a href="#">11</a>	-	-
<b>Payments for financial assets</b>	<a href="#">8</a>	-	-
<b>TOTAL EXPENDITURE</b>		<b>2 029 257</b>	<b>2 378 638</b>
<b>SURPLUS/(DEFICIT) FOR THE YEAR</b>		<b>42 079</b>	<b>36 783</b>
<b>Reconciliation of Net Surplus/(Deficit) for the year</b>			
Voted Funds		42 079	36 783
Annual appropriation		41 665	-
Conditional grants		42	-
Departmental revenue and NRF Receipts	<a href="#">19</a>	-	-
Aid assistance	<a href="#">4</a>	-	-
<b>SURPLUS/(DEFICIT) FOR THE YEAR</b>		<b>42 079</b>	<b>36 783</b>

## Statement of Financial Position

	<i>Note</i>	2020/21 R'000	2019/20 R'000
<b>ASSETS</b>			
<b>Current Assets</b>		<b>97 677</b>	<b>39 595</b>
Unauthorised expenditure		13 662	13 662
Cash an cash equivalents	<a href="#">11</a>	78 178	19 549
Other financial assets	<a href="#">12</a>	-	-
Prepayments and advances	<a href="#">13</a>	-	-
Receivables	<a href="#">14</a>	-	-
Loans	<a href="#">15</a>	5 837	6 384
Aid assistance prepayments	<a href="#">17</a>	-	-
Aid assistance receivable	<a href="#">4</a>	-	-
	<a href="#">4</a>	-	-
<b>Non-Current Assets</b>		-	-
Investments		-	-
Receivables	<a href="#">16</a>	-	-
Loans	<a href="#">15</a>	-	-
Other financial assets	<a href="#">17</a>	-	-
	<a href="#">13</a>	-	-
<b>TOTAL ASSETS</b>		<b>97 677</b>	<b>39 595</b>
<b>LIABILITIES</b>			
<b>Current Liabilities</b>		<b>92 161</b>	<b>33 847</b>
Voted funds to be surrendered to the Revenue Fund		41 707	6 469
Departmental revenue and NRF Receipts to be surrendered to the Revenue Fund	<a href="#">18</a>	25 361	6 754
Bank overdraft	<a href="#">19</a>	-	-
Payables	<a href="#">20</a>	-	-
Aid assistance repayable	<a href="#">21</a>	25 093	20 624
Aid assistance unutilised	<a href="#">4</a>	-	-
	<a href="#">4</a>	-	-
<b>Non-Current Liabilities</b>		-	-
Payables	<a href="#">22</a>	-	-
<b>TOTAL LIABILITIES</b>		<b>92 161</b>	<b>33 847</b>
<b>NET ASSETS</b>		<b>5 516</b>	<b>5 748</b>
<b>Represented by:</b>			
Capitalisation reserve		-	-
Recoverable revenue		5 516	5 748
Retained funds		-	-
Revaluation reserves		-	-
<b>TOTAL</b>		<b>5 516</b>	<b>5 748</b>

## Statement of Changes in Net Assets

NET ASSETS	<i>Note</i>	2020/21 R'000	2019/20 R'000
<b>Capitalisation Reserves</b>			
Opening balance		-	-
Transfers:			
Movement in Equity		-	-
Movement in Operational Funds		-	-
Other movements		-	-
Closing balance		-	-
<b>Recoverable revenue</b>			
Opening balance		5 748	-
Transfers		<b>-232</b>	<b>5 748</b>
Irrecoverable amounts written off	8,3	-372	-
Debts revised		-	-
Debts recovered (included in departmental receipts)		-	-
Debts raised		140	5 748
Closing balance		<b>5 516</b>	<b>5 748</b>
<b>Retained funds</b>			
Opening balance		-	-
Transferred from voted funds to be surrendered (Parliament/Legislatures ONLY)		-	-
Utilised during the year		-	-
Other		-	-
Closing balance		-	-
<b>Revaluation Reserves</b>			
Opening balance		-	-
Revaluation adjustment (Housing departments)		-	-
Transfers		-	-
Other		-	-
Closing balance		-	-
<b>TOTAL</b>		<b>5 516</b>	<b>5 748</b>

## Cash Flow Statement

	<i>Note</i>	<b>2020/21 R'000</b>	<b>2019/20 R'000</b>
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>			
Receipts		<b>2 827 945</b>	<b>3 174 748</b>
Annual appropriated funds received	1,1	2 071 336	2 385 107
Statutory appropriated funds received	<a href="#">2</a>	-	-
Departmental revenue received	<a href="#">3</a>	756 600	789 630
Interest received	3,3	9	11
NRF Receipts		-	-
Aid assistance received	<a href="#">4</a>	-	-
Net (increase)/ decrease in working capital		5 016	14 949
Surrendered to Revenue Fund		-744 471	-858 531
Surrendered to RDP Fund/Donor		-	-
Current payments		-1 308 240	-1 647 729
Interest paid	<a href="#">7</a>	-	-1 174
Payments for financial assets		-372	-
Transfers and subsidies paid		-704 302	-695 153
<b>Net cash flow available from operating activities</b>	<a href="#">23</a>	<b>75 576</b>	<b>-12 890</b>
<b>CASH FLOWS FROM INVESTING ACTIVITIES</b>			
Distribution/dividend received		-	-
Payments for capital assets	<a href="#">10</a>	-16 715	-34 582
Proceeds from sale of capital assets	3,4	-	16 484
(Increase)/ decrease in loans		-	-
(Increase)/ decrease in investments		-	-
(Increase)/ decrease in other financial assets		-	-
(Increase)/decrease in non-current receivables	<a href="#">15</a>	-	-
<b>Net cash flows from investing activities</b>		<b>-16 715</b>	<b>-18 098</b>
<b>CASH FLOWS FROM FINANCING ACTIVITIES</b>			
Increase/ (decrease) in net assets		-232	-
Increase/ (decrease) in non-current payables		-	-
<b>Net cash flows from financing activities</b>		<b>-232</b>	<b>-</b>
Net increase/ (decrease) in cash and cash equivalents		58 629	-30 988
Cash and cash equivalents at beginning of period		-30 988	-
Unrealised gains and losses within cash and cash equivalents		-	-
<b>Cash and cash equivalents at end of period</b>	<a href="#">24</a>	<b>27 641</b>	<b>-30 988</b>

## Notes to the Annual Financial Statements

1

1,1 Annual Appropriation	2020/21			2019/20		
	Final Appropriation R'000	Actual Funds Received R'000	Funds not requested/ not received R'000	Final Appropriation R'000	Appropriation Received R'000	Funds not requested/ not received R'000
<b>Programmes</b>						
ADMINISTRATION	311 432	311 432	-	365 944	365 944	-
PROVINCIAL SECRETARIAT FOR POLICE SERVICE	37 611	37 611	-	42 666	42 666	-
TRANSPORT OPERATIONS	1 144 447	1 144 447	-	1 351 815	1 351 815	-
TRANSPORT REGULATIONS	577 846	577 846	-	624 682	624 682	-
<b>Total</b>	<b>2 071 336</b>	<b>2 071 336</b>	<b>-</b>	<b>2 385 107</b>	<b>2 385 107</b>	<b>-</b>

All Funds requested for financial year 2020/2021 were received.

	Note	2020/21 R'000	2019/20 R'000
<b>1,2 Conditional grants**</b>			
Total grants received	47	127 026	118 683
Provincial grants included in Total Grants received		-	-

(\*\* It should be noted that the Conditional grants are included in the amounts per the Final Appropriation in Note 1.1)

	<i>Note</i>	<b>2020/21 R'000</b>	<b>2019/20 R'000</b>
<b>3 Departmental Revenue</b>			
Tax revenue		541 060	557 419
Sales of goods and services other than capital assets	3,1	197 954	210 001
Fines, penalties and forfeits	3,2	14 173	20 594
Interest, dividends and rent on land	3,3	9	11
Sales of capital assets	3,4	-	16 484
Transactions in financial assets and liabilities	3,5	3 413	1 616
Transfer received	3,6	-	-
Total revenue collected		<b>756 609</b>	<b>806 125</b>
Less: Own revenue included in appropriation	<a href="#">19</a>	756 609	775 811
<b>Departmental revenue collected</b>		<b>-</b>	<b>30 314</b>

*The revenue target per Budget book is R770,625 but the department has under collected by R14,016*

	<i>Note</i>	<b>2020/21 R'000</b>	<b>2019/20 R'000</b>
<b>3,1 Sales of goods and services other than capital assets</b>	<a href="#">3</a>		
Sales of goods and services produced by the department		197 954	210 001
Sales by market establishment		-	-
Administrative fees		20 981	23 495
Other sales		176 973	186 506
Sales of scrap, waste and other used current goods		-	-
<b>Total</b>		<b>197 954</b>	<b>210 001</b>

	<i>Note</i>	<b>2020/21 R'000</b>	<b>2019/20 R'000</b>
<b>3,2 Fines, penalties and forfeits</b>	<a href="#">3</a>		
Fines		10 936	17 969
Penalties		3 237	2 625
Forfeits		-	-
<b>Total</b>		<b>14 173</b>	<b>20 594</b>

	<i>Note</i>	<b>2020/21 R'000</b>	<b>2019/20 R'000</b>
<b>3,3 Interest, dividends and rent on land</b>	<a href="#">3</a>		
Interest		9	11
Dividends		-	-
Rent on land		-	-
<b>Total</b>		<b>9</b>	<b>11</b>

	<i>Note</i>	<b>2020/21</b> <b>R'000</b>	<b>2019/20</b> <b>R'000</b>
<b>3,4 Sales of capital assets</b>	<b>3</b>		
<b>Tangible assets</b>			<b>16 484</b>
Buildings and other fixed structures	<a href="#">41</a>	-	-
Machinery and equipment	<a href="#">39</a>	-	16 484
<b>Intangible assets</b>		-	-
<b>Total</b>		<b>-</b>	<b>16 484</b>

*No sales of capital took place in 2020-21*

	<i>Note</i>	<b>2020/21</b> <b>R'000</b>	<b>2019/20</b> <b>R'000</b>
<b>3,5 Transactions in financial assets and liabilities:</b>	<b>3</b>		
Other Receipts including Recoverable Revenue		3 413	1 616
Gains on GFECRA		-	-
<b>Total</b>		<b>3 413</b>	<b>1 616</b>

	<i>Note</i>	<b>2020/21</b> <b>R'000</b>	<b>2019/20</b> <b>R'000</b>
<b>5 Compensation of Employees</b>			
<b>5,1 Salaries and wages</b>			
Basic salary		426 571	417 442
Performance award		5 047	7 894
Service Based		1 268	1 148
Compensative/circumstantial		47 132	55 369
Periodic payments		-	-
Other non-pensionable allowances		71 776	68 322
<b>Total</b>		<b>551 794</b>	<b>550 175</b>

	<i>Note</i>	<b>2020/21</b> <b>R'000</b>	<b>2019/20</b> <b>R'000</b>
<b>5,2 Social Contributions</b>			
<b>Employer contributions</b>			
Pension		54 245	53 073
Medical		41 697	36 876
UIF		-	-
Bargaining council		160	154
Official unions and associations		-	-
Insurance		15	-
<b>Total</b>		<b>96 117</b>	<b>90 103</b>
<b>Total compensation of employees</b>		<b>647 911</b>	<b>640 278</b>
Average number of employees		1 838	1 940

		<b>2020/21</b>	<b>2019/20</b>
	<i>Note</i>	<b>R'000</b>	<b>R'000</b>
<b>6 Goods and services</b>			
Administrative fees		51 710	71 298
Advertising		4 429	6 990
Minor assets	6,1	1 131	888
Bursaries (employees)		360	446
Catering		194	2 305
Communication		7 183	10 073
Computer services	6,2	4 980	4 701
Consultants: Business and advisory services		13 557	20 539
Infrastructure and planning services		-	-
Laboratory services		-	-
Scientific and technological services		-	-
Legal services		1 336	12 684
Contractors		6 557	8 448
Agency and support / outsourced services		3 928	6 729
Entertainment		-	-
Audit cost – external	6,3	9 089	17 608
Fleet services		148 305	131 458
Inventory	6,4	557	866
Consumables	6,5	11 065	12 020
Housing		-	-
Operating leases		49 356	59 831
Property payments	6,6	35 046	41 968
Rental and hiring		85	558
Transport provided as part of the departmental activities		289 760	558 403
Travel and subsistence	6,7	20 415	34 055
Venues and facilities		115	1 350
Training and development		462	3 123
Other operating expenditure	6,8	709	1 110
<b>Total</b>		<b><u>660 329</u></b>	<b><u>1 007 451</u></b>

	<i>Note</i>	<b>2020/21</b> <b>R'000</b>	<b>2019/20</b> <b>R'000</b>
<b>6,1 Minor assets</b>	<a href="#">6</a>		
<b>Tangible assets</b>		<b>1 131</b>	<b>888</b>
Machinery and equipment		1 131	888
<b>Intangible assets</b>		-	-
<b>Total</b>		<b>1 131</b>	<b>888</b>
	<i>Note</i>	<b>2020/21</b> <b>R'000</b>	<b>2019/20</b> <b>R'000</b>
<b>6,2 Computer services</b>	<a href="#">6</a>		
SITA computer services		332	439
External computer service providers		4 648	4 262
<b>Total</b>		<b>4 980</b>	<b>4 701</b>
	<i>Note</i>	<b>2020/21</b> <b>R'000</b>	<b>2019/20</b> <b>R'000</b>
<b>6,3 Audit cost – external</b>	<a href="#">6</a>		
Regularity audits		6 236	9 094
Investigations		2 853	8 514
<b>Total</b>		<b>9 089</b>	<b>17 608</b>
	<i>Note</i>	<b>2020/21</b> <b>R'000</b>	<b>2019/20</b> <b>R'000</b>
<b>6,4 Inventory</b>	<a href="#">6</a>		
Fuel, oil and gas		-	65
Learning and teaching support material		-	-
Materials and supplies		557	801
Other supplies	<a href="#">6.4.1</a>	-	-
<b>Total</b>		<b>557</b>	<b>866</b>

	<i>Note</i>	2020/21 R'000	2019/20 R'000
<b>6,5 Consumables</b>	<a href="#">6</a>		
Consumable supplies		8 357	6 189
Uniform and clothing		1 799	4 277
Household supplies		4 200	3
Building material and supplies		-	-
Communication accessories		1	-
IT consumables		46	26
Other consumables		2 311	1 883
Stationery, printing and office supplies		2 708	5 831
<b>Total</b>		<b>11 065</b>	<b>12 020</b>

*Consumable supplies increased due to household supplies related to procurement of Covid-19 consumables amounting to R3,373million*

	<i>Note</i>	2020/21 R'000	2019/20 R'000
<b>6,6 Property payments</b>	<a href="#">6</a>		
Municipal services		-	-
Property management fees		-	-
Property maintenance and repairs		381	-
Other		34 665	41 968
<b>Total</b>		<b>35 046</b>	<b>41 968</b>

	<i>Note</i>	2020/21 R'000	2019/20 R'000
<b>6,7 Travel and subsistence</b>	<a href="#">6</a>		
Local		20 415	33 902
Foreign		-	153
<b>Total</b>		<b>20 415</b>	<b>34 055</b>

	<i>Note</i>	2020/21 R'000	2019/20 R'000
<b>6,8 Other operating expenditure</b>	<a href="#">6</a>		
Professional bodies, membership and subscription fees		15	5
Resettlement costs		137	389
Other		557	716
<b>Total</b>		<b>709</b>	<b>1 110</b>

	<i>Note</i>	2020/21 R'000	2019/20 R'000
<b>7 Interest and Rent on Land</b>			
Interest paid		-	1 174
Rent on land		-	-
<b>Total</b>		<u>-</u>	<u>1 174</u>

*The increase in interest is the interest accrued on a judgement debt against the department which was a court order*

	<i>Note</i>	2020/21 R'000	2019/20 R'000
<b>8 Payments for financial assets</b>			
Debts written off	8,3	<u>372</u>	<u>-</u>
<b>Total</b>		<u><b>372</b></u>	<u><b>-</b></u>

	<i>Note</i>	2020/21 R'000	2019/20 R'000
<b>8,3 Debts written off</b>	<a href="#">8</a>		
<b>Nature of debts written off</b>			
(Group major categories, but list material items: (debt written off relating to irregular expenditure, debt written off relating to recoverable revenue and other debts written off must be listed.)			
Irregular expenditure written off		<u>-</u>	<u>-</u>
<b>Total</b>		<u>-</u>	<u>-</u>
Recoverable revenue written off		<u>-</u>	<u>-</u>
<b>Total</b>		<u>-</u>	<u>-</u>
Other debt written off			
Staff Debts		<u>372</u>	<u>-</u>
<b>Total</b>		<u>372</u>	<u>-</u>
<b>Total debt written off</b>		<u><b>372</b></u>	<u><b>-</b></u>

	<i>Note</i>	2020/21 R'000	2019/20 R'000
<b>9 Transfers and Subsidies</b>			
Provinces and municipalities		-	-
Departmental agencies and accounts			
Public corporations and private enterprises	<a href="#">ANNEXURE 1A</a>	4 380	4 769
Non-profit institutions	<a href="#">ANNEXURE 1B</a>	692 290	685 379
Households	<a href="#">ANNEXURE 1C</a>	1 135	1 080
	<a href="#">ANNEXURE 1D</a>	6 497	3 925
<b>Total</b>		<u><b>704 302</b></u>	<u><b>695 153</b></u>

	<i>Note</i>	2020/21 R'000	2019/20 R'000
<b>10 Expenditure for capital assets</b>			
<b>Tangible assets</b>		<b>16 715</b>	<b>34 582</b>
Buildings and other fixed structures	<a href="#">30</a>	10 406	13 992
Machinery and equipment	<a href="#">29</a>	6 309	20 590
<b>Total</b>		<b>16 715</b>	<b>34 582</b>

**10,1 Analysis of funds utilised to acquire capital assets - 2020/21**

	Voted Funds R'000	Aid assistance R'000	TOTAL R'000
<b>Tangible assets</b>	<b>16 715</b>	-	<b>16 715</b>
Buildings and other fixed structures	10 406		<b>10 406</b>
Machinery and equipment	6 309		<b>6 309</b>
<b>Intangible assets</b>	-	-	-
<b>Total</b>	<b>16 715</b>	-	<b>16 715</b>

**10,2 Analysis of funds utilised to acquire capital assets - 2019/20**

	Voted Funds R'000	Aid assistance R'000	TOTAL R'000
<b>Tangible assets</b>	<b>34 582</b>		<b>34 582</b>
Buildings and other fixed structures	13 992		<b>13 992</b>
Machinery and equipment	20 590		<b>20 590</b>
<b>Intangible assets</b>	-		-
<b>Total</b>	<b>34 582</b>		<b>34 582</b>

	2020/21 R'000	2019/20 R'000
<b>10,3 Finance lease expenditure included in Expenditure for capital assets</b>		
<b>Tangible assets</b>		
Machinery and equipment	261	110
<b>Total</b>	<b>261</b>	<b>110</b>

	<i>Note</i>	<b>2020/21</b> <b>R'000</b>	<b>2019/20</b> <b>R'000</b>
<b>11 Unauthorised Expenditure</b>			
<b>11,1 Reconciliation of unauthorised expenditure</b>			
Opening balance		13 662	13 662
As restated		<u>13 662</u>	<u>13 662</u>
<b>Closing balance</b>		<b><u>13 662</u></b>	<b><u>13 662</u></b>

	<b>2020/21</b> <b>R'000</b>	<b>2019/20</b> <b>R'000</b>
<b>Analysis of closing balance</b>		
Unauthorised expenditure awaiting authorisation	13 662	13 662
Unauthorised expenditure approved without funding and not derecognised	<u>-</u>	<u>-</u>
<b>Total</b>	<b><u>13 662</u></b>	<b><u>13 662</u></b>

	<b>2020/21</b> <b>R'000</b>	<b>2019/20</b> <b>R'000</b>
<b>11,2 Analysis of unauthorised expenditure awaiting authorisation per economic classification</b>		
Current	13 662	<u>13 662</u>
<b>Total</b>	<b><u>13 662</u></b>	<b><u>13 662</u></b>

*Unauthorised expenditure emanating from previous financial years and not yet condoned*

	<b>2020/21</b> <b>R'000</b>	<b>2019/20</b> <b>R'000</b>
<b>11,3 Analysis of unauthorised expenditure awaiting authorisation per type</b>		
Unauthorised expenditure relating to overspending of the vote or a main division within the vote	13 662	13 662
Unauthorised expenditure incurred not in accordance with the purpose of the vote or main division	<u>-</u>	<u>-</u>
<b>Total</b>	<b><u>13 662</u></b>	<b><u>13 662</u></b>

	<i>Note</i>	<b>2020/21</b> <b>R'000</b>	<b>2019/20</b> <b>R'000</b>
<b>12 Cash and Cash Equivalents</b>			
Consolidated Paymaster General Account		77 818	19 722
Disbursements		<u>360</u>	<u>-173</u>
<b>Total</b>		<b><u>78 178</u></b>	<b><u>19 549</u></b>

	<i>Note</i>	2020/21 R'000	2019/20 R'000
<b>13 Prepayments and Advances</b>			
Staff advances		-	-
Travel and subsistence		-	-
Prepayments (Not expensed)		-	-
Advances paid (Not expensed)	11,2	-	-
SOCPEN advances	11,1	-	-
		<u>-</u>	<u>-</u>
<b>Total</b>		<u><u>-</u></u>	<u><u>-</u></u>

#### 13,4 Advances paid (Expensed)

	Balance as at 1 April 2020	Less: Received in the current year	Add/Less : Other	Add: Current Year advances	Amount as at 31 March 2021
	R'000	R'000	R'000	R'000	R'000
Public entities	67 856	-	-	-	67 856
<b>Total</b>	<b>67 856</b>	-	-	-	<b>67 856</b>

*A prepayment of R67856 for SA Express relating to operational and marketing costs*

	Balance as at 1 April 2019	Less: Received in the current year	Add/Less : Other	Add: Current Year	Amount as at 31 March
	R'000	R'000	R'000	R'000	R'000
National departments	-	-	-	-	-
Provincial departments	-	-	-	-	-
Public entities	67 856	-	-	-	67 856
Other institutions	-	-	-	-	-
<b>Total</b>	<b>67 856</b>	-	-	-	<b>67 856</b>

	<i>Note</i>	Current R'000	Non- current R'000	Total R'000	Current R'000	Non- current R'000	Total R'000
<b>14 Receivables</b>							
Claims recoverable		-	-	-	-	-	-
Trade receivables		-	-	-	-	-	-
Recoverable expenditure	14,1	-	-	-	155	-	155
Staff debt	14,2	321	-	321	821	-	821
Other receivables	14,3	5 516	-	5 516	5 378	-	5 378
<b>Total</b>		<b>5 837</b>	-	<b>5 837</b>	<b>6 384</b>	-	<b>6 384</b>

	<i>Note</i>	2020/21 R'000	2019/20 R'000
<b>14,1 Recoverable expenditure (disallowance accounts)</b>	<b>14</b>		
(Group major categories, but list material items)			
Diss All: Damages and Losses		-	83
Diss All: Dishonoured Cheques		-	102
<b>Total</b>		<u><u>-</u></u>	<u><u>155</u></u>

	<i>Note</i>	2020/21 R'000	2019/20 R'000
<b>14,2 Staff debt</b>	<b>14</b>		
(Group major categories, but list material items)			
Debt Account		321	821
<b>Total</b>		<u><u>321</u></u>	<u><u>821</u></u>

	<i>Note</i>	2020/21 R'000	2019/20 R'000
<b>14,3 Other receivables</b>	<a href="#">14</a>		
(Group major categories, but list material items)			
Dennel		5 000	5 000
Mamco		300	300
Naledi ya Masa		78	78
Debt Raised		138	-
<b>Total</b>		<b>5 516</b>	<b>5 378</b>

	<i>Note</i>	2020/21 R'000	2019/20 R'000
<b>15 Voted Funds to be Surrendered to the Revenue Fund</b>			
Opening balance		6 469	56 899
Prior period error		-	-
As restated		6 469	56 899
Transfer from statement of financial performance (as restated)		41 707	6 469
Add: Unauthorised expenditure for current year	<a href="#">9</a>	-	-
Voted funds not requested/not received	1,1	-	-
Transferred to retained revenue to defray excess expenditure (Parliament/Legislatures ONLY)		-	-
Paid during the year		-6 469	-56 899
<b>Closing balance</b>		<b>41 707</b>	<b>6 469</b>

	<i>Note</i>	2020/21 R'000	2019/20 R'000
<b>17 Payables - current</b>			
Clearing accounts	17,1	293	584
Other payables	17,2	24 800	20 040
<b>Total</b>		<b>25 093</b>	<b>20 624</b>

	<i>Note</i>	2020/21 R'000	2019/20 R'000
<b>17,1 Clearing accounts</b> (Identify major categories, but list material amounts)	17		
Sal: Bargaining Council			12
Sal: Income Tax		292	564
Sal: Pension Fund		1	8
<b>Total</b>		<b>293</b>	<b>584</b>

	<i>Note</i>	2020/21 R'000	2019/20 R'000
<b>17,2 Other payables</b> (Identify major categories, but list material amounts)	17		
Payable: Adv: P/Debt: NW Adv Acc: CL		24 800	20 040
<b>Total</b>		<b>24 800</b>	<b>20 040</b>

	<i>Note</i>	R'000	R'000
<b>18 Net cash flow available from operating activities</b>			
Net surplus/(deficit) as per Statement of Financial Performance		41 707	36 783
Add back non cash/cash movements not deemed operating activities		33 869	-49 673
(Increase)/decrease in receivables		547	-193
Increase/(decrease) in payables – current		4 469	15 142
Proceeds from sale of capital assets			-16 484
Expenditure on capital assets		16 715	34 582
Surrenders to Revenue Fund		-744 471	-858 531
Own revenue included in appropriation		756 609	775 811
<b>Net cash flow generated by operating activities</b>		<b>75 576</b>	<b>-12 890</b>

	<i>Note</i>	2020/21 R'000	2019/20 R'000
<b>19 Reconciliation of cash and cash equivalents for cash flow purposes</b>			
Consolidated Paymaster General account		77 818	19 722
Disbursements		360	-173
<b>Total</b>		<b>78 178</b>	<b>19 549</b>

			2020/21	2019/20
		Note	R'000	R'000
<b>20</b>	<b>Contingent liabilities and contingent assets</b>			
<b>20,1</b>	<b>Contingent liabilities</b>			
	<b>Liable to</b>	<b>Nature</b>		
	Housing loan guarantees	Employees	12	12
	Claims against the department		32 287	56 698
	<b>Total</b>		<b>32 299</b>	<b>56 710</b>

Amounts disclosed are the estimated settlement values of claims instituted against the department as at year end. A claim will only be settled when either the court decides that the department is liable or the department accepts the liability.

The Labour Appeal Court (LAC) declared the salary increases for the 2020/2021 financial year unlawful and invalid. The LAC ruling has been appealed and referred to the Constitutional Court. The ruling by the Constitutional Court will confirm if the department will be obligated to pay the salary increases in dispute

A total number of ten cases amounting to R34, 165,154.92 have been on the register for five or more years and have been dormant. These make 51% of the total register.

			2020/21	2019/20
		Note	R'000	R'000
<b>20,2</b>	<b>Contingent assets</b>			
	<b>Nature of contingent asset</b>			
	Constituted a claim against Caswell Mthombeni		21 319	21 319
	<b>Total</b>		<b>21 319</b>	<b>21 319</b>

A constituted claim against Caswell Mthombeni for implementation of Road Safety programmes. The services were never rendered. The Department has opened a case with the law enforcement agencies and recovery is underway.

			2020/21	2019/20
		Note	R'000	R'000
<b>22</b>	<b>Capital commitments</b>			
	<i>Specify class of asset</i>			
	Buildings and Other Fixed Structures		17 856	3 266
	<b>Total</b>		<b>17 856</b>	<b>3 266</b>

		2020/21	2019/20
	Note	R'000	R'000
<b>16 Departmental revenue and NRF Receipts to be surrendered to the Revenue Fund</b>			
Opening balance		6 754	2 261
Prior period error			
As restated	16,1	6 754	2 261
Transfer from Statement of Financial Performance (as restated)		-	30 314
Own revenue included in appropriation		756 609	775 811
Transfer from aid assistance	4		-
Transfer to voted funds to defray expenditure	15,1		-
Paid during the year		-738 002	-801 632
<b>Closing balance</b>		<b>25 361</b>	<b>6 754</b>

				2020/21	2019/20
				R'000	R'000
<b>23</b>	<b>Accruals and payables not recognised</b>				
<b>23,1</b>	<b>Accruals</b>				
	<b>Listed by economic classification</b>	<b>30 days</b>	<b>30+ days</b>	<b>Total</b>	<b>Total</b>
	Goods and services	97 062		97 062	110 109
	Interest and rent on land			-	-
	Transfers and subsidies	73 836	-	73 836	49 516
	Capital assets	6 704		6 704	636
	Other			-	-
	<b>Total</b>	<b>177 602</b>	<b>-</b>	<b>177 602</b>	<b>160 261</b>

<b>Listed by programme level</b>		<b>Note</b>	2020/21	2019/20
			R'000	R'000
	ADMINISTRATION		7 255	10 048
	PROVINCIAL SECRETARIAT FOR POLICE SERVICE		34	89
	TRANSPORT OPERATIONS		164 637	114 521
	TRANSPORT REGULATIONS		5 676	35 603
	<b>Total</b>		<b>177 602</b>	<b>160 261</b>

<b>23,2</b>	<b>Payables not recognised</b>				
	<b>Listed by economic classification</b>	<b>30 days</b>	<b>30+ days</b>	<b>Total</b>	<b>Total</b>
	Goods and services	728	26	754	3 512
	Interest and rent on land			-	-
	Transfers and subsidies			-	84 715
	Capital assets			-	15 334
	Other			-	-
	<b>Total</b>	<b>728</b>	<b>26</b>	<b>754</b>	<b>106 564</b>

<b>Listed by programme level</b>		<b>Note</b>	2020/21	2019/20
			R'000	R'000
	ADMINISTRATION		143	1 674
	PROVINCIAL SECRETARIAT FOR POLICE SERVICE		67	-
	TRANSPORT OPERATIONS		147	87 155
	TRANSPORT REGULATIONS		377	17 405
	<b>Total</b>		<b>754</b>	<b>106 564</b>

<b><i>Included in the above totals are the following:</i></b>		<b>Note</b>	2020/21	2019/20
			R'000	R'000
	Confirmed balances with departments	<a href="#">Annex 5</a>	-	992
	Confirmed balances with other government entities	<a href="#">Annex 5</a>	-	1 464

	Note	2020/21 R'000	2019/20 R'000
<b>24 Employee benefits</b>			
Leave entitlement		42 794	32 451
Service bonus		15 583	15 281
Performance awards		3 476	5 239
Capped leave		34 472	39 707
Other		2 031	1 380
<b>Total</b>		<b>101 356</b>	<b>97 058</b>

At this stage the department is not able to reliably measure the long term portion of the long service awards. Performance awards estimated at 0.5% of the CoE budget for the financial year

The negative leave entitlement credits amounting to -R170 and capped leave credits amounting to -R4 were excluded from leave entitlement

**24 Lease commitments**  
**24,1 Operating leases**

2020/21	Specialise d military assets	Land	Buildings and other fixed structures	Machinery and equipment	Total
	R'000	R'000	R'000	R'000	R'000
Not later than 1 year			46 758	3 159	49 947
Later than 1 year and not later than 5 years			1 466	3 471	5 037
Later than five years					-
<b>Total lease commitments</b>			<b>48 324</b>	<b>6 660</b>	<b>54 984</b>

2019/20	Specialise d military assets	Land	Buildings and other fixed structures	Machinery and equipment	Total
	R'000	R'000	R'000	R'000	R'000
Not later than 1 year	-	-	43 088	3 153	46 271
Later than 1 year and not later than 5 years	-	-	2 520	1 623	4 443
Later than five years	-	-	-	-	-
<b>Total lease commitments</b>			<b>45 608</b>	<b>5 106</b>	<b>50 714</b>

Building leases are rented offices for the department, while for machinery and equipments its rented photocopiers

no assets are subleased by the Department

	2020/21 R'000	2019/20 R'000
Rental earned on sub-leased assets	3	-
<b>Total</b>	<b>-</b>	<b>-</b>

**24,2 Finance leases \*\***

2020/21	Specialise d military assets	Land	Buildings and other fixed structures	Machinery and equipment	Total
	R'000	R'000	R'000	R'000	R'000
Not later than 1 year				120	120
Later than 1 year and not later than 5 years				47	47
Later than five years				-	-
<b>Total lease commitments</b>				<b>167</b>	<b>167</b>

2019/20	Specialise d military assets	Land	Buildings and other fixed structures	Machinery and equipment	Total
	R'000	R'000	R'000	R'000	R'000
Not later than 1 year	-	-	-	55	55
Later than 1 year and not later than 5 years	-	-	-	7	7
Later than five years	-	-	-	-	-
<b>Total lease commitments</b>				<b>62</b>	<b>62</b>

\*\* This note excludes leases relating to public private partnerships as they are separately disclosed to note no. 35.

The lease is for cellphones and 3Gs for data

The Department is in the process of facing out contracts of this nature

	<i>Note</i>	2020/21 R'000	2019/20 R'000
<b>25 Accrued departmental revenue</b>			
Tax revenue		116 904	136 850
Sales of goods and services other than capital assets		85 001	82 215
Fines, penalties and forfeits		547 496	458 770
Interest, dividends and rent on land		-	-
Sale of capital assets		-	-
Transactions in financial assets and liabilities		-	-
Transfers received		-	-
Other		-	-
<b>Total</b>		<b>749 401</b>	<b>677 838</b>

*Include discussion where deemed relevant*

	<i>Note</i>	2020/21 R'000	2019/20 R'000
<b>25,1 Analysis of accrued departmental revenue</b>			
Opening balance		677 838	599 462
Less: Amounts received		656 404	681 299
Less: Services received in lieu of cash		-	-
Add: Amounts recognised		781 662	795 966
Less: Amounts written-off/reversed as irrecoverable		53 995	36 291
Less: Amounts transferred to receivables for recovery		-	-
Other (Specify)		-	-
<b>Closing balance</b>		<b>749 401</b>	<b>677 838</b>

*Include discussion where deemed relevant*

		2020/21 R'000	2019/20 R'000
<b>25,2 Accrued department revenue written off</b>			
<b>Nature of losses</b>			
(Group major categories, but list			
Motor vehicle licenses		49 850	28 824
Government motor fleet		-	1 360
Traffic Fines		4 146	6 107
<b>Total</b>		<b>53 996</b>	<b>36 291</b>

*Include discussion where deemed relevant*

	<i>Note</i>	2020/21 R'000	2019/20 R'000
<b>25,3 Impairment of accrued departmental revenue</b>			
Estimate of impairment of accrued departmental revenue		437 997	367 017
<b>Total</b>		<b>437 997</b>	<b>367 017</b>

	<i>Note</i>	2020/21 R'000	2019/20 R'000
<b>26 Irregular expenditure</b>			
<b>26,1 Reconciliation of irregular expenditure</b>			
Opening balance		6 559 770	5 291 487
Prior period error		-	-
As restated		6 559 770	5 291 487
Add: Irregular expenditure - relating to prior year	24,2	-	2 765
Add: Irregular expenditure - relating to current year	24,2	72 321	1 265 518
<b>Closing balance</b>		<b>6 632 091</b>	<b>6 559 770</b>
<b>Analysis of closing balance</b>			
Current year		72 321	1 268 283
Prior years		6 559 770	5 291 487
<b>Total</b>		<b>6 632 091</b>	<b>6 559 770</b>

		2020/21
26,2	Details of current and prior year irregular expenditure – added current year (under determination and investigation)	R'000
Incident	Disciplinary steps taken/criminal proceedings	
	Finance Lease (Vodacom)	261
	Data services expenditure	
	Leases contracts (Office Accomodation)	38 414
	No tender documents	30 820
	Scholar Transport	274 257
	Commuter Bus Services	692 289
	Non compliance to SCM processes	121
	Non compliance – Contract exceed 15% threshold	2 557
	Non compliance - PPPFA 6.2	148
	<b>Total</b>	<b><u>1 038 867</u></b>

26,3	Prior period error	Note	2019/20 R'000
	Nature of prior period error		
	Relating to 2019/20		<b>2 765</b>
	Irregular expenditure relating to 2019/20		<u>2 765</u>
	<b>Total</b>		<b><u>2 765</u></b>

		2020/21
26.4	Details of irregular expenditure under assessment (not included in the main note)	R'000
Incident		
	Scholar Transport	289,760
	Commuter Bus Services - April 2020	92,644
	<b>Total</b>	<b><u>382,404</u></b>

		2020/21	2019/20
27	Fruitless and wasteful expenditure	R'000	R'000
27,1	Reconciliation of fruitless and wasteful expenditure		
	Opening balance	4 962	3 786
	As restated	4 962	3 786
	Fruitless and wasteful expenditure – relating to current year	171	1 176
	<b>Closing balance</b>	<b><u>5 133</u></b>	<b><u>4 962</u></b>

27,2	Details of current and prior year fruitless and wasteful expenditure – added current year (under determination and investigation)		2020/21
	Incident	Disciplinary steps taken/criminal proceedings	R'000
	Interest on overdue accounts	Still under investigation	171
	<b>Total</b>		<b>171</b>

	Note	2020/21 R'000	2019/20 R'000
<b>28 Related party transactions</b>			
<b>Revenue received</b>			
Tax revenue		-	-
<b>Payments made</b>			
Transfers and subsidies		-	70 455
<b>Total</b>		<b>-</b>	<b>70 455</b>

*List related party relationships and the nature thereof*

1. The Cabinet resolved to invoke section 100(1)(b) of the Constitution on 23 May 2018 at the department, together with interventions at other provincial departments. In this regard an Inter-Ministerial Task Team (IMTT) was established and constituted of several ministers to address the challenges facing the province. Each relevant minister was also mandated to conduct performance assessments of their corresponding portfolio department in the province. 2. The IMTT takes decisions and delivers on mandates, and is therefore disclosed as a Related Party as they have decision making powers. To give effect to section 100(1) (b); Mr M. Mokonyama was appointed as the Administrator of the department. 3. North West Transport Investment - The Entity reporting to the MEC for Community Safety and Transport Management is a related party to the department.

*For the 2020/21 financial year, there was no expenditure on Related Parties indicated*

	No. of Individuals	2020/21 R'000	2019/20 R'000
<b>29 Key management personnel</b>			
Level 15 to 17	3	3 652	3 707
Level 14 (incl CFO if at a lower level)	5	6 419	6 389
level 13 and below	21	21 820	21 929
Family members of key management personnel	1	364	195
<b>Total</b>		<b>32 254</b>	<b>32 220</b>

*Mr M. Mokonyama, the appointed administrator and the Minister of National department of Transport are considered part of key management personnel, they were however not paid by the department. Compensation paid to key management personnel including salaries, paid annual leave and paid sick leave, bonuses and non-monetary benefits such as medical benefits, housing, cars and free or subsidised goods and services; Post-employment benefits (social benefits) such as pensions, other retirement benefits, post-employment life insurance and medical care; Other long-term employee benefits, including long-service leave or sabbatical leave, long term disability benefits; and termination benefits. This excludes any consideration provided solely as a reimbursement for expenditure incurred by those persons for the benefit of the department, such as subsistence or the reimbursement of accommodation costs associated with work-related travel. For the Department of Community Safety and Transport Management, Key Management personnel includes all members of the Departmental Management Committee. Deputy Director: HRD Ms T. Leteane was appointed as acting Director Human Resource Management from 01 September 2019 to 31 August 2020, and has been included in the note. Deputy Director Security Services forms part of the Departmental Management Committee. Mr. George Marindi from North West Gambling Board was seconded to the department in the office of the MEC as a Technical Specialist, advising the MEC from 1st April 2020 to 31st December 2020.*

	Note	2020/21 R'000	2019/20 R'000
<b>30 Provisions</b>			
Retention fees - Lichtenburg Weighbridge Project		312	283

Retention fees - Pilanesberg Airport Project-Fencing	527	494
Retention fees - Pilanesberg Airport Project-Porta Cabin	863	-
Salary difference of Mr. M.G. Marindi (secondment from NWGB)		26
Retention fees - Kgomoitso Registering Authority	17	-
Retention fees - Mogwase Registering Authority	25	-
Mmolawa Case _ legal Services	5 000	-
<b>Total</b>	<b>6 744</b>	<b>803</b>

**30,1 Reconciliation of movement in provisions - 2020/21**

	Provision 1 R'000	Provision 2 R'000	Provision 3 R'000	Provision 4 R'000	Total provisions R'000
Opening balance	283	494		26	803
Increase in provision	29	33	5 905		5 967
Settlement of provision				-26	-26
<b>Closing balance</b>	<b>312</b>	<b>527</b>	<b>5 905</b>	<b>-</b>	<b>6 744</b>

**Reconciliation of movement in provisions - 2019/20**

	Provision 1 R'000	Provision 2 R'000	Provision 3 R'000	Provision 4 R'000	Total provisions R'000
Opening balance	283	494	-	26	803
<b>Closing balance</b>	<b>283</b>	<b>494</b>	<b>-</b>	<b>26</b>	<b>803</b>

Provision 3 is inclusive of R863- Pilanesberg Porta cabin, R42 of both Mogwase and Kgomoitso Registering Authority and R5000 for Mmolawa

**31 Movable Tangible Capital Assets**

**MOVEMENT IN MOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2021**

	Opening balance R'000	Value adjustments R'000	Additions R'000	Disposals R'000	Closing balance R'000
<b>MACHINERY AND EQUIPMENT</b>	609 498	-	33 057	308	642 247
Transport assets	518 704		30 936	-	549 640
Computer equipment	14 272		514	216	14 570
Furniture and office equipment	9 930		1 123	26	11 027
Other machinery and equipment	66 592		484	66	67 010
<b>TOTAL MOVABLE TANGIBLE CAPITAL ASSETS</b>	<b>609 498</b>	<b>-</b>	<b>33 057</b>	<b>308</b>	<b>642 247</b>

**Additions**

**31,1 ADDITIONS TO MOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2021**

	Cash	Non-cash	(Capital work-in-progress current costs and finance lease payments)	Received current, not paid (Paid current year, received prior year)	Total
	R'000	R'000	R'000	R'000	R'000
<b>MACHINERY AND EQUIPMENT</b>	6 308	27 010	-261	-	33 057
Transport assets	3 926	27 010			30 936
Computer equipment	514				514
Furniture and office equipment	1 123				1 123
Other machinery and equipment	745		-261		484
<b>TOTAL ADDITIONS TO MOVABLE TANGIBLE CAPITAL ASSETS</b>	<b>6 308</b>	<b>27 010</b>	<b>-261</b>	<b>-</b>	<b>33 057</b>

#### Disposals

#### 31,2 DISPOSALS OF MOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2021

	Sold for cash	Non-cash disposal	Total disposals	Cash received Actual
	R'000	R'000	R'000	R'000
<b>MACHINERY AND EQUIPMENT</b>	-	308	308	-
Computer equipment		216	216	
Furniture and office equipment		26	26	
Other machinery and equipment		66	66	
<b>TOTAL DISPOSAL OF MOVABLE TANGIBLE CAPITAL ASSETS</b>	<b>-</b>	<b>308</b>	<b>308</b>	<b>-</b>

#### 31,3 Movement for 2019/20

#### MOVEMENT IN MOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2020

	Opening balance	Prior period error	Additions	Disposals	Closing balance
	R'000	R'000	R'000	R'000	R'000
<b>HERITAGE ASSETS</b>	-	-	-	-	-
Heritage assets	-		-	-	-
<b>MACHINERY AND EQUIPMENT</b>	630 140	-685	57 122	77 079	609 498
Transport assets	541 716	-685	54 435	76 762	518 704
Computer equipment	13 123		1 325	176	14 272
Furniture and office equipment	8 980		950	-	9 930
Other machinery and equipment	66 321		412	141	66 592
<b>TOTAL MOVABLE TANGIBLE CAPITAL ASSETS</b>	<b>630 140</b>	<b>-685</b>	<b>57 122</b>	<b>77 079</b>	<b>609 498</b>

31.3.1 Prior period error	Note	2019/20 R'000
Nature of prior period error		
Relating to 2019/20 (affecting the opening balance)		-685
Motor vehicles auctioned not removed from the asset register		-685
Relating to 2019/20		110
Relating to 2019/20 (affecting the opening balance) omission to add finance leases		110
<b>Total</b>		<b>-575</b>

*Omission to add back Finance lease value of R110 to other machinery & Equipment under Cash additions 2019/20 motor vehicle auctioned not removed from asset register*

#### 31.4 Minor assets

##### MOVEMENT IN MINOR ASSETS PER THE ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2021

	Specialised military assets R'000	Intangible assets R'000	Heritage assets R'000	Machinery and equipment R'000	Biologica l assets R'000	Total R'000
Opening balance	-	-	-	21 985	-	21 985
Additions				1 131		1 131
Disposals				408		408
<b>TOTAL MINOR ASSETS</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>22 708</b>	<b>-</b>	<b>22 708</b>
	Specialise d military assets R'000	Intangible assets R'000	Heritage assets R'000	Machinery and equipment R'000	Biologica l assets R'000	Total R'000
Number of minor assets at cost				11 792		11 792
<b>TOTAL NUMBER OF MINOR ASSETS</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>11 792</b>	<b>-</b>	<b>11 792</b>

#### Minor assets

##### MOVEMENT IN MINOR ASSETS PER THE ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2020

	Specialise d military assets R'000	Intangible assets R'000	Heritage assets R'000	Machinery and equipment R'000	Biologica l assets R'000	Total R'000
Opening balance	-	-	-	21 167	-	21 167
Additions	-	-	-	887	-	887
Disposals	-	-	-	69	-	69
<b>TOTAL MINOR ASSETS</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>21 985</b>	<b>-</b>	<b>21 985</b>
	Specialise d military assets R'000	Intangible assets R'000	Heritage assets R'000	Machinery and equipment R'000	Biologica l assets R'000	Total R'000
Number of R1 minor assets	-	-	-	-	-	-
Number of minor assets at cost				11 797		11 797
<b>TOTAL NUMBER OF MINOR ASSETS</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>11 797</b>	<b>-</b>	<b>11 797</b>

**MOVABLE ASSETS WRITTEN OFF FOR THE YEAR ENDED 31 MARCH 2020**

	Specialis- ed military assets R'000	Intangible assets R'000	Heritage assets R'000	Machinery and equipment R'000	Biologic al assets R'000	Total R'000
Assets written off	-	-	-	1 307	-	1 307
<b>TOTAL MOVABLE ASSETS WRITTEN OFF</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1 307</b>	<b>-</b>	<b>1 307</b>

**32 Immovable Tangible Capital Assets**

**MOVEMENT IN IMMOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2021**

	Opening balance R'000	Value adjustments R'000	Additions R'000	Disposals R'000	Closing balance R'000
<b>BUILDINGS AND OTHER FIXED STRUCTURES</b>	23 490	-	-	-	23 490
Other fixed structures	23 490	-	-	-	23 490
<b>TOTAL IMMOVABLE TANGIBLE CAPITAL ASSETS</b>	<b>23 490</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>23 490</b>

**Additions**

**32,1 ADDITIONS TO IMMOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2021**

	Cash R'000	Non- cash R'000	(Capital work-in- progress current costs and finance lease payments) R'000	Received current, not paid (Paid current year, received prior year) R'000	Total R'000
<b>BUILDINGS AND OTHER FIXED STRUCTURES</b>	10 406	-	-10 406	-	-
Other fixed structures	10 406	-	-10 406	-	-
<b>TOTAL ADDITIONS TO IMMOVABLE TANGIBLE CAPITAL ASSETS</b>	<b>10 406</b>	<b>-</b>	<b>-10 406</b>	<b>-</b>	<b>-</b>

*This is the expenditure for Capital assets that are still in work-in-process*

**Movement for  
2019/20**

**32,2 MOVEMENT IN IMMOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2020**

	Opening balance R'000	Prior period error R'000	Additions R'000	Disposals R'000	Closing balance R'000
<b>BUILDINGS AND OTHER FIXED STRUCTURES</b>	23 490	-	-	-	23 490
Other fixed structures	23 490	-	-	-	23 490
<b>TOTAL IMMOVABLE TANGIBLE CAPITAL ASSETS</b>	<b>23 490</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>23 490</b>

**Capital Work-in-**

progress

32,3 CAPITAL WORK-IN-PROGRESS AS AT 31 MARCH 2021

	Note <a href="#">Annexure 4</a>	Opening Balance 1 April 2020 R'000	Current Year WIP R'000	Ready for use (Assets to the AR) / Contracts terminated R'000	Closing Balance 31 March 2021 R'000
Buildings and other fixed structures		78 080	10 406	-	88 486
<b>TOTAL</b>		<b>78 080</b>	<b>10 406</b>	<b>-</b>	<b>88 486</b>

*Include discussion here where deemed relevant*

Age analysis on ongoing projects	Number of projects Planned, construction not started	Number of projects Planned, construction started	2020/21 Total R'000
0 to 1 year		2	6 886
1 to 3 year(s)	1	2	14 916
3 to 5 years		1	52 773
Longer than 5 years	1		13 911
<b>Total</b>	<b>2</b>	<b>5</b>	<b>88 486</b>

*There are no projects longer than 5 years*

Payables not recognised relating to Capital WIP	2020/21 R'000	2019/20 R'000
<i>Fencing of Pilanesberg Airport</i>	268	-
<b>Total</b>	<b>268</b>	<b>-</b>

CAPITAL WORK-IN-PROGRESS AS AT 31 MARCH 2020

	Note <a href="#">Annexure 4</a>	Opening Balance R'000	Prior period error R'000	Current Year WIP R'000	Ready for use (Assets to the AR) / Contracts terminated R'000	Closing Balance 31 March 2020 R'000
Buildings and other fixed structures		64 088	-	13 992	-	78 080
<b>TOTAL</b>		<b>64 088</b>	<b>-</b>	<b>13 992</b>	<b>-</b>	<b>78 080</b>

Age analysis on ongoing projects	Number of projects Planned, construction not started	Number of projects Planned, construction started	2019/20 Total R'000
0 to 1 year		2	6 886
1 to 3 year(s)	1	2	5 999

3 to 5 years	-	1	51 284
Longer than 5 years	1	-	13 911
<b>Total</b>	<b>2</b>	<b>5</b>	<b>78 080</b>

### 33 Principal-agent arrangements

		2020/21	2019/20
33,1	Department acting as the principal	R'000	R'000
	<i>Municipalities</i>	38 893	57 771
	SAPO	3 820	3 515
	Ratlou Municipality	828	307
	<b>Total</b>	<b>43 541</b>	<b>61 593</b>

*Municipalities - The Department has 'contracted' municipalities to collect Motor Vehicle Licence Tax and pays a 20% commission. The South African Post Office has been contracted by the Department to collect Motor Vehicle Licence Tax at a commission of 8.5%*

*In instances where the department has numerous principal-agent arrangements that are similar in nature, circumstances and terms, these can be grouped to provide a summary of the description of the nature, circumstances and terms relating to the similar arrangements.*

### 34 Prior period errors

		2019/20		Restated amount R'000
34,1	Note	Amount bef error correction R'000	Prior period error R'000	
	<b>Correction of prior period errors Revenue: (e.g. Annual appropriation, Departmental revenue, Aid assistance, etc.)</b>			-
	<b>Net effect</b>	-	-	-

**Expenditure: (e.g. Compensation of employees, Goods and services, Tangible capital assets, etc.)**

Other Machinery and Equipment	29	66 321	110	66 431
Key Management Personnel	27	31 605	615	32 220
Transport Equipment(Auction 2017/18)	29	519 389	-685	518 704
Capital Assets- WIP(Infrastructure)	30	37 482	-13 992	23 490
<b>Net effect</b>		<b>654 797</b>	<b>-13 952</b>	<b>640 845</b>

*(Relating to 2019/20 affecting opening balance)Omission to add finance lease value of R110 to cash Additions*

**Assets: (e.g. Receivables, Investments, Accrued departmental revenue, Movable tangible capital assets, etc.)**

Changes in net Assets(2019/20) -Revenue recoverable - POS	12	-	5 748	5 748
<b>Net effect</b>		-	<b>5 748</b>	<b>5 748</b>

**Liabilities: (e.g. Payables current, Voted funds to be surrendered, Commitments, Provisions, etc.)**

Salary difference of Mr. M.G. Marindi(secondment from NWGB)	28	777	26	803
<b>Net effect</b>		<b>777</b>	<b>26</b>	<b>803</b>

**Other: (e.g. Irregular expenditure, fruitless and wasteful expenditure, etc.)**

SCM processes not followed	24	6 557 004	2 765	6 559 769
<b>Net effect</b>		<b>6 557 004</b>	<b>2 765</b>	<b>6 559 769</b>

**35 BROAD BASED BLACK ECONOMIC EMPOWERMENT PERFORMANCE**

Information on compliance with the B-BBEE Act is included in the annual report under the section titled B-BBEE Compliance Performance Information.

	<i>Note</i>	2020/21 R'000	2019/20 R'000
<b>36 COVID 19 Response Expenditure</b>	ANNEXURE 5		
Compensation of employees		795	-
Goods and services		3 803	-
Transfers and subsidies		-	-
Expenditure for capital assets		-	-
Other		-	-
<b>Total</b>		<b>4 598</b>	<b>-</b>

**37 STATEMENT OF CONDITIONAL GRANTS RECEIVED**

NAME OF GRANT	GRANT ALLOCATION					SPENT				2019/20	
	Division of Revenue Act/Provincial Grants	Roll Overs	DORA Adjustments	Other Adjustments	Total Available	Amount received by department	Amount spent by department	Under / (overs pending)	% of available funds spent by dept	Division of Revenue Act	Amount spent by department
	R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
PUBLIC TRANSPORT OPERATIONS GRANT	124 415				124 415	124 415	124 415	-	100%	116 603	116 603
SOC SEC EPWP INCENTIVE GRANT PROV	2 611				2 611	2 611	2 569	42	98%	2 080	2 067
	127 026	-	-	-	127 026	127 026	126 984	42		118 683	118 670

## ANNEXURE 1A

## STATEMENT OF TRANSFERS TO DEPARTMENTAL AGENCIES AND ACCOUNTS

DEPARTMENT/AGENCY/ACCOUNT	TRANSFER ALLOCATION				TRANSFER		2019/20
	Adjusted appropriation	Roll Overs	Adjustments	Total Available	Actual Transfer	% of Available funds transferred	Final Appropriation
	R'000	R'000	R'000	R'000	R'000	%	R'000
Government fleet licence fees	3 000			3 000	2 988	100%	2 993
North West University				-			2
Safety & Security				-			887
Transport Education	697			697	696	100%	887
Safety education	697			697	696	100%	
<b>Total</b>	<b>4 394</b>	<b>-</b>	<b>-</b>	<b>4 394</b>	<b>4 380</b>		<b>4 769</b>

## ANNEXURE 1B

## STATEMENT OF TRANSFERS/SUBSIDIES TO PUBLIC CORPORATIONS AND PRIVATE ENTERPRISES

NAME OF PUBLIC CORPORATION/PRIVATE ENTERPRISE	TRANSFER ALLOCATION				EXPENDITURE				2019/20
	Adjusted appropriation Act	Roll Overs	Adjustments	Total Available	Actual Transfer	% of Available funds transferred	Capital	Current	Final Appropriation
	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000
<b>Public corporations</b>									
<b>Transfers</b>	-	-	-	-	<b>692 290</b>		-	-	<b>685 379</b>
AMAROSA TRADING (PTY)					237 008				211 686
ATAMELANG BUS TRANSPORT					155 700				137 418
MVELATRANS(BOJANALA BUS)					287 510				253 304
PUMUTRA TRANSPORT ENTERPRISE					12 072				12 516
NORTH WEST TRANSPORT INVESTMENT (NTI)					-				70 455
<b>Sub total: Public corporations</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>692 290</b>		<b>-</b>	<b>-</b>	<b>685 379</b>
<b>TOTAL</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>692 290</b>		<b>-</b>	<b>-</b>	<b>685 379</b>

ANNEXURE 1C

STATEMENT OF TRANSFERS TO NON-PROFIT INSTITUTIONS

NON-PROFIT INSTITUTIONS	TRANSFER ALLOCATION				EXPENDITURE		2019/20
	Adjusted appropriation Act	Roll Overs	Adjustments	Total Available	Actual Transfer	% of Available funds transferred	Final Appropriation
	R'000	R'000	R'000	R'000	R'000	%	R'000
<b>Transfers</b>							
Brits				-	50		22
Ikageng							31
Itsoseng							40
Jouberton					62		31
Lehurutshé					54		40
Lethabile							32
Mafikeng							45
Ventersdorp							25
Vryburg							53
Wolmaranstad					21		40
Mooi-nooi							45
Boitekong							27
Rustenburg							22
Setlagole					36		29
Mogwase							27
Zeerust							30
Lichtenburg					55		35
Taung							20
Mmabatho							40
Khuma							40
Gay Umbrella							40
Ganyesa							30
bloemhof							42
Klerksdorp					51		31
Respect Sports Development							40
Ottosdal							35
Mmakau							17
Pudumoe							27
Makapanstad Rural Development							23
Bojanala District							25
Tlhabane							22
The Provincial CPF board							74
Madikwe					52		
Lomanyaneng					58		
Western Tigers					58		
The Imologa Community					51		
Rise of the Community							

					51	
Nazaren Youth Club					51	
Ipelegeng					52	
Hartebeesfontein					51	
Banna ba kae Tlokwe					52	
Thusego Safe House					52	
Mareetsane					61	
Loago Lwa Rona					51	
Motswedi					41	
Huhudi					37	
Groot Marico					48	
Coligny					40	
				-		
	-	-	-	-	1 135	1 080
<b>Total</b>	-	-	-	-	1 135	1 080

**ANNEXURE 1D  
STATEMENT OF TRANSFERS TO  
HOUSEHOLDS**

HOUSEHOLDS	TRANSFER ALLOCATION				EXPENDITURE		2019/20
	Adjusted appropriation Act	Roll Overs	Adjustments	Total Available	Actual Transfer	% of Available funds transferred	Final Appropriation
	R'000	R'000	R'000	R'000	R'000	%	R'000
<b>Transfers</b>							
Injury on duty	150			150	128	85%	877
Leave gratuity	6 548			6 548	5 998	92%	3 423
Claims against state	311			311	371	119%	
	7 009	-	-	7 009	6 497		4 300
<b>Total</b>	7 009	-	-	7 009	6 497		4 300

**ANNEXURE 1E  
STATEMENT OF ACTUAL MONTHLY EXPENDITURE  
PER GRANT**

GRANT TYPE	APRIL	MAY	JUN	JUL	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	TOTAL
	2020	2020	2020	2020	2020	2020	2020	2020	2020	2021	2021	2021	
	R'000	R'000	R'000	R'000	R'000	R'000							
PUBLIC TRANS OPERATIONS GRANT	-	-	7 252	8 393	9 542	8 322	12 817	10 030	8 745	16 645	862	41 808	124 415
SOC SEC EPWP INCEN GRNT FOR PROV	-	-	-	-	-	-	-	-	786	843	940	-	2 569
<b>Total</b>	-	-	7 252	8 393	9 542	8 322	12 817	10 030	9 530	17 487	1 802	41 808	126 984

**ANNEXURE 2A  
STATEMENT OF FINANCIAL GUARANTEES ISSUED AS AT 31 MARCH  
2021 - LOCAL**

GUARANTOR INSTITUTION	Guarantee in respect of	Original guaranteed capital amount	Opening balance 1 April 2020	Guarantees draw downs during the year	Guaranteed repayments / cancelled / reduced during the year	Revaluation due to foreign currency movements	Closing balance 31 March 2021	Revaluations due to inflation rate movements	Accrued guarantee d interest for year ended 31 March 2021
		R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000
<b>Housing</b>									
FIRST RAND BANK LIMITED		12	12				12		
	Subtotal	12	12	-	-	-	12	-	-
	<b>Total</b>	12	12	-	-	-	12	-	-

**ANNEXURE 2B  
STATEMENT OF CONTINGENT LIABILITIES AS AT 31 MARCH  
2021**

NATURE OF LIABILITY	Opening balance 1 April 2020	Liabilities incurred during the year	Liabilities paid/ cancelled/ reduced during the year	Liabilities recoverable (Provide details hereunder)	Closing balance 31 March 2021
	R'000	R'000	R'000	R'000	R'000
<b>Claims against the department</b>					
Du Plooy J.P.J	818				818
Mmolawa K.S.	5 000		5 000		-
Lekau K.S.	409				409
S De Wet Carol	900				900
Maruping Peter Leeuw	250		250		-
Mothibi S.M	400				400
Ase Ami	36		36		-
Lekopanye K.G.	16				16
Mokotedi M.J	100				100
Mqonjane LL	250				250
Moate L	521				521
Thusang Bana Transport Services	21 428		21 428		-
Mokhobotlwane K	43		43		-
Segoati M.T	22				22
Redefine Properties	226				226
Majola J.J	23				23
Schempers M	84				84
Mochabapula Consulating CC	23 729				23 729
Nkumande S.F Adv Lungile Tyatya	46 93				46 93
Lekala L.A.	570				570
K.I Mashela	41				41
C. P Potgieter	120		120		-
NSP Du Plooy	34				34
Gavin Keenton	40				40
L J Thobega	14				14
S M Mvula	82				82
E H Lombaard	31				31
Sebesho B.J	24		24		-
Setumo M.S	89				89
Moheta M.A	22		22		-
Mosasi A.B	125		125		-

Molotsi K	500				500
I Tshoba	69				69
O.L. Seothaeng	200				200
Roux D.F.	93				93
Numbi Auto White River (Pty) (Ltd)	59				59
J. Maleka	10				10
K. Modukwane	43		43		-
T.I. Phutiyagae	80				80
C.B. Boikanyo	58				58
R.E Pholo		48			48
P.L Molotsi		978			978
Gateway Airport Authority		600			600
J.R Pudi		43			43
M. Mmethi		11	11		-
JP Van Straten		111			111
O.M Gwai		900			900
<b>Subtotal</b>	<b>56 698</b>	<b>2 691</b>	<b>27 102</b>	<b>-</b>	<b>32 287</b>
<b>TOTAL</b>	<b>56 698</b>	<b>2 691</b>	<b>27 102</b>	<b>-</b>	<b>32 287</b>

### ANNEXURE 3 INVENTORIES

INVENTORIES FOR THE YEAR ENDED 31 MARCH 2020	Note	Insert major category of inventory	TOTAL			
		R'000	R'000	R'000	R'000	R'000
Opening balance		242	-	-	-	242
Add: Additions/Purchases - Cash		259	298			557
Add/(Less): Received current, not paid (Paid current year, received prior year)		(523)				(523)
Add/(Less): Adjustments		343				343
Closing balance		321	298	-	-	619

INVENTORIES FOR THE YEAR ENDED 31 MARCH 2020	Note	Insert major category of inventory	TOTAL			
		R'000	R'000	R'000	R'000	R'000
Opening balance						-
Add/(Less): Adjustments to prior year balances						-
Add: Additions/Purchases - Cash		800				800

Add: Additions - Non-cash					-
(Less): Disposals					-
(Less): Issues	(558)				(558)
Add/(Less): Received current, not paid (Paid current year, received prior year)					-
Add/(Less): Adjustments					-
Closing balance	242	-	-	-	<u>242</u>

#### ANNEXURE 4

##### Movement in Capital Work-in-Progress

##### MOVEMENT IN CAPITAL WORK-IN-PROGRESS FOR THE YEAR ENDED 31 MARCH 2021

	Opening balance	Current Year Capital WIP	Ready for use (Asset register) / Contract terminated	Closing balance
	R'000	R'000	R'000	R'000
<b>BUILDINGS AND OTHER FIXED STRUCTURES</b>	78 080	10 406	-	88 486
Dwellings				-
Other fixed structures	78 080	10 406		88 486
<b>TOTAL</b>	<u>78 080</u>	<u>10 406</u>	<u>-</u>	<u>88 486</u>

**ANNEXURE 5**  
**COVID 19 RESPONSE EXPENDITURE**  
Per quarter and in total

Expenditure per economic classification	APRIL 2020	MAY 2020	JUN 2020	JUL 2020	AUG 2020	SEPT 2020	Subtotal Q2	OCT 2020	NOV 2020	DEC 2020	Subtotal Q3	JAN 2021	FEB 2021	MAR 2021	Subtotal Q4	2020/21 TOTAL	2019/20 TOTAL
	R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000
<b>Compensation of employees</b>	-	-	-	-	-	-	-	-	-	-	-	-	-	795	795	795	-
<b>Goods services</b>	-	1 213	637	1 234	427	10	1 671	702	670	834	537	255	-	-	255	3 803	-
<i>Please list all the applicable SCOA level 4 items:</i>																	
COMMUNICATION	-	-	-	-	-	5	5	5	-	-	-	-	-	-	-	-	-
CONS SUPPLIES	-	1 213	637	1 234	90	4	1 328	615	670	834	450	255	-	-	255	3 373	-
PROPERTY PAYMENTS	-	-	-	-	337	-	337	92	-	-	92	-	-	-	-	430	-
<b>TOTAL COVID 19 RESPONSE EXPENDITURE</b>	-	1 213	637	1 234	427	10	1 671	702	670	834	537	255	-	795	540	4 598	-

The expenditure entails the expenditure amounting to R795 388,85 for Compensation of Employees and R3,803 million for Goods and services.

**Community Safety and Transport Management**

**Cnr Albert Luthuli &  
James Moroka Road, Mmabatho**

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